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8 **UNITED STATES DISTRICT COURT**
9 **SOUTHERN DISTRICT OF CALIFORNIA**
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11 TODD SCHUENEMAN, on behalf of
12 himself and all others similarly situated,
13 Plaintiff,

14 v.

15 ARENA PHARMACEUTICALS, INC.,
16 JACK LIEF, ROBERT E. HOFFMAN,
17 DOMINIC P. BEHAN, WILLIAM R.
18 SHANAHAN, and CHRISTY
19 ANDERSON,

20 Defendants.
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Case No. 3:10-cv-01959-CAB (BLM)
CONSOLIDATED CLASS ACTION

**DECLARATION OF STEPHANIE AMIN-GIWNER IN SUPPORT
OF MOTION FOR DISTRIBUTION OF NET SETTLEMENT FUND**

1 I, Stephanie Amin-Giwner, declare and state as follows:

2 1. I am a Senior Manager, Client Services for Epiq Class Action & Claims
3 Solutions, Inc. (“Epiq”). The following statements are based on my personal
4 knowledge and information provided by other Epiq employees working under my
5 supervision, and if called on to do so, I could and would testify competently thereto.

6 2. Pursuant to the Court’s Order Preliminarily Approving Settlement and
7 Providing for Notice of Proposed Settlement (ECF No. 156), filed on November 30,
8 2017, (the “Preliminary Approval Order”), Garden City Group, LLC (“GCG”), now
9 operating as Epiq, was authorized to act as the Claims Administrator in connection
10 with the above-captioned Litigation, (the “Settlement”).¹ As Claims Administrator,
11 Epiq has implemented the terms of the Settlement by, among other things:
12 (i) mailing the Notice of Pendency and Proposed Settlement of Class Action (the
13 “Notice”) and the Proof of Claim and Release form (the “Proof of Claim,” and,
14 together with the Notice, the “Notice Packet”); (ii) creating and maintaining a case-
15 specific toll-free hotline and maintaining and posting documents on a dedicated
16 settlement website (www.ArenaPharmaceuticalsClassActionSettlement.com), and
17 updating each during the course of the administration; (iii) causing the Summary
18 Notice to be published in *The Wall Street Journal* and over *PR Newswire*;
19 (iv) receiving and processing any requests for exclusion; and (v) receiving and
20 processing Proofs of Claim.²

21 3. As set forth in the Stipulation, dated November 3, 2017, the parties
22 agreed to settle this Litigation for \$24,000,000. Epiq has completed the processing
23 of the Proofs of Claim in accordance with the terms of the Settlement and the Plan
24

25 ¹ GCG”) was acquired by Epiq Class Action and Claims Solutions, Inc. on June 15, 2018 and is
26 referred to herein as Epiq.

27 ² Unless otherwise defined herein, all capitalized terms shall have the same meaning as set forth
28 in the Stipulation and Agreement of Settlement (the “Stipulation”) and/or the Preliminary
Approval Order.

1 of Allocation and hereby submits its administrative determinations accepting and
2 rejecting the Proofs of Claim in preparation for a distribution of the Net Settlement
3 Fund to Authorized Claimants. Epiq also presents this Declaration in support of
4 Plaintiffs' Motion for Distribution of Net Settlement Fund.

5 **DISSEMINATION OF THE NOTICE**

6 4. As more fully described in Jennifer M. Bareither's Declaration
7 Regarding (A) Mailing of the Notice of Pendency and Proposed Settlement of Class
8 Action, (B) Publication of the Summary Notice, and (C) Requests for Exclusion and
9 Objections Received to Date, dated February 28, 2018, pursuant to the Preliminary
10 Approval Order, Epiq caused over 139,000 Notice Packets to be mailed to potential
11 Settlement Class Members or their nominees. The Notice Packet was also available
12 on the dedicated settlement website and by request via the toll-free hotline.

13 **PROCEDURES FOLLOWED IN PROCESSING PROOFS OF CLAIM**

14 5. Under the terms of the Stipulation and as set forth in the Notice, each
15 claimant who wished to participate in the Settlement was required to complete and
16 submit to Epiq a properly executed Proof of Claim postmarked no later than
17 April 13, 2018, together with acceptable supporting documentation for the
18 transactions and holdings reported therein. In response to the mailings, Epiq has
19 received and processed a total of 10,025 Proofs of Claim, which includes
20 9,349 Claims that were postmarked, received or submitted online by April 13, 2018
21 (the "Timely Claims"). The remaining 676 Claims were postmarked or received
22 after April 13, 2018 (the "Late Claims").

23 6. In preparation for receiving and processing Proofs of Claim, Epiq:
24 (i) conferred with Lead Counsel to define the project guidelines for processing
25 Proofs of Claim; (ii) created a unique database to store Proof of Claim details and
26 images of Proofs of Claim and supporting documentation; (iii) trained staff in the
27 specifics of the project so that Proofs of Claim would be properly handled;
28 (iv) formulated a system so that telephone and email inquiries would be properly

1 responded to; (v) developed various computer programs and screens for entry of
2 claimants' identifying information, as well as their transactional information; and
3 (vi) developed a proprietary "calculation module" that would calculate recognized
4 loss amounts pursuant to the Plan of Allocation set forth in the Notice.

5 7. Settlement Class Members seeking to share in the Net Settlement Fund
6 were directed in the Notice to submit their Proofs of Claim to a post office box
7 address specifically designated for the Settlement. Epiq sorted incoming mail into
8 Proofs of Claim and Administrative mail.³ Notice Packets that were returned by the
9 Post Office as undeliverable were reviewed to try and find updated addresses.
10 Where available, new addresses were entered into the database and new Notice
11 Packets were mailed to the updated addresses. Administrative mail was reviewed
12 and, where necessary, appropriate responses were provided to the senders.

13 **PROCESSING PAPER PROOFS OF CLAIM**

14 8. Once received, paper Proofs of Claim were opened and prepared for
15 scanning. This process included unfolding documents, removing staples, copying
16 non-conforming sized documents, sorting documents, and, where claimant
17 identification information was not provided on the Proof of Claim, copying and
18 sorting the envelope with the return address. This manual task of preparing the paper
19 Proofs of Claim is very laborious and time-intensive. Once prepared, paper Proofs
20 of Claim were scanned into a database together with all submitted documentation.
21 Subsequently, each Proof of Claim was assigned a unique Proof of Claim number if
22 it did not already have a pre-assigned Proof of Claim number. Once scanned, the
23 information from each Proof of Claim - including the name, address, telephone
24 number, email address, the Taxpayer I.D. or the Social Security Number of the

25
26 ³ Administrative mail includes all mail other than Proofs of Claim, supporting documentation, and
27 responses to notices of claim rejection (*i.e.*, Administrative mail includes requests for Proofs of
28 Claim, requests for change of address, and questions regarding the administration process or status
of the administration).

1 claimant, and the claimant's purchase/acquisition transactions, sale transactions, and
2 holdings listed on the Proof of Claim - was entered into a database developed by
3 Epiq to process Proofs of Claim submitted for the Settlement.

4 9. In order to process the transactions relevant to this Settlement, Epiq
5 utilized dozens of internal codes to identify and classify types of claims and any
6 deficiency or ineligibility conditions that existed within those claims. The
7 appropriate codes were assigned to the claims as they were processed. For example,
8 where a claimant submitted a Proof of Claim but did not have *any* eligible
9 purchases/acquisitions of the relevant Arena Pharmaceuticals, Inc. common stock,
10 that claim would receive a Proof of Claim-level code that denoted ineligibility.
11 Similar "Proof of Claim-level" ineligible codes were used to denote other ineligible
12 conditions, such as Proofs of Claim submitted with no supporting documentation for
13 any transactions. These codes would indicate to Epiq that the claimant is not eligible
14 to receive any payment from the Net Settlement Fund with respect to that Proof of
15 Claim unless the deficiency was cured.

16 10. Because a Proof of Claim may be deficient only in part, but otherwise
17 acceptable, Epiq utilized codes that are only applied to specific transactions within
18 a Proof of Claim. For example, if a claimant submitted a Proof of Claim with
19 supporting documentation for all but one purchase transaction, that one transaction
20 would receive a "transaction-level" code. That code would indicate that one
21 transaction was deficient but that the Proof of Claim was otherwise eligible for
22 payment if other transactions in the Proof of Claim calculated to a recognized loss
23 according to the Plan of Allocation. Thus, even if the transaction-level deficiency
24 was never cured, the Proof of Claim would still be paid in part.

25 **PROCESSING ELECTRONICALLY FILED PROOFS OF CLAIMS**

26 11. Of the 10,025 Proofs of Claim received and processed by Epiq in
27 connection with the Settlement, 6,088 were filed electronically ("Electronic
28

1 Claims”). Electronic Claims are typically submitted by institutional investors who
2 may have hundreds or thousands of relevant transactions. Rather than provide reams
3 of paper requiring data entry, the institutional investors filing Electronic Claims
4 either mail a computer disc or email a file to Epiq so that Epiq may electronically
5 upload all transactions to its proprietary database developed for the Settlement.

6 12. Epiq maintains an electronic filing operations team (the “Electronic
7 Filing Team”) to coordinate and supervise the receipt and handling of all Electronic
8 Claims. In this case, as in all other claims administrations, the Electronic Filing
9 Team reviewed and analyzed each electronic file to ensure that it was formatted in
10 accordance with Epiq’s required format and to identify any potential data issues or
11 inconsistencies within the file. If any issues or inconsistencies arose, Epiq
12 immediately notified the sender. If the electronic file was deemed to be in an
13 acceptable format, it was then forwarded to Epiq’s programming staff with detailed
14 loading instructions, including the number of claims and/or transaction totals the
15 institution provided when it sent the electronic file.

16 13. Once the electronic file was loaded, Epiq’s Quality Assurance
17 personnel reviewed the electronic file to confirm that the number of Proofs of Claim
18 and transactions matched the information provided by the filer. The Electronic
19 Filing Team then sent an email notification to the filer that included a spreadsheet
20 with the Proof of Claim numbers and respective account and address information for
21 each Proof of Claim. In this email, the filer was also notified of any discrepancies
22 in the data.

23 14. Once all counts were confirmed, the Electronic Claims were coded just
24 like manually processed Proofs of Claim with codes to identify and classify types of
25 Electronic Claims and any deficiency or ineligibility conditions that existed within
26 them. These codes are the same as those applied to manually filed Proofs of Claim;
27 however, the process in applying codes differs from the process used for paper
28 Proofs of Claim. In lieu of manually applying codes, the Electronic Filing Team

1 performed programmatic audits on Electronic Claims to identify deficient and
2 ineligible conditions (such as, but not limited to, price per share/net amount
3 validation issues, out of balance conditions, and transactions not related to the
4 Settlement). The appropriate codes were then assigned programmatically once the
5 output of the audit was thoroughly reviewed and confirmed as accurate.

6 15. The audit process also included flagging any Electronic Claims that
7 were not accompanied by the following: (i) a signed Proof of Claim which serves as
8 a “Master Claim Form” for all accounts referenced on the electronic file submitted;
9 (ii) an electronic filing summary sheet; (iii) supporting documentation, such as a
10 signed or notarized letter on company letterhead attesting to the truth and accuracy
11 of the data on the electronic file, trade confirmations, and/or brokerage account
12 statements; and (iv) a notarized affidavit, corporate resolution, or corporate by-laws
13 verifying that the individual who executed the Proof of Claim and submitted the
14 electronic file is an authorized signatory of his/her company with the authority to
15 file such information. This portion of the audit process was also reviewed by Epiq’s
16 Quality Assurance personnel, who worked in conjunction with the Electronic Filing
17 Team, to contact the institutional filers whose electronic files were missing
18 information. This process ensured that only fully completed Proofs of Claim,
19 submitted by properly authorized representatives of the claimants, were considered
20 eligible for payment from the Net Settlement Fund.

21 16. Finally, at the end of the process, Epiq performed various additional
22 audits of Electronic Claims. Specifically, Epiq reached out to a number of electronic
23 filers who, in lieu of providing specific trade confirmations, provided another form
24 of supporting documentation as set forth in Paragraph 15(iii) above and requested
25 that various sample transactions selected by Epiq be documented by providing
26 confirmation slips or other transaction-specific supporting documentation for
27 particular transactions. This random sampling helped to ensure that electronic data
28 supplied by claimants did not accidentally contain inaccurate information. Epiq

1 performed this final check on a variety of randomly selected electronic files, as well
2 as the electronic files with the largest losses.

3 **EXCLUDED PERSONS**

4 17. Epiq also reviewed all Proofs of Claim to ensure that they were not
5 submitted by or on behalf of “Excluded Persons,” to the extent that the identities of
6 such persons or entities were known to Epiq through the list of defendants and other
7 excluded persons and entities set forth in the Stipulation and the Notice and through
8 the claimants’ certifications on the Proofs of Claim. Epiq also customarily reviews
9 all Proofs of Claim against the list of persons and/or entities who submitted a valid
10 request for exclusion from the Class; here, however, no such exclusion requests were
11 received.

12 **THE DEFICIENCY PROCESS**

13 18. Approximately seventy-six percent (76.5%) of the Proofs of Claim
14 submitted were incomplete, not signed, not properly documented, duplicative,
15 resulted in no recognized loss, or filed by a claimant who had not purchased relevant
16 shares of Arena Pharmaceuticals, Inc. common stock during the Class Period. Much
17 of Epiq’s efforts in handling an administration involve claimant communications so
18 that all claimants have sufficient opportunity to cure any deficiencies and file a
19 complete Proof of Claim. The deficiency process involved letters and emails
20 advising claimants of deficiencies and making available to Class Members - through
21 a Toll-Free help line call back request option - operator support with access to
22 claimants’ transactional information to assist them with any questions.

23 **The “Notice of Conditional Rejection of Your Entire Claim”**

24 19. As described above, Epiq utilized internal Proof of Claim codes to
25 identify and classify types of Proofs of Claim and conditions that existed within
26 them. If a Proof of Claim was determined to be deficient (for example, if the Proof
27 of Claim was missing documentation for the entire Proof of Claim, if the claimant
28 did not sign the Proof of Claim or did not provide enough information to calculate

1 the Proof of Claim, or if the Proof of Claim was determined to have no recognized
2 loss under the Plan of Allocation), Epiq notified claimants of the ineligibilities or
3 reasons for rejection via email or a letter entitled “Notice of Conditional Rejection
4 of Your Entire Claim.” These letters described to the claimants the defects with his,
5 her, or its Proof of Claim and what, if anything, was necessary to cure the defect(s).
6 Each such letter also explained that this deficiency process was the claimant’s only
7 opportunity to cure the deficiencies with respect to his, her, or its Proof of Claim (to
8 the extent that the deficiencies could be cured) and that claimants desiring to contest
9 the administrative determination were required to submit a written statement to Epiq
10 requesting review of their Proof of Claim and setting forth the basis for their
11 requests. The letter required submission of the appropriate information and/or
12 documentary evidence to complete the Proof of Claim within 20 days from the date
13 of the letter, or the Proof of Claim would be recommended for rejection in its
14 entirety. Epiq mailed (or emailed, in the case of Electronic Claims) notices of
15 rejection or ineligibility in connection with 7,520 Proofs of Claim.

16 **The “Notice of Conditional Rejection of Part of Your Claim”**

17 20. If a Proof of Claim was determined to be partially deficient (for
18 example, if the claimant was missing documentation for part of the Proof of Claim
19 or did not supply some transactional information), Epiq mailed a letter entitled
20 “Notice of Conditional Rejection of Part of Your Claim.” This letter informed the
21 claimant of the defect(s) in his, her, or its Proof of Claim and what was necessary to
22 cure the defect(s) in the Proof of Claim. It also advised the claimant that, unless the
23 defects were cured, the Proof of Claim would only be eligible to the extent it was
24 complete and calculated to a Recognized Loss. This letter also provided a 20-day
25 period to cure the Proof of Claim. Epiq mailed (or emailed in the case of Electronic
26 Claims) a “Notice of Conditional Rejection of Part of Your Claim” in connection
27 with 153 Proofs of Claim during the administration of the Settlement.
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21. Attached hereto as Exhibit A are examples of the types of letters sent to notify claimants of the deficiencies in or the ineligibility of their Proofs of Claim. Each such letter also explained that this deficiency process was the claimant's only opportunity to cure the deficiencies in his, her, or its Proof of Claim (to the extent that the deficiencies could be cured) and that claimants desiring to contest the administrative determination were required to submit a written statement to Epiq requesting review of their Proof of Claim and setting forth the basis for their requests.

22. Claimants' responses to these letters were scanned into Epiq's database and associated with the related Proofs of Claim. These responses were then carefully reviewed and evaluated by Epiq's team of processors. If a claimant's response corrected the deficiency(ies), Epiq updated the database to reflect the changes in status of the Proof of Claim.

LATE, BUT OTHERWISE ELIGIBLE PROOFS OF CLAIM

23. Through May 15, 2020, Epiq received 676 Proofs of Claim that were postmarked after the April 13, 2018, Proof of Claim submission deadline established by the Court. Epiq has processed all late Proofs of Claim, and 123 have been found to be otherwise eligible (the "Late, but Otherwise Eligible Claims"). The aggregate recognized loss for the Late, but Otherwise Eligible Claims represents approximately 2.2% of the total recognized loss of all Authorized Claimants. Epiq has not rejected any Proof of Claim solely based on its late submission, and Epiq believes no delay has resulted from the provisional acceptance of these Late, but Otherwise Eligible Claims.

24. However, there must be a final cut-off date after which no more Proofs of Claim will be processed so that there may be a proportional distribution of the Net Settlement Fund. The processing of any Proof of Claim received after preparation of this application would necessarily require a delay in the distribution. Accordingly, it is also respectfully requested that this Court order that no Proof of

1 Claim received after May 15, 2020, be eligible for payment for any reason
2 whatsoever.

3 **DISPUTED CLAIMS**

4 25. Claimants were advised they had the right to contest Epiq's
5 administrative determination of deficiencies or ineligibility and that they could
6 request that the dispute be submitted to the Court for review. More specifically,
7 Claimants were advised in the Deficiency Letter that, if they disputed Epiq's
8 determination, they had to provide a statement of reasons indicating the grounds for
9 contesting the determination, along with supporting documentation, and if the
10 dispute concerning the Claim could not otherwise be resolved, Lead Counsel would
11 thereafter present the request for review to the Court for a final determination.
12 Twelve Claimants, whose Proof of Claims were rejected for various reasons,
13 contested Epiq's administrative determination and requested review by the Court.
14 Several attempts by phone and email were made to contact these claimants to help
15 them better understand the reason for the rejection. Attached hereto as Exhibit B are
16 copies of the Proof of Claims and supporting documentation of the disputed claims.
17 Exhibit B-1 contains all disputed claims that were rejected for not calculating to a
18 recognized loss under the Plan of Allocation. Exhibit B-2 contains all disputed
19 claims that were rejected for not providing adequate documentation to support their
20 claim.

21
22 **QUALITY ASSURANCE**

23 26. An integral part of all of Epiq's settlement administration projects is
24 our Quality Assurance review. Epiq's Quality Assurance personnel worked
25 throughout the entire administration process to ensure that Proofs of Claim were
26 processed properly; that deficiency and ineligibility message codes were properly
27 applied to claims; that deficiency letters were mailed to the appropriate claimants;
28 and that Epiq's computer programs were operating properly.

27. Epiq's Quality Assurance team performed a final project wrap-up once all of the Proofs of Claim were processed, deficiency letters were mailed, and deficiency responses were reviewed and processed to ensure the correctness and completeness of all of the processed Proofs of Claim before Epiq prepared its final reports to counsel. In connection with this Quality Assurance wrap-up, Epiq: (i) confirmed that valid Proofs of Claim have no messages denoting ineligibility; (ii) confirmed that Proofs of Claim that are ineligible have messages denoting ineligibility; (iii) confirmed that Proofs of Claim that did not include relevant purchases/acquisitions contained appropriate ineligibility messages; (iv) confirmed that Proof of Claim detail (transaction) messages appear only on Proof of Claim detail records; (v) confirmed that all Proofs of Claim requiring deficiency or rejection letters were sent such letters; (vi) performed a sample review of deficient Proofs of Claim; (vii) reviewed Proofs of Claim with large dollar losses; (viii) sampled Proofs of Claim that had been determined to be ineligible, including those with no recognized loss when calculated in accordance with the Plan of Allocation, in order to verify that all transactions had been captured correctly; and (ix) tested the accuracy of the calculation program.

28. In support of the work described above, Epiq's computer staff designed and implemented, and our Quality Assurance Team tested, the following programs for this administration: (i) data entry screens that store Proof of Claim information (including all transactional data included on each Proof of Claim) and attach message codes and, where necessary, text to denote conditions existing within the Proof of Claim; (ii) programs to load and analyze transactional data submitted electronically for all Electronic Claims (the load program converts the data submitted into the format required by the calculation program, and the analysis program determines if the data is consistent and complete and triggers a response to the electronic filer where appropriate); (iii) a program to compare the claimed transaction prices against the reported market prices to confirm that the claimed

1 transactions were within an acceptable range of the reported market prices; (iv) a
2 calculation program to analyze the transactional data for all Proofs of Claim and
3 calculate the recognized loss based on the Plan of Allocation; (v) programs to
4 generate various reports throughout and at the conclusion of the administration,
5 including lists of all eligible and ineligible Proofs of Claim; and (vi) programs that
6 calculate each Authorized Claimant's award amount by determining the proration
7 factor for the Class and applying it against the recognized loss, as calculated above.

8 **FRAUD PREVENTION**

9 29. Epiq used a variety of controls throughout the administration process
10 to identify potential fraudulent Proofs of Claim, including high value reviews, spot
11 reviews, and other standard audit reports that examine the information provided in
12 the Proofs of Claim in a variety of ways.

13 30. Epiq also reviewed and compared the entire Settlement database
14 against the "watch list" of known potential fraudulent filers that Epiq developed
15 throughout its thirty years of experience as a claims administrator.

16 31. In accordance with the Office of Foreign Assets Control ("OFAC")
17 regulations and guidelines, Epiq will perform searches on certain payments that it
18 will issue to identify any potential payees whose names appear on the federal
19 government's restricted person's list or who reside in countries to which payments
20 are prohibited. Epiq regularly monitors changes to OFAC regulations and
21 guidelines.

22 **DISPOSITION OF PROOFS OF CLAIM**

23 32. Epiq has completed processing the 10,025 Proofs of Claim that were
24 received in connection with the Settlement and has determined that 2,830 are
25 acceptable in whole, and 7,195 should be wholly rejected because they are either
26 ineligible, wholly deficient, or have no recognized loss when calculated in
27 accordance with the Plan of Allocation. The 7,195 wholly rejected Proofs of Claim
28 are recommended for rejection by the Court for the following reasons:

Summary of Rejected Proofs of Claim

Reason for Rejection	Number of Proofs of Claim
Proof of Claim Did Not Fit the Definition of the Class	3,175
Duplicate Proof of Claim	53
Deficient Proof of Claim Never Cured	1,679
Proof of Claim Did Not Result in a Recognized Loss	2,288
TOTAL	<u>7,195</u>

33. A list of the Proofs of Claim submitted and their ultimate disposition is contained in the Administrator's Report (the "Report") attached hereto as Exhibit C. Exhibit C-1, entitled "Timely, Eligible Claims," lists all timely filed, provisionally accepted Proofs of Claim and states their recognized loss amounts. Exhibit C-2, entitled "Late, but Otherwise Eligible Claims," lists the late filed, provisionally accepted Proofs of Claim and states their recognized loss amounts. Exhibit C-3, entitled "Rejected or Ineligible Claims," lists all wholly rejected or ineligible Proofs of Claim and states the reason for their rejection or ineligibility. For privacy reasons, Exhibit C provides only the claimant's claim number and recognized loss amount, or reason for rejection (no names, addresses, phone numbers, Taxpayer I.D., or Social Security Numbers are disclosed).

34. Epiq has determined that 2,830 claims representing a total recognized loss of \$134,678,047.72 (including \$131,694,643.09 from 2,707 Timely Claims, and \$2,983,404.63 from 123 claims submitted after the filing deadline) should be accepted. In addition, Epiq determined 7,195 of the claims processed to date are ineligible, deficient, or have no recognized loss when calculated in accordance with the Plan of Allocation.

35. The sum total of recognized losses for all accepted Proofs of Claim calculated in accordance with the Plan of Allocation is \$134,678,047.72. According to the Plan of Allocation, each Authorized Claimant shall be allocated a *pro rata* share of the Net Settlement Fund based on his, her, or its recognized loss as

1 compared to the aggregate recognized loss of all Authorized Claimants. Upon
2 approval by the Court, Epiq will prepare and mail checks to Authorized Claimants
3 for their *pro rata* share of the Net Settlement Fund.

4 **DISTRIBUTION OF THE NET SETTLEMENT FUND**

5 36. Should the Court concur with Epiq's determinations concerning the
6 provisionally accepted and rejected claims, including Late, But Otherwise Eligible
7 Claims, Epiq recommends the following Distribution Plan and will undertake the
8 following tasks: calculate the distribution amounts from the Net Settlement Fund;
9 prepare checks and check registers, and mail checks by prepaid first class mail; issue
10 replacement checks upon request by payee; and answer inquiries about claim
11 calculation and checks.

12 37. Epiq will conduct an immediate distribution (the "Initial Distribution")
13 of the Net Settlement Fund.

14 38. Epiq understands that all procedures performed with respect to the
15 distribution of the Net Settlement Fund in connection with the validity of the Proofs
16 of Claim submitted are subject to the supervision and direction of Lead Counsel and
17 the Court.

18 39. To carry out such orders as the Court may issue with respect to the
19 allocation and distribution of the Net Settlement Fund to Authorized Claimants, Epiq
20 will first coordinate with Lead Counsel to determine the amount remaining in the
21 Net Settlement Fund after all fees and expenses authorized by this Court have been
22 paid. Next, Epiq will calculate the *pro rata* distribution amount for each Authorized
23 Claimant.

24 40. Once the *pro rata* distribution amounts are determined for each
25 Authorized Claimant, entitlement reports will be run and reviewed to determine who
26 is eligible to receive a distribution from the Net Settlement Fund and in what amount.
27 Next, a check generation report will be run, and compared to the entitlement report.
28

1 If the results are the same, checks will be printed. If the results are different, the
2 items on the report will be compared and the errors corrected. Check files will be
3 reviewed and compared to the entitlement report prior to printing checks. All check
4 files have footer records that indicate the total number of checks and the total dollar
5 amount of the checks. The footer records will be checked against the entitlement
6 report totals and also against the sum of the detail record check amounts in the actual
7 file.

8 41. Epiq will then prepare checks for the cash distribution and registers of
9 such distributions, and send the payments by prepaid first class mail after the list of
10 Authorized Claimants is compared to Epiq's internal list of claimants that have been
11 identified as potentially fraudulent filers. Finally, Epiq will issue replacement
12 payments for cash distributions upon request by payee, and will respond to inquiries
13 about distribution amounts.

14 42. It may be expected that not all of the payments to be distributed to
15 Authorized Claimants will be cashed promptly. In order to encourage Authorized
16 Claimants to promptly cash their distributions and to avoid or reduce future expenses
17 relating to unpaid distributions, all of the distribution checks will bear a notation
18 "CASH PROMPTLY, VOID AND SUBJECT TO RE-DISTRIBUTION IF NOT
19 CASHED WITHIN 90 DAYS AFTER ISSUE DATE."

20 43. Authorized Claimants who do not cash their distribution checks within
21 the time allotted will irrevocably forfeit all recovery from the Settlement. The funds
22 allocated to all such stale-dated checks will be available for re-distribution to other
23 Authorized Claimants.

24 44. If any funds remain in the Net Settlement Fund by reason of uncashed
25 distribution checks or otherwise, will be used in the following fashion: (i) first, to
26 pay any amounts mistakenly omitted from the initial disbursement; (ii) second, to
27 pay any additional settlement administration fees, costs, and expenses, including
28 those of Lead Counsel as may be approved by the Court; and (iii) finally, to make a

second distribution to claimants who cashed their checks from the initial distribution and who would receive at least \$10.00, after payment of the estimated costs, expenses, or fees to be incurred in administering the Net Settlement Fund and in making this second distribution, if such second distribution is economically feasible. These redistributions shall be repeated, if economically feasible, until the balance remaining in the Net Settlement Fund is de minimis and such remaining balance shall be donated to the Legal Aid Society of San Diego, Inc.

FEES AND DISBURSEMENTS

45. Epiq agreed to be the Claims Administrator in exchange for payment of its fees and expenses. Epiq's invoices are separated into two sections: a "Fees" section and a "Project Expenses" section, which lists those items for which Epiq is seeking to recoup its costs, including postage and nominee fees. Attached hereto as Exhibit D, are copies of Epiq's invoices for its work performed on behalf of the Class through December 31, 2019 (with hourly time records)⁴ and the estimate of fees and expenses to complete the Initial Distribution as set forth in the chart below:

Invoice No.	Start Date	Through Date	Fees	Expenses	Sales Tax	Total
23661	11/3/2017	1/31/2018	\$ 109,541.63	\$ 41,543.90	\$ -	\$ 151,085.53
23911	2/1/2018	2/28/2018	\$ 29,856.21	\$ 106,446.88	\$ -	\$ 136,303.09
24922	3/1/2018	9/30/2018	\$ 79,822.81	\$ (22,663.33)	\$ -	\$ 57,159.48
25719	10/1/2018	1/31/2019	\$ 16,665.60	\$ 1,777.03	\$ 447.81	\$ 18,890.44
26212	2/1/2019	5/31/2019	\$ 10,632.71	\$ -	\$ 1.67	\$ 10,634.38
26405	6/1/2019	6/30/2019	\$ 1,743.12	\$ -	\$ -	\$ 1,743.12
26531	7/1/2019	7/31/2019	\$ 2,652.51	\$ 695.00	\$ 0.58	\$ 3,348.09
26631	8/1/2019	8/31/2019	\$ 1,927.11	\$ -	\$ -	\$ 1,927.11
26758	9/1/2019	9/30/2019	\$ 1,859.66	\$ -	\$ -	\$ 1,859.66
26901	10/1/2019	10/31/2019	\$ 2,760.43	\$ 698.13	\$ 26.04	\$ 3,484.60
27009	11/1/2019	11/30/2019	\$ 2,632.03	\$ -	\$ 1.29	\$ 2,633.32
27078	12/1/2019	12/31/2019	\$ 3,138.82	\$ 2.94	\$ 3.33	\$ 3,608.16

⁴ Epiq's hourly time records have been redacted for privacy reasons (names and locations of specific Epiq employees have been redacted).

46. To date, Epiq has received payment of its fees and expenses in the amount of \$151,085.53. Therefore, the total amount owed to Epiq is \$241,591.45. Should additional funds remain in the Net Settlement Fund after the initial distribution to conduct a second distribution as agreed to by Lead Counsel, Epiq will submit an additional invoice for the fees and expenses of administering a second distribution.

47. Epiq was engaged in this matter after a formal and competitive bidding process conducted by Lead Counsel. The proposal that Epiq submitted in this matter provided unit charges for certain aspects of the administration and offered a discount of 27.5% on certain charges. As reported above, Epiq caused over 139,000 Notice Packets to be mailed to potential Settlement Class Members or their nominees and received and processed 10,025 Proofs of Claim. *See* ¶¶4-5.

48. Epiq's fees and expenses related to notice dissemination total approximately \$236,796.31 as set forth in the chart below:

Printing and Mailing 169,823 Notice Packets	\$112,074.95
Postage	\$49,303.41
Charges by banks and brokers	\$63,838.16
Publication of the Summary Notice	\$11,579.79
TOTAL:	\$236,796.31

49. In addition to the fees and expenses described above, Epiq incurred fees and expense related primarily to contact services for a total of approximately \$77,386.26 as set forth in the chart below:

Launch and maintenance of Settlement website and IVR	\$10,100;
Calls to the IVR	\$5,173.36
Live agent calls	\$14,056.20

Additional Settlement Class Member communications ⁵	\$48,056.70
TOTAL:	\$77,386.26

50. As noted in paragraphs 5-22 above, Epiq processed and received 10,025 Proofs of Claim. Following the completion of the claims process, Epiq prepared deficiency letters and processed the responses received as a result of those letters. In this matter, Epiq charged a per claim fee for each claim processed, as well as for formatting and loading electronic claims based on the number of transactions contained those claims. Epiq processed 10,025 claims including 6,088 electronic claims with more than 4 million transactions. Epiq's claim processing fees were charged on a per claim basis⁶ for a total of approximately \$62,233.69.

51. Included in Exhibit D, is Epiq's estimate to complete the initial distribution for \$40,463.07 as set forth in the chart below:

Distribution (print and mail checks)	\$4,200
Post-Distribution Services (check reissues, claimant communications, website)	\$12,877.50
Ancillary Services (tax returns, storage, PO Box)	\$23,676.82
27.5% discount	-\$291.25
TOTAL:	\$40,463.07

52. Epiq believes that we performed the administration thoroughly and accurately, and successfully assisted Settlement Class Members as much as possible to ensure fair treatment of the entire Class. We respectfully request that the Court approve our fees and expenses of \$241,591.45 for payment from the Net Settlement

⁵ In the event, that a claimant call could not be resolved at the Contact Center, these calls were escalated to the project team who would then escalate to Lead Counsel, if necessary. Any inquiries that were received via email were also handled by the project team.

⁶ Epiq's claims processing fees included: Paper claims with up to 5 transactions (\$3.50 per claim); Paper claims with more than 5 transactions (\$4.00 per claims); Electronic claims (2.25 per claim); and a per transaction charge for formatting and loading electronic claims (\$.005 per transaction).

1 Fund. These fees and expenses are in line with similar sized securities class action
2 administrations that we have handled. See, e.g., *In re Virtus Investment Partners Inc.*
3 *Securities Litigation*, Case No. 15-cv-1240 (WHP) (S.D.N.Y.).

4
5 **RECORDS, RETENTION AND DESTRUCTION**

6 53. Unless otherwise ordered by the Court, one year after distribution of
7 the Settlement Fund, Epiq will destroy the paper copies of the Proofs of Claim and
8 all supporting documentation and three years after distribution of the Settlement
9 Fund, it will destroy electronic copies of the same.

10 **CONCLUSION**

11 54. Epiq respectfully requests that the Court enter an Order approving its
12 administrative determinations accepting and rejecting the Proofs of Claim received
13 before May 15, 2020 and approving the proposed Distribution Plan. Epiq further
14 respectfully requests that its fees and expenses in the amount of \$241,591.45 should
15 be approved for payment from the Net Settlement Fund.

16 I declare under penalty of perjury under the laws of the United States of
17 America that the foregoing is true and correct.

18 Executed on the 21st day of May, 2020 in Lake Success, New York.

19
20 

21
22 _____
23 Stephanie Amin-Giwner
24
25
26
27
28

Exhibit A

Arena Securities Litigation
c/o GCG
P.O. Box 10526
Dublin, OH 43017-0526
(877) 981-9683
www.ArenaPharmaceuticalsClassActionSettlement.com
SAP0210343046

Mailing Date: June 15, 2018
Response Due Date: July 5, 2018
Claim No: [REDACTED]

Eligible Securities:
Arena common stock

Class Period:
March 17, 2008 through January 27, 2011, inclusive

NOTICE OF CONDITIONAL REJECTION OF PART OF YOUR CLAIM

Dear Claimant:

The Claim Form you submitted in the Arena Securities Litigation was processed and part of it has been conditionally rejected pursuant to the terms of the Settlement as approved by the Court. The reason(s) for the partial rejection and information about the part of your claim that is conditionally rejected is on the back of this letter.

PART OF YOUR CLAIM HAS BEEN APPROVED BY THE ADMINISTRATOR. PART OF YOUR CLAIM HAS BEEN CONDITIONALLY REJECTED.

To fix or otherwise complete your claim, please follow the directions on the back of this letter. If a noted deficiency relates to documentation submitted, please do not merely re-submit the same documentation as was included with your original submission of your claim. That documentation was determined to be incomplete or inadequate.

NO FURTHER ACTION IS REQUIRED IF YOU AGREE WITH OUR DETERMINATIONS AND/OR DO NOT WISH TO FIX YOUR CLAIM.

If you fail to respond by the Response Due Date above, or if your response fails to cure the ineligibility condition(s) identified, this Claim will be rejected to the extent that those conditions remain uncured.

If you have any questions regarding this letter, please call the Claims Administrator at 877-981-9683 for a detailed description of the reasons your Claim Form has been rejected.

Sincerely,

The Claims Administrator
Arena Securities Litigation

*****THIS IS THE ONLY NOTICE YOU WILL RECEIVE FOR THIS CLAIM*****
IMPORTANT INFORMATION ABOUT YOUR CLAIM AND THE NEXT STEPS YOU MAY TAKE ARE
ON THE BACK OF THIS LETTER. IF YOU HAVE ADDITIONAL QUESTIONS, PLEASE CALL US AT
877-981-9683.

Claim No: [REDACTED]

YOU MUST INCLUDE A COPY OF THIS LETTER WITH ANY RESPONSE AND YOU MUST REFERENCE YOUR CLAIM NUMBER ON ALL CORRESPONDENCE AND DOCUMENTATION.

PROBLEM(S) WITH YOUR CLAIM:

PROBLEM WITH YOUR CLAIM:

YOUR CLAIM IS MISSING INFORMATION AND/OR APPROPRIATE SUPPORTING DOCUMENTATION FOR CERTAIN TRANSACTIONS. The specific transactions at issue are listed in the chart below. The part of the chart that is blank indicates where information is missing.

Transaction	Security	Trade date	Number of Shares	Price Per Share	Net Amount
Unsold Holdings	Common Stock	04/27/2011	1,000.00	XXX	XXX

HOW TO FIX YOUR CLAIM:

If there is a blank space in information about the transaction(s) listed above, you must (1) return this letter, (2) fill in the blank spaces above with the missing information (if there is an "XXX" but no blank space, then that information is not needed), **and** (3) attach appropriate supporting documentation, such as periodic brokerage statements or broker's trade confirmations (handwritten or self-generated information is not acceptable) showing the transaction(s) listed above. If no information for the transaction(s) listed above is missing, then you need only return this letter **along with** appropriate supporting documentation.

PROBLEM WITH YOUR CLAIM:

YOUR CLAIM CONTAINS TRANSACTIONS THAT ARE GIFTS, OR TRANSFERS INTO, OR OUT OF, YOUR ACCOUNT. The specific transactions at issue are listed in the chart that follows:

Transaction	Security	Transfer date	Number of Shares	Date of Purchase/Sale	Price Per Share	Net Amount
Transfer In	Common Stock	05/26/2010	6,576.00			

HOW TO FIX YOUR CLAIM:

In order to include these transactions in your claim so they may be properly calculated according to the Court-approved Plan of Allocation, you must return this letter with the additional information supplied above. You must also include appropriate supporting documentation, such as periodic brokerage statements or broker's trade confirmations (handwritten or self-generated information is not acceptable) showing the new transaction(s). Gifts or a transfer into the account (also called a "free receipt") are represented in the Transaction field above as a "transfer in". To complete this transaction, you must provide the original purchase information including date, price per share, and net amount. Transfers out of the account (also called a "free delivery") are represented in the Transaction field above as a "transfer out". To complete this transaction, you must provide the final disposition of those shares including date of sale, price per share, and net amount; if the shares were held until the end of the class period simply write "HELD" in the Date of Purchase/Sale field, and provide appropriate documentation.

If you do not intend to supply additional information/documentation, and you still disagree with the determination, you may request Court review of the determination. To request Court review, you must send a letter to the claims administrator within twenty (20) days of the date of this letter and it must: (1) specifically state that you "request that the Court review the rejection of your claim," (2) state your argument(s) for why your claim should be accepted, (3) attach any supporting documents you may have to support your argument, and (4) be signed. Please also include a copy of this letter when requesting Court review of the rejection of your claim. If your dispute with the determination to reject your claim cannot otherwise be resolved, your claim and its administrative rejection will be presented to the Court for review. PLEASE NOTE: COURT REVIEW SHOULD ONLY BE SOUGHT IF YOU DISAGREE WITH THE DETERMINATION TO REJECT YOUR CLAIM.

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Arena Securities Litigation
c/o GCG
P.O. Box 10526
Dublin, OH 43017-0526
(877) 981-9683
www.ArenaPharmaceuticalsClassActionSettlement.com
SAP0210525212

Mailing Date: August 31, 2018
Response Due Date: September 20, 2018
Claim No [REDACTED]

Eligible Securities:
Arena common stock

Class Period:
March 17, 2008 through January 27, 2011, inclusive

NOTICE OF CONDITIONAL REJECTION OF YOUR ENTIRE CLAIM

Dear Claimant:

The Claim Form you submitted in the Arena Securities Litigation was processed and conditionally rejected in its entirety pursuant to the terms of the Settlement as approved by the Court. The reason(s) for the rejection of your entire claim is on the back of this letter.

NO FURTHER ACTION IS REQUIRED IF YOU AGREE WITH OUR DETERMINATIONS AND/OR DO NOT WISH TO FIX YOUR CLAIM.

To fix or otherwise complete your claim, please follow the directions on the back of this letter. If a noted deficiency relates to documentation submitted, please do not merely re-submit the same documentation as was included with the original submission of your claim. That documentation was determined to be incomplete or inadequate. If your response cures some but not all of the identified conditions of ineligibility, your claim will be considered to the extent cured. Please note: Regardless of how many deficiencies are cured, in order for the claim to be eligible to any extent, IT MUST CALCULATE TO A RECOGNIZED CLAIM UNDER THE PLAN OF ALLOCATION.

If you fail to respond by the Response Due Date above, or if your response fails to sufficiently cure the ineligible condition(s) identified, this claim will be rejected in its entirety.

If you have any questions regarding this letter, please call the Claims Administrator at 877-981-9683 for a detailed description of the reasons your Claim Form has been rejected.

Sincerely,

The Claims Administrator
Arena Securities Litigation

*****THIS IS THE ONLY NOTICE YOU WILL RECEIVE FOR THIS CLAIM*****
IMPORTANT INFORMATION ABOUT YOUR CLAIM AND THE NEXT STEPS YOU MAY TAKE ARE
ON THE BACK OF THIS LETTER. IF YOU HAVE ADDITIONAL QUESTIONS, PLEASE CALL US AT
877-981-9683.

YOU MUST INCLUDE A COPY OF THIS LETTER WITH ANY RESPONSE AND YOU MUST REFERENCE YOUR CLAIM NUMBER ON ALL CORRESPONDENCE AND DOCUMENTATION.

PROBLEM(S) WITH YOUR CLAIM:

PROBLEM WITH YOUR CLAIM:

Your claim does not "balance" because you did not provide information about all of your transactions. For your claim to be in balance, using the chart below, the number of shares held prior to the Settlement Class Period ("A") plus the shares purchased/acquired during the Settlement Class Period ("B") SHOULD EQUAL the number of shares sold during the Settlement Class Period ("C") plus the number of shares held as of the close of trading on April 27, 2011 ("D"). **Because there are transactions missing, your claim does not calculate to a recognized loss under the Plan of Allocation.**

Security	Total Transactions	Transaction	Number of Shares
Common Stock	A	Prior Holding	0.00
Common Stock	B	Purchases	5,397.00
Common Stock	C	Sales	7,615.00
Common Stock	D	End Holding	10,000.00

HOW TO FIX YOUR CLAIM:

A summary of your claim transaction history is provided in the table above. Review your transactional history before, during, and after the class period and compare it to the information you previously provided. Return this letter with the additional transactional information as well as appropriate supporting documentation, such as periodic brokerage statements or broker's trade confirmations (handwritten or self-generated information is not acceptable) showing the new transaction(s).

PROBLEM WITH YOUR CLAIM:

THE DOCUMENTATION YOU SUBMITTED TO SUPPORT YOUR CLAIM IS NOT ADEQUATE.

HOW TO FIX YOUR CLAIM:

To complete your claim, you must return this letter along with **appropriate** supporting documentation. Appropriate supporting documentation includes periodic brokerage statements or broker's trade confirmations (handwritten or self-generated information is not acceptable) showing all transaction(s) listed on your Claim Form.

Claim No: [REDACTED]

If you do not intend to supply additional information/documentation, and you still disagree with the determination, you may request Court review of the determination. To request Court review, you must send a letter to the claims administrator within twenty (20) days of the date of this letter and it must: (1) specifically state that you "request that the Court review the rejection of your claim," (2) state your argument(s) for why your claim should be accepted, (3) attach any supporting documents you may have to support your argument, and (4) be signed. Please also include a copy of this letter when requesting Court review of the rejection of your claim. If your dispute with the determination to reject your claim cannot otherwise be resolved, your claim and its administrative rejection will be presented to the Court for review. PLEASE NOTE: COURT REVIEW SHOULD ONLY BE SOUGHT IF YOU DISAGREE WITH THE DETERMINATION TO REJECT YOUR CLAIM.

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Exhibit B

Claim 73

Must be
Postmarked
No Later Than
April 13, 2018

Arena Securities Litigation
c/o GCG
P.O. Box 10526
Dublin, OH 43017-0526
Toll-Free: (877) 981-9683

SAP



Settlement Website: www.ArenaPharmaceuticalsClassActionSettlement.com

Schueneman v. Arena Pharmaceuticals, Inc.
PROOF OF CLAIM AND RELEASE

IF YOU PURCHASED COMMON STOCK OF ARENA PHARMACEUTICALS, INC. ("ARENA") FROM MARCH 17, 2008 THROUGH JANUARY 27, 2011, INCLUSIVE, AND SUFFERED LOSSES AS A RESULT OF SUCH PURCHASE, YOU ARE A "SETTLEMENT CLASS MEMBER" AND YOU MAY BE ENTITLED TO SHARE IN THE SETTLEMENT PROCEEDS.

IF YOU ARE A SETTLEMENT CLASS MEMBER, YOU MUST COMPLETE AND SUBMIT THIS FORM IN ORDER TO BE ELIGIBLE FOR ANY SETTLEMENT BENEFITS.

TO BE ELIGIBLE TO RECEIVE A DISTRIBUTION IN THE SETTLEMENT, YOU MUST COMPLETE AND SIGN THIS PROOF OF CLAIM AND RELEASE ("PROOF OF CLAIM") AND MAIL IT BY FIRST CLASS MAIL, POSTAGE PREPAID, **POSTMARKED NO LATER THAN APRIL 13, 2018**, TO THE CLAIMS ADMINISTRATOR, AT THE ADDRESS SET FORTH ABOVE.

YOUR FAILURE TO TIMELY SUBMIT A COMPLETED PROOF OF CLAIM WILL SUBJECT YOUR CLAIM TO REJECTION AND PRECLUDE YOUR RECEIVING ANY MONEY IN CONNECTION WITH THE SETTLEMENT OF THIS ACTION. DO NOT MAIL OR DELIVER YOUR CLAIM TO THE COURT OR TO ANY OF THE PARTIES OR THEIR COUNSEL AS ANY SUCH CLAIM WILL BE DEEMED NOT TO HAVE BEEN SUBMITTED. SUBMIT YOUR CLAIM ONLY TO THE CLAIMS ADMINISTRATOR.

IF YOU ARE NOT A SETTLEMENT CLASS MEMBER, OR IF YOU FILED A REQUEST FOR EXCLUSION FROM THE SETTLEMENT CLASS, DO NOT SUBMIT A PROOF OF CLAIM. YOU MAY NOT, DIRECTLY OR INDIRECTLY, PARTICIPATE IN THE SETTLEMENT IF YOU ARE NOT A SETTLEMENT CLASS MEMBER OR IF YOU SUBMIT A VALID AND TIMELY REQUEST FOR EXCLUSION.

Submission of this Form does not guarantee that you will share in the proceeds of the Settlement. Distribution of the Net Settlement Fund will be governed by the Plan of Allocation set forth in the Notice, if it is approved by the Court, or by such other plan of allocation as the Court approves.

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PART III - SUBSTITUTE FORM W-9	6
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Important - This form should be completed IN CAPITAL LETTERS using BLACK or DARK BLUE ballpoint/fountain pen. Characters and marks used should be similar in the style to the following:

A B C D E F G H I J K L M N O P Q R S T U V W X Y Z 1 2 3 4 5 6 7 0



CLAIMANT'S STATEMENT

1. I (we) purchased or otherwise purchased shares of Arena common stock between March 17, 2008 and January 27, 2011, inclusive, and claim to have suffered losses as a result of such purchase.
2. By submitting this Proof of Claim, I (we) state that I (we) believe in good faith that I am (we are) a Settlement Class Member as defined above and in the Notice of Pendency and Proposed Settlement of Class Action (the "Notice"), or am (are) acting for such person(s); that I am (we are) not a Defendant in the Action or anyone excluded from the Settlement Class; that I (we) have read and understand the Notice; that I (we) believe that I am (we are) entitled to receive a share of the Net Settlement Fund, as defined in the Notice; that I (we) elect to participate in the proposed Settlement described in the Notice; and that I (we) have not filed a request for exclusion. (Note: If you are acting in a representative capacity on behalf of a Settlement Class Member [e.g., as an executor, administrator, trustee, or other representative], you must submit evidence of your current authority to act on behalf of that Class Member. Such evidence would include, for example, letters testamentary, letters of administration, or a copy of the trust documents.)
3. I (we) consent to the jurisdiction of the Court with respect to all questions concerning the validity of this Proof of Claim. I (we) understand and agree that my (our) claim may be subject to investigation and discovery under the Federal Rules of Civil Procedure, provided that such investigation and discovery shall be limited to my (our) status as a Settlement Class Member(s) and the validity and amount of my (our) claim. No discovery shall be allowed on the merits of the Action or Settlement in connection with processing of the Proof of Claim.
4. I (we) have set forth where requested below all relevant information with respect to each purchase of Arena common stock during the Class Period, and each sale, if any, of such securities. I (we) agree to furnish additional information to the Claims Administrator to support this claim if requested to do so.
5. I (we) have enclosed photocopies of the stockbroker's confirmation slips, stockbroker's statements, or other documents evidencing each purchase, sale or retention of Arena common stock listed below in support of my (our) claim. (Note: IF ANY SUCH DOCUMENTS ARE NOT IN YOUR POSSESSION, PLEASE OBTAIN A COPY OR EQUIVALENT DOCUMENTS FROM YOUR BROKER BECAUSE THESE DOCUMENTS ARE NECESSARY TO PROVE AND PROCESS YOUR CLAIM.)
6. I (we) understand that the information contained in this Proof of Claim is subject to such verification as the Claims Administrator may request or as the Court may direct, and I (we) agree to cooperate in any such verification. (Note: The information requested herein is designed to provide the minimum amount of information necessary to process most simple claims. The Claims Administrator may request additional information as required to efficiently and reliably calculate your recognized claim. In some cases, the Claims Administrator may condition acceptance of the claim based upon the production of additional information.
7. Upon the occurrence of the Court's approval of the Settlement, as detailed in the Notice, I (we) agree and acknowledge that my (our) signature(s) hereto shall effect and constitute a full and complete release, remise and discharge by me (us) and my (our) heirs, joint tenants, tenants in common, beneficiaries, executors, administrators, predecessors, successors, attorneys, insurers and assigns (or, if I am (we are) submitting this Proof of Claim on behalf of a corporation, a partnership, estate or one or more other persons, by it, him, her or them, and by its, his, her or their heirs, executors, administrators, predecessors, successors, and assigns) of each of the "Released Parties" of all "Release of Claims," as defined in the Notice.
8. NOTICE REGARDING ELECTRONIC FILES: Certain claimants with large numbers of transactions may request, or may be requested, to submit information regarding their transactions in electronic files. All Claimants MUST submit a manually signed paper Proof of Claim form listing all their transactions whether or not they also submit electronic copies. If you wish to file your claim electronically, you must contact the Claims Administrator at eClaim@choossegcg.com or visit their website at www.ArenaPharmaceuticalsClassActionSettlement.com to obtain the required file layout. No electronic files will be considered to have been properly submitted unless the Claims Administrator issues to the Claimant a written acknowledgment of receipt and acceptance of electronically submitted data.

Abstract

To view Garden City Group, LLC's Privacy Notice, please visit <http://www.choosegcg.com/privacy>



PART I - CLAIMANT INFORMATION CONTINUED

Section C. Retirement accounts. *Please indicate the type of retirement account.*

- ☐ IRA
☐ Roth IRA
☐ Keogh
(Defined Benefit Plan or Defined Contribution Plan)
☐ Other (please describe) _____

Custodian:

Beneficiary:

Date of Plan:

Section D. Private or public entity. *Please indicate the entity type and check one box below.*

- ☐ Corporation
☐ Partnership
☐ Limited Liability Company
☐ Non-Profit Organization
☐ Foundation

Section E. Custodianships.

- ☐ UCTA
☐ UGMA
☐ UTMA

Custodian:

State:

Minor:

Age:

Section F. Under Trust agreements. *Please indicate the name of the trustee(s), the name of the Trust and the date of the Trust agreement in the space provide below)*

Name of trustee(s):

Name of Trust:

Date of Trust Agreement:

Section G. Estate. *Please indicate the fiduciary capacity and the name of the person or entity authorized to hold such capacity as follows. (e.g. Include the name of the deceased and the executor)*

- ☐ Executor (EX)
☐ Personal Representative (Per Rep)
☐ Administrator (Adm)
☐ Conservator (Cons)
☐ Other. Please explain _____

Fiduciary Name(s):

Estate of:

Date of Agreement:

Claim 73

5



PART II - SCHEDULE OF TRANSACTIONS IN ARENA COMMON STOCK

A. BEGINNING HOLDINGS: State the total number of shares of Arena common stock owned at the opening of trading on March 17, 2008, long or short (*must be documented*). If none, write "zero" or "0".

Shares					

B. PURCHASES/ACQUISITIONS: Separately list each and every purchase of Arena common stock during the period from March 17, 2008 and April 27, 2011, inclusive, and provide the following information (*must be documented*):

Trade Date (List Chronologically) (Month/Day/Year)	Number of Shares Purchased/Acquired	Purchase/Acquisition Price Per Share	Total Cost (excluding taxes, commissions and fees)
09 / 17 / 09	3000	6.50	19500.00

C. SALES: For shares purchased separately list each and every sale of Arena common stock during the period March 17, 2008 and April 27, 2011, inclusive, and provide the following information (*must be documented*):

Trade Date (List Chronologically) (Month/Day/Year)	Number of Shares Sold	Sale Price Per Share	Amount Received (excluding taxes, commissions and fees)
09 / 25 / 09	3000	4.57	13710.30

D. ENDING HOLDINGS: State the total number of shares of Arena common stock owned at the close of trading on April 27, 2011, long or short (*must be documented*).

Shares					

IF YOU NEED ADDITIONAL SPACE TO LIST YOUR TRANSACTIONS YOU MUST
PHOTOCOPY THIS PAGE, PRINT YOUR NAME AND SOCIAL SECURITY OR TAXPAYER
IDENTIFICATION NUMBER AT THE TOP OF EACH SHEET AND CHECK THIS BOX:

☐

IF YOU DO NOT CHECK THIS BOX THESE ADDITIONAL PAGES WILL NOT BE REVIEWED.

Please note: Information requested with respect to your purchases/acquisitions of Arena common stock from after the close of trading on January 27, 2011 through and including the close of trading on April 27, 2011 is needed in order to balance your claim; purchases/acquisitions during this period, however, are not eligible under the Settlement and will not be used for purposes of calculating your Recognized Loss pursuant to the Plan of Allocation.

6



PART III - SUBSTITUTE FORM W-9

Request for Taxpayer Identification Number (Required for Receipt of Stock):

Enter taxpayer identification number below for the Beneficial Owner(s). For most individuals, this is your Social Security Number. The Internal Revenue Service ("I.R.S.") requires such taxpayer identification number. If you fail to provide this information, your claim may be rejected.

Social Security Number (for individuals) OR Taxpayer Identification Number (for estates, trusts, corporations, etc.)

[REDACTED]

PART IV - CERTIFICATION AND RELEASE

Definitions

All capitalized terms used but not defined herein shall have the same meanings as in the Notice and the Stipulation and Agreement of Settlement dated November 3, 2017 ("the Stipulation"), which is posted on the Claims Administrator's website at www.ArenaPharmaceuticalsClassActionSettlement.com. In addition, the following terms shall have the following meanings:

1. "Defendants" means Arena Pharmaceuticals, Inc., Jack Lief, Robert E. Hoffman, Dominic P. Behan, William R. Shanahan, Jr., and Christen Anderson.
2. "Released Persons" means each and all of the Defendants and each and all of their Related Persons.
3. "Related Persons" means each of the Released Persons' and their legal affiliates' past or present directors, officers, employees, partners, insurers, co-insurers, reinsurers, principals, controlling shareholders, attorneys, accountants, auditors, investment advisors, personal or legal representatives, predecessors, successors, parents, subsidiaries, divisions, joint ventures, assigns, spouses, heirs, estates, related or affiliated entities, any entity in which a Released Person has a controlling interest, any members of an Individual Defendant's immediate family, any trust of which an Individual Defendant is the settlor or which is for the benefit of an Individual Defendant and/or any member of an Individual Defendant's immediate family, and any entity in which a Defendant and/or any member of an Individual Defendant's immediate family has or have a controlling interest (directly or indirectly).
4. "Released Claims" means any and all claims, demands, rights, causes of action or liabilities of every nature and description whatsoever (including, but not limited to, any claims for damages, interest, attorneys' fees, expert or consulting fees, and any other costs, expenses or liabilities whatsoever), whether based on federal, state, local, foreign, statutory or common law or any other law, rule, ordinance, administrative provision or regulation, including Unknown Claims as defined in ¶1.30 of the Stipulation, whether class or individual in nature, whether fixed or contingent, accrued or unaccrued, liquidated or unliquidated, at law or in equity, matured or unmatured, concealed or hidden, suspected or unsuspected, which now exist or heretofore have existed, that were asserted or could have been asserted by Lead Plaintiff or any Settlement Class Member against the Released Persons based on, arising from or relating to both: (i) the purchase, acquisition, holding, disposition, or sale of Arena common stock during the Class Period; and (ii) the allegations, transactions, facts, matters, events, disclosures, registration statements, public filings, acts, occurrences, representations, statements, omissions or failures to act that occurred during the Class Period and that were or could have been alleged by Lead Plaintiff in the Action against the Released Persons based upon the facts alleged in the Complaint. Released Claims does not include claims to enforce the Settlement.
5. "Unknown Claims" means collectively any Released Claims which Lead Plaintiff or any Settlement Class Member do not know or suspect to exist in his, her or its favor at the time of the release of the Released Persons which, if known by him, her or it, might have affected his, her or its settlement with and release of the Released Persons, or might have affected his, her or its decision not to object to this Settlement or seek exclusion from the Settlement Class. With respect to any and all Released Claims, the Settling Parties stipulate and agree that, upon the Effective Date, Lead Plaintiff shall expressly waive and each of the Class Members shall be deemed to have, and by operation of the Judgment shall have, expressly waived the provisions, rights, and benefits of California Civil Code §1542, which provides:



PART IV- CERTIFICATION AND RELEASE CONTINUED

A general release does not extend to claims which the creditor does not know or suspect to exist in his or her favor at the time of executing the release, which if known by him or her must have materially affected his or her settlement with the debtor.

Lead Plaintiff shall expressly waive and each of the Settlement Class Members shall be deemed to have, and by operation of the Judgment shall have, expressly waived any and all provisions, rights, and benefits conferred by any law of any state or territory of the United States or any foreign country, or any principle of common law, which is similar, comparable or equivalent in substance to California Civil Code §1542. Lead Plaintiff and Settlement Class Members may hereafter discover facts in addition to or different from those which he, she or it now knows or believes to be true with respect to the subject matter of the Released Claims, but upon the Effective Date, each Settlement Class Member, and Lead Plaintiff expressly, shall be deemed to have, and by operation of the Judgment shall have, fully, finally, and forever settled and released any and all Released Claims, known or unknown, suspected or unsuspected, contingent or non-contingent, whether or not concealed or hidden, which now exist, or heretofore have existed, upon any theory of law or equity now existing or coming into existence in the future, including, but not limited to, conduct which is negligent, intentional, with or without malice, or a breach of any duty, law or rule, without regard to the subsequent discovery or existence of such different or additional facts. Lead Plaintiff acknowledges, and the Settlement Class Members shall be deemed by operation of the Judgment to have acknowledged, that the foregoing waiver was separately bargained for and a material element of the Settlement of which this release is a part.

Submission to Jurisdiction of Court and Acknowledgements and Affirmations

I (we) submit this Proof of Claim and Release Form under the terms of the Stipulation of Settlement described in the Notice. I (we) also submit to the jurisdiction of the United States District Court for the Southern District of California with respect to my claim as a Settlement Class Member and for purposes of enforcing the release set forth herein. I (we) further acknowledge that I am (we are) bound and subject to the terms of any judgment that may be entered in the Action. I (we) affirm that I (we) purchased Arena common stock between March 17, 2008 and January 27, 2011, inclusive, and claim to have suffered losses as a result of such purchase. By submitting this Proof of Claim and Release Form, I (we) state that I (we) believe in good faith that I am a (we are) Settlement Class Member(s) as defined in the Notice or am (are) acting for such person; that I am (we are) not a Defendant in the Action or anyone excluded from the Settlement Class; that I (we) have read and understand the Notice; that I (we) believe that I am (we are) entitled to receive a share of the Net Settlement Fund; that I (we) elect to participate in the proposed Settlement described in the Notice; that I (we) have not filed a request for exclusion; and that I (we) have not submitted any other claim covering the same purchases of Arena common stock between March 17, 2008 and January 27, 2011, inclusive, and know of no other person having done so on my (our) behalf. I (We) have set forth where requested herein all relevant information with respect to each purchase or acquisition of Arena common stock between March 17, 2008 and January 27, 2011, inclusive. I (we) agree to furnish additional information to the Claims Administrator to support this claim if requested to do so. I (we) understand that no discovery shall be allowed on the merits of the Action or Settlement in connection with processing of the Proof of Claim and in particular that no discovery shall be permitted against any Defendants in connection with any Proof of Claim.



PART IV- CERTIFICATION AND RELEASE CONTINUED

Release

I (We) hereby acknowledge, on behalf of myself (ourselves) and my (our) heirs, agents, executors, administrators, predecessors, successors, and assigns (or, if submitting this Proof of Claim and Release Form on behalf of a corporation, a partnership, estate or one or more other persons, on behalf of it, him, her or them and on behalf of its, his, her or their heirs, agents, executors, administrators, predecessors, successors, and assigns), full and complete satisfaction of, and do hereby fully, finally and forever settle, release and discharge from the Released Claims each and all of the Released Parties, and I (we) shall forever be enjoined from prosecuting any or all Released Claims against any Released Parties.

This release shall be of no force or effect unless and until the Court approves the Stipulation and the Stipulation becomes effective on the Effective Date (as defined in the Stipulation).

I (We) hereby warrant and represent that I (we) have not assigned or transferred or purported to assign, transfer, voluntarily or involuntarily, any matter released pursuant to this release or any other part or portion thereof.

I (We) hereby warrant and represent that I (we) have included information about all of my (our) transactions in Arena common stock that occurred during the Class Period, as well as the number of shares of Arena held by me (us) at the beginning of trading on March 17, 2008 and at the close of trading on January 27, 2011.

I (We) certify that I am (we are) not subject to backup withholding under the provisions of Section 3406(a)(1)(C) of the Internal Revenue Code.

Note: If you have been notified by the Internal Revenue Service that you are subject to backup withholding, please strike out the language that you are not subject to backup withholding in the certification above.

I (We) declare under penalty of perjury under the laws of the United States of America that the foregoing information supplied by the undersigned is true and correct.

N. Ahadhat
Signature

2/16/18
Date

NAS AHADHAT
Print Name

[REDACTED]
Email Address

[REDACTED]
Day Telephone

[REDACTED]
Evening Telephone

On Behalf of:

(Print name of corporation, partnership, estate, or other entity if you are submitting this form on behalf of one of them.)

Signature

Date

Print Name

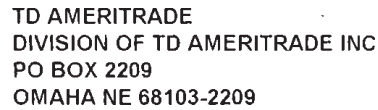
Email Address

Day Telephone

Evening Telephone

On Behalf of:

(Print name of corporation, partnership, estate, or other entity if you are submitting this form on behalf of one of them.)



NAS AHADIAT

7

884



TD AMERITRADE
DIVISION OF TD AMERITRADE INC
PO BOX 2209
OMAHA NE 68103-2209

1

NAS AHADIAT

00003837

26

8207



Confirmation Notice

ACCOUNT NO.	OTHER INFO	TRANSACTION NUMBER	CAP. CODES	YOUR REPRESENTATIVE	
		05294034910	5	FF	
ACTIVITY	QUANTITY	CUSIP NUMBER	PRICE	PRINCIPAL AMOUNT	FEES
YOU BOUGHT	3,000	040047102	6.50	19,500.00	
AS OF TRADE DATE	TRADE DATE	SETTLEMENT DATE	INTEREST	COMMISSION	NET AMOUNT
	09/17/2009	09/22/2009		9.99	19,509.99
TRADE DESCRIPTION			SYMBOL		
ARENA PHARMACEUTICALS INC COM			ARNA		
THIS IS AN UNSOLICITED TRADE					

ACCOUNT NO.	OTHER INFO	TRANSACTION NUMBER	CAP. CODES	YOUR REPRESENTATIVE		
			5	FF		
ACTIVITY	QUANTITY	CUSIP NUMBER	PRICE	PRINCIPAL AMOUNT	FEES	SALES FEE
AS OF TRADE DATE	TRADE DATE	SETTLEMENT DATE	INTEREST	COMMISSION	NET AMOUNT	
TRADE DESCRIPTION			SYMBOL			
			C			
THIS IS AN UNSOLICITED TRADE						

Claim 73

Arena Securities Litigation

c/o GCG

P.O. Box 10526

Dublin, OH 43017-0526

(877) 981-9683

www.ArenaPharmaceuticalsClassActionSettlement.com

SAP0210293770



NAS AHADIAT



Mailing Date: June 15, 2018

Response Due Date: July 5, 2018

Claim No: 73

Eligible Securities:

Arena common stock

Class Period:

March 17, 2008 through January 27, 2011, inclusive

NOTICE OF CONDITIONAL REJECTION OF YOUR ENTIRE CLAIM

Dear Claimant:

The Claim Form you submitted in the Arena Securities Litigation was processed and conditionally rejected in its entirety pursuant to the terms of the Settlement as approved by the Court. The reason(s) for the rejection of your entire claim is on the back of this letter.

NO FURTHER ACTION IS REQUIRED IF YOU AGREE WITH OUR DETERMINATIONS AND/OR DO NOT WISH TO FIX YOUR CLAIM.

To fix or otherwise complete your claim, please follow the directions on the back of this letter. If a noted deficiency relates to documentation submitted, please do not merely re-submit the same documentation as was included with the original submission of your claim. That documentation was determined to be incomplete or inadequate. If your response cures some but not all of the identified conditions of ineligibility, your claim will be considered to the extent cured. Please note: Regardless of how many deficiencies are cured, in order for the claim to be eligible to any extent, IT MUST CALCULATE TO A RECOGNIZED CLAIM UNDER THE PLAN OF ALLOCATION.

If you fail to respond by the Response Due Date above, or if your response fails to sufficiently cure the ineligible condition(s) identified, this claim will be rejected in its entirety.

If you have any questions regarding this letter, please call the Claims Administrator at 877-981-9683 for a detailed description of the reasons your Claim Form has been rejected.

Sincerely,

The Claims Administrator
Arena Securities Litigation

*****THIS IS THE ONLY NOTICE YOU WILL RECEIVE FOR THIS CLAIM*****
IMPORTANT INFORMATION ABOUT YOUR CLAIM AND THE NEXT STEPS YOU MAY TAKE ARE
ON THE BACK OF THIS LETTER. IF YOU HAVE ADDITIONAL QUESTIONS, PLEASE CALL US AT
877-981-9683.



Claim No: 73

YOU MUST INCLUDE A COPY OF THIS LETTER WITH ANY RESPONSE AND YOU MUST REFERENCE YOUR CLAIM NUMBER ON ALL CORRESPONDENCE AND DOCUMENTATION.

PROBLEM(S) WITH YOUR CLAIM:

PROBLEM WITH YOUR CLAIM:

THE CLAIM DOES NOT RESULT IN A "RECOGNIZED CLAIM" AS DEFINED BY THE COURT-APPROVED PLAN OF ALLOCATION. The Plan of Allocation is contained in the Notice that was mailed to you along with your Claim Form. Your "Recognized Claim" is not intended to equal your actual "market loss" (the amount of money you actually lost) and it is possible that you lost money but still have no "Recognized Claim."

HOW TO FIX YOUR CLAIM:

Unless you had purchases or otherwise eligible acquisitions during the Settlement Class Period (i.e., March 17, 2008 through January 27, 2011, inclusive) that are not included in the Claim Form you submitted, this is NOT a curable deficiency.

If you had eligible purchases/acquisitions of Arena common stock that were not reflected in your original submission, you must submit all of the information and appropriate supporting documentation for those purchases/acquisitions as called for in the Claim Form. Appropriate supporting documentation includes periodic brokerage statements or broker's trade confirmations (handwritten or self-generated information is not acceptable) showing all transactions and holdings positions listed on your Claim Form.

If you do not intend to supply additional information/documentation, and you still disagree with the determination, you may request Court review of the determination. To request Court review, you must send a letter to the claims administrator within twenty (20) days of the date of this letter and it must: (1) specifically state that you "request that the Court review the rejection of your claim," (2) state your argument(s) for why your claim should be accepted, (3) attach any supporting documents you may have to support your argument, and (4) be signed. Please also include a copy of this letter when requesting Court review of the rejection of your claim. If your dispute with the determination to reject your claim cannot otherwise be resolved, your claim and its administrative rejection will be presented to the Court for review. PLEASE NOTE: COURT REVIEW SHOULD ONLY BE SOUGHT IF YOU DISAGREE WITH THE DETERMINATION TO REJECT YOUR CLAIM.

Claim 73

June 27, 2018

Claims Administrator

Arena Securities Litigation

PO Box 10526

Dublin, OH 43017-0526

Claim No. 73

Dear Claims Administrator:

I am writing this letter in reference to the above claim number which was rejected based on your letter of June 15, 2018. It is not clear to me why the claim was rejected as it included the brokerage Confirmation Notice required. Therefore, I specifically would like to request that the court review the rejection of my claim. The reason for this request is that, as required, I have supplied the court with the attached documentation clearly showing the purchase confirmation for 3000 shares of ARENA. I have also attached the brokerage Confirmation Notice for the sale of the shares to prove my significant loss from this transaction. I hope that this documentation meets with your expectations.

Should you need additional information, please do not hesitate to contact me at [REDACTED]

Thank you for your consideration.

Sincerely,

N. Ahadiat

Nas Ahadiat

[REDACTED]

Claim 73



PO BOX 733 • BENSALEM PA 19020-0733
Please do not send deposits or correspondence to this address

TD AMERITRADE
DIVISION OF TD AMERITRADE INC
PO BOX 2209
OMAHA NE 68103-2209



1

NAS AHADIAT

00000884

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884

Confirmation Notice



ACCOUNT NO.	OTHER INFO	TRANSACTION NUMBER	CAP CODES	YOUR REPRESENTATIVE		
			5	FF		
ACTIVITY	QUANTITY	CUSIP NUMBER	PRICE	PRINCIPAL AMOUNT	FEES	SALES FEE
YOU BOUGHT						
AS OF TRADE DATE	TRADE DATE	SETTLEMENT DATE	INTEREST	COMMISSION	NET AMOUNT	
TRADE DESCRIPTION			SYMBOL			
			ALTH			
THIS IS AN UNSOLICITED TRADE						

ACCOUNT NO.	OTHER INFO	TRANSACTION NUMBER	CAP CODES	YOUR REPRESENTATIVE		
		05314712808	5	FF		
ACTIVITY	QUANTITY	CUSIP NUMBER	PRICE	PRINCIPAL AMOUNT	FEES	SALES FEE
YOU SOLD	3,000	040047102	4.57010	13,710.30		0.36
AS OF TRADE DATE	TRADE DATE	SETTLEMENT DATE	INTEREST	COMMISSION	NET AMOUNT	
	09/25/2009	09/30/2009		9.99	13,699.95	
TRADE DESCRIPTION			SYMBOL			
ARENA PHARMACEUTICALS INC COM			ARNA			
THIS IS AN UNSOLICITED TRADE						

Claim 73



PO BOX 733 • BENSALEM PA 19020-0733
Please do not send deposits or correspondence to this address

TD AMERITRADE
DIVISION OF TD AMERITRADE INC
PO BOX 2209
OMAHA NE 68103-2209

1

NAS AHADIAT

00003637 26 8207

Confirmation Notice



ACCOUNT NO.	OTHER INFO	TRANSACTION NUMBER	CAP CODES	YOUR REPRESENTATIVE		
		05294034910	5	FF		
ACTIVITY	QUANTITY	CUSIP NUMBER	PRICE	PRINCIPAL AMOUNT	FEES	SALES FEE
YOU BOUGHT	3,000	040047102	6.50	19,500.00		
AS OF TRADE DATE	TRADE DATE	SETTLEMENT DATE	INTEREST	COMMISSION	NET AMOUNT	
	09/17/2009	09/22/2009		9.99	19,509.99	
TRADE DESCRIPTION			SYMBOL			
ARENA PHARMACEUTICALS INC COM			ARNA			
THIS IS AN UNSOLICITED TRADE						

ACCOUNT NO.	OTHER INFO	TRANSACTION NUMBER	CAP CODES	YOUR REPRESENTATIVE		
			5	FF		
ACTIVITY	QUANTITY	CUSIP NUMBER	PRICE	PRINCIPAL AMOUNT	FEES	SALES FEE
AS OF TRADE DATE	TRADE DATE	SETTLEMENT DATE	INTEREST	COMMISSION	NET AMOUNT	
TRADE DESCRIPTION			SYMBOL			
			C			
THIS IS AN UNSOLICITED TRADE						

Claim 1037145

Must be
Postmarked
No Later Than
April 13, 2018

Arena Securities Litigation
c/o GCG
P.O. Box 10526
Dublin, OH 43017-0526
Toll-Free: (877) 981-9683

SAP



Settlement Website: www.ArenaPharmaceuticalsClassActionSettlement.com

SAP0202162387



SEPTIMUS BACOURT

Claim Number: 01037146

Control Number: 6112923997

Schueneman v. Arena Pharmaceuticals, Inc.

PROOF OF CLAIM AND RELEASE

IF YOU PURCHASED COMMON STOCK OF ARENA PHARMACEUTICALS, INC. ("ARENA") FROM MARCH 17, 2008 THROUGH JANUARY 27, 2011, INCLUSIVE, AND SUFFERED LOSSES AS A RESULT OF SUCH PURCHASE, YOU ARE A "SETTLEMENT CLASS MEMBER" AND YOU MAY BE ENTITLED TO SHARE IN THE SETTLEMENT PROCEEDS.

IF YOU ARE A SETTLEMENT CLASS MEMBER, YOU MUST COMPLETE AND SUBMIT THIS FORM IN ORDER TO BE ELIGIBLE FOR ANY SETTLEMENT BENEFITS.

TO BE ELIGIBLE TO RECEIVE A DISTRIBUTION IN THE SETTLEMENT, YOU MUST COMPLETE AND SIGN THIS PROOF OF CLAIM AND RELEASE ("PROOF OF CLAIM") AND MAIL IT BY FIRST CLASS MAIL, POSTAGE PREPAID, **POSTMARKED NO LATER THAN APRIL 13, 2018**, TO THE CLAIMS ADMINISTRATOR, AT THE ADDRESS SET FORTH ABOVE.

YOUR FAILURE TO TIMELY SUBMIT A COMPLETED PROOF OF CLAIM WILL SUBJECT YOUR CLAIM TO REJECTION AND PRECLUDE YOUR RECEIVING ANY MONEY IN CONNECTION WITH THE SETTLEMENT OF THIS ACTION. DO NOT MAIL OR DELIVER YOUR CLAIM TO THE COURT OR TO ANY OF THE PARTIES OR THEIR COUNSEL AS ANY SUCH CLAIM WILL BE DEEMED NOT TO HAVE BEEN SUBMITTED. SUBMIT YOUR CLAIM ONLY TO THE CLAIMS ADMINISTRATOR.

IF YOU ARE NOT A SETTLEMENT CLASS MEMBER, OR IF YOU FILED A REQUEST FOR EXCLUSION FROM THE SETTLEMENT CLASS, DO NOT SUBMIT A PROOF OF CLAIM. YOU MAY NOT, DIRECTLY OR INDIRECTLY, PARTICIPATE IN THE SETTLEMENT IF YOU ARE NOT A SETTLEMENT CLASS MEMBER OR IF YOU SUBMIT A VALID AND TIMELY REQUEST FOR EXCLUSION.

Submission of this Form does not guarantee that you will share in the proceeds of the Settlement. Distribution of the Net Settlement Fund will be governed by the Plan of Allocation set forth in the Notice, if it is approved by the Court, or by such other plan of allocation as the Court approves.

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PART III - SUBSTITUTE FORM W-9	6
PART IV - CERTIFICATION AND RELEASE	6-8

Important - This form should be completed IN CAPITAL LETTERS using BLACK or DARK BLUE ballpoint/fountain pen. Characters and marks used should be similar in the style to the following:

A B C D E F G H I J K L M N O P Q R S T U V W X Y Z 1 2 3 4 5 6 7 0



CLAIMANT'S STATEMENT

1. I (we) purchased or otherwise purchased shares of Arena common stock between March 17, 2008 and January 27, 2011, inclusive, and claim to have suffered losses as a result of such purchase.
2. By submitting this Proof of Claim, I (we) state that I (we) believe in good faith that I am (we are) a Settlement Class Member as defined above and in the Notice of Pendency and Proposed Settlement of Class Action (the "Notice"), or am (are) acting for such person(s); that I am (we are) not a Defendant in the Action or anyone excluded from the Settlement Class; that I (we) have read and understand the Notice; that I (we) believe that I am (we are) entitled to receive a share of the Net Settlement Fund, as defined in the Notice; that I (we) elect to participate in the proposed Settlement described in the Notice; and that I (we) have not filed a request for exclusion. (Note: If you are acting in a representative capacity on behalf of a Settlement Class Member [e.g., as an executor, administrator, trustee, or other representative], you must submit evidence of your current authority to act on behalf of that Class Member. Such evidence would include, for example, letters testamentary, letters of administration, or a copy of the trust documents.)
3. I (we) consent to the jurisdiction of the Court with respect to all questions concerning the validity of this Proof of Claim. I (we) understand and agree that my (our) claim may be subject to investigation and discovery under the Federal Rules of Civil Procedure, provided that such investigation and discovery shall be limited to my (our) status as a Settlement Class Member(s) and the validity and amount of my (our) claim. No discovery shall be allowed on the merits of the Action or Settlement in connection with processing of the Proof of Claim.
4. I (we) have set forth where requested below all relevant information with respect to each purchase of Arena common stock during the Class Period, and each sale, if any, of such securities. I (we) agree to furnish additional information to the Claims Administrator to support this claim if requested to do so.
5. I (we) have enclosed photocopies of the stockbroker's confirmation slips, stockbroker's statements, or other documents evidencing each purchase, sale or retention of Arena common stock listed below in support of my (our) claim. (Note: IF ANY SUCH DOCUMENTS ARE NOT IN YOUR POSSESSION, PLEASE OBTAIN A COPY OR EQUIVALENT DOCUMENTS FROM YOUR BROKER BECAUSE THESE DOCUMENTS ARE NECESSARY TO PROVE AND PROCESS YOUR CLAIM.)
6. I (we) understand that the information contained in this Proof of Claim is subject to such verification as the Claims Administrator may request or as the Court may direct, and I (we) agree to cooperate in any such verification. (Note: The information requested herein is designed to provide the minimum amount of information necessary to process most simple claims. The Claims Administrator may request additional information as required to efficiently and reliably calculate your recognized claim. In some cases, the Claims Administrator may condition acceptance of the claim based upon the production of additional information.
7. Upon the occurrence of the Court's approval of the Settlement, as detailed in the Notice, I (we) agree and acknowledge that my (our) signature(s) hereto shall effect and constitute a full and complete release, remise and discharge by me (us) and my (our) heirs, joint tenants, tenants in common, beneficiaries, executors, administrators, predecessors, successors, attorneys, insurers and assigns (or, if I am (we are) submitting this Proof of Claim on behalf of a corporation, a partnership, estate or one or more other persons, by it, him, her or them, and by its, his, her or their heirs, executors, administrators, predecessors, successors, and assigns) of each of the "Released Parties" of all "Release of Claims," as defined in the Notice.
8. NOTICE REGARDING ELECTRONIC FILES: Certain claimants with large numbers of transactions may request, or may be requested, to submit information regarding their transactions in electronic files. All Claimants MUST submit a manually signed paper Proof of Claim form listing all their transactions whether or not they also submit electronic copies. If you wish to file your claim electronically, you must contact the Claims Administrator at eClaim@choossegcg.com or visit their website at www.ArenaPharmaceuticalsClassActionSettlement.com to obtain the required file layout. No electronic files will be considered to have been properly submitted unless the Claims Administrator issues to the Claimant a written acknowledgment of receipt and acceptance of electronically submitted data.

Claim 1037145

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PART I - CLAIMANT INFORMATION

Claimant Contact Information:

The Claims Administrator will use this information for all communications relevant to this claim (including the check, if eligible for payment). If this information changes, you MUST notify the Claims Administrator in writing at the address above.

Claimant Name(s) (as the name(s) should appear on the check, if eligible for payment; if the shares are or were jointly owned, the names of all beneficial owners must be provided):

Name:

SEPTIMUS BACOURT

Address:

[Redacted Address]

City:

[Redacted City]

State:

[Redacted State]

Zip Code:

[Redacted Zip Code]

Foreign Province:

NONE

Foreign Country:

NONE

Daytime Telephone Number:

[Redacted Daytime Telephone Number]

Evening Telephone Number:

[Redacted Evening Telephone Number]

Social Security Number (for individuals) OR Taxpayer Identification Number (for estates, trusts, corporations, etc.)

[Redacted Social Security Number]

Please complete the appropriate section carefully. Failure to complete it in its entirety will result in the forfeiture of your rights to receive the Securities if any are distributed. You may only complete one.

Section A. Individual / Sole Proprietor. Use of initials only (e.g. J.G. Doe) is not acceptable.

- ☒ Individual.
☐ Sole Proprietor

Name:

SEPTIMUS BACOURT

Section B. Joint Ownership. Please indicate the type of tenancy.

- ☒ Joint Tenants - Jt Ten
(includes right of survivorship - JTROS)
☐ Tenants in Common - Ten Com
☐ Tenants by Entireties - Ten Ent

Name(s):

ALINE L. BACOURT

Claim 1037145

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PART I - CLAIMANT INFORMATION CONTINUED

Section C. Retirement accounts. Please indicate the type of retirement account.

- ☐ IRA
☐ Roth IRA
☐ Keogh
(Defined Benefit Plan or Defined Contribution Plan)
☐ Other (please describe) _____

Custodian:

Beneficiary:

Date of Plan:

/ /

N/A

Section D. Private or public entity. Please indicate the entity type and check one box below.

- ☐ Corporation
☐ Partnership
☐ Limited Liability Company
☐ Non-Profit Organization
☐ Foundation

N/A

Section E. Custodianships.

- ☐ UCTA
☐ UGMA
☐ UTMA

Custodian:

State:

Minor:

Age:

Section F. Under Trust agreements. Please indicate the name of the trustee(s), the name of the Trust and the date of the Trust agreement in the space provide below)

Name of trustee(s):

Name of Trust:

Date of Trust Agreement:

/ /

N/A

Section G. Estate. Please indicate the fiduciary capacity and the name of the person or entity authorized to hold such capacity as follows. (e.g. Include the name of the deceased and the executor)

- ☐ Executor (EX)
☐ Personal Representative (Per Rep)
☐ Administrator (Adm)
☐ Conservator (Cons)
☐ Other. Please explain _____

Fiduciary Name(s):

Estate of:

Date of Agreement:

/ /

N/A

Claim 1037145

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PART II - SCHEDULE OF TRANSACTIONS IN ARENA COMMON STOCK

A. BEGINNING HOLDINGS: State the total number of shares of Arena common stock owned at the opening of trading on March 17, 2008, long or short (*must be documented*). If none, write "zero" or "0".

--	--	--	--	--	--

Shares

B. PURCHASES/ACQUISITIONS: Separately list each and every purchase of Arena common stock during the period from March 17, 2008 and April 27, 2011, inclusive, and provide the following information (*must be documented*):

Trade Date (List Chronologically) (Month/Day/Year)	Number of Shares Purchased/Acquired	Purchase/Acquisition Price Per Share	Total Cost (excluding taxes, commissions and fees)
11/19/10	1,000	1389.39	1389.39
11/23/10	1,000	1361.00	1361.00

C. SALES: For shares purchased separately list each and every sale of Arena common stock during the period March 17, 2008 and April 27, 2011, inclusive, and provide the following information (*must be documented*):

Trade Date (List Chronologically) (Month/Day/Year)	Number of Shares Sold	Sale Price Per Share	Amount Received (excluding taxes, commissions and fees)
11/22/10	1,000	1350.62	1350.62
11/23/10	1,000	1360.00	1360.00

D. ENDING HOLDINGS: State the total number of shares of Arena common stock owned at the close of trading on April 27, 2011, long or short (*must be documented*).

--	--	--	--	--	--

Shares

IF YOU NEED ADDITIONAL SPACE TO LIST YOUR TRANSACTIONS YOU MUST
PHOTOCOPY THIS PAGE, PRINT YOUR NAME AND SOCIAL SECURITY OR TAXPAYER
IDENTIFICATION NUMBER AT THE TOP OF EACH SHEET AND CHECK THIS BOX:

☐

IF YOU DO NOT CHECK THIS BOX THESE ADDITIONAL PAGES WILL NOT BE REVIEWED.

Please note: Information requested with respect to your purchases/acquisitions of Arena common stock from after the close of trading on January 27, 2011 through and including the close of trading on April 27, 2011 is needed in order to balance your claim; purchases/acquisitions during this period, however, are not eligible under the Settlement and will not be used for purposes of calculating your Recognized Loss pursuant to the Plan of Allocation.



PART III - SUBSTITUTE FORM W-9

Request for Taxpayer Identification Number (Required for Receipt of Stock):
Enter taxpayer identification number below for the Beneficial Owner(s). For most individuals, this is your Social Security Number. The Internal Revenue Service ("I.R.S.") requires such taxpayer identification number. If you fail to provide this information, your claim may be rejected.

Social Security Number (for individuals) OR Taxpayer Identification Number (for estates, trusts, corporations, etc.)

PART IV - CERTIFICATION AND RELEASE

Definitions

All capitalized terms used but not defined herein shall have the same meanings as in the Notice and the Stipulation and Agreement of Settlement dated November 3, 2017 ("the Stipulation"), which is posted on the Claims Administrator's website at www.ArenaPharmaceuticalsClassActionSettlement.com. In addition, the following terms shall have the following meanings:

1. "Defendants" means Arena Pharmaceuticals, Inc., Jack Lief, Robert E. Hoffman, Dominic P. Behan, William R. Shanahan, Jr., and Christen Anderson.
2. "Released Persons" means each and all of the Defendants and each and all of their Related Persons.
3. "Related Persons" means each of the Released Persons' and their legal affiliates' past or present directors, officers, employees, partners, insurers, co-insurers, reinsurers, principals, controlling shareholders, attorneys, accountants, auditors, investment advisors, personal or legal representatives, predecessors, successors, parents, subsidiaries, divisions, joint ventures, assigns, spouses, heirs, estates, related or affiliated entities, any entity in which a Released Person has a controlling interest, any members of an Individual Defendant's immediate family, any trust of which an Individual Defendant is the settlor or which is for the benefit of an Individual Defendant and/or any member of an Individual Defendant's immediate family, and any entity in which a Defendant and/or any member of an Individual Defendant's immediate family has or have a controlling interest (directly or indirectly).
4. "Released Claims" means any and all claims, demands, rights, causes of action or liabilities of every nature and description whatsoever (including, but not limited to, any claims for damages, interest, attorneys' fees, expert or consulting fees, and any other costs, expenses or liabilities whatsoever), whether based on federal, state, local, foreign, statutory or common law or any other law, rule, ordinance, administrative provision or regulation, including Unknown Claims as defined in ¶1.30 of the Stipulation, whether class or individual in nature, whether fixed or contingent, accrued or unaccrued, liquidated or unliquidated, at law or in equity, matured or unmatured, concealed or hidden, suspected or unsuspected, which now exist or heretofore have existed, that were asserted or could have been asserted by Lead Plaintiff or any Settlement Class Member against the Released Persons based on, arising from or relating to both: (i) the purchase, acquisition, holding, disposition, or sale of Arena common stock during the Class Period; and (ii) the allegations, transactions, facts, matters, events, disclosures, registration statements, public filings, acts, occurrences, representations, statements, omissions or failures to act that occurred during the Class Period and that were or could have been alleged by Lead Plaintiff in the Action against the Released Persons based upon the facts alleged in the Complaint. Released Claims does not include claims to enforce the Settlement.
5. "Unknown Claims" means collectively any Released Claims which Lead Plaintiff or any Settlement Class Member do not know or suspect to exist in his, her or its favor at the time of the release of the Released Persons which, if known by him, her or it, might have affected his, her or its settlement with and release of the Released Persons, or might have affected his, her or its decision not to object to this Settlement or seek exclusion from the Settlement Class. With respect to any and all Released Claims, the Settling Parties stipulate and agree that, upon the Effective Date, Lead Plaintiff shall expressly waive and each of the Class Members shall be deemed to have, and by operation of the Judgment shall have, expressly waived the provisions, rights, and benefits of California Civil Code §1542, which provides:



PART IV- CERTIFICATION AND RELEASE CONTINUED

A general release does not extend to claims which the creditor does not know or suspect to exist in his or her favor at the time of executing the release, which if known by him or her must have materially affected his or her settlement with the debtor.

Lead Plaintiff shall expressly waive and each of the Settlement Class Members shall be deemed to have, and by operation of the Judgment shall have, expressly waived any and all provisions, rights, and benefits conferred by any law of any state or territory of the United States or any foreign country, or any principle of common law, which is similar, comparable or equivalent in substance to California Civil Code §1542. Lead Plaintiff and Settlement Class Members may hereafter discover facts in addition to or different from those which he, she or it now knows or believes to be true with respect to the subject matter of the Released Claims, but upon the Effective Date, each Settlement Class Member, and Lead Plaintiff expressly, shall be deemed to have, and by operation of the Judgment shall have, fully, finally, and forever settled and released any and all Released Claims, known or unknown, suspected or unsuspected, contingent or non-contingent, whether or not concealed or hidden, which now exist, or heretofore have existed, upon any theory of law or equity now existing or coming into existence in the future, including, but not limited to, conduct which is negligent, intentional, with or without malice, or a breach of any duty, law or rule, without regard to the subsequent discovery or existence of such different or additional facts. Lead Plaintiff acknowledges, and the Settlement Class Members shall be deemed by operation of the Judgment to have acknowledged, that the foregoing waiver was separately bargained for and a material element of the Settlement of which this release is a part.

Submission to Jurisdiction of Court and Acknowledgements and Affirmations

I (we) submit this Proof of Claim and Release Form under the terms of the Stipulation of Settlement described in the Notice. I (we) also submit to the jurisdiction of the United States District Court for the Southern District of California with respect to my claim as a Settlement Class Member and for purposes of enforcing the release set forth herein. I (we) further acknowledge that I am (we are) bound and subject to the terms of any judgment that may be entered in the Action. I (we) affirm that I (we) purchased Arena common stock between March 17, 2008 and January 27, 2011, inclusive, and claim to have suffered losses as a result of such purchase. By submitting this Proof of Claim and Release Form, I (we) state that I (we) believe in good faith that I am (we are) Settlement Class Member(s) as defined in the Notice or am (are) acting for such person; that I am (we are) not a Defendant in the Action or anyone excluded from the Settlement Class; that I (we) have read and understand the Notice; that I (we) believe that I am (we are) entitled to receive a share of the Net Settlement Fund; that I (we) elect to participate in the proposed Settlement described in the Notice; that I (we) have not filed a request for exclusion; and that I (we) have not submitted any other claim covering the same purchases of Arena common stock between March 17, 2008 and January 27, 2011, inclusive, and know of no other person having done so on my (our) behalf. I (We) have set forth where requested herein all relevant information with respect to each purchase or acquisition of Arena common stock between March 17, 2008 and January 27, 2011, inclusive. I (we) agree to furnish additional information to the Claims Administrator to support this claim if requested to do so. I (we) understand that no discovery shall be allowed on the merits of the Action or Settlement in connection with processing of the Proof of Claim and in particular that no discovery shall be permitted against any Defendants in connection with any Proof of Claim.

5/20/2020 1:40 PM

5/20/2020 1:40 PM

5/20/2020 1:40 PM



PART IV- CERTIFICATION AND RELEASE CONTINUED

Release

I (We) hereby acknowledge, on behalf of myself (ourselves) and my (our) heirs, agents, executors, administrators, predecessors, successors, and assigns (or, if submitting this Proof of Claim and Release Form on behalf of a corporation, a partnership, estate or one or more other persons, on behalf of it, him, her or them and on behalf of its, his, her or their heirs, agents, executors, administrators, predecessors, successors, and assigns), full and complete satisfaction of, and do hereby fully, finally and forever settle, release and discharge from the Released Claims each and all of the Released Parties, and I (we) shall forever be enjoined from prosecuting any or all Released Claims against any Released Parties.

This release shall be of no force or effect unless and until the Court approves the Stipulation and the Stipulation becomes effective on the Effective Date (as defined in the Stipulation).

I (We) hereby warrant and represent that I (we) have not assigned or transferred or purported to assign, transfer, voluntarily or involuntarily, any matter released pursuant to this release or any other part or portion thereof.

I (We) hereby warrant and represent that I (we) have included information about all of my (our) transactions in Arena common stock that occurred during the Class Period, as well as the number of shares of Arena held by me (us) at the beginning of trading on March 17, 2008 and at the close of trading on January 27, 2011.

I (We) certify that I am (we are) not subject to backup withholding under the provisions of Section 3406(a)(1)(C) of the Internal Revenue Code.

Note: If you have been notified by the Internal Revenue Service that you are subject to backup withholding, please strike out the language that you are not subject to backup withholding in the certification above.

I (We) declare under penalty of perjury under the laws of the United States of America that the foregoing information supplied by the undersigned is true and correct.

Septimus Bacourt
Signature

Date

SEPTIMUS. BACOURT
Print Name

Email Address

Day Telephone

SAME
Evening Telephone

On Behalf of:

(Print name of corporation, partnership, estate, or other entity if you are submitting this form on behalf of one of them.)

Aline L. Bacourt
Signature

Date

ALINE. L. BACOURT
Print Name

Email Address

Day Telephone

SAME
Evening Telephone

On Behalf of:

(Print name of corporation, partnership, estate, or other entity if you are submitting this form on behalf of one of them.)

Claim 1037145

C EF

**SCHEDULE D-1
(Form 1040)**

Department of the Treasury
Internal Revenue Service (99)

**Continuation Sheet for Schedule D
(Form 1040)**

▶ See instructions for Schedule D (Form 1040).
▶ Attach to Schedule D to list additional transactions for lines 1 and 8.

OMB No. 1545-0074

2010

Attachment
Sequence No. **12A**

Name(s) shown on return

SEPTIMUS S & ALINE L BACOURT

Your social security number

[REDACTED]

Part I Short-Term Capital Gains and Losses - Assets Held One Year or Less

(a) Description of property (Example 100 sh. XYZ Co.)	(b) Date acquired (Mo., day, yr.)	(c) Date sold (Mo., day, yr.)	(d) Sales price (see instructions)	(e) Cost or other basis (see instructions)	(f) Gain or (loss) Subtract (e) from (d)
¹ FBC	07/07/2010	07/08/2010	3,251	2,778	473
SKH	07/08/2010	07/09/2010	2,261	2,619	-358
KV A	07/15/2010	07/16/2010	1,300	1,459	-159
PCBC	07/27/2010	07/28/2010	1,182	1,198	-16
FCEL	07/28/2010	07/29/2010	1,320	1,369	-49
VPRT	08/02/2010	08/03/2010	3,364	3,382	-18
KV A	08/04/2010	08/05/2010	1,180	1,250	-70
KV A	08/09/2010	08/10/2010	1,320	1,449	-129
CREE	08/17/2010	08/19/2010	2,904	2,947	-43
DGW	09/28/2010	09/30/2010	1,323	1,321	2
CRI	10/11/2010	10/12/2010	2,738	2,759	-21
FBC	10/13/2010	10/14/2010	1,265	1,315	-50
LPS	10/29/2010	11/01/2010	2,843	2,917	-74
ARNA	11/19/2010	11/22/2010	1,351	1,389	-38
ARNA	11/23/2010	11/23/2010	1,360	1,351	9
THOR	12/10/2010	12/13/2010	2,741	2,695	46
HSFT	12/17/2010	12/20/2010	2,859	2,844	15
DANG	12/23/2010	12/29/2010	2,885	2,760	125
CAGC	12/29/2010	12/31/2010	1,220	1,287	-67
EEE	04/15/2010	08/19/2010	105	262	-157
HLCS	01/08/2010	01/27/2010	204	262	-58
QCOM C	01/19/2010	01/20/2010	233	363	-130
YHOO	01/26/2010	02/08/2010	41	179	-138
NTRI	02/08/2010	02/17/2010	72	109	-37
2 Totals. Add the amounts in column (d). Also, combine the amounts in column (f). Enter here and on Schedule D, line 2. ▶			39,322		-942

For Paperwork Reduction Act Notice, see your tax return instructions.

Schedule D-1 (Form 1040) 2010

Arena Securities Litigation
c/o GCG
P.O. Box 10526
Dublin, OH 43017-0526
(877) 981-9683
www.ArenaPharmaceuticalsClassActionSettlement.com
SAP0210354492



SEPTIMUS BACOURT



Mailing Date: June 15, 2018
Response Due Date: July 5, 2018
Claim No: 1037145



Eligible Securities:
Arena common stock

Class Period:
March 17, 2008 through January 27, 2011, inclusive

NOTICE OF CONDITIONAL REJECTION OF YOUR ENTIRE CLAIM

Dear Claimant:

The Claim Form you submitted in the Arena Securities Litigation was processed and conditionally rejected in its entirety pursuant to the terms of the Settlement as approved by the Court. The reason(s) for the rejection of your entire claim is on the back of this letter.

NO FURTHER ACTION IS REQUIRED IF YOU AGREE WITH OUR DETERMINATIONS AND/OR DO NOT WISH TO FIX YOUR CLAIM.

To fix or otherwise complete your claim, please follow the directions on the back of this letter. If a noted deficiency relates to documentation submitted, please do not merely re-submit the same documentation as was included with the original submission of your claim. That documentation was determined to be incomplete or inadequate. If your response cures some but not all of the identified conditions of ineligibility, your claim will be considered to the extent cured. Please note: Regardless of how many deficiencies are cured, in order for the claim to be eligible to any extent, IT MUST CALCULATE TO A RECOGNIZED CLAIM UNDER THE PLAN OF ALLOCATION.

If you fail to respond by the Response Due Date above, or if your response fails to sufficiently cure the ineligible condition(s) identified, this claim will be rejected in its entirety.

If you have any questions regarding this letter, please call the Claims Administrator at 877-981-9683 for a detailed description of the reasons your Claim Form has been rejected.

Sincerely,

The Claims Administrator
Arena Securities Litigation

*****THIS IS THE ONLY NOTICE YOU WILL RECEIVE FOR THIS CLAIM*****
IMPORTANT INFORMATION ABOUT YOUR CLAIM AND THE NEXT STEPS YOU MAY TAKE ARE
ON THE BACK OF THIS LETTER. IF YOU HAVE ADDITIONAL QUESTIONS, PLEASE CALL US AT
877-981-9683.



Claim No: 1037145

YOU MUST INCLUDE A COPY OF THIS LETTER WITH ANY RESPONSE AND YOU MUST REFERENCE YOUR CLAIM NUMBER ON ALL CORRESPONDENCE AND DOCUMENTATION.

PROBLEM(S) WITH YOUR CLAIM:

PROBLEM WITH YOUR CLAIM:

THE CLAIM DOES NOT RESULT IN A "RECOGNIZED CLAIM" AS DEFINED BY THE COURT-APPROVED PLAN OF ALLOCATION. The Plan of Allocation is contained in the Notice that was mailed to you along with your Claim Form. Your "Recognized Claim" is not intended to equal your actual "market loss" (the amount of money you actually lost) and it is possible that you lost money but still have no "Recognized Claim."

HOW TO FIX YOUR CLAIM:

Unless you had purchases or otherwise eligible acquisitions during the Settlement Class Period (i.e., March 17, 2008 through January 27, 2011, inclusive) that are not included in the Claim Form you submitted, this is NOT a curable deficiency.

If you had eligible purchases/acquisitions of Arena common stock that were not reflected in your original submission, you must submit all of the information and appropriate supporting documentation for those purchases/acquisitions as called for in the Claim Form. Appropriate supporting documentation includes periodic brokerage statements or broker's trade confirmations (handwritten or self-generated information is not acceptable) showing all transactions and holdings positions listed on your Claim Form.

If you do not intend to supply additional information/documentation, and you still disagree with the determination, you may request Court review of the determination. To request Court review, you must send a letter to the claims administrator within twenty (20) days of the date of this letter and it must: (1) specifically state that you "request that the Court review the rejection of your claim," (2) state your argument(s) for why your claim should be accepted, (3) attach any supporting documents you may have to support your argument, and (4) be signed. Please also include a copy of this letter when requesting Court review of the rejection of your claim. If your dispute with the determination to reject your claim cannot otherwise be resolved, your claim and its administrative rejection will be presented to the Court for review. PLEASE NOTE: COURT REVIEW SHOULD ONLY BE SOUGHT IF YOU DISAGREE WITH THE DETERMINATION TO REJECT YOUR CLAIM.

Claim 1037145

6/21/2018

To whom it may concern,

I want the court to review my claim, because I did everything the class action settlement wanted me to do. They sent a notice of the class action, they gave me a deadline to receive my information, I sent all my information before (April 13, 2018), they received them, they sent a card advised me, when they will contact me. Now they sent a letter telling me I did buy that stock at the right date, even if I did buy that stock in the right date, I am still qualified, to be a part of the settlement. Now it is too late to tell me I am not in the settlement. I bought stock in that company anyway, they tried to steal my rights. If they put me out of the settlement, I have the right to file my own suit. They supposed to see that on the first, before they sent the notice of the settlement, this guy did buy that stock at the right date, we don't need to send him the notice, even I did loose to much in that stock, but what I lost was more than 10 dollars so, I want the court to fully review my claim.

Claim no (1037145)

Best Regards

Septimus Bacourt
SEPTIMUS Bacourt

Claim 1076465

Must be
Postmarked
No Later Than
April 13, 2018

Arena Securities Litigation
c/o GCG
P.O. Box 10526
Dublin, OH 43017-0526
Toll-Free: (877) 981-9683

SAP



Settlement Website: www.ArenaPharmaceuticalsClassActionSettlement.com

Schueneman v. Arena Pharmaceuticals, Inc.

PROOF OF CLAIM AND RELEASE

IF YOU PURCHASED COMMON STOCK OF ARENA PHARMACEUTICALS, INC. ("ARENA") FROM MARCH 17, 2008 THROUGH JANUARY 27, 2011, INCLUSIVE, AND SUFFERED LOSSES AS A RESULT OF SUCH PURCHASE, YOU ARE A "SETTLEMENT CLASS MEMBER" AND YOU MAY BE ENTITLED TO SHARE IN THE SETTLEMENT PROCEEDS..

IF YOU ARE A SETTLEMENT CLASS MEMBER, YOU MUST COMPLETE AND SUBMIT THIS FORM IN ORDER TO BE ELIGIBLE FOR ANY SETTLEMENT BENEFITS.

TO BE ELIGIBLE TO RECEIVE A DISTRIBUTION IN THE SETTLEMENT, YOU MUST COMPLETE AND SIGN THIS PROOF OF CLAIM AND RELEASE ("PROOF OF CLAIM") AND MAIL IT BY FIRST CLASS MAIL, POSTAGE PREPAID, **POSTMARKED NO LATER THAN APRIL 13, 2018**, TO THE CLAIMS ADMINISTRATOR, AT THE ADDRESS SET FORTH ABOVE.

YOUR FAILURE TO TIMELY SUBMIT A COMPLETED PROOF OF CLAIM WILL SUBJECT YOUR CLAIM TO REJECTION AND PRECLUDE YOUR RECEIVING ANY MONEY IN CONNECTION WITH THE SETTLEMENT OF THIS ACTION. DO NOT MAIL OR DELIVER YOUR CLAIM TO THE COURT OR TO ANY OF THE PARTIES OR THEIR COUNSEL AS ANY SUCH CLAIM WILL BE DEEMED NOT TO HAVE BEEN SUBMITTED. SUBMIT YOUR CLAIM ONLY TO THE CLAIMS ADMINISTRATOR.

IF YOU ARE NOT A SETTLEMENT CLASS MEMBER, OR IF YOU FILED A REQUEST FOR EXCLUSION FROM THE SETTLEMENT CLASS, DO NOT SUBMIT A PROOF OF CLAIM. YOU MAY NOT, DIRECTLY OR INDIRECTLY, PARTICIPATE IN THE SETTLEMENT IF YOU ARE NOT A SETTLEMENT CLASS MEMBER OR IF YOU SUBMIT A VALID AND TIMELY REQUEST FOR EXCLUSION.

Submission of this Form does not guarantee that you will share in the proceeds of the Settlement. Distribution of the Net Settlement Fund will be governed by the Plan of Allocation set forth in the Notice, if it is approved by the Court, or by such other plan of allocation as the Court approves.

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Important - This form should be completed IN CAPITAL LETTERS using BLACK or DARK BLUE ballpoint/fountain pen. Characters and marks used should be similar in the style to the following:

A B C D E F G H I J K L M N O P Q R S T U V W X Y Z 1 2 3 4 5 6 7 0



CLAIMANT'S STATEMENT

1. I (we) purchased or otherwise purchased shares of Arena common stock between March 17, 2008 and January 27, 2011, inclusive, and claim to have suffered losses as a result of such purchase.
2. By submitting this Proof of Claim, I (we) state that I (we) believe in good faith that I am (we are) a Settlement Class Member as defined above and in the Notice of Pendency and Proposed Settlement of Class Action (the "Notice"), or am (are) acting for such person(s); that I am (we are) not a Defendant in the Action or anyone excluded from the Settlement Class; that I (we) have read and understand the Notice; that I (we) believe that I am (we are) entitled to receive a share of the Net Settlement Fund, as defined in the Notice; that I (we) elect to participate in the proposed Settlement described in the Notice; and that I (we) have not filed a request for exclusion. (Note: If you are acting in a representative capacity on behalf of a Settlement Class Member [e.g., as an executor, administrator, trustee, or other representative], you must submit evidence of your current authority to act on behalf of that Class Member. Such evidence would include, for example, letters testamentary, letters of administration, or a copy of the trust documents.)
3. I (we) consent to the jurisdiction of the Court with respect to all questions concerning the validity of this Proof of Claim. I (we) understand and agree that my (our) claim may be subject to investigation and discovery under the Federal Rules of Civil Procedure, provided that such investigation and discovery shall be limited to my (our) status as a Settlement Class Member(s) and the validity and amount of my (our) claim. No discovery shall be allowed on the merits of the Action or Settlement in connection with processing of the Proof of Claim.
4. I (we) have set forth where requested below all relevant information with respect to each purchase of Arena common stock during the Class Period, and each sale, if any, of such securities. I (we) agree to furnish additional information to the Claims Administrator to support this claim if requested to do so.
5. I (we) have enclosed photocopies of the stockbroker's confirmation slips, stockbroker's statements, or other documents evidencing each purchase, sale or retention of Arena common stock listed below in support of my (our) claim. (Note: IF ANY SUCH DOCUMENTS ARE NOT IN YOUR POSSESSION, PLEASE OBTAIN A COPY OR EQUIVALENT DOCUMENTS FROM YOUR BROKER BECAUSE THESE DOCUMENTS ARE NECESSARY TO PROVE AND PROCESS YOUR CLAIM.)
6. I (we) understand that the information contained in this Proof of Claim is subject to such verification as the Claims Administrator may request or as the Court may direct, and I (we) agree to cooperate in any such verification. (Note: The information requested herein is designed to provide the minimum amount of information necessary to process most simple claims. The Claims Administrator may request additional information as required to efficiently and reliably calculate your recognized claim. In some cases, the Claims Administrator may condition acceptance of the claim based upon the production of additional information.
7. Upon the occurrence of the Court's approval of the Settlement, as detailed in the Notice, I (we) agree and acknowledge that my (our) signature(s) hereto shall effect and constitute a full and complete release, remise and discharge by me (us) and my (our) heirs, joint tenants, tenants in common, beneficiaries, executors, administrators, predecessors, successors, attorneys, insurers and assigns (or, if I am (we are) submitting this Proof of Claim on behalf of a corporation, a partnership, estate or one or more other persons, by it, him, her or them, and by its, his, her or their heirs, executors, administrators, predecessors, successors, and assigns) of each of the "Released Parties" of all "Release of Claims," as defined in the Notice.
8. NOTICE REGARDING ELECTRONIC FILES: Certain claimants with large numbers of transactions may request, or may be requested, to submit information regarding their transactions in electronic files. All Claimants MUST submit a manually signed paper Proof of Claim form listing all their transactions whether or not they also submit electronic copies. If you wish to file your claim electronically, you must contact the Claims Administrator at eClaim@choosegcg.com or visit their website at www.ArenaPharmaceuticalsClassActionSettlement.com to obtain the required file layout. No electronic files will be considered to have been properly submitted unless the Claims Administrator issues to the Claimant a written acknowledgment of receipt and acceptance of electronically submitted data.



PART I - CLAIMANT INFORMATION

Claimant Contact Information:

The Claims Administrator will use this information for all communications relevant to this claim (including the check, if eligible for payment). If this information changes, you **MUST** notify the Claims Administrator in writing at the address above.

Claimant Name(s) (as the name(s) should appear on the check, if eligible for payment; if the shares are or were jointly owned, the names of all beneficial owners must be provided):

Name:

RICHARD SYLVESTER ALLEGOOD

Address:

[Redacted Address]

City:

State:

Zip Code:

[Redacted City, State, Zip Code]

Foreign Province:

[Redacted Foreign Province]

Foreign Country:

[Redacted Foreign Country]

Daytime Telephone Number:

Evening Telephone Number:

[Redacted Daytime Telephone Number] [Redacted Evening Telephone Number]

Social Security Number (for individuals) OR Taxpayer Identification Number (for estates, trusts, corporations, etc.)

[Redacted Social Security Number]

Please complete the appropriate section carefully. Failure to complete it in its entirety will result in the forfeiture of your rights to receive the Securities if any are distributed. You may only complete one.

Section A. Individual / Sole Proprietor. Use of initials only (e.g. J.G. Doe) is not acceptable.

- ☒ Individual.
☐ Sole Proprietor

Name:

PSA

Section B. Joint Ownership. Please indicate the type of tenancy.

- ☐ Joint Tenants - Jt Ten
(includes right of survivorship - JTROS)
☐ Tenants in Common - Ten Com
☐ Tenants by Entireties - Ten Ent

Name(s):

[Redacted Name(s)]

[Redacted Name(s)]



PART I - CLAIMANT INFORMATION CONTINUED

Section C. Retirement accounts. *Please indicate the type of retirement account.*

- ☐ IRA
☐ Roth IRA
☐ Keogh
(Defined Benefit Plan or Defined Contribution Plan)
☐ Other (please describe) _____

Custodian:

Beneficiary:

Date of Plan:

Section D. Private or public entity. *Please indicate the entity type and check one box below.*

- ☐ Corporation
☐ Partnership
☐ Limited Liability Company
☐ Non-Profit Organization
☐ Foundation

Section E. Custodianships.

- ☐ UCTA
☐ UGMA
☐ UTMA

Custodian:

State:

Minor:

Age:

Section F. Under Trust agreements. *Please indicate the name of the trustee(s), the name of the Trust and the date of the Trust agreement in the space provide below)*

Name of trustee(s):

Name of Trust:

Date of Trust Agreement:

Section G. Estate. *Please indicate the fiduciary capacity and the name of the person or entity authorized to hold such capacity as follows. (e.g. Include the name of the deceased and the executor)*

- ☐ Executor (EX)
☐ Personal Representative (Per Rep)
☐ Administrator (Adm)
☐ Conservator (Cons)
☐ Other. Please explain _____

Fiduciary Name(s):

Estate of:

Date of Agreement:

5



PART II - SCHEDULE OF TRANSACTIONS IN ARENA COMMON STOCK

00200
Shares

PURCHASES/ACQUISITIONS: Separately list each and every purchase of Arena common stock during the period from March 17, 2008 and April 27, 2011, inclusive, and provide the following information (*must be documented*):¹

Trade Date (List Chronologically) (Month/Day/Year)	Number of Shares Purchased/Acquired	Purchase/Acquisition Price Per Share	Total Cost (excluding taxes, commissions and fees)
12 / 08 / 10	20000	1.64	32800.00
/ /			
/ /			
/ /			

SALES: For shares purchased separately list each and every sale of Arena common stock during the period March -17, 2008 and April 27, 2011, inclusive, and provide the following information (*must be documented*):

[illegible]

2 0 0 0 0
 Shares

IDENTIFICATION NUMBER AT THE TOP OF EACH SHEET AND CHECK THIS BOX:

IF YOU DO NOT CHECK THIS BOX THESE ADDITIONAL PAGES WILL NOT BE REVIEWED.

Please note: Information requested with respect to your purchases/acquisitions of Arena common stock from after the close of trading on January 27, 2011 through and including the close of trading on April 27, 2011 is needed in order to balance your claim; purchases/acquisitions during this period, however, are not eligible under the Settlement and will not be used for purposes of calculating your Recognized Loss pursuant to the Plan of Allocation.

6

PART III - SUBSTITUTE FORM W-9

Request for Taxpayer Identification Number (Required for Receipt of Stock):

Enter taxpayer identification number below for the Beneficial Owner(s). For most individuals, this is your Social Security Number. The Internal Revenue Service ("I.R.S.") requires such taxpayer identification number. If you fail to provide this information, your claim may be rejected.

Social Security Number (for individuals) OR Taxpayer Identification Number (for estates, trusts, corporations, etc.)

--	--	--	--	--	--	--	--	--	--

PART IV - CERTIFICATION AND RELEASE

Definitions

All capitalized terms used but not defined herein shall have the same meanings as in the Notice and the Stipulation and Agreement of Settlement dated November 3, 2017 ("the Stipulation"), which is posted on the Claims Administrator's website at www.ArenaPharmaceuticalsClassActionSettlement.com. In addition, the following terms shall have the following meanings:

1. "Defendants" means Arena Pharmaceuticals, Inc., Jack Lief, Robert E. Hoffman, Dominic P. Behan, William R. Shanahan, Jr., and Christen Anderson.
2. "Released Persons" means each and all of the Defendants and each and all of their Related Persons.
3. "Related Persons" means each of the Released Persons' and their legal affiliates' past or present directors, officers, employees, partners, insurers, co-insurers, reinsurers, principals, controlling shareholders, attorneys, accountants, auditors, investment advisors, personal or legal representatives, predecessors, successors, parents, subsidiaries, divisions, joint ventures, assigns, spouses, heirs, estates, related or affiliated entities, any entity in which a Released Person has a controlling interest, any members of an Individual Defendant's immediate family, any trust of which an Individual Defendant is the settlor or which is for the benefit of an Individual Defendant and/or any member of an Individual Defendant's immediate family, and any entity in which a Defendant and/or any member of an Individual Defendant's immediate family has or have a controlling interest (directly or indirectly).
4. "Released Claims" means any and all claims, demands, rights, causes of action or liabilities of every nature and description whatsoever (including, but not limited to, any claims for damages, interest, attorneys' fees, expert or consulting fees, and any other costs, expenses or liabilities whatsoever), whether based on federal, state, local, foreign, statutory or common law or any other law, rule, ordinance, administrative provision or regulation, including Unknown Claims as defined in ¶1.30 of the Stipulation, whether class or individual in nature, whether fixed or contingent, accrued or unaccrued, liquidated or unliquidated, at law or in equity, matured or unmatured, concealed or hidden, suspected or unsuspected, which now exist or heretofore have existed, that were asserted or could have been asserted by Lead Plaintiff or any Settlement Class Member against the Released Persons based on, arising from or relating to both: (i) the purchase, acquisition, holding, disposition, or sale of Arena common stock during the Class Period; and (ii) the allegations, transactions, facts, matters, events, disclosures, registration statements, public filings, acts, occurrences, representations, statements, omissions or failures to act that occurred during the Class Period and that were or could have been alleged by Lead Plaintiff in the Action against the Released Persons based upon the facts alleged in the Complaint. Released Claims does not include claims to enforce the Settlement.
5. "Unknown Claims" means collectively any Released Claims which Lead Plaintiff or any Settlement Class Member do not know or suspect to exist in his, her or its favor at the time of the release of the Released Persons which, if known by him, her or it, might have affected his, her or its settlement with and release of the Released Persons, or might have affected his, her or its decision not to object to this Settlement or seek exclusion from the Settlement Class. With respect to any and all Released Claims, the Settling Parties stipulate and agree that, upon the Effective Date, Lead Plaintiff shall expressly waive and each of the Class Members shall be deemed to have, and by operation of the Judgment shall have, expressly waived the provisions, rights, and benefits of California Civil Code §1542, which provides:



PART IV- CERTIFICATION AND RELEASE CONTINUED

A general release does not extend to claims which the creditor does not know or suspect to exist in his or her favor at the time of executing the release, which if known by him or her must have materially affected his or her settlement with the debtor.

Lead Plaintiff shall expressly waive and each of the Settlement Class Members shall be deemed to have, and by operation of the Judgment shall have, expressly waived any and all provisions, rights, and benefits conferred by any law of any state or territory of the United States or any foreign country, or any principle of common law, which is similar, comparable or equivalent in substance to California Civil Code §1542. Lead Plaintiff and Settlement Class Members may hereafter discover facts in addition to or different from those which he, she or it now knows or believes to be true with respect to the subject matter of the Released Claims, but upon the Effective Date, each Settlement Class Member, and Lead Plaintiff expressly, shall be deemed to have, and by operation of the Judgment shall have, fully, finally, and forever settled and released any and all Released Claims, known or unknown, suspected or unsuspected, contingent or non-contingent, whether or not concealed or hidden, which now exist, or heretofore have existed, upon any theory of law or equity now existing or coming into existence in the future, including, but not limited to, conduct which is negligent, intentional, with or without malice, or a breach of any duty, law or rule, without regard to the subsequent discovery or existence of such different or additional facts. Lead Plaintiff acknowledges, and the Settlement Class Members shall be deemed by operation of the Judgment to have acknowledged, that the foregoing waiver was separately bargained for and a material element of the Settlement of which this release is a part.

Submission to Jurisdiction of Court and Acknowledgements and Affirmations

I (we) submit this Proof of Claim and Release Form under the terms of the Stipulation of Settlement described in the Notice. I (we) also submit to the jurisdiction of the United States District Court for the Southern District of California with respect to my claim as a Settlement Class Member and for purposes of enforcing the release set forth herein. I (we) further acknowledge that I am (we are) bound and subject to the terms of any judgment that may be entered in the Action. I (we) affirm that I (we) purchased Arena common stock between March 17, 2008 and January 27, 2011, inclusive, and claim to have suffered losses as a result of such purchase. By submitting this Proof of Claim and Release Form, I (we) state that I (we) believe in good faith that I am a (we are) Settlement Class Member(s) as defined in the Notice or am (are) acting for such person; that I am (we are) not a Defendant in the Action or anyone excluded from the Settlement Class; that I (we) have read and understand the Notice; that I (we) believe that I am (we are) entitled to receive a share of the Net Settlement Fund; that I (we) elect to participate in the proposed Settlement described in the Notice; that I (we) have not filed a request for exclusion; and that I (we) have not submitted any other claim covering the same purchases of Arena common stock between March 17, 2008 and January 27, 2011, inclusive, and know of no other person having done so on my (our) behalf. I (We) have set forth where requested herein all relevant information with respect to each purchase or acquisition of Arena common stock between March 17, 2008 and January 27, 2011, inclusive. I (we) agree to furnish additional information to the Claims Administrator to support this claim if requested to do so. I (we) understand that no discovery shall be allowed on the merits of the Action or Settlement in connection with processing of the Proof of Claim and in particular that no discovery shall be permitted against any Defendants in connection with any Proof of Claim.



PART IV- CERTIFICATION AND RELEASE CONTINUED

Release

I (We) hereby acknowledge, on behalf of myself (ourselves) and my (our) heirs, agents, executors, administrators, predecessors, successors, and assigns (or, if submitting this Proof of Claim and Release Form on behalf of a corporation, a partnership, estate or one or more other persons, on behalf of it, him, her or them and on behalf of its, his, her or their heirs, agents, executors, administrators, predecessors, successors, and assigns), full and complete satisfaction of, and do hereby fully, finally and forever settle, release and discharge from the Released Claims each and all of the Released Parties, and I (we) shall forever be enjoined from prosecuting any or all Released Claims against any Released Parties.

This release shall be of no force or effect unless and until the Court approves the Stipulation and the Stipulation becomes effective on the Effective Date (as defined in the Stipulation).

I (We) hereby warrant and represent that I (we) have not assigned or transferred or purported to assign, transfer, voluntarily or involuntarily, any matter released pursuant to this release or any other part or portion thereof.

I (We) hereby warrant and represent that I (we) have included information about all of my (our) transactions in Arena common stock that occurred during the Class Period, as well as the number of shares of Arena held by me (us) at the beginning of trading on March 17, 2008 and at the close of trading on January 27, 2011.

I (We) certify that I am (we are) not subject to backup withholding under the provisions of Section 3406(a)(1)(C) of the Internal Revenue Code.

Note: If you have been notified by the Internal Revenue Service that you are subject to backup withholding, please strike out the language that you are not subject to backup withholding in the certification above.

I (We) declare under penalty of perjury under the laws of the United States of America that the foregoing information supplied by the undersigned is true and correct.

Signature

Date

Print Name

Email Address

Day Telephone

Evening Telephone

On Behalf of:

(Print name of corporation, partnership, estate, or other entity if you are submitting this form on behalf of one of them.)

Signature

Date

Print Name

Email Address

Day Telephone

Evening Telephone

On Behalf of:

(Print name of corporation, partnership, estate, or other entity if you are submitting this form on behalf of one of them.)

Claim 1076465



800-669-3900
TD AMERITRADE
DIVISION OF TD AMERITRADE INC
PO BOX 2209
OMAHA, NE 68103-2209

Statement Reporting Period:
10/01/11 - 10/31/11

Statement for Account # [REDACTED]
RICHARD S ALLEGOOD

Announcements:
VISIT A LOCAL BRANCH FOR A FREE
PORTFOLIO CONSULTATION, ALONG WITH
OBJECTIVE GUIDANCE ABOUT INVESTING
IN TODAY'S MARKET. TO LEARN MORE,
CALL 800-772-8412, OR GO TO
TDAMERITRADE.COM/GUIDANCE.

Portfolio Summary

Investment	Current	Prior	Period		%	Estimated	Estimated	Portfolio Allocation
	Value	Value	Change	Change		Income	Yield	

[REDACTED]								
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Cash Activity Summary

Income & Expense Summary

Performance Summary

Current	YTD	Reportable	Non Reportable	YTD
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[REDACTED]				
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Statement for Account # [REDACTED]
10/01/11 - 10/31/11

Online Cash Services Summary	
Description	Year To Date

[REDACTED]	
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Income Summary Detail*	
Description	Year to Date
[REDACTED]	

*This section displays current and year to date taxation values for this account. The current totals may not equate to the total payments listed on this statement as corrections to tax reporting may also be included. These corrections can include changes made to previous payments and removal of payments reportable in a previous tax year (spillover dividends). The year to date totals will accurately reflect your cumulative amount for the year.

Account Positions										
Investment Description	Symbol/ CUSIP	Quantity	Current Price	Market Purchase Value	Date	Cost Basis	Average Cost	Unrealized Gain(Loss)	Estimated Income	Yield
Stocks - Margin										

Claim 1076465

Statement for Account # [REDACTED]
10/01/11 - 10/31/11

Category	Transaction Date	Online Cash Services Transaction Detail	Amount
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DEBITS

Electronic Transfer

Subtotal

TOTAL

Trade Date	Settle Date	Acct Type	Transaction/ Cash Activity*	Account Activity	Description	Symbol/ CUSIP	Quantity	Price	Amount	Balance
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Opening Balance

10/04/11	10/07/11	Margin	Sell - Securities Sold	ARENA PHARMACEUTICALS INC COM	ARNA	10,000-	1.23	12,289.77	121,784.11	
10/04/11	10/07/11	Margin	Sell - Securities Sold	ARENA PHARMACEUTICALS INC COM	ARNA	10,000-	1.23	12,289.77	134,073.88	

Claim 1076465



800-669-3900
TD AMERITRADE
DIVISION OF TD AMERITRADE INC
PO BOX 2209
OMAHA, NE 68103-2209

Statement Reporting Period:
12/01/10 - 12/31/10

Statement for Account # [REDACTED]
RICHARD S. ALLEGOOD

Announcements:
TD AMERITRADE NOW OFFERS UP TO
35% SAVINGS ON TAX PREPARATION
PRODUCTS. LEARN MORE AT
WWW.TDAMERITRADE.COM/TAXCENTER.

Portfolio Summary							Portfolio Allocation
Investment	Current Value	Prior Value	Period Change	% Change	Estimated Income	Estimated Yield	

[REDACTED]							
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Cash Activity Summary		Income & Expense Summary		Performance Summary	
Current	YTD	Reportable	Non Reportable	YTD	

[REDACTED]					
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Statement for Account # [REDACTED]
12/01/10 - 12/31/10

Cash Management Summary	
Description	Current

Subtotal	[REDACTED]
-----------------	------------

TOTAL	[REDACTED]
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Income Summary Detail*		
Description	Current	Year to Date
Interest Income Credit Balance	\$ 0.00	\$ 0.76
Margin Interest Charged	(342.79)	(1,359.49)
Qualified Dividends	200.00	200.00
IDA Interest	0.00	46.50

*This section displays current and year to date taxation values for this account. The current totals may not equate to the total payments listed on this statement as corrections to tax reporting may also be included. These corrections can include changes made to previous payments and removal of payments reportable in a previous tax year (spillover dividends). The year to date totals will accurately reflect your cumulative amount for the year.

Account Positions									
Investment Description	Symbol/ CUSIP	Quantity	Current Price	Market Purchase Value	Date	Cost Basis	Average Cost	Unrealized Gain(Loss)	Estimated Income Yield
Stocks - Margin									
ARENA PHARMACEUTICALS INC COM	ARNA	20,000	\$ 1.72	\$ 34,400.00	12/08/10	\$ 32,809.75	\$ 1.64	\$ 1,590.25	\$ -

[REDACTED]									
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Total Stocks	[REDACTED]								
Total Margin Account	[REDACTED]								

Claim 1076465

Arena Securities Litigation
c/o GCG
P.O. Box 10526
Dublin, OH 43017-0526
(877) 981-9683
www.ArenaPharmaceuticalsClassActionSettlement.com
SAP0210430152



RICHARD SYLVESTER ALLEGOOD



Mailing Date: June 15, 2018
Response Due Date: July 5, 2018
Claim No: 1076465



Eligible Securities:
Arena common stock

Class Period:
March 17, 2008 through January 27, 2011, inclusive

NOTICE OF CONDITIONAL REJECTION OF YOUR ENTIRE CLAIM

Dear Claimant:

The Claim Form you submitted in the Arena Securities Litigation was processed and conditionally rejected in its entirety pursuant to the terms of the Settlement as approved by the Court. The reason(s) for the rejection of your entire claim is on the back of this letter.

NO FURTHER ACTION IS REQUIRED IF YOU AGREE WITH OUR DETERMINATIONS AND/OR DO NOT WISH TO FIX YOUR CLAIM.

To fix or otherwise complete your claim, please follow the directions on the back of this letter. If a noted deficiency relates to documentation submitted, please do not merely re-submit the same documentation as was included with the original submission of your claim. That documentation was determined to be incomplete or inadequate. If your response cures some but not all of the identified conditions of ineligibility, your claim will be considered to the extent cured. Please note: Regardless of how many deficiencies are cured, in order for the claim to be eligible to any extent, IT MUST CALCULATE TO A RECOGNIZED CLAIM UNDER THE PLAN OF ALLOCATION.

If you fail to respond by the Response Due Date above, or if your response fails to sufficiently cure the ineligible condition(s) identified, this claim will be rejected in its entirety.

If you have any questions regarding this letter, please call the Claims Administrator at 877-981-9683 for a detailed description of the reasons your Claim Form has been rejected.

Sincerely,

The Claims Administrator
Arena Securities Litigation

*****THIS IS THE ONLY NOTICE YOU WILL RECEIVE FOR THIS CLAIM*****
IMPORTANT INFORMATION ABOUT YOUR CLAIM AND THE NEXT STEPS YOU MAY TAKE ARE
ON THE BACK OF THIS LETTER. IF YOU HAVE ADDITIONAL QUESTIONS, PLEASE CALL US AT
877-981-9683.



Claim No: 1076465

YOU MUST INCLUDE A COPY OF THIS LETTER WITH ANY RESPONSE AND YOU MUST REFERENCE YOUR CLAIM NUMBER ON ALL CORRESPONDENCE AND DOCUMENTATION.

PROBLEM(S) WITH YOUR CLAIM:

PROBLEM WITH YOUR CLAIM:

810: MUL

THE CLAIM DOES NOT RESULT IN A "RECOGNIZED CLAIM" AS DEFINED BY THE COURT-APPROVED PLAN OF ALLOCATION. The Plan of Allocation is contained in the Notice that was mailed to you along with your Claim Form. Your "Recognized Claim" is not intended to equal your actual "market loss" (the amount of money you actually lost) and it is possible that you lost money but still have no "Recognized Claim."

HOW TO FIX YOUR CLAIM:

Unless you had purchases or otherwise eligible acquisitions during the Settlement Class Period (i.e., March 17, 2008 through January 27, 2011, inclusive) that are not included in the Claim Form you submitted, this is NOT a curable deficiency.

If you had eligible purchases/acquisitions of Arena common stock that were not reflected in your original submission, you must submit all of the information and appropriate supporting documentation for those purchases/acquisitions as called for in the Claim Form. Appropriate supporting documentation includes periodic brokerage statements or broker's trade confirmations (handwritten or self-generated information is not acceptable) showing all transactions and holdings positions listed on your Claim Form.

If you do not intend to supply additional information/documentation, and you still disagree with the determination, you may request Court review of the determination. To request Court review, you must send a letter to the claims administrator within twenty (20) days of the date of this letter and it must: (1) specifically state that you "request that the Court review the rejection of your claim," (2) state your argument(s) for why your claim should be accepted, (3) attach any supporting documents you may have to support your argument, and (4) be signed. Please also include a copy of this letter when requesting Court review of the rejection of your claim. If your dispute with the determination to reject your claim cannot otherwise be resolved, your claim and its administrative rejection will be presented to the Court for review. **PLEASE NOTE: COURT REVIEW SHOULD ONLY BE SOUGHT IF YOU DISAGREE WITH THE DETERMINATION TO REJECT YOUR CLAIM.**

Claim 1076465

June 22, 2018

Arena Securities Litigation
c/o GCG
PO Box 10526
Dublin, OH 43017-0526

RE: CLAIM No. 1076465

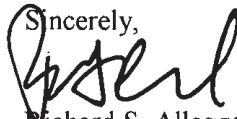
Claims Administrator:

Request the court review rejection of my claim.

Per attached documentation of my account with TD Ameritrade I purchased 20,000 shares of Arena Pharmaceutical stock on December 8, 2010. Accordingly, my claim should be accepted since the acquisitions occurred during the Settlement Class Period.

Attached find supporting documentation from TD Ameritrade showing statement with this signed letter verifying along with a copy of the rejection letter.

Please advise.

Sincerely,

Richard S. Allegood



Enclosures

Claim 1076465

TD AMERITRADE
Apex

PO BOX 733
Bensalem, PA 19020-0733
Please do not send deposits or correspondence to this address

RICHARD S. ALLEGOOD

TD Ameritrade offers a free, electronic document delivery service that's faster than regular mail and provides 24/7 access to your important documents.

It's **simple** - we'll send you an e-mail when your statement becomes available, and you can log on to access your documents anytime.

It's **secure** - your account information is very important to us, and we go to great lengths to ensure a safe online experience.

It **saves time & space** - no more filing or shredding of important, account-related papers. See your statements, trade confirmations and tax documents online whenever you want, or download them onto your computer and print them if you need to.

To sign up for electronic statements and trade confirmations, log on to your account and go to **My Profile** (under **Home**). In the **Communications** section of the page, click **Edit** next to **Trade confirmations and/or Statements**. Indicate that you want to receive your trade confirmations and/or statements via email, then click **Submit**.

Terms and Conditions

STATEMENT GUIDE

TD Ameritrade provides monthly Portfolio Reports for accounts with activity and quarterly reports for inactive accounts with assets.¹

Portfolio Summary: Tracks the current value of your portfolio as of the report date and compares it to the prior month.² The asset allocation indicates your portfolio diversification by investment type.

Multiple allocations under 5% will be grouped into the category of "Other" in the pie chart. Margin Equity = Total Account Equity / (Total Long Marginable Value + Total Short Value).

Activity Summary: The opening and closing cash balances are reconciled here for your quick reference. All account activity is summarized for the current period and year-to-date.

Income & Expense Summary (non-IRAs only): This section details the income and expense totals from the Activity Summary and classifies the tax treatment.

Retirement Account Summary (IRAs only): Review the IRS regulated transactions for the current and prior years. IRS Form 5498 fair market value is based on the current value as of December 31 of the previous year and will be furnished to the Internal Revenue Service.

Performance Summary: Monitor your annual portfolio performance and the unrealized gains and losses for your future investment strategy.

Account Positions: View your investments at the current market value and compare the original cost to see unrealized gains and losses in your portfolio. Original cost is assigned using the first-in, first-out (FIFO) method, which assumes the first shares you sell are those you purchased first. The estimated investment income³ and average cost per share are displayed for your reference (the oldest purchase date is shown for an indication of your holding period).

Account Activity: All account activity is clearly defined, listed in date order, and reflected in the closing cash balance.

Trades Pending Settlement: Confirm your executed trades with a settlement date after month end. These transactions will be reflected in the Account Activity section next month.

Cash Management Activity: Cash management transactions (including check, debit card and ATM activity) that cleared during this month are listed in date order.

¹Due to rounding adjustments, the statement details may not equal the statement totals.
²Estimated Annual Income and Estimated Annual Yield values are based upon the number of shares owned or current value balance as of the statement date and the most recent dividend rate or cash yield provided.

GENERAL INFORMATION

TD Ameritrade does not provide legal or tax advice. Please consult your legal advisor or tax accountant when necessary. As a standard industry practice, phone conversations may be recorded for quality control and transaction verification purposes.

For TD Ameritrade Institutional clients, your advisor firm is separate from and not affiliated with TD Ameritrade, Inc. or TD Ameritrade Clearing, Inc., and each firm is not responsible for the products and services of the other.

Accuracy of Reports: If you find any errors or omissions in your account statement you should immediately call us at the number listed on page one of your statement. You should also contact our Clearing firm, TD Ameritrade Clearing, Inc. at 402-370-7724.

Please review this statement carefully. If you disagree with any transaction, or if there are any errors or omissions, please notify a Client Services representative or your local branch office, as well as TD Ameritrade Clearing, Inc. in writing within ten (10) days of your receipt of this statement. Any oral statements that you have made to us should be confirmed in writing. The statement will otherwise be considered conclusive.

Please notify us promptly of any changes in your contact information. Transactions reflected on your report will be conclusively deemed accurate unless you notify TD Ameritrade immediately.

Account Protection: Deposits held by TD Bank, N.A. and TD Bank USA, N.A., are insured by the FDIC (not covered by SIPC) up to \$250,000. Limits are per account ownership per institution. To learn more about FDIC coverage go to www.fdic.gov. Securities, including mutual funds, held in your Brokerage Account are not deposits or obligations of, or guaranteed by, any bank, are not FDIC-insured and involve investment risks, including possible loss of principal. TD Ameritrade, Inc. is a member of the Securities Investor Protection Corporation (SIPC), which protects securities customers of its members up to \$500,000 (including \$250,000 for claims for cash). Explanatory brochure available on request at www.sipc.org. TD Ameritrade also provides \$149.5 million worth of protection for each client through supplemental coverage provided by London insurers. The \$149.5 million of coverage includes an additional \$900,000 limit on cash in the account. Each client is limited to a combined return of \$150 million from a Trustee, SIPC or London. The TD Ameritrade Insurance Policy does have an aggregate total coverage of \$250 million over all customers. This policy provides you coverage against theft/following brokerage insolvency and does not protect against loss in market value of the securities.

An investment in a money market fund is not insured or guaranteed by the Federal Deposit Insurance Corporation (FDIC) or any other government agency. Although the funds seek to preserve the value of your investment at \$1.00 per share, it is possible to lose money by investing in a money market fund. Dividends are declared daily and paid/reinvested monthly. The prospectus contains this and other important information. Read the prospectus carefully before investing. Non-deposit investments held by your broker-dealer are NOT FDIC INSURED / NOT BANK GUARANTEED / MAY LOSE VALUE.

Tax Reporting: The portfolio report is not a tax document. You will receive Form 1099 for annual tax reporting in compliance with IRS requirements (includes taxable interest, dividends, capital gains, taxes withheld, and sales proceeds). Some payments are subject to reclassification which will be reflected on subsequent tax reports.

Cost Basis: Cost-Basis, tax lot and performance reporting and Gainskeeper are offered and conducted by COH INCORPORATED. TD Ameritrade is not responsible for the reliability or suitability of the information TD Ameritrade and its information providers ("Providers") do not guarantee the accuracy of the information and data provided. COH INCORPORATED is a separate company and is not affiliated with TD Ameritrade.

Margin and Options Account Agreements: Promptly advise TD Ameritrade in writing of any change in your investment objectives or financial situation as they pertain to your margin or options account agreements. A summary of commissions and charges incurred with the execution of options transactions is available.

Current Market Prices: The market values of securities have been obtained, if available, from quotation services and other independent sources. Values are based on the closing price, the mean between the bid and asking prices, or other methods. Non-Priced securities are indicated by "NP" and may affect statement balances and totals. The valuations on your portfolio report are provided as general information and we do not guarantee the accuracy of any securities prices. Limited Partnerships and non-traded Real Estate Investment Trusts are generally illiquid and have no public markets; annual valuations are obtained from a third party or the general partner. The secondary market for Certificates of Deposits (CDs) is generally illiquid and the actual value may be different from the purchase price. A significant loss of principal may result from the sale of a CD prior to maturity. Sale of bonds prior to maturity may result in a loss of principal. Mortgage backed positions are valued using the remaining balance and the current market price. Portfolio report valuations may not represent sales proceeds.

Non-Standard Assets: This statement shows all assets in your account including certain direct investments that may be held by a third party. TD Ameritrade is not responsible for third-party information, including the valuation of certain non-standard assets. The price shown represents an estimated value that is provided by an annual report or other source. The estimated value of these assets may not be realized when you try to liquidate the position. Assets held by a third party are not covered by the Securities Investor Protection Corporation (SIPC).

Auction Rate Securities ("ARS") Pricing: The market values for ARS securities have been obtained, if available, from quotation services or other independent sources. The accuracy of the pricing is not guaranteed. If a market value is not available, TD Ameritrade will price the ARS position, taking into consideration both the liquidity and underlying credit quality. The ARS market is currently experiencing a lack of liquidity and, as a result, there can be no assurance that such securities can be sold under current market conditions.

REGULATORY DISCLOSURES

All transactions are subject to (i) the constitution, rules, regulations, customs and usages of the exchange or market, and its clearinghouse, if any, on which such transactions are executed, (ii) federal laws, including the Securities Act of 1933 and the Securities Exchange Act of 1934, each as amended to date and in the future, and the rules and regulations promulgated thereunder; and (iii) the rules and regulations of FINRA and the Federal Reserve System.

For an investor brochure that includes information describing FINRA's Public Disclosure Program, please contact FINRA at 800-289-9999 or www.finra.org.

The interest rate shown for TD Ameritrade Cash is taken from the applicable interest rate tier for the Market Value balance in the TD Ameritrade Cash, as of the statement closing date. Simple interest is accrued daily based on the interest rate tier applicable to each day's balance.

Financial Statement Notification: The statement of financial condition for TD Ameritrade is available twice a year and may be obtained at no cost, via the internet at <http://www.tdameritrade.com/financialstatement.html>.

Allocation Method: In the event any of your securities are called or assigned, the securities will be selected on an impartial, random basis.

Free Credit Balances (Rule 15c3-2 & 3): Under the client protection rules, we may use free credit balances in your account in the ordinary course of our business which are payable to you on demand.

Margin Accounts (Regulation T): If you have a margin account, this report is a combination of your margin account and a special memorandum account. Trading on margin poses additional risks and is not suitable for all investors. A complete list of the risks associated with margin trading is available in the margin risk disclosure document. You may obtain a copy of this document by contacting us at the number listed on page one of your statement.

Payment for Order Flow (SEC Rules 606 and 607): In some circumstances, TD Ameritrade receives compensation for directing certain over-the-counter and listed equity order flow to selected market makers, brokers or dealers. Compensation is in the form of a per share cash payment. We post quarterly reports disclosing the venues for order routing execution at www.tdameritrade.com. A written copy is available upon request.

It is our policy, absent client orders to the contrary, to select brokers, dealers, and market makers based on the ability to provide best execution and the history of seeking price improvement on market orders. Price improvement is available under certain market conditions, and we regularly monitor executions to test for such improvement if available.

Trade Confirmations (Rule 10b-10): All confirmations are transmitted on the transaction date.

Privacy Policy Notification: A copy of the TD Ameritrade privacy policy is available at www.tdameritrade.com.

Claim 1076465



800-669-3900
TD AMERITRADE
DIVISION OF TD AMERITRADE INC
PO BOX 2209
OMAHA, NE 68103-2209

Statement Reporting Period:
12/01/10 - 12/31/10

Statement for Account # 1 [REDACTED]
RICHARD S ALLEGOOD
[REDACTED]

Announcements:
TD AMERITRADE NOW OFFERS UP TO
35% SAVINGS ON TAX PREPARATION
PRODUCTS. LEARN MORE AT
WWW.TDAMERITRADE.COM/TAXCENTER

Portfolio Summary

Current Price Period % Estimated Estimated

[REDACTED]					
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Margin Equity

Cash Activity Summary

Income & Expense Summary

Performance Summary

Current YTD Reportable Non Reportable YTD

[REDACTED]				
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Claim 1076465

Statement for Account # [REDACTED]

12/01/10 - 12/31/10

Cash Management Summary		Current
Description		
DEBITS		
Electronic Transfer		[REDACTED]
Subtotal		
TOTAL		

Income Summary Detail*	
Description	
Interest Income Credit Balance	[REDACTED]
Margin Interest Charged	[REDACTED]
Qualified Dividends	[REDACTED]
IDA Interest	[REDACTED]

*This section displays current and year to date taxation values for this account. The current totals may not equate to the total payments listed on this statement as corrections to tax reporting may also be included. These corrections can include changes made to previous payments and removal of payments reportable in a previous tax year (spillover dividends). The year to date totals will accurately reflect your cumulative amount for the year.

Account Positions										
Investment Description	Symbol/ CUSIP	Quantity	Current Price	Market Value	Purchase Date	Cost Basis	Average Cost	Unrealized Gain(Loss)	Estimated Income	Yield
Stocks - Margin										
ARENA PHARMACEUTICALS INC COM	ARNA	20,000	\$ 1.72	\$ 34,400.00	12/08/10	\$ 32,809.75	\$ 1.64	\$ 1,590.25	\$ -	-
[REDACTED]										

Total Stocks	[REDACTED]
Total Margin Account	[REDACTED]

Claim 1076465

Statement for Account # [REDACTED]
12/01/10 - 12/31/10

Cash Management Transaction Detail			
Category	Transaction Date	Description	Amount
DEBITS			
Electronic Transfer	12/02/2010	[REDACTED]	
	12/08/2010		
	12/14/2010		
	12/28/2010		
<i>Subtotal</i>			
TOTAL			

Account Activity									
Trade Date	Settle Date	Acct Type	Transaction/ Cash Activity*	Description	Symbol/ CUSIP	Quantity	Price	Amount	Balance
Opening Balance									
[REDACTED]									
12/08/10	12/13/10	Margin	Buy - Securities Purchased	ARENA PHARMACEUTICALS INC COM	ARNA	2,400	1.6399	(3,945.75)	(30,626.40)
12/08/10	12/13/10	Margin	Buy - Securities Purchased	ARENA PHARMACEUTICALS INC COM	ARNA	17,600	1.64	(28,864.00)	(59,490.40)
[REDACTED]									

Closing Balance

*For Cash Activity totals, refer to the Cash Activity Summary on page one of your statement.

Claim 1076465

Statement for Account # [REDACTED]

12/01/10 - 12/31/10

TD Ameritrade Cash Interest Credit/Expense						
Begin Date	Margin Balance	Credit Balance	Number of Days	Interest Rate	Interest Debited	Interest Credited
12/01/10						
12/02/10						
12/08/10						
12/13/10						
12/14/10						
12/27/10						
12/28/10						
Total Interest Income						

Important Information

The most recent statement of financial condition for TD Ameritrade Clearing, Inc. may be obtained at no cost, via the Internet at <http://www.tdameritrade.com/financialstatement.html>, on or before 12/29/10, or by contacting TD Ameritrade Clearing, Inc. at 1-800-237-8692. As of 9/30/10 TD Ameritrade Clearing, Inc. had net capital and a net capital requirement of \$1.1 billion and \$177.6 million, respectively. A copy of the report and comments is currently available for customers' inspection at the principal office of the commission in Washington DC and the Denver, CO office of the Commission.

Annual Margin Disclosure

Securities purchased on margin are the firm's collateral for the loan to you. If the securities in your account decline in value, so does the value of the collateral supporting your loan. As a result, the firm can take action, such as issuing a margin call and/or selling securities or other assets in any of your accounts held with TD Ameritrade, in order to maintain the required equity in the account.

It is important that you fully understand the risks involved in trading securities on margin: You can lose more funds than you deposit in the margin account. The firm can force the sale of securities or other assets in your account(s). The firm can sell your securities or other assets without contacting you. You are not entitled to choose which securities or other assets in your account(s) are liquidated or sold to meet a margin call. The firm can increase its "house" maintenance requirements at any time and is not required to provide you advance written notice. You are not entitled to an extension of time on a margin call.

Anti-Money Laundering Requirements

The USA PATRIOT Act is designed to detect, deter, and punish terrorists and/or terrorist groups in the United States and abroad. The Act requires U.S. financial institutions and brokerage firms to implement comprehensive anti-money laundering programs.

What is money laundering?

Money laundering is the process of disguising illegally obtained funds so that they appear to come from legitimate sources or activities. Money laundering occurs in connection with a wide variety of crimes, including (but not limited to) illegal arms sales, drug trafficking, fraud, terrorism, embezzlement, and political corruption.

Claim 1076465

Statement for Account # [REDACTED]

12/01/10 - 12/31/10

Important Information

How big is the problem and why is it important?

According to the U.S. Department of State, one recent estimate puts the amount of worldwide money laundering activity at roughly \$1 trillion per year. The use of the U.S. financial system by criminals to facilitate terrorism or other crimes could taint our financial markets.

What is TD Ameritrade required to do?

As required by the USA PATRIOT Act, our anti-money laundering program designates a special Compliance Officer to ensure compliance with applicable laws, maintains policies and procedures to detect and report suspicious transactions, performs employee training, and conducts independent audits. Federal law also requires all financial institutions to obtain, verify, and record information that identifies each person who opens an account. As part of this requirement, we may ask you to provide various identification documents or other information. Until you provide the information or documents required, we may not be able to open an account or process transactions for you.

We thank you for your patience and look forward to your support in our efforts to deny terrorist groups access to America's financial system.

Claim 1076465



Thu Jun 21 2018 5:19:16 pm ET

[Printer-friendly page](#) | [Page help](#)

History & Statements

Transactions	Statements	Confirmations	Shareholder Library
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Type:

Symbol(s): [Symbol lookup](#)
(separate symbols with commas)

View: [1 day](#) [7 days](#) [14 days](#) [60 days](#) [Current Year](#) [Previous Year](#)

View date/range

from:

to: [View](#) or [Download](#)

Account Balances

12/8/2010 Cash balance	-\$19,680.65
12/10/2010 Cash balance	-\$59,490.40
Net change	\$0.00

Need a copy of a statement?

Go to the Statements tab, select a date range, and click "View" to access, download, and print previous account statements.

Search results for 12/8/2010 to 12/10/2010

Date/Time	Description	Amount	Net Cash Balance
12/08/2010 09:33:22	Bought 2400 ARNA @ 1.6399	-3,945.75	-23,626.40
12/08/2010 09:33:22	Bought 17600 ARNA @ 1.64	-28,864.00	-52,490.40

An indication on this page that an interest payment is "taxable" or "non-taxable" refers to federal taxation only. Interest income may be subject to AMT or state and local taxes. TD Ameritrade does not provide tax advice. Please consult a qualified tax advisor to discuss your individual tax situation.

Non-deposit investment products NOT FDIC INSURED/NO BANK GUARANTEE/MAY LOSE VALUE.

The "Savings Account balance" is the interest-earning cash you hold in a non-sweep savings account at TD Bank USA, N.A. TD Bank USA, N.A. and TD Ameritrade, Inc. are affiliated through their parent companies.

This is not an offer or solicitation in any jurisdiction where we are not authorized to do business or where such offer or solicitation would be contrary to the local laws and regulations of that jurisdiction, including, but not limited to persons residing in Australia, Canada, Hong Kong, Japan, Saudi Arabia, Singapore, UK, and the countries of the European Union.

Unauthorized access and use is prohibited. Usage is monitored. TD Ameritrade, Inc., member [FINRA/SIPC](#). TD Ameritrade is a trademark jointly owned by TD Ameritrade IP Company, Inc. and The Toronto-Dominion Bank. © 2018 TD Ameritrade IP Company, Inc. All rights reserved.

As your agreement for the receipt and use of market data provides, the securities markets (1) reserve all rights to the market data that they make available; (2) do not guarantee that data; and (3) shall not be liable for any loss due either to their negligence or to any cause beyond their reasonable control.

YOUR RECEIPT AND USE OF THIS SERVICE IS SUBJECT TO THE TERMS AND CONDITIONS OF YOUR [ELECTRONIC AGREEMENT](#) WITH TD Ameritrade.

Claim 1001856

Must be
Postmarked
No Later Than
April 13, 2018

Arena Securities Litigation
c/o GCG
P.O. Box 10526
Dublin, OH 43017-0526
Toll-Free: (877) 981-9683

SAP



Settlement Website: www.ArenaPharmaceuticalsClassActionSettlement.com

SAP0200187534



NFS/FMTC IRA
FBO THERESA SHEPHERD SCOTT

Claim Number: 01001856

Control Number: 0339865321

Schueneman v. Arena Pharmaceuticals, Inc.
PROOF OF CLAIM AND RELEASE

IF YOU PURCHASED COMMON STOCK OF ARENA PHARMACEUTICALS, INC. ("ARENA") FROM MARCH 17, 2008 THROUGH JANUARY 27, 2011, INCLUSIVE, AND SUFFERED LOSSES AS A RESULT OF SUCH PURCHASE, YOU ARE A "SETTLEMENT CLASS MEMBER" AND YOU MAY BE ENTITLED TO SHARE IN THE SETTLEMENT PROCEEDS.

IF YOU ARE A SETTLEMENT CLASS MEMBER, YOU MUST COMPLETE AND SUBMIT THIS FORM IN ORDER TO BE ELIGIBLE FOR ANY SETTLEMENT BENEFITS.

TO BE ELIGIBLE TO RECEIVE A DISTRIBUTION IN THE SETTLEMENT, YOU MUST COMPLETE AND SIGN THIS PROOF OF CLAIM AND RELEASE ("PROOF OF CLAIM") AND MAIL IT BY FIRST CLASS MAIL, POSTAGE PREPAID, **POSTMARKED NO LATER THAN APRIL 13, 2018**, TO THE CLAIMS ADMINISTRATOR, AT THE ADDRESS SET FORTH ABOVE.

YOUR FAILURE TO TIMELY SUBMIT A COMPLETED PROOF OF CLAIM WILL SUBJECT YOUR CLAIM TO REJECTION AND PRECLUDE YOUR RECEIVING ANY MONEY IN CONNECTION WITH THE SETTLEMENT OF THIS ACTION. DO NOT MAIL OR DELIVER YOUR CLAIM TO THE COURT OR TO ANY OF THE PARTIES OR THEIR COUNSEL AS ANY SUCH CLAIM WILL BE DEEMED NOT TO HAVE BEEN SUBMITTED. SUBMIT YOUR CLAIM ONLY TO THE CLAIMS ADMINISTRATOR.

IF YOU ARE NOT A SETTLEMENT CLASS MEMBER, OR IF YOU FILED A REQUEST FOR EXCLUSION FROM THE SETTLEMENT CLASS, DO NOT SUBMIT A PROOF OF CLAIM. YOU MAY NOT, DIRECTLY OR INDIRECTLY, PARTICIPATE IN THE SETTLEMENT IF YOU ARE NOT A SETTLEMENT CLASS MEMBER OR IF YOU SUBMIT A VALID AND TIMELY REQUEST FOR EXCLUSION.

Submission of this Form does not guarantee that you will share in the proceeds of the Settlement. Distribution of the Net Settlement Fund will be governed by the Plan of Allocation set forth in the Notice, if it is approved by the Court, or by such other plan of allocation as the Court approves.

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Important - This form should be completed IN CAPITAL LETTERS using BLACK or DARK BLUE ballpoint/fountain pen. Characters and marks used should be similar in the style to the following:

A B C D E F G H I J K L M N O P Q R S T U V W X Y Z 1 2 3 4 5 6 7 0



CLAIMANT'S STATEMENT

1. I (we) purchased or otherwise purchased shares of Arena common stock between March 17, 2008 and January 27, 2011, inclusive, and claim to have suffered losses as a result of such purchase.
2. By submitting this Proof of Claim, I (we) state that I (we) believe in good faith that I am (we are) a Settlement Class Member as defined above and in the Notice of Pendency and Proposed Settlement of Class Action (the "Notice"), or am (are) acting for such person(s); that I am (we are) not a Defendant in the Action for anyone excluded from the Settlement Class; that I (we) have read and understand the Notice; that I (we) believe that I am (we are) entitled to receive a share of the Net Settlement Fund, as defined in the Notice; that I (we) elect to participate in the proposed Settlement described in the Notice; and that I (we) have not filed a request for exclusion. (Note: If you are acting in a representative capacity on behalf of a Settlement Class Member [e.g., as an executor, administrator, trustee, or other representative], you must submit evidence of your current authority to act on behalf of that Class Member. Such evidence would include, for example, letters testamentary, letters of administration, or a copy of the trust documents.)
3. I (we) consent to the jurisdiction of the Court with respect to all questions concerning the validity of this Proof of Claim. I (we) understand and agree that my (our) claim may be subject to investigation and discovery under the Federal Rules of Civil Procedure, provided that such investigation and discovery shall be limited to my (our) status as a Settlement Class Member(s) and the validity and amount of my (our) claim. No discovery shall be allowed on the merits of the Action or Settlement in connection with processing of the Proof of Claim.
4. I (we) have set forth where requested below all relevant information with respect to each purchase of Arena common stock during the Class Period, and each sale, if any, of such securities. I (we) agree to furnish additional information to the Claims Administrator to support this claim if requested to do so.
5. I (we) have enclosed photocopies of the stockbroker's confirmation slips, stockbroker's statements, or other documents evidencing each purchase, sale or retention of Arena common stock listed below in support of my (our) claim. (Note: IF ANY SUCH DOCUMENTS ARE NOT IN YOUR POSSESSION, PLEASE OBTAIN A COPY OR EQUIVALENT DOCUMENTS FROM YOUR BROKER BECAUSE THESE DOCUMENTS ARE NECESSARY TO PROVE AND PROCESS YOUR CLAIM.)
6. I (we) understand that the information contained in this Proof of Claim is subject to such verification as the Claims Administrator may request or as the Court may direct, and I (we) agree to cooperate in any such verification. (Note: The information requested herein is designed to provide the minimum amount of information necessary to process most simple claims. The Claims Administrator may request additional information as required to efficiently and reliably calculate your recognized claim. In some cases, the Claims Administrator may condition acceptance of the claim based upon the production of additional information.
7. Upon the occurrence of the Court's approval of the Settlement, as detailed in the Notice, I (we) agree and acknowledge that my (our) signature(s) hereto shall effect and constitute a full and complete release, remise and discharge by me (us) and my (our) heirs, joint tenants, tenants in common, beneficiaries, executors, administrators, predecessors, successors, attorneys, insurers and assigns (or, if I am (we are) submitting this Proof of Claim on behalf of a corporation, a partnership, estate or one or more other persons, by it, him, her or them, and by its, his, her or their heirs, executors, administrators, predecessors, successors, and assigns) of each of the "Released Parties" of all "Release of Claims," as defined in the Notice.
8. NOTICE REGARDING ELECTRONIC FILES: Certain claimants with large numbers of transactions may request, or may be requested, to submit information regarding their transactions in electronic files. All Claimants MUST submit a manually signed paper Proof of Claim form listing all their transactions whether or not they also submit electronic copies. If you wish to file your claim electronically, you must contact the Claims Administrator at eClaim@choossegcg.com or visit their website at www.ArenaPharmaceuticalsClassActionSettlement.com to obtain the required file layout. No electronic files will be considered to have been properly submitted unless the Claims Administrator issues to the Claimant a written acknowledgment of receipt and acceptance of electronically submitted data.



PART I - CLAIMANT INFORMATION

Claimant Contact Information:

The Claims Administrator will use this information for all communications relevant to this claim (including the check, if eligible for payment). If this information changes, you **MUST** notify the Claims Administrator in writing at the address above.

Claimant Name(s) (as the name(s) should appear on the check, if eligible for payment; if the shares are or were jointly owned, the names of all beneficial owners must be provided):

Name:

Theresa Scott

Address:

[Redacted Address]

City:

[Redacted City]

State:

[Redacted State]

Zip Code:

[Redacted Zip Code]

Foreign Province:

[Redacted Foreign Province]

Foreign Country:

[Redacted Foreign Country]

Daytime Telephone Number:

[Redacted Daytime Telephone Number]

Evening Telephone Number:

[Redacted Evening Telephone Number]

Social Security Number (for individuals) OR Taxpayer Identification Number (for estates, trusts, corporations, etc.)

[Redacted Social Security Number]

Please complete the appropriate section carefully. Failure to complete it in its entirety will result in the forfeiture of your rights to receive the Securities if any are distributed. You may only complete one.

Section A. Individual / Sole Proprietor. Use of initials only (e.g. J.G. Doe) is not acceptable.

- ☒ Individual.
☐ Sole Proprietor

Name:

Theresa Scott

Section B. Joint Ownership. Please indicate the type of tenancy.

- ☐ Joint Tenants - Jt Ten
(includes right of survivorship - JTWROS)
☐ Tenants in Common - Ten Com
☐ Tenants by Entireties - Ten Ent

Name(s):

[Redacted Name(s)]



4

PART I - CLAIMANT INFORMATION CONTINUED

Section C. Retirement accounts. Please indicate the type of retirement account.

- ☒ IRA
☐ Roth IRA
☐ Keogh
(Defined Benefit Plan or Defined Contribution Plan)
☐ Other (please describe) _____

Custodian:

Merrill Lynch

Beneficiary:

Brian Scott

Date of Plan:

/ /

Section D. Private or public entity. Please indicate the entity type and check one box below.

- ☐ Corporation
☐ Partnership
☐ Limited Liability Company
☐ Non-Profit Organization
☐ Foundation

Section E. Custodianships.

- ☐ UCTA
☐ UGMA
☐ UTMA

Custodian:

State:

Minor:

Age:

Section F. Under Trust agreements. Please indicate the name of the trustee(s), the name of the Trust and the date of the Trust agreement in the space provide below)

Name of trustee(s):

Name of Trust:

Date of Trust Agreement:

/ /

Section G. Estate. Please indicate the fiduciary capacity and the name of the person or entity authorized to hold such capacity as follows. (e.g. Include the name of the deceased and the executor)

- ☐ Executor (EX)
☐ Personal Representative (Per Rep)
☐ Administrator (Adm)
☐ Conservator (Cons)
☐ Other. Please explain _____

Fiduciary Name(s):

Estate of:

Date of Agreement:

/ /

PART II - SCHEDULE OF TRANSACTIONS IN ARENA COMMON STOCK

Shares

B. PURCHASES/ACQUISITIONS: Separately list each and every purchase of Arena common stock during the period from March 17, 2008 and April 27, 2011, inclusive, and provide the following information (*must be documented*):¹

Trade Date (List Chronologically) (Month/Day /Year)	Number of Shares Purchased/Acquired	Purchase/Acquisition Price Per Share	Total Cost (excluding taxes, commissions and fees)
02 / 09 / 09	7000	6.28	43960
02 / 09 / 09	3000	6.28	18840
/ /			
/ /			

C. SALES: For shares purchased separately list each and every sale of Arena common stock during the period March 17, 2008 and April 27, 2011, inclusive, and provide the following information (*must be documented*):

Trade Date (List Chronologically) (Month/Day/Year)	Number of Shares Sold	Sale Price Per Share	Amount Received (excluding taxes, commissions and fees)
03 / 08 / 10	1000	3.01	3010.00

Shares

IF YOU NEED ADDITIONAL SPACE TO LIST YOUR TRANSACTIONS YOU MUST
PHOTOCOPY THIS PAGE, PRINT YOUR NAME AND SOCIAL SECURITY OR TAXPAYER
IDENTIFICATION NUMBER AT THE TOP OF EACH SHEET AND CHECK THIS BOX:

IF YOU DO NOT CHECK THIS BOX THESE ADDITIONAL PAGES WILL NOT BE REVIEWED.

Please note: Information requested with respect to your purchases/acquisitions of Arena common stock from after the close of trading on January 27, 2011 through and including the close of trading on April 27, 2011 is needed in order to balance your claim; purchases/acquisitions during this period, however, are not eligible under the Settlement and will not be used for purposes of calculating your Recognized Loss pursuant to the Plan of Allocation.



PART III - SUBSTITUTE FORM W-9

Request for Taxpayer Identification Number (Required for Receipt of Stock):

Enter taxpayer identification number below for the Beneficial Owner(s). For most individuals, this is your Social Security Number. The Internal Revenue Service ("I.R.S.") requires such taxpayer identification number. If you fail to provide this information, your claim may be rejected.

Social Security Number (for individuals) OR Taxpayer Identification Number (for estates, trusts, corporations, etc.)



PART IV - CERTIFICATION AND RELEASE

Definitions

All capitalized terms used but not defined herein shall have the same meanings as in the Notice and the Stipulation and Agreement of Settlement dated November 3, 2017 ("the Stipulation"), which is posted on the Claims Administrator's website at www.ArenaPharmaceuticalsClassActionSettlement.com. In addition, the following terms shall have the following meanings:

1. "Defendants" means Arena Pharmaceuticals, Inc., Jack Lief, Robert E. Hoffman, Dominic P. Behan, William R. Shanahan, Jr., and Christen Anderson.
2. "Released Persons" means each and all of the Defendants and each and all of their Related Persons.
3. "Related Persons" means each of the Released Persons' and their legal affiliates' past or present directors, officers, employees, partners, insurers, co-insurers, reinsurers, principals, controlling shareholders, attorneys, accountants, auditors, investment advisors, personal or legal representatives, predecessors, successors, parents, subsidiaries, divisions, joint ventures, assigns, spouses, heirs, estates, related or affiliated entities, any entity in which a Released Person has a controlling interest, any members of an Individual Defendant's immediate family, any trust of which an Individual Defendant is the settlor or which is for the benefit of an Individual Defendant and/or any member of an Individual Defendant's immediate family, and any entity in which a Defendant and/or any member of an Individual Defendant's immediate family has or have a controlling interest (directly or indirectly).
4. "Released Claims" means any and all claims, demands, rights, causes of action or liabilities of every nature and description whatsoever (including, but not limited to, any claims for damages, interest, attorneys' fees, expert or consulting fees, and any other costs, expenses or liabilities whatsoever), whether based on federal, state, local, foreign, statutory or common law or any other law, rule, ordinance, administrative provision or regulation, including Unknown Claims as defined in ¶1.30 of the Stipulation, whether class or individual in nature, whether fixed or contingent, accrued or unaccrued, liquidated or unliquidated, at law or in equity, matured or unmatured, concealed or hidden, suspected or unsuspected, which now exist or heretofore have existed, that were asserted or could have been asserted by Lead Plaintiff or any Settlement Class Member against the Released Persons based on, arising from or relating to both: (i) the purchase, acquisition, holding, disposition, or sale of Arena common stock during the Class Period; and (ii) the allegations, transactions, facts, matters, events, disclosures, registration statements, public filings, acts, occurrences, representations, statements, omissions or failures to act that occurred during the Class Period and that were or could have been alleged by Lead Plaintiff in the Action against the Released Persons based upon the facts alleged in the Complaint. Released Claims does not include claims to enforce the Settlement.
5. "Unknown Claims" means collectively any Released Claims which Lead Plaintiff or any Settlement Class Member do not know or suspect to exist in his, her or its favor at the time of the release of the Released Persons which, if known by him, her or it, might have affected his, her or its settlement with and release of the Released Persons, or might have affected his, her or its decision not to object to this Settlement or seek exclusion from the Settlement Class. With respect to any and all Released Claims, the Settling Parties stipulate and agree that, upon the Effective Date, Lead Plaintiff shall expressly waive and each of the Class Members shall be deemed to have, and by operation of the Judgment shall have, expressly waived the provisions, rights, and benefits of California Civil Code §1542, which provides:



PART IV- CERTIFICATION AND RELEASE CONTINUED

A general release does not extend to claims which the creditor does not know or suspect to exist in his or her favor at the time of executing the release, which if known by him or her must have materially affected his or her settlement with the debtor.

Lead Plaintiff shall expressly waive and each of the Settlement Class Members shall be deemed to have, and by operation of the Judgment shall have, expressly waived any and all provisions, rights, and benefits conferred by any law of any state or territory of the United States or any foreign country, or any principle of common law, which is similar, comparable or equivalent in substance to California Civil Code §1542. Lead Plaintiff and Settlement Class Members may hereafter discover facts in addition to or different from those which he, she or it now knows or believes to be true with respect to the subject matter of the Released Claims, but upon the Effective Date, each Settlement Class Member, and Lead Plaintiff expressly, shall be deemed to have, and by operation of the Judgment shall have, fully, finally, and forever settled and released any and all Released Claims, known or unknown, suspected or unsuspected, contingent or non-contingent, whether or not concealed or hidden, which now exist, or heretofore have existed, upon any theory of law or equity now existing or coming into existence in the future, including, but not limited to, conduct which is negligent, intentional, with or without malice, or a breach of any duty, law or rule, without regard to the subsequent discovery or existence of such different or additional facts. Lead Plaintiff acknowledges, and the Settlement Class Members shall be deemed by operation of the Judgment to have acknowledged, that the foregoing waiver was separately bargained for and a material element of the Settlement of which this release is a part.

Submission to Jurisdiction of Court and Acknowledgements and Affirmations

I (we) submit this Proof of Claim and Release Form under the terms of the Stipulation of Settlement described in the Notice. I (we) also submit to the jurisdiction of the United States District Court for the Southern District of California with respect to my claim as a Settlement Class Member and for purposes of enforcing the release set forth herein. I (we) further acknowledge that I am (we are) bound and subject to the terms of any judgment that may be entered in the Action. I (we) affirm that I (we) purchased Arena common stock between March 17, 2008 and January 27, 2011, inclusive, and claim to have suffered losses as a result of such purchase. By submitting this Proof of Claim and Release Form, I (we) state that I (we) believe in good faith that I am a (we are) Settlement Class Member(s) as defined in the Notice or am (are) acting for such person; that I am (we are) not a Defendant in the Action or anyone excluded from the Settlement Class; that I (we) have read and understand the Notice; that I (we) believe that I am (we are) entitled to receive a share of the Net Settlement Fund; that I (we) elect to participate in the proposed Settlement described in the Notice; that I (we) have not filed a request for exclusion; and that I (we) have not submitted any other claim covering the same purchases of Arena common stock between March 17, 2008 and January 27, 2011, inclusive, and know of no other person having done so on my (our) behalf. I (We) have set forth where requested herein all relevant information with respect to each purchase or acquisition of Arena common stock between March 17, 2008 and January 27, 2011, inclusive. I (we) agree to furnish additional information to the Claims Administrator to support this claim if requested to do so. I (we) understand that no discovery shall be allowed on the merits of the Action or Settlement in connection with processing of the Proof of Claim and in particular that no discovery shall be permitted against any Defendants in connection with any Proof of Claim.



PART IV- CERTIFICATION AND RELEASE CONTINUED

Release

I (We) hereby acknowledge, on behalf of myself (ourselves) and my (our) heirs, agents, executors, administrators, predecessors, successors, and assigns (or, if submitting this Proof of Claim and Release Form on behalf of a corporation, a partnership, estate or one or more other persons, on behalf of it, him, her or them and on behalf of its, his, her or their heirs, agents, executors, administrators, predecessors, successors, and assigns), full and complete satisfaction of, and do hereby fully, finally and forever settle, release and discharge from the Released Claims each and all of the Released Parties, and I (we) shall forever be enjoined from prosecuting any or all Released Claims against any Released Parties.

This release shall be of no force or effect unless and until the Court approves the Stipulation and the Stipulation becomes effective on the Effective Date (as defined in the Stipulation).

I (We) hereby warrant and represent that I (we) have not assigned or transferred or purported to assign, transfer, voluntarily or involuntarily, any matter released pursuant to this release or any other part or portion thereof.

I (We) hereby warrant and represent that I (we) have included information about all of my (our) transactions in Arena common stock that occurred during the Class Period, as well as the number of shares of Arena held by me (us) at the beginning of trading on March 17, 2008 and at the close of trading on January 27, 2011.

I (We) certify that I am (we are) not subject to backup withholding under the provisions of Section 3406(a)(1)(C) of the Internal Revenue Code.

Note: If you have been notified by the Internal Revenue Service that you are subject to backup withholding, please strike out the language that you are not subject to backup withholding in the certification above.

I (We) declare under penalty of perjury under the laws of the United States of America that the foregoing information supplied by the undersigned is true and correct.

Signature

Date

Print Name

Email Address

Day Telephone

Evening Telephone

On Behalf of:

(Print name of corporation, partnership, estate, or other entity if you are submitting this form on behalf of one of them.)

Signature

Date

Print Name

Email Address

Day Telephone

Evening Telephone

On Behalf of:

(Print name of corporation, partnership, estate, or other entity if you are submitting this form on behalf of one of them.)

Arena Securities Litigation
c/o GCG
P.O. Box 10526
Dublin, OH 43017-0526
(877) 981-9683
www.ArenaPharmaceuticalsClassActionSettlement.com
SAP0210296874



THERESA SHEPHERD SCOTT



Mailing Date: June 15, 2018
Response Due Date: July 5, 2018
Claim No: 1001856

Eligible Securities:
Arena common stock

Class Period:
March 17, 2008 through January 27, 2011, inclusive

NOTICE OF CONDITIONAL REJECTION OF YOUR ENTIRE CLAIM

Dear Claimant:

The Claim Form you submitted in the Arena Securities Litigation was processed and conditionally rejected in its entirety pursuant to the terms of the Settlement as approved by the Court. The reason(s) for the rejection of your entire claim is on the back of this letter.

NO FURTHER ACTION IS REQUIRED IF YOU AGREE WITH OUR DETERMINATIONS AND/OR DO NOT WISH TO FIX YOUR CLAIM.

To fix or otherwise complete your claim, please follow the directions on the back of this letter. If a noted deficiency relates to documentation submitted, please do not merely re-submit the same documentation as was included with the original submission of your claim. That documentation was determined to be incomplete or inadequate. If your response cures some but not all of the identified conditions of ineligibility, your claim will be considered to the extent cured. Please note: Regardless of how many deficiencies are cured, in order for the claim to be eligible to any extent, IT MUST CALCULATE TO A RECOGNIZED CLAIM UNDER THE PLAN OF ALLOCATION.

If you fail to respond by the Response Due Date above, or if your response fails to sufficiently cure the ineligible condition(s) identified, this claim will be rejected in its entirety.

If you have any questions regarding this letter, please call the Claims Administrator at 877-981-9683 for a detailed description of the reasons your Claim Form has been rejected.

Sincerely,

The Claims Administrator
Arena Securities Litigation

*****THIS IS THE ONLY NOTICE YOU WILL RECEIVE FOR THIS CLAIM*****
IMPORTANT INFORMATION ABOUT YOUR CLAIM AND THE NEXT STEPS YOU MAY TAKE ARE
ON THE BACK OF THIS LETTER. IF YOU HAVE ADDITIONAL QUESTIONS, PLEASE CALL US AT
877-981-9683.



Claim No: 1001856

YOU MUST INCLUDE A COPY OF THIS LETTER WITH ANY RESPONSE AND YOU MUST REFERENCE YOUR CLAIM NUMBER ON ALL CORRESPONDENCE AND DOCUMENTATION.

PROBLEM(S) WITH YOUR CLAIM:

PROBLEM WITH YOUR CLAIM:

YOU DID NOT PROVIDE ANY DOCUMENTATION TO SUPPORT YOUR CLAIM.

HOW TO FIX YOUR CLAIM:

To complete your claim, you must return this letter along with appropriate supporting documentation. Appropriate supporting documentation includes periodic brokerage statements or broker's trade confirmations (handwritten or self-generated information is not acceptable) showing all transactions and holdings positions listed on your Claim Form.

Failure to substantiate your transactions and holdings positions will likely result in a reduction of your payment, or in no payment at all.

If you do not intend to supply additional information/documentation, and you still disagree with the determination, you may request Court review of the determination. To request Court review, you must send a letter to the claims administrator within twenty (20) days of the date of this letter and it must: (1) specifically state that you "request that the Court review the rejection of your claim," (2) state your argument(s) for why your claim should be accepted, (3) attach any supporting documents you may have to support your argument, and (4) be signed. Please also include a copy of this letter when requesting Court review of the rejection of your claim. If your dispute with the determination to reject your claim cannot otherwise be resolved, your claim and its administrative rejection will be presented to the Court for review. PLEASE NOTE: COURT REVIEW SHOULD ONLY BE SOUGHT IF YOU DISAGREE WITH THE DETERMINATION TO REJECT YOUR CLAIM.

Claim 1001856

Mrs. Theresa Shepherd Scott



June 26, 2018

Dear: Claims Administrator:

I formally request that the Court review the rejection of my claim number 1001856. This claim was denied due to insufficient documentation was presented to support my claim. I purchased stock in Arena Pharmaceuticals in 2008 and 2009 almost ten years ago. Quick and Reilly was the brokerage I used to purchase the Arena stock. Fleet Bank subsequently bought Quick and Reilly, then Fleet Bank was purchased by Merrill Lynch.

I do not have the trading confirmations from that long ago. It is unreasonable to expect me to have them. I do not keep tax returns from nearly ten years ago. Merrill Lynch does not have any records of these transactions either.

I ask this court to please reexamine the rejection of my claims and approve my claims. Thank you for your time in adjudicating this matter.

Sincerely,

A handwritten signature in black ink, appearing to read 'Theresa Shepherd Scott', with a long horizontal flourish extending to the right.

Theresa Shepherd Scott

Claim 1001860

Must be
Postmarked
No Later Than
April 13, 2018

Arena Securities Litigation
c/o GCG
P.O. Box 10526
Dublin, OH 43017-0526
Toll-Free: (877) 981-9683

SAP



Settlement Website: www.ArenaPharmaceuticalsClassActionSettlement.com

SAP0200187922



NFS/FMTC SEP IRA
ERO BRIAN SCOTT

Claim Number: 01001860

Control Number: 1296223831

Schueneman v. Arena Pharmaceuticals, Inc.

PROOF OF CLAIM AND RELEASE

IF YOU PURCHASED COMMON STOCK OF ARENA PHARMACEUTICALS, INC. ("ARENA") FROM MARCH 17, 2008 THROUGH JANUARY 27, 2011, INCLUSIVE, AND SUFFERED LOSSES AS A RESULT OF SUCH PURCHASE, YOU ARE A "SETTLEMENT CLASS MEMBER" AND YOU MAY BE ENTITLED TO SHARE IN THE SETTLEMENT PROCEEDS.

IF YOU ARE A SETTLEMENT CLASS MEMBER, YOU MUST COMPLETE AND SUBMIT THIS FORM IN ORDER TO BE ELIGIBLE FOR ANY SETTLEMENT BENEFITS.

TO BE ELIGIBLE TO RECEIVE A DISTRIBUTION IN THE SETTLEMENT, YOU MUST COMPLETE AND SIGN THIS PROOF OF CLAIM AND RELEASE ("PROOF OF CLAIM") AND MAIL IT BY FIRST CLASS MAIL, POSTAGE PREPAID, **POSTMARKED NO LATER THAN APRIL 13, 2018**, TO THE CLAIMS ADMINISTRATOR, AT THE ADDRESS SET FORTH ABOVE.

YOUR FAILURE TO TIMELY SUBMIT A COMPLETED PROOF OF CLAIM WILL SUBJECT YOUR CLAIM TO REJECTION AND PRECLUDE YOUR RECEIVING ANY MONEY IN CONNECTION WITH THE SETTLEMENT OF THIS ACTION. DO NOT MAIL OR DELIVER YOUR CLAIM TO THE COURT OR TO ANY OF THE PARTIES OR THEIR COUNSEL AS ANY SUCH CLAIM WILL BE DEEMED NOT TO HAVE BEEN SUBMITTED. SUBMIT YOUR CLAIM ONLY TO THE CLAIMS ADMINISTRATOR.

IF YOU ARE NOT A SETTLEMENT CLASS MEMBER, OR IF YOU FILED A REQUEST FOR EXCLUSION FROM THE SETTLEMENT CLASS, DO NOT SUBMIT A PROOF OF CLAIM. YOU MAY NOT, DIRECTLY OR INDIRECTLY, PARTICIPATE IN THE SETTLEMENT IF YOU ARE NOT A SETTLEMENT CLASS MEMBER OR IF YOU SUBMIT A VALID AND TIMELY REQUEST FOR EXCLUSION.

Submission of this Form does not guarantee that you will share in the proceeds of the Settlement. Distribution of the Net Settlement Fund will be governed by the Plan of Allocation set forth in the Notice, if it is approved by the Court, or by such other plan of allocation as the Court approves.

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PART III - SUBSTITUTE FORM W-9	6
PART IV - CERTIFICATION AND RELEASE	6-8

Important - This form should be completed IN CAPITAL LETTERS using BLACK or DARK BLUE ballpoint/fountain pen. Characters and marks used should be similar in the style to the following:

A B C D E F G H I J K L M N O P Q R S T U V W X Y Z 1 2 3 4 5 6 7 0



CLAIMANT'S STATEMENT

1. I (we) purchased or otherwise purchased shares of Arena common stock between March 17, 2008 and January 27, 2011, inclusive, and claim to have suffered losses as a result of such purchase.
2. By submitting this Proof of Claim, I (we) state that I (we) believe in good faith that I am (we are) a Settlement Class Member as defined above and in the Notice of Pendency and Proposed Settlement of Class Action (the "Notice"), or am (are) acting for such person(s); that I am (we are) not a Defendant in the Action or anyone excluded from the Settlement Class; that I (we) have read and understand the Notice; that I (we) believe that I am (we are) entitled to receive a share of the Net Settlement Fund, as defined in the Notice; that I (we) elect to participate in the proposed Settlement described in the Notice; and that I (we) have not filed a request for exclusion. (Note: If you are acting in a representative capacity on behalf of a Settlement Class Member [e.g., as an executor, administrator, trustee, or other representative], you must submit evidence of your current authority to act on behalf of that Class Member. Such evidence would include, for example, letters testamentary, letters of administration, or a copy of the trust documents.)
3. I (we) consent to the jurisdiction of the Court with respect to all questions concerning the validity of this Proof of Claim. I (we) understand and agree that my (our) claim may be subject to investigation and discovery under the Federal Rules of Civil Procedure, provided that such investigation and discovery shall be limited to my (our) status as a Settlement Class Member(s) and the validity and amount of my (our) claim. No discovery shall be allowed on the merits of the Action or Settlement in connection with processing of the Proof of Claim.
4. I (we) have set forth where requested below all relevant information with respect to each purchase of Arena common stock during the Class Period, and each sale, if any, of such securities. I (we) agree to furnish additional information to the Claims Administrator to support this claim if requested to do so.
5. I (we) have enclosed photocopies of the stockbroker's confirmation slips, stockbroker's statements, or other documents evidencing each purchase, sale or retention of Arena common stock listed below in support of my (our) claim. (Note: IF ANY SUCH DOCUMENTS ARE NOT IN YOUR POSSESSION, PLEASE OBTAIN A COPY OR EQUIVALENT DOCUMENTS FROM YOUR BROKER BECAUSE THESE DOCUMENTS ARE NECESSARY TO PROVE AND PROCESS YOUR CLAIM.)
6. I (we) understand that the information contained in this Proof of Claim is subject to such verification as the Claims Administrator may request or as the Court may direct, and I (we) agree to cooperate in any such verification. (Note: The information requested herein is designed to provide the minimum amount of information necessary to process most simple claims. The Claims Administrator may request additional information as required to efficiently and reliably calculate your recognized claim. In some cases, the Claims Administrator may condition acceptance of the claim based upon the production of additional information.
7. Upon the occurrence of the Court's approval of the Settlement, as detailed in the Notice, I (we) agree and acknowledge that my (our) signature(s) hereto shall effect and constitute a full and complete release, remise and discharge by me (us) and my (our) heirs, joint tenants, tenants in common, beneficiaries, executors, administrators, predecessors, successors, attorneys, insurers and assigns (or, if I am (we are) submitting this Proof of Claim on behalf of a corporation, a partnership, estate or one or more other persons, by it, him, her or them, and by its, his, her or their heirs, executors, administrators, predecessors, successors, and assigns) of each of the "Released Parties" of all "Release of Claims," as defined in the Notice.
8. NOTICE REGARDING ELECTRONIC FILES: Certain claimants with large numbers of transactions may request, or may be requested, to submit information regarding their transactions in electronic files. All Claimants MUST submit a manually signed paper Proof of Claim form listing all their transactions whether or not they also submit electronic copies. If you wish to file your claim electronically, you must contact the Claims Administrator at eClaim@choosecgc.com or visit their website at www.ArenaPharmaceuticalsClassActionSettlement.com to obtain the required file layout. No electronic files will be considered to have been properly submitted unless the Claims Administrator issues to the Claimant a written acknowledgment of receipt and acceptance of electronically submitted data.



PART I - CLAIMANT INFORMATION

Claimant Contact Information:

The Claims Administrator will use this information for all communications relevant to this claim (including the check, if eligible for payment). If this information changes, you **MUST** notify the Claims Administrator in writing at the address above.

Claimant Name(s) (as the name(s) should appear on the check, if eligible for payment; if the shares are or were jointly owned, the names of all beneficial owners must be provided):

Name:

BRIAN P SCOTT

Address:

[Redacted Address]

City:

[Redacted City]

State:

Zip Code:

[Redacted State and Zip Code]

Foreign Province:

[Redacted Foreign Province]

Foreign Country:

[Redacted Foreign Country]

Daytime Telephone Number:

[Redacted Daytime Telephone Number]

Evening Telephone Number:

[Redacted Evening Telephone Number]

Social Security Number (for individuals) OR Taxpayer Identification Number (for estates, trusts, corporations, etc.)

[Redacted Social Security Number]

Please complete the appropriate section carefully. Failure to complete it in its entirety will result in the forfeiture of your rights to receive the Securities if any are distributed. You may only complete one.

Section A. Individual / Sole Proprietor. Use of initials only (e.g. J.G. Doe) is not acceptable.

- ☒ Individual.
☐ Sole Proprietor

Name:

Brian Scott

Section B. Joint Ownership. Please indicate the type of tenancy.

- ☐ Joint Tenants - Jt Ten
(includes right of survivorship - JTWROS)
☐ Tenants in Common - Ten Com
☐ Tenants by Entireties - Ten Ent

Name(s):

[Redacted Name(s)]

[Redacted Name(s)]

Claim 1001860



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PART I - CLAIMANT INFORMATION CONTINUED

Section C. Retirement accounts. Please indicate the type of retirement account.

- ☒ IRA
☐ Roth IRA
☐ Keogh
(Defined Benefit Plan or Defined Contribution Plan)
☐ Other (please describe) _____

Custodian:

Merrill Edge

Beneficiary:

Theresa Scott

Date of Plan:

/ /

Section D. Private or public entity. Please indicate the entity type and check one box below.

- ☐ Corporation
☐ Partnership
☐ Limited Liability Company
☐ Non-Profit Organization
☐ Foundation

Section E. Custodianships.

- ☐ UCTA
☐ UGMA
☐ UTMA

Custodian:

State:

Minor:

Age:

Section F. Under Trust agreements. Please indicate the name of the trustee(s), the name of the Trust and the date of the Trust agreement in the space provide below)

Name of trustee(s):

Name of Trust:

Date of Trust Agreement:

/ /

Section G. Estate. Please indicate the fiduciary capacity and the name of the person or entity authorized to hold such capacity as follows. (e.g. Include the name of the deceased and the executor)

- ☐ Executor (EX)
☐ Personal Representative (Per Rep)
☐ Administrator (Adm)
☐ Conservator (Cons)
☐ Other. Please explain _____

Fiduciary Name(s):

Estate of:

Date of Agreement:

/ /

Claim 1001860

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PART II - SCHEDULE OF TRANSACTIONS IN ARENA COMMON STOCK

A. BEGINNING HOLDINGS: State the total number of shares of Arena common stock owned at the opening of trading on March 17, 2008, long or short (*must be documented*). If none, write "zero" or "0".

Shares					

B. PURCHASES/ACQUISITIONS: Separately list each and every purchase of Arena common stock during the period from March 17, 2008 and April 27, 2011, inclusive, and provide the following information (*must be documented*):

Trade Date (List Chronologically) (Month/Day/Year)	Number of Shares Purchased/Acquired	Purchase/Acquisition Price Per Share	Total Cost (excluding taxes, commissions and fees)
02/09/09	1673	6.28	10506.44

C. SALES: For shares purchased separately list each and every sale of Arena common stock during the period March 17, 2008 and April 27, 2011, inclusive, and provide the following information (*must be documented*):

Trade Date (List Chronologically) (Month/Day/Year)	Number of Shares Sold	Sale Price Per Share	Amount Received (excluding taxes, commissions and fees)
03/08/10	1673	3.01	5035.73

D. ENDING HOLDINGS: State the total number of shares of Arena common stock owned at the close of trading on April 27, 2011, long or short (*must be documented*).

					0
Shares					

IF YOU NEED ADDITIONAL SPACE TO LIST YOUR TRANSACTIONS YOU MUST PHOTOCOPY THIS PAGE, PRINT YOUR NAME AND SOCIAL SECURITY OR TAXPAYER IDENTIFICATION NUMBER AT THE TOP OF EACH SHEET AND CHECK THIS BOX:

☐

IF YOU DO NOT CHECK THIS BOX THESE ADDITIONAL PAGES WILL NOT BE REVIEWED.

Please note: Information requested with respect to your purchases/acquisitions of Arena common stock from after the close of trading on January 27, 2011 through and including the close of trading on April 27, 2011 is needed in order to balance your claim; purchases/acquisitions during this period, however, are not eligible under the Settlement and will not be used for purposes of calculating your Recognized Loss pursuant to the Plan of Allocation.



PART III - SUBSTITUTE FORM W-9

Request for Taxpayer Identification Number (Required for Receipt of Stock):

Enter taxpayer identification number below for the Beneficial Owner(s). For most individuals, this is your Social Security Number. The Internal Revenue Service ("I.R.S.") requires such taxpayer identification number. If you fail to provide this information, your claim may be rejected.

Social Security Number (for individuals) OR Taxpayer Identification Number (for estates, trusts, corporations, etc.)

[REDACTED]

PART IV - CERTIFICATION AND RELEASE

Definitions

All capitalized terms used but not defined herein shall have the same meanings as in the Notice and the Stipulation and Agreement of Settlement dated November 3, 2017 ("the Stipulation"), which is posted on the Claims Administrator's website at www.ArenaPharmaceuticalsClassActionSettlement.com. In addition, the following terms shall have the following meanings:

1. "Defendants" means Arena Pharmaceuticals, Inc., Jack Lief, Robert E. Hoffman, Dominic P. Behan, William R. Shanahan, Jr., and Christen Anderson.
2. "Released Persons" means each and all of the Defendants and each and all of their Related Persons.
3. "Related Persons" means each of the Released Persons' and their legal affiliates' past or present directors, officers, employees, partners, insurers, co-insurers, reinsurers, principals, controlling shareholders, attorneys, accountants, auditors, investment advisors, personal or legal representatives, predecessors, successors, parents, subsidiaries, divisions, joint ventures, assigns, spouses, heirs, estates, related or affiliated entities, any entity in which a Released Person has a controlling interest, any members of an Individual Defendant's immediate family, any trust of which an Individual Defendant is the settlor or which is for the benefit of an Individual Defendant and/or any member of an Individual Defendant's immediate family, and any entity in which a Defendant and/or any member of an Individual Defendant's immediate family has or have a controlling interest (directly or indirectly).
4. "Released Claims" means any and all claims, demands, rights, causes of action or liabilities of every nature and description whatsoever (including, but not limited to, any claims for damages, interest, attorneys' fees, expert or consulting fees, and any other costs, expenses or liabilities whatsoever), whether based on federal, state, local, foreign, statutory or common law or any other law, rule, ordinance, administrative provision or regulation, including Unknown Claims as defined in ¶1.30 of the Stipulation, whether class or individual in nature, whether fixed or contingent, accrued or unaccrued, liquidated or unliquidated, at law or in equity, matured or unmatured, concealed or hidden, suspected or unsuspected, which now exist or heretofore have existed, that were asserted or could have been asserted by Lead Plaintiff or any Settlement Class Member against the Released Persons based on, arising from or relating to both: (i) the purchase, acquisition, holding, disposition, or sale of Arena common stock during the Class Period; and (ii) the allegations, transactions, facts, matters, events, disclosures, registration statements, public filings, acts, occurrences, representations, statements, omissions or failures to act that occurred during the Class Period and that were or could have been alleged by Lead Plaintiff in the Action against the Released Persons based upon the facts alleged in the Complaint. Released Claims does not include claims to enforce the Settlement.
5. "Unknown Claims" means collectively any Released Claims which Lead Plaintiff or any Settlement Class Member do not know or suspect to exist in his, her or its favor at the time of the release of the Released Persons which, if known by him, her or it, might have affected his, her or its settlement with and release of the Released Persons, or might have affected his, her or its decision not to object to this Settlement or seek exclusion from the Settlement Class. With respect to any and all Released Claims, the Settling Parties stipulate and agree that, upon the Effective Date, Lead Plaintiff shall expressly waive and each of the Class Members shall be deemed to have, and by operation of the Judgment shall have, expressly waived the provisions, rights, and benefits of California Civil Code §1542, which provides:



PART IV- CERTIFICATION AND RELEASE CONTINUED

A general release does not extend to claims which the creditor does not know or suspect to exist in his or her favor at the time of executing the release, which if known by him or her must have materially affected his or her settlement with the debtor.

Lead Plaintiff shall expressly waive and each of the Settlement Class Members shall be deemed to have, and by operation of the Judgment shall have, expressly waived any and all provisions, rights, and benefits conferred by any law of any state or territory of the United States or any foreign country, or any principle of common law, which is similar, comparable or equivalent in substance to California Civil Code §1542. Lead Plaintiff and Settlement Class Members may hereafter discover facts in addition to or different from those which he, she or it now knows or believes to be true with respect to the subject matter of the Released Claims, but upon the Effective Date, each Settlement Class Member, and Lead Plaintiff expressly, shall be deemed to have, and by operation of the Judgment shall have, fully, finally, and forever settled and released any and all Released Claims; known or unknown, suspected or unsuspected, contingent or non-contingent, whether or not concealed or hidden, which now exist, or heretofore have existed, upon any theory of law or equity now existing or coming into existence in the future, including, but not limited to, conduct which is negligent, intentional, with or without malice, or a breach of any duty, law or rule, without regard to the subsequent discovery or existence of such different or additional facts. Lead Plaintiff acknowledges, and the Settlement Class Members shall be deemed by operation of the Judgment, to have acknowledged, that the foregoing waiver was separately bargained for and a material element of the Settlement of which this release is a part.

Submission to Jurisdiction of Court and Acknowledgements and Affirmations

I (we) submit this Proof of Claim and Release Form under the terms of the Stipulation of Settlement described in the Notice. I (we) also submit to the jurisdiction of the United States District Court for the Southern District of California with respect to my claim as a Settlement Class Member and for purposes of enforcing the release set forth herein. I (we) further acknowledge that I am (we are) bound and subject to the terms of any judgment that may be entered in the Action. I (we) affirm that I (we) purchased Arena common stock between March 17, 2008 and January 27, 2011, inclusive, and claim to have suffered losses as a result of such purchase. By submitting this Proof of Claim and Release Form, I (we) state that I (we) believe in good faith that I am a (we are) Settlement Class Member(s) as defined in the Notice or am (are) acting for such person; that I am (we are) not a Defendant in the Action or anyone excluded from the Settlement Class; that I (we) have read and understand the Notice; that I (we) believe that I am (we are) entitled to receive a share of the Net Settlement Fund; that I (we) elect to participate in the proposed Settlement described in the Notice; that I (we) have not filed a request for exclusion; and that I (we) have not submitted any other claim covering the same purchases of Arena common stock between March 17, 2008 and January 27, 2011, inclusive, and know of no other person having done so on my (our) behalf. I (We) have set forth where requested herein all relevant information with respect to each purchase or acquisition of Arena common stock between March 17, 2008 and January 27, 2011, inclusive. I (we) agree to furnish additional information to the Claims Administrator to support this claim if requested to do so. I (we) understand that no discovery shall be allowed on the merits of the Action or Settlement in connection with processing of the Proof of Claim and in particular that no discovery shall be permitted against any Defendants in connection with any Proof of Claim.



PART IV- CERTIFICATION AND RELEASE CONTINUED

Release

I (We) hereby acknowledge, on behalf of myself (ourselves) and my (our) heirs, agents, executors, administrators, predecessors, successors, and assigns (or, if submitting this Proof of Claim and Release Form on behalf of a corporation, a partnership, estate or one or more other persons, on behalf of it, him, her or them and on behalf of its, his, her or their heirs, agents, executors, administrators, predecessors, successors, and assigns), full and complete satisfaction of, and do hereby fully, finally and forever settle, release and discharge from the Released Claims each and all of the Released Parties, and I (we) shall forever be enjoined from prosecuting any or all Released Claims against any Released Parties.

This release shall be of no force or effect unless and until the Court approves the Stipulation and the Stipulation becomes effective on the Effective Date (as defined in the Stipulation).

I (We) hereby warrant and represent that I (we) have not assigned or transferred or purported to assign, transfer, voluntarily or involuntarily, any matter released pursuant to this release or any other part or portion thereof.

I (We) hereby warrant and represent that I (we) have included information about all of my (our) transactions in Arena common stock that occurred during the Class Period, as well as the number of shares of Arena held by me (us) at the beginning of trading on March 17, 2008 and at the close of trading on January 27, 2011.

I (We) certify that I am (we are) not subject to backup withholding under the provisions of Section 3406(a)(1)(C) of the Internal Revenue Code.

Note: If you have been notified by the Internal Revenue Service that you are subject to backup withholding, please strike out the language that you are not subject to backup withholding in the certification above.

I (We) declare under penalty of perjury under the laws of the United States of America that the foregoing information supplied by the undersigned is true and correct.

Brian Scott
Signature

4/5/18
Date

Brian Scott
Print Name

Email Address

Day Telephone

Evening Telephone

On Behalf of:

(Print name of corporation, partnership, estate, or other entity if you are submitting this form on behalf of one of them.)

Signature

Date

Print Name

Email Address

Day Telephone

Evening Telephone

On Behalf of:

(Print name of corporation, partnership, estate, or other entity if you are submitting this form on behalf of one of them.)

Arena Securities Litigation

c/o GCG

P.O. Box 10526

Dublin, OH 43017-0526

(877) 981-9683

www.ArenaPharmaceuticalsClassActionSettlement.com

SAP0210296971



BRIAN P SCOTT



Mailing Date: June 15, 2018

Response Due Date: July 5, 2018

Claim No: 1001860

Eligible Securities:

Arena common stock

Class Period:

March 17, 2008 through January 27, 2011, inclusive

NOTICE OF CONDITIONAL REJECTION OF YOUR ENTIRE CLAIM

Dear Claimant:

The Claim Form you submitted in the Arena Securities Litigation was processed and conditionally rejected in its entirety pursuant to the terms of the Settlement as approved by the Court. The reason(s) for the rejection of your entire claim is on the back of this letter.

NO FURTHER ACTION IS REQUIRED IF YOU AGREE WITH OUR DETERMINATIONS AND/OR DO NOT WISH TO FIX YOUR CLAIM.

To fix or otherwise complete your claim, please follow the directions on the back of this letter. If a noted deficiency relates to documentation submitted, please do not merely re-submit the same documentation as was included with the original submission of your claim. That documentation was determined to be incomplete or inadequate. If your response cures some but not all of the identified conditions of ineligibility, your claim will be considered to the extent cured. Please note: Regardless of how many deficiencies are cured, in order for the claim to be eligible to any extent, IT MUST CALCULATE TO A RECOGNIZED CLAIM UNDER THE PLAN OF ALLOCATION.

If you fail to respond by the Response Due Date above, or if your response fails to sufficiently cure the ineligible condition(s) identified, this claim will be rejected in its entirety.

If you have any questions regarding this letter, please call the Claims Administrator at 877-981-9683 for a detailed description of the reasons your Claim Form has been rejected.

Sincerely,

The Claims Administrator
Arena Securities Litigation

*****THIS IS THE ONLY NOTICE YOU WILL RECEIVE FOR THIS CLAIM*****
IMPORTANT INFORMATION ABOUT YOUR CLAIM AND THE NEXT STEPS YOU MAY TAKE ARE
ON THE BACK OF THIS LETTER. IF YOU HAVE ADDITIONAL QUESTIONS, PLEASE CALL US AT
877-981-9683.

Claim 1001860



Claim No: 1001860

YOU MUST INCLUDE A COPY OF THIS LETTER WITH ANY RESPONSE AND YOU MUST REFERENCE YOUR CLAIM NUMBER ON ALL CORRESPONDENCE AND DOCUMENTATION.

PROBLEM(S) WITH YOUR CLAIM:

PROBLEM WITH YOUR CLAIM:

YOU DID NOT PROVIDE ANY DOCUMENTATION TO SUPPORT YOUR CLAIM.

HOW TO FIX YOUR CLAIM:

To complete your claim, you must return this letter along with **appropriate** supporting documentation. Appropriate supporting documentation includes periodic brokerage statements or broker's trade confirmations (handwritten or self-generated information is not acceptable) showing all transactions and holdings positions listed on your Claim Form.

Failure to substantiate your transactions and holdings positions will likely result in a reduction of your payment, or in no payment at all.

If you do not intend to supply additional information/documentation, and you still disagree with the determination, you may request Court review of the determination. To request Court review, you must send a letter to the claims administrator within twenty (20) days of the date of this letter and it must: (1) specifically state that you "request that the Court review the rejection of your claim," (2) state your argument(s) for why your claim should be accepted, (3) attach any supporting documents you may have to support your argument, and (4) be signed. Please also include a copy of this letter when requesting Court review of the rejection of your claim. If your dispute with the determination to reject your claim cannot otherwise be resolved, your claim and its administrative rejection will be presented to the Court for review. PLEASE NOTE: COURT REVIEW SHOULD ONLY BE SOUGHT IF YOU DISAGREE WITH THE DETERMINATION TO REJECT YOUR CLAIM.

Shirley B. Orr
Claim 1001860

Mr. Brian Scott



June 26, 2018

Dear: Claims Administrator:

I formally request that the Court review the rejection of my claim number 1001860. This claim was denied due to insufficient documentation was presented to support my claim. I purchased stock in Arena Pharmaceuticals in 2008 and 2009 almost ten years ago. Quick and Reilly was the brokerage I used to purchase the Arena stock. Fleet Bank subsequently bought Quick and Reilly, then Fleet Bank was purchased by Merrill Lynch.

I do not have the trading confirmations from that long ago. It is unreasonable to expect me to have them. I do not keep tax returns from nearly ten years ago. Merrill Lynch does not have any records of these transactions either.

I ask this court to please reexamine the rejection of my claim and approve my claim. Thank you for your time in adjudicating this matter.

Sincerely,

A handwritten signature in black ink that reads "Brian Scott". The signature is stylized with a large, looped "B" and a cursive "Scott".

Brian Scott

Claim 1002175

Must be
Postmarked
No Later Than
April 13, 2018

Arena Securities Litigation
c/o GCG
P.O. Box 10526
Dublin, OH 43017-0526
Toll-Free: (877) 981-9683

SAP



Settlement Website: www.ArenaPharmaceuticalsClassActionSettlement.com

SAP0200219350



NFS/FMTC ROLLOVER IRA
FBO IRENE C HAMLETT

Claim Number: 01002175

Control Number: 5004296532

Schueneman v. Arena Pharmaceuticals, Inc.
PROOF OF CLAIM AND RELEASE

IF YOU PURCHASED COMMON STOCK OF ARENA PHARMACEUTICALS, INC. ("ARENA") FROM MARCH 17, 2008 THROUGH JANUARY 27, 2011, INCLUSIVE, AND SUFFERED LOSSES AS A RESULT OF SUCH PURCHASE, YOU ARE A "SETTLEMENT CLASS MEMBER" AND YOU MAY BE ENTITLED TO SHARE IN THE SETTLEMENT PROCEEDS.

IF YOU ARE A SETTLEMENT CLASS MEMBER, YOU MUST COMPLETE AND SUBMIT THIS FORM IN ORDER TO BE ELIGIBLE FOR ANY SETTLEMENT BENEFITS.

TO BE ELIGIBLE TO RECEIVE A DISTRIBUTION IN THE SETTLEMENT, YOU MUST COMPLETE AND SIGN THIS PROOF OF CLAIM AND RELEASE ("PROOF OF CLAIM") AND MAIL IT BY FIRST CLASS MAIL, POSTAGE PREPAID, **POSTMARKED NO LATER THAN APRIL 13, 2018**, TO THE CLAIMS ADMINISTRATOR, AT THE ADDRESS SET FORTH ABOVE.

YOUR FAILURE TO TIMELY SUBMIT A COMPLETED PROOF OF CLAIM WILL SUBJECT YOUR CLAIM TO REJECTION AND PRECLUDE YOUR RECEIVING ANY MONEY IN CONNECTION WITH THE SETTLEMENT OF THIS ACTION. DO NOT MAIL OR DELIVER YOUR CLAIM TO THE COURT OR TO ANY OF THE PARTIES OR THEIR COUNSEL AS ANY SUCH CLAIM WILL BE DEEMED NOT TO HAVE BEEN SUBMITTED. SUBMIT YOUR CLAIM ONLY TO THE CLAIMS ADMINISTRATOR.

IF YOU ARE NOT A SETTLEMENT CLASS MEMBER, OR IF YOU FILED A REQUEST FOR EXCLUSION FROM THE SETTLEMENT CLASS, DO NOT SUBMIT A PROOF OF CLAIM. YOU MAY NOT, DIRECTLY OR INDIRECTLY, PARTICIPATE IN THE SETTLEMENT IF YOU ARE NOT A SETTLEMENT CLASS MEMBER OR IF YOU SUBMIT A VALID AND TIMELY REQUEST FOR EXCLUSION.

Submission of this Form does not guarantee that you will share in the proceeds of the Settlement. Distribution of the Net Settlement Fund will be governed by the Plan of Allocation set forth in the Notice, if it is approved by the Court, or by such other plan of allocation as the Court approves.

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Important - This form should be completed IN CAPITAL LETTERS using BLACK or DARK BLUE ballpoint/fountain pen. Characters and marks used should be similar in the style to the following:

A B C D E F G H I J K L M N O P Q R S T U V W X Y Z 1 2 3 4 5 6 7 0



CLAIMANT'S STATEMENT

1. I (we) purchased or otherwise purchased shares of Arena common stock between March 17, 2008 and January 27, 2011, inclusive, and claim to have suffered losses as a result of such purchase.
2. By submitting this Proof of Claim, I (we) state that I (we) believe in good faith that I am (we are) a Settlement Class Member as defined above and in the Notice of Pendency and Proposed Settlement of Class Action (the "Notice"), or am (are) acting for such person(s); that I am (we are) not a Defendant in the Action or anyone excluded from the Settlement Class; that I (we) have read and understand the Notice; that I (we) believe that I am (we are) entitled to receive a share of the Net Settlement Fund, as defined in the Notice; that I (we) elect to participate in the proposed Settlement described in the Notice; and that I (we) have not filed a request for exclusion. (Note: If you are acting in a representative capacity on behalf of a Settlement Class Member [e.g., as an executor, administrator, trustee, or other representative], you must submit evidence of your current authority to act on behalf of that Class Member. Such evidence would include, for example, letters testamentary, letters of administration, or a copy of the trust documents.)
3. I (we) consent to the jurisdiction of the Court with respect to all questions concerning the validity of this Proof of Claim. I (we) understand and agree that my (our) claim may be subject to investigation and discovery under the Federal Rules of Civil Procedure, provided that such investigation and discovery shall be limited to my (our) status as a Settlement Class Member(s) and the validity and amount of my (our) claim. No discovery shall be allowed on the merits of the Action or Settlement in connection with processing of the Proof of Claim.
4. I (we) have set forth where requested below all relevant information with respect to each purchase of Arena common stock during the Class Period, and each sale, if any, of such securities. I (we) agree to furnish additional information to the Claims Administrator to support this claim if requested to do so.
5. I (we) have enclosed photocopies of the stockbroker's confirmation slips, stockbroker's statements, or other documents evidencing each purchase, sale or retention of Arena common stock listed below in support of my (our) claim. (Note: IF ANY SUCH DOCUMENTS ARE NOT IN YOUR POSSESSION, PLEASE OBTAIN A COPY OR EQUIVALENT DOCUMENTS FROM YOUR BROKER BECAUSE THESE DOCUMENTS ARE NECESSARY TO PROVE AND PROCESS YOUR CLAIM.)
6. I (we) understand that the information contained in this Proof of Claim is subject to such verification as the Claims Administrator may request or as the Court may direct, and I (we) agree to cooperate in any such verification. (Note: The information requested herein is designed to provide the minimum amount of information necessary to process most simple claims. The Claims Administrator may request additional information as required to efficiently and reliably calculate your recognized claim. In some cases, the Claims Administrator may condition acceptance of the claim based upon the production of additional information.
7. Upon the occurrence of the Court's approval of the Settlement, as detailed in the Notice, I (we) agree and acknowledge that my (our) signature(s) hereto shall effect and constitute a full and complete release, remise and discharge by me (us) and my (our) heirs, joint tenants, tenants in common, beneficiaries, executors, administrators, predecessors, successors, attorneys, insurers and assigns (or, if I am (we are) submitting this Proof of Claim on behalf of a corporation, a partnership, estate or one or more other persons, by it, him, her or them, and by its, his, her or their heirs, executors, administrators, predecessors, successors, and assigns) of each of the "Released Parties" of all "Release of Claims," as defined in the Notice.
8. NOTICE REGARDING ELECTRONIC FILES: Certain claimants with large numbers of transactions may request, or may be requested, to submit information regarding their transactions in electronic files. All Claimants MUST submit a manually signed paper Proof of Claim form listing all their transactions whether or not they also submit electronic copies. If you wish to file your claim electronically, you must contact the Claims Administrator at eClaim@choossegcg.com or visit their website at www.ArenaPharmaceuticalsClassActionSettlement.com to obtain the required file layout. No electronic files will be considered to have been properly submitted unless the Claims Administrator issues to the Claimant a written acknowledgment of receipt and acceptance of electronically submitted data.

Claim 1002175

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PART I - CLAIMANT INFORMATION

Claimant Contact Information:

The Claims Administrator will use this information for all communications relevant to this claim (including the check, if eligible for payment). If this information changes, you **MUST** notify the Claims Administrator in writing at the address above.

Claimant Name(s) (as the name(s) should appear on the check, if eligible for payment; if the shares are or were jointly owned, the names of all beneficial owners must be provided):

Name:

IRENE C Hamlett

Address:

[Redacted Address]

City:

[Redacted City]

State:

[Redacted State]

Zip Code:

[Redacted Zip Code]

Foreign Province:

[Redacted Foreign Province]

Foreign Country:

[Redacted Foreign Country]

Daytime Telephone Number:

[Redacted Daytime Telephone Number]

Evening Telephone Number:

[Redacted Evening Telephone Number]

Social Security Number (for individuals) OR Taxpayer Identification Number (for estates, trusts, corporations, etc.)

[Redacted Social Security Number]

Please complete the appropriate section carefully. Failure to complete it in its entirety will result in the forfeiture of your rights to receive the Securities if any are distributed. You may only complete one.

Section A. Individual / Sole Proprietor. Use of initials only (e.g. J.G. Doe) is not acceptable.

- ☒ Individual.
☐ Sole Proprietor

Name:

Irene Hamlett

Section B. Joint Ownership. Please indicate the type of tenancy.

- ☐ Joint Tenants - Jt Ten
(includes right of survivorship - JTWROS)
☐ Tenants in Common - Ten Com
☐ Tenants by Entireties - Ten Ent

Name(s):

[Redacted Name(s)]

[Redacted Name(s)]



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PART I - CLAIMANT INFORMATION CONTINUED

Section C. Retirement accounts. Please indicate the type of retirement account.

- ☒ IRA
☐ Roth IRA
☐ Keogh
(Defined Benefit Plan or Defined Contribution Plan)
☐ Other (please describe) _____

Custodian:

Bank of America

Beneficiary:

Archibald Hamlett

Date of Plan:

/ /

Section D. Private or public entity. Please indicate the entity type and check one box below.

- ☐ Corporation
☐ Partnership
☐ Limited Liability Company
☐ Non-Profit Organization
☐ Foundation

Section E. Custodianships.

- ☐ UCTA
☐ UGMA
☐ UTMA

Custodian:

State:

Minor:

Age:

Section F. Under Trust agreements. Please indicate the name of the trustee(s), the name of the Trust and the date of the Trust agreement in the space provide below

Name of trustee(s):

Name of Trust:

Date of Trust Agreement:

/ /

Section G. Estate. Please indicate the fiduciary capacity and the name of the person or entity authorized to hold such capacity as follows. (e.g. Include the name of the deceased and the executor)

- ☐ Executor (EX)
☐ Personal Representative (Per Rep)
☐ Administrator (Adm)
☐ Conservator (Cons)
☐ Other. Please explain _____

Fiduciary Name(s):

Estate of:

Date of Agreement:

/ /

Claim 1002175

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PART II - SCHEDULE OF TRANSACTIONS IN ARENA COMMON STOCK

A. BEGINNING HOLDINGS: State the total number of shares of Arena common stock owned at the opening of trading on March 17, 2008, long or short (*must be documented*). If none, write "zero" or "0".

00000000
Shares

B. PURCHASES/ACQUISITIONS: Separately list each and every purchase of Arena common stock during the period from March 17, 2008 and April 27, 2011, inclusive, and provide the following information (*must be documented*):

Trade Date (List Chronologically) (Month/Day/Year)	Number of Shares Purchased/Acquired	Purchase/Acquisition Price Per Share	Total Cost (excluding taxes, commissions and fees)
07/28/08	2500	6.71	16783.40
02/05/09	1500	5.45	8169.60

C. SALES: For shares purchased separately list each and every sale of Arena common stock during the period March 17, 2008 and April 27, 2011, inclusive, and provide the following information (*must be documented*):

Trade Date (List Chronologically) (Month/Day/Year)	Number of Shares Sold	Sale Price Per Share	Amount Received (excluding taxes, commissions and fees)
10/01/09	4000	4.32	17241.05

D. ENDING HOLDINGS: State the total number of shares of Arena common stock owned at the close of trading on April 27, 2011, long or short (*must be documented*):

0000
Shares

IF YOU NEED ADDITIONAL SPACE TO LIST YOUR TRANSACTIONS YOU MUST PHOTOCOPY THIS PAGE, PRINT YOUR NAME AND SOCIAL SECURITY OR TAXPAYER IDENTIFICATION NUMBER AT THE TOP OF EACH SHEET AND CHECK THIS BOX:

☐

IF YOU DO NOT CHECK THIS BOX THESE ADDITIONAL PAGES WILL NOT BE REVIEWED.

Please note: Information requested with respect to your purchases/acquisitions of Arena common stock from after the close of trading on January 27, 2011 through and including the close of trading on April 27, 2011 is needed in order to balance your claim; purchases/acquisitions during this period, however, are not eligible under the Settlement and will not be used for purposes of calculating your Recognized Loss pursuant to the Plan of Allocation.



PART III - SUBSTITUTE FORM W-9.

Request for Taxpayer Identification Number (Required for Receipt of Stock):

Enter taxpayer identification number below for the Beneficial Owner(s). For most individuals, this is your Social Security Number. The Internal Revenue Service ("I.R.S.") requires such taxpayer identification number. If you fail to provide this information, your claim may be rejected.

Social Security Number (for individuals) OR Taxpayer Identification Number (for estates, trusts, corporations, etc.)



PART IV - CERTIFICATION AND RELEASE

Definitions

All capitalized terms used but not defined herein shall have the same meanings as in the Notice and the Stipulation and Agreement of Settlement dated November 3, 2017 ("the Stipulation"), which is posted on the Claims Administrator's website at www.ArenaPharmaceuticalsClassActionSettlement.com. In addition, the following terms shall have the following meanings:

1. "Defendants" means Arena Pharmaceuticals, Inc., Jack Lief, Robert E. Hoffman, Dominic P. Behan, William R. Shanahan, Jr., and Christen Anderson.
2. "Released Persons" means each and all of the Defendants and each and all of their Related Persons.
3. "Related Persons" means each of the Released Persons' and their legal affiliates' past or present directors, officers, employees, partners, insurers, co-insurers, reinsurers, principals, controlling shareholders, attorneys, accountants, auditors, investment advisors, personal or legal representatives, predecessors, successors, parents, subsidiaries, divisions, joint ventures, assigns, spouses, heirs, estates, related or affiliated entities, any entity in which a Released Person has a controlling interest, any members of an Individual Defendant's immediate family, any trust of which an Individual Defendant is the settlor or which is for the benefit of an Individual Defendant and/or any member of an Individual Defendant's immediate family, and any entity in which a Defendant and/or any member of an Individual Defendant's immediate family has or have a controlling interest (directly or indirectly).
4. "Released Claims" means any and all claims, demands, rights, causes of action or liabilities of every nature and description whatsoever (including, but not limited to, any claims for damages, interest, attorneys' fees, expert or consulting fees, and any other costs, expenses or liabilities whatsoever), whether based on federal, state, local, foreign, statutory or common law or any other law, rule, ordinance, administrative provision or regulation, including Unknown Claims as defined in ¶1.30 of the Stipulation, whether class or individual in nature, whether fixed or contingent, accrued or unaccrued, liquidated or unliquidated, at law or in equity, matured or unmatured, concealed or hidden, suspected or unsuspected, which now exist or heretofore have existed, that were asserted or could have been asserted by Lead Plaintiff or any Settlement Class Member against the Released Persons based on, arising from or relating to both: (i) the purchase, acquisition, holding, disposition, or sale of Arena common stock during the Class Period; and (ii) the allegations, transactions, facts, matters, events, disclosures, registration statements, public filings, acts, occurrences, representations, statements, omissions or failures to act that occurred during the Class Period and that were or could have been alleged by Lead Plaintiff in the Action against the Released Persons based upon the facts alleged in the Complaint. Released Claims does not include claims to enforce the Settlement.
5. "Unknown Claims" means collectively any Released Claims which Lead Plaintiff or any Settlement Class Member do not know or suspect to exist in his, her or its favor at the time of the release of the Released Persons which, if known by him, her or it, might have affected his, her or its settlement with and release of the Released Persons, or might have affected his, her or its decision not to object to this Settlement or seek exclusion from the Settlement Class. With respect to any and all Released Claims, the Settling Parties stipulate and agree that, upon the Effective Date, Lead Plaintiff shall expressly waive and each of the Class Members shall be deemed to have, and by operation of the Judgment shall have, expressly waived the provisions, rights, and benefits of California Civil Code §1542, which provides:



PART IV- CERTIFICATION AND RELEASE CONTINUED

A general release does not extend to claims which the creditor does not know or suspect to exist in his or her favor at the time of executing the release, which if known by him or her must have materially affected his or her settlement with the debtor.

Lead Plaintiff shall expressly waive and each of the Settlement Class Members shall be deemed to have, and by operation of the Judgment shall have, expressly waived any and all provisions, rights, and benefits conferred by any law of any state or territory of the United States or any foreign country, or any principle of common law, which is similar, comparable or equivalent in substance to California Civil Code §1542. Lead Plaintiff and Settlement Class Members may hereafter discover facts in addition to or different from those which he, she or it now knows or believes to be true with respect to the subject matter of the Released Claims, but upon the Effective Date, each Settlement Class Member, and Lead Plaintiff expressly, shall be deemed to have, and by operation of the Judgment shall have, fully, finally, and forever settled and released any and all Released Claims, known or unknown, suspected or unsuspected, contingent or non-contingent, whether or not concealed or hidden, which now exist, or heretofore have existed, upon any theory of law or equity now existing or coming into existence in the future, including, but not limited to, conduct which is negligent, intentional, with or without malice, or a breach of any duty, law or rule, without regard to the subsequent discovery or existence of such different or additional facts. Lead Plaintiff acknowledges, and the Settlement Class Members shall be deemed by operation of the Judgment to have acknowledged, that the foregoing waiver was separately bargained for and a material element of the Settlement of which this release is a part.

Submission to Jurisdiction of Court and Acknowledgements and Affirmations

I (we) submit this Proof of Claim and Release Form under the terms of the Stipulation of Settlement described in the Notice. I (we) also submit to the jurisdiction of the United States District Court for the Southern District of California with respect to my claim as a Settlement Class Member and for purposes of enforcing the release set forth herein. I (we) further acknowledge that I am (we are) bound and subject to the terms of any judgment that may be entered in the Action. I (we) affirm that I (we) purchased Arena common stock between March 17, 2008 and January 27, 2011, inclusive, and claim to have suffered losses as a result of such purchase. By submitting this Proof of Claim and Release Form, I (we) state that I (we) believe in good faith that I am a (we are) Settlement Class Member(s) as defined in the Notice or am (are) acting for such person; that I am (we are) not a Defendant in the Action or anyone excluded from the Settlement Class; that I (we) have read and understand the Notice; that I (we) believe that I am (we are) entitled to receive a share of the Net Settlement Fund; that I (we) elect to participate in the proposed Settlement described in the Notice; that I (we) have not filed a request for exclusion; and that I (we) have not submitted any other claim covering the same purchases of Arena common stock between March 17, 2008 and January 27, 2011, inclusive, and know of no other person having done so on my (our) behalf. I (We) have set forth where requested herein all relevant information with respect to each purchase or acquisition of Arena common stock between March 17, 2008 and January 27, 2011, inclusive. I (we) agree to furnish additional information to the Claims Administrator to support this claim if requested to do so. I (we) understand that no discovery shall be allowed on the merits of the Action or Settlement in connection with processing of the Proof of Claim and in particular that no discovery shall be permitted against any Defendants in connection with any Proof of Claim.



PART IV - CERTIFICATION AND RELEASE CONTINUED

Release

I (We) hereby acknowledge, on behalf of myself (ourselves) and my (our) heirs, agents, executors, administrators, predecessors, successors, and assigns (or, if submitting this Proof of Claim and Release Form on behalf of a corporation, a partnership, estate or one or more other persons, on behalf of it, him, her or them and on behalf of its, his, her or their heirs, agents, executors, administrators, predecessors, successors, and assigns), full and complete satisfaction of, and do hereby fully, finally and forever settle, release and discharge from the Released Claims each and all of the Released Parties, and I (we) shall forever be enjoined from prosecuting any or all Released Claims against any Released Parties.

This release shall be of no force or effect unless and until the Court approves the Stipulation and the Stipulation becomes effective on the Effective Date (as defined in the Stipulation).

I (We) hereby warrant and represent that I (we) have not assigned or transferred or purported to assign, transfer, voluntarily or involuntarily, any matter released pursuant to this release or any other part or portion thereof.

I (We) hereby warrant and represent that I (we) have included information about all of my (our) transactions in Arena common stock that occurred during the Class Period, as well as the number of shares of Arena held by me (us) at the beginning of trading on March 17, 2008 and at the close of trading on January 27, 2011.

I (We) certify that I am (we are) not subject to backup withholding under the provisions of Section 3406(a)(1)(C) of the Internal Revenue Code.

Note: If you have been notified by the Internal Revenue Service that you are subject to backup withholding, please strike out the language that you are not subject to backup withholding in the certification above.

I (We) declare under penalty of perjury under the laws of the United States of America that the foregoing information supplied by the undersigned is true and correct.

Irene C. Hamlett
Signature

4-5-2018
Date

Irene C. Hamlett
Print Name
[REDACTED]
Day Telephone

[REDACTED]
Email Address
[REDACTED]
Evening Telephone

On Behalf of:

(Print name of corporation, partnership, estate, or other entity if you are submitting this form on behalf of one of them.)

[REDACTED]
Signature
[REDACTED]
Print Name
[REDACTED]
Day Telephone
On Behalf of:

[REDACTED]
Date
[REDACTED]
Email Address
[REDACTED]
Evening Telephone

(Print name of corporation, partnership, estate, or other entity if you are submitting this form on behalf of one of them.)

Arena Securities Litigation
c/o GCG
P.O. Box 10526
Dublin, OH 43017-0526
(877) 981-9683
www.ArenaPharmaceuticalsClassActionSettlement.com
SAP0210297359



IRENE C HAMLETT



Mailing Date: June 15, 2018
Response Due Date: July 5, 2018
Claim No: 1002175

Eligible Securities:
Arena common stock

Class Period:
March 17, 2008 through January 27, 2011, inclusive

NOTICE OF CONDITIONAL REJECTION OF YOUR ENTIRE CLAIM

Dear Claimant:

The Claim Form you submitted in the Arena Securities Litigation was processed and conditionally rejected in its entirety pursuant to the terms of the Settlement as approved by the Court. The reason(s) for the rejection of your entire claim is on the back of this letter.

NO FURTHER ACTION IS REQUIRED IF YOU AGREE WITH OUR DETERMINATIONS AND/OR DO NOT WISH TO FIX YOUR CLAIM.

To fix or otherwise complete your claim, please follow the directions on the back of this letter. If a noted deficiency relates to documentation submitted, please do not merely re-submit the same documentation as was included with the original submission of your claim. That documentation was determined to be incomplete or inadequate. If your response cures some but not all of the identified conditions of ineligibility, your claim will be considered to the extent cured. Please note: Regardless of how many deficiencies are cured, in order for the claim to be eligible to any extent, IT MUST CALCULATE TO A RECOGNIZED CLAIM UNDER THE PLAN OF ALLOCATION.

If you fail to respond by the Response Due Date above, or if your response fails to sufficiently cure the ineligible condition(s) identified, this claim will be rejected in its entirety.

If you have any questions regarding this letter, please call the Claims Administrator at 877-981-9683 for a detailed description of the reasons your Claim Form has been rejected.

Sincerely,

The Claims Administrator
Arena Securities Litigation

*****THIS IS THE ONLY NOTICE YOU WILL RECEIVE FOR THIS CLAIM*****
IMPORTANT INFORMATION ABOUT YOUR CLAIM AND THE NEXT STEPS YOU MAY TAKE ARE
ON THE BACK OF THIS LETTER. IF YOU HAVE ADDITIONAL QUESTIONS, PLEASE CALL US AT
877-981-9683.



Claim No: 1002175

YOU MUST INCLUDE A COPY OF THIS LETTER WITH ANY RESPONSE AND YOU MUST REFERENCE YOUR CLAIM NUMBER ON ALL CORRESPONDENCE AND DOCUMENTATION.

PROBLEM(S) WITH YOUR CLAIM:

PROBLEM WITH YOUR CLAIM:

YOU DID NOT PROVIDE ANY DOCUMENTATION TO SUPPORT YOUR CLAIM.

HOW TO FIX YOUR CLAIM:

To complete your claim, you must return this letter along with appropriate supporting documentation. Appropriate supporting documentation includes periodic brokerage statements or broker's trade confirmations (handwritten or self-generated information is not acceptable) showing all transactions and holdings positions listed on your Claim Form.

Failure to substantiate your transactions and holdings positions will likely result in a reduction of your payment, or in no payment at all.

If you do not intend to supply additional information/documentation, and you still disagree with the determination, you may request Court review of the determination. To request Court review, you must send a letter to the claims administrator within twenty (20) days of the date of this letter and it must: (1) specifically state that you "request that the Court review the rejection of your claim," (2) state your argument(s) for why your claim should be accepted, (3) attach any supporting documents you may have to support your argument, and (4) be signed. Please also include a copy of this letter when requesting Court review of the rejection of your claim. If your dispute with the determination to reject your claim cannot otherwise be resolved, your claim and its administrative rejection will be presented to the Court for review. PLEASE NOTE: COURT REVIEW SHOULD ONLY BE SOUGHT IF YOU DISAGREE WITH THE DETERMINATION TO REJECT YOUR CLAIM.

Shirley B. - CV
Claim 1002175

Mrs. Irene Hamlett



June 26, 2018

Dear: Claims Administrator:

I formally request that the Court review the rejection of my claim number 01002175 and claim number 01003606. These claims were denied due to insufficient documentation presented to support my claim. I purchased stock in Arena Pharmaceuticals in 2008 and 2009 almost ten years ago. Quick and Reilly was the brokerage I used to purchase the Arena stock. Fleet Bank subsequently bought Quick and Reilly, then Fleet Bank was purchased by Merrill Lynch.

I do not have the trading confirmations from that long ago. It is unreasonable to expect me to have them. I do not keep tax returns from nearly ten years ago. Merrill Lynch does not have any records of these transactions either.

I ask this court to please reexamine the rejection of my claims and approve my claims. Thank you for your time in adjudicating this matter.

Sincerely,

A handwritten signature in cursive script that reads "Irene Hamlett".

Irene Hamlett

ALL INFORMATION CONTAINED
HEREIN IS UNCLASSIFIED
DATE 11/19/2013 BY 60322
UCBAW/STP/STP

ALL INFORMATION CONTAINED
HEREIN IS UNCLASSIFIED
DATE 11/19/2013 BY 60322
UCBAW/STP/STP

Claim 1003606

Must be
Postmarked
No Later Than
April 13, 2018

Arena Securities Litigation
c/o GCG
P.O. Box 10526
Dublin, OH 43017-0526
Toll-Free: (877) 981-9683

SAP



Settlement Website: www.ArenaPharmaceuticalsClassActionSettlement.com

SAP0200361455



IRENE C HAMLETT

Claim Number: 01003606

Control Number: 1369546727

Schueneman v. Arena Pharmaceuticals, Inc.
PROOF OF CLAIM AND RELEASE

IF YOU PURCHASED COMMON STOCK OF ARENA PHARMACEUTICALS, INC. ("ARENA") FROM MARCH 17, 2008 THROUGH JANUARY 27, 2011, INCLUSIVE, AND SUFFERED LOSSES AS A RESULT OF SUCH PURCHASE, YOU ARE A "SETTLEMENT CLASS MEMBER" AND YOU MAY BE ENTITLED TO SHARE IN THE SETTLEMENT PROCEEDS.

IF YOU ARE A SETTLEMENT CLASS MEMBER, YOU MUST COMPLETE AND SUBMIT THIS FORM IN ORDER TO BE ELIGIBLE FOR ANY SETTLEMENT BENEFITS.

TO BE ELIGIBLE TO RECEIVE A DISTRIBUTION IN THE SETTLEMENT, YOU MUST COMPLETE AND SIGN THIS PROOF OF CLAIM AND RELEASE ("PROOF OF CLAIM") AND MAIL IT BY FIRST CLASS MAIL, POSTAGE PREPAID, **POSTMARKED NO LATER THAN APRIL 13, 2018**, TO THE CLAIMS ADMINISTRATOR, AT THE ADDRESS SET FORTH ABOVE.

YOUR FAILURE TO TIMELY SUBMIT A COMPLETED PROOF OF CLAIM WILL SUBJECT YOUR CLAIM TO REJECTION AND PRECLUDE YOUR RECEIVING ANY MONEY IN CONNECTION WITH THE SETTLEMENT OF THIS ACTION. DO NOT MAIL OR DELIVER YOUR CLAIM TO THE COURT OR TO ANY OF THE PARTIES OR THEIR COUNSEL AS ANY SUCH CLAIM WILL BE DEEMED NOT TO HAVE BEEN SUBMITTED. SUBMIT YOUR CLAIM ONLY TO THE CLAIMS ADMINISTRATOR.

IF YOU ARE NOT A SETTLEMENT CLASS MEMBER, OR IF YOU FILED A REQUEST FOR EXCLUSION FROM THE SETTLEMENT CLASS, DO NOT SUBMIT A PROOF OF CLAIM. YOU MAY NOT, DIRECTLY OR INDIRECTLY, PARTICIPATE IN THE SETTLEMENT IF YOU ARE NOT A SETTLEMENT CLASS MEMBER OR IF YOU SUBMIT A VALID AND TIMELY REQUEST FOR EXCLUSION.

Submission of this Form does not guarantee that you will share in the proceeds of the Settlement. Distribution of the Net Settlement Fund will be governed by the Plan of Allocation set forth in the Notice, if it is approved by the Court, or by such other plan of allocation as the Court approves.

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A B C D E F G H I J K L M N O P Q R S T U V W X Y Z 1 2 3 4 5 6 7 0



CLAIMANT'S STATEMENT

1. I (we) purchased or otherwise purchased shares of Arena common stock between March 17, 2008 and January 27, 2011, inclusive, and claim to have suffered losses as a result of such purchase.
2. By submitting this Proof of Claim, I (we) state that I (we) believe in good faith that I am (we are) a Settlement Class Member as defined above and in the Notice of Pendency and Proposed Settlement of Class Action (the "Notice"), or am (are) acting for such person(s); that I am (we are) not a Defendant in the Action or anyone excluded from the Settlement Class; that I (we) have read and understand the Notice; that I (we) believe that I am (we are) entitled to receive a share of the Net Settlement Fund, as defined in the Notice; that I (we) elect to participate in the proposed Settlement described in the Notice; and that I (we) have not filed a request for exclusion. (Note: If you are acting in a representative capacity on behalf of a Settlement Class Member [e.g., as an executor, administrator, trustee, or other representative], you must submit evidence of your current authority to act on behalf of that Class Member. Such evidence would include, for example, letters testamentary, letters of administration, or a copy of the trust documents.)
3. I (we) consent to the jurisdiction of the Court with respect to all questions concerning the validity of this Proof of Claim. I (we) understand and agree that my (our) claim may be subject to investigation and discovery under the Federal Rules of Civil Procedure, provided that such investigation and discovery shall be limited to my (our) status as a Settlement Class Member(s) and the validity and amount of my (our) claim. No discovery shall be allowed on the merits of the Action or Settlement in connection with processing of the Proof of Claim.
4. I (we) have set forth where requested below all relevant information with respect to each purchase of Arena common stock during the Class Period, and each sale, if any, of such securities. I (we) agree to furnish additional information to the Claims Administrator to support this claim if requested to do so.
5. I (we) have enclosed photocopies of the stockbroker's confirmation slips, stockbroker's statements, or other documents evidencing each purchase, sale or retention of Arena common stock listed below in support of my (our) claim. (Note: IF ANY SUCH DOCUMENTS ARE NOT IN YOUR POSSESSION, PLEASE OBTAIN A COPY OR EQUIVALENT DOCUMENTS FROM YOUR BROKER BECAUSE THESE DOCUMENTS ARE NECESSARY TO PROVE AND PROCESS YOUR CLAIM.)
6. I (we) understand that the information contained in this Proof of Claim is subject to such verification as the Claims Administrator may request or as the Court may direct, and I (we) agree to cooperate in any such verification. (Note: The information requested herein is designed to provide the minimum amount of information necessary to process most simple claims. The Claims Administrator may request additional information as required to efficiently and reliably calculate your recognized claim. In some cases, the Claims Administrator may condition acceptance of the claim based upon the production of additional information.
7. Upon the occurrence of the Court's approval of the Settlement, as detailed in the Notice, I (we) agree and acknowledge that my (our) signature(s) hereto shall effect and constitute a full and complete release, remise and discharge by me (us) and my (our) heirs, joint tenants, tenants in common, beneficiaries, executors, administrators, predecessors, successors, attorneys, insurers and assigns (or, if I am (we are) submitting this Proof of Claim on behalf of a corporation, a partnership, estate or one of more other persons, by it, him, her or them, and by its, his, her or their heirs, executors, administrators, predecessors, successors, and assigns) of each of the "Released Parties" of all "Release of Claims," as defined in the Notice.
8. NOTICE REGARDING ELECTRONIC FILES: Certain claimants with large numbers of transactions may request, or may be requested, to submit information regarding their transactions in electronic files. All Claimants MUST submit a manually signed paper Proof of Claim form listing all their transactions whether or not they also submit electronic copies. If you wish to file your claim electronically, you must contact the Claims Administrator at eClaim@chooseqcg.com or visit their website at www.ArenaPharmaceuticalsClassActionSettlement.com to obtain the required file layout. No electronic files will be considered to have been properly submitted unless the Claims Administrator issues to the Claimant a written acknowledgment of receipt and acceptance of electronically submitted data.

Claim 1003606

3



PART I - CLAIMANT INFORMATION

Claimant Contact Information:

The Claims Administrator will use this information for all communications relevant to this claim (including the check, if eligible for payment). If this information changes, you **MUST** notify the Claims Administrator in writing at the address above.

Claimant Name(s) (as the name(s) should appear on the check, if eligible for payment; if the shares are or were jointly owned, the names of all beneficial owners must be provided):

Name:

Irene C Hamlett

Address:

[Redacted Address]

City:

[Redacted City]

State:

[Redacted State]

Zip Code:

[Redacted Zip Code]

Foreign Province:

[Redacted Foreign Province]

Foreign Country:

[Redacted Foreign Country]

Daytime Telephone Number:

[Redacted Daytime Telephone Number]

Evening Telephone Number:

[Redacted Evening Telephone Number]

Social Security Number (for individuals) OR Taxpayer Identification Number (for estates, trusts, corporations, etc.)

[Redacted Social Security Number]

Please complete the appropriate section carefully. Failure to complete it in its entirety will result in the forfeiture of your rights to receive the Securities if any are distributed. You may only complete one.

Section A. Individual / Sole Proprietor. Use of initials only (e.g. J.G. Doe) is not acceptable.

- ☒ Individual.
☐ Sole Proprietor

Name:

Irene C. Hamlett

Section B. Joint Ownership. Please indicate the type of tenancy.

- ☐ Joint Tenants - Jt Ten
(includes right of survivorship - JTWROS)
☐ Tenants in Common - Ten Com
☐ Tenants by Entireties - Ten Ent

Name(s):

[Redacted Name(s)]

[Redacted Name(s)]



PART I - CLAIMANT INFORMATION CONTINUED

Section C. Retirement accounts. Please indicate the type of retirement account.

- ☐ IRA
☐ Roth IRA
☐ Keogh
☐ (Defined Benefit Plan or Defined Contribution Plan)
☒ Other (please describe) Individual

Custodian:

Beneficiary:

Date of Plan:

Section D. Private or public entity. Please indicate the entity type and check one box below.

- ☐ Corporation
☐ Partnership
☐ Limited Liability Company
☐ Non-Profit Organization
☐ Foundation

Section E. Custodianships.

- ☐ UCTA
☐ UGMA
☐ UTMA

Custodian:

State:

Minor:

Age:

Section F. Under Trust agreements. Please indicate the name of the trustee(s), the name of the Trust and the date of the Trust agreement in the space provide below.

Name of trustee(s):

Name of Trust:

Date of Trust Agreement:

Section G. Estate. Please indicate the fiduciary capacity and the name of the person or entity authorized to hold such capacity as follows. (e.g. Include the name of the deceased and the executor)

- ☐ Executor-(EX)
☐ Personal Representative (Per Rep)
☐ Administrator (Adm)
☐ Conservator (Cons)
☐ Other. Please explain _____

Fiduciary Name(s):

Estate of:

Date of Agreement:

Claim 1003606

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PART II - SCHEDULE OF TRANSACTIONS IN ARENA COMMON STOCK

A. BEGINNING HOLDINGS: State the total number of shares of Arena common stock owned at the opening of trading on March 17, 2008, long or short (*must be documented*). If none, write "zero" or "0".

--	--	--	--	--	--

Shares

B. PURCHASES/ACQUISITIONS: Separately list each and every purchase of Arena common stock during the period from March 17, 2008 and April 27, 2011, inclusive, and provide the following information (*must be documented*):

Trade Date (List Chronologically) (Month/Day/Year)	Number of Shares Purchased/Acquired	Purchase/Acquisition Price Per Share	Total Cost (excluding taxes, commissions and fees)
06/01/09	6000	3.69	22080.00
06/01/09	12660	10.60	63600.00

C. SALES: For shares purchased separately list each and every sale of Arena common stock during the period March 17, 2008 and April 27, 2011, inclusive, and provide the following information (*must be documented*):

Trade Date (List Chronologically) (Month/Day/Year)	Number of Shares Sold	Sale Price Per Share	Amount Received (excluding taxes, commissions and fees)

D. ENDING HOLDINGS: State the total number of shares of Arena common stock owned at the close of trading on April 27, 2011, long or short (*must be documented*).

--	--	--	--	--	--

Shares

IF YOU NEED ADDITIONAL SPACE TO LIST YOUR TRANSACTIONS YOU MUST PHOTOCOPY THIS PAGE, PRINT YOUR NAME AND SOCIAL SECURITY OR TAXPAYER IDENTIFICATION NUMBER AT THE TOP OF EACH SHEET AND CHECK THIS BOX:

☐

IF YOU DO NOT CHECK THIS BOX THESE ADDITIONAL PAGES WILL NOT BE REVIEWED.

Please note: Information requested with respect to your purchases/acquisitions of Arena common stock from after the close of trading on January 27, 2011 through and including the close of trading on April 27, 2011 is needed in order to balance your claim; purchases/acquisitions during this period, however, are not eligible under the Settlement and will not be used for purposes of calculating your Recognized Loss pursuant to the Plan of Allocation.



PART III - SUBSTITUTE FORM W-9

Request for Taxpayer Identification Number (Required for Receipt of Stock):

Enter taxpayer identification number below for the Beneficial Owner(s). For most individuals, this is your Social Security Number. The Internal Revenue Service ("I.R.S.") requires such taxpayer identification number. If you fail to provide this information, your claim may be rejected.

Social Security Number (for individuals) OR Taxpayer Identification Number (for estates, trusts, corporations, etc.)

PART IV - CERTIFICATION AND RELEASE

Definitions

All capitalized terms used but not defined herein shall have the same meanings as in the Notice and the Stipulation and Agreement of Settlement dated November 3, 2017 ("the Stipulation"), which is posted on the Claims Administrator's website at www.ArenaPharmaceuticalsClassActionSettlement.com. In addition, the following terms shall have the following meanings:

1. "Defendants" means Arena Pharmaceuticals, Inc., Jack Lief, Robert E. Hoffman, Dominic P. Behan, William R. Shanahan, Jr., and Christen Anderson.
2. "Released Persons" means each and all of the Defendants and each and all of their Related Persons.
3. "Related Persons" means each of the Released Persons' and their legal affiliates' past or present directors, officers, employees, partners, insurers, co-insurers, reinsurers, principals, controlling shareholders, attorneys, accountants, auditors, investment advisors, personal or legal representatives, predecessors, successors, parents, subsidiaries, divisions, joint ventures, assigns, spouses, heirs, estates, related or affiliated entities, any entity in which a Released Person has a controlling interest, any members of an Individual Defendant's immediate family, any trust of which an Individual Defendant is the settlor or which is for the benefit of an Individual Defendant and/or any member of an Individual Defendant's immediate family, and any entity in which a Defendant and/or any member of an Individual Defendant's immediate family has or have a controlling interest (directly or indirectly).
4. "Released Claims" means any and all claims, demands, rights, causes of action or liabilities of every nature and description whatsoever (including, but not limited to, any claims for damages, interest, attorneys' fees, expert or consulting fees, and any other costs, expenses or liabilities whatsoever), whether based on federal, state, local, foreign, statutory or common law or any other law, rule, ordinance, administrative provision or regulation, including Unknown Claims as defined in ¶1.30 of the Stipulation, whether class or individual in nature, whether fixed or contingent, accrued or unaccrued, liquidated or unliquidated, at law or in equity, matured or unmatured, concealed or hidden, suspected or unsuspected, which now exist or heretofore have existed, that were asserted or could have been asserted by Lead Plaintiff or any Settlement Class Member against the Released Persons based on, arising from or relating to both: (i) the purchase, acquisition, holding, disposition, or sale of Arena common stock during the Class Period; and (ii) the allegations, transactions, facts, matters, events, disclosures, registration statements, public filings, acts, occurrences, representations, statements, omissions or failures to act that occurred during the Class Period and that were or could have been alleged by Lead Plaintiff in the Action against the Released Persons based upon the facts alleged in the Complaint. Released Claims does not include claims to enforce the Settlement.
5. "Unknown Claims" means collectively any Released Claims which Lead Plaintiff or any Settlement Class Member do not know or suspect to exist in his, her or its favor at the time of the release of the Released Persons which, if known by him, her or it, might have affected his, her or its settlement with and release of the Released Persons, or might have affected his, her or its decision not to object to this Settlement or seek exclusion from the Settlement Class. With respect to any and all Released Claims, the Settling Parties stipulate and agree that, upon the Effective Date, Lead Plaintiff shall expressly waive and each of the Class Members shall be deemed to have, and by operation of the Judgment shall have, expressly waived the provisions, rights, and benefits of California Civil Code §1542, which provides:



PART IV- CERTIFICATION AND RELEASE CONTINUED

A general release does not extend to claims which the creditor does not know or suspect to exist in his or her favor at the time of executing the release, which if known by him or her must have materially affected his or her settlement with the debtor.

Lead Plaintiff shall expressly waive and each of the Settlement Class Members shall be deemed to have, and by operation of the Judgment shall have, expressly waived any and all provisions, rights, and benefits conferred by any law of any state or territory of the United States or any foreign country, or any principle of common law, which is similar, comparable or equivalent in substance to California Civil Code §1542. Lead Plaintiff and Settlement Class Members may hereafter discover facts in addition to or different from those which he, she or it now knows or believes to be true with respect to the subject matter of the Released Claims, but upon the Effective Date, each Settlement Class Member, and Lead Plaintiff expressly, shall be deemed to have, and by operation of the Judgment shall have, fully, finally, and forever settled and released any and all Released Claims, known or unknown, suspected or unsuspected, contingent or non-contingent, whether or not concealed or hidden, which now exist, or heretofore have existed, upon any theory of law or equity now existing or coming into existence in the future, including, but not limited to, conduct which is negligent, intentional, with or without malice, or a breach of any duty, law or rule, without regard to the subsequent discovery or existence of such different or additional facts. Lead Plaintiff acknowledges, and the Settlement Class Members shall be deemed by operation of the Judgment to have acknowledged, that the foregoing waiver was separately bargained for and a material element of the Settlement of which this release is a part.

Submission to Jurisdiction of Court and Acknowledgements and Affirmations

I (we) submit this Proof of Claim and Release Form under the terms of the Stipulation of Settlement described in the Notice. I (we) also submit to the jurisdiction of the United States District Court for the Southern District of California with respect to my claim as a Settlement Class Member and for purposes of enforcing the release set forth herein. I (we) further acknowledge that I am (we are) bound and subject to the terms of any judgment that may be entered in the Action. I (we) affirm that I (we) purchased Arena common stock between March 17, 2008 and January 27, 2011, inclusive, and claim to have suffered losses as a result of such purchase. By submitting this Proof of Claim and Release Form, I (we) state that I (we) believe in good faith that I am a (we are) Settlement Class Member(s) as defined in the Notice or am (are) acting for such person; that I am (we are) not a Defendant in the Action or anyone excluded from the Settlement Class; that I (we) have read and understand the Notice; that I (we) believe that I am (we are) entitled to receive a share of the Net Settlement Fund; that I (we) elect to participate in the proposed Settlement described in the Notice; that I (we) have not filed a request for exclusion; and that I (we) have not submitted any other claim covering the same purchases of Arena common stock between March 17, 2008 and January 27, 2011, inclusive, and know of no other person having done so on my (our) behalf. I (We) have set forth where requested herein all relevant information with respect to each purchase or acquisition of Arena common stock between March 17, 2008 and January 27, 2011, inclusive. I (we) agree to furnish additional information to the Claims Administrator to support this claim if requested to do so. I (we) understand that no discovery shall be allowed on the merits of the Action or Settlement in connection with processing of the Proof of Claim and in particular that no discovery shall be permitted against any Defendants in connection with any Proof of Claim.



PART IV- CERTIFICATION AND RELEASE CONTINUED

Release

I (We) hereby acknowledge, on behalf of myself (ourselves) and my (our) heirs, agents, executors, administrators, predecessors, successors, and assigns (or, if submitting this Proof of Claim and Release Form on behalf of a corporation, a partnership, estate or one or more other persons, on behalf of it, him, her or them and on behalf of its, his, her or their heirs, agents, executors, administrators, predecessors, successors, and assigns), full and complete satisfaction of, and do hereby fully, finally and forever settle, release and discharge from the Released Claims each and all of the Released Parties, and I (we) shall forever be enjoined from prosecuting any or all Released Claims against any Released Parties.

This release shall be of no force or effect unless and until the Court approves the Stipulation and the Stipulation becomes effective on the Effective Date (as defined in the Stipulation).

I (We) hereby warrant and represent that I (we) have not assigned or transferred or purported to assign, transfer, voluntarily or involuntarily, any matter released pursuant to this release or any other part or portion thereof.

I (We) hereby warrant and represent that I (we) have included information about all of my (our) transactions in Arena common stock that occurred during the Class Period, as well as the number of shares of Arena held by me (us) at the beginning of trading on March 17, 2008 and at the close of trading on January 27, 2011.

I (We) certify that I am (we are) not subject to backup withholding under the provisions of Section 3406(a)(1)(C) of the Internal Revenue Code.

Note: If you have been notified by the Internal Revenue Service that you are subject to backup withholding, please strike out the language that you are not subject to backup withholding in the certification above.

I (We) declare under penalty of perjury under the laws of the United States of America that the foregoing information supplied by the undersigned is true and correct.

Irene C. Hamlett

Signature

4-5-2018

Date

Irene C. Hamlett

Print Name

Email Address

Day Telephone

Evening Telephone

On Behalf of:

(Print name of corporation, partnership, estate, or other entity if you are submitting this form on behalf of one of them.)

Signature

Date

Print Name

Email Address

Day Telephone

Evening Telephone

On Behalf of:

(Print name of corporation, partnership, estate, or other entity if you are submitting this form on behalf of one of them.)

Shirley B. - CV -
Claim 1003606

Mrs. Irene Hamlett



June 26, 2018

Dear: Claims Administrator:

I formally request that the Court review the rejection of my claim number 01002175 and claim number 01003606. These claims were denied due to insufficient documentation presented to support my claim. I purchased stock in Arena Pharmaceuticals in 2008 and 2009 almost ten years ago. Quick and Reilly was the brokerage I used to purchase the Arena stock. Fleet Bank subsequently bought Quick and Reilly, then Fleet Bank was purchased by Merrill Lynch.

I do not have the trading confirmations from that long ago. It is unreasonable to expect me to have them. I do not keep tax returns from nearly ten years ago. Merrill Lynch does not have any records of these transactions either.

I ask this court to please reexamine the rejection of my claims and approve my claims. Thank you for your time in adjudicating this matter.

Sincerely,

A handwritten signature in cursive script that reads "Irene Hamlett".

Irene Hamlett

ALL INFORMATION CONTAINED
HEREIN IS UNCLASSIFIED
DATE 01/20/2010 BY 60322
UCBAW/STP

ALL INFORMATION CONTAINED
HEREIN IS UNCLASSIFIED
DATE 01/20/2010 BY 60322
UCBAW/STP

Arena Securities Litigation

c/o GCG

P.O. Box 10526

Dublin, OH 43017-0526

(877) 981-9683

www.ArenaPharmaceuticalsClassActionSettlement.com

SAP0210298814



IRENE C HAMLETT



Mailing Date: June 15, 2018

Response Due Date: July 5, 2018

Claim No: 1003606

Eligible Securities:

Arena common stock

Class Period:

March 17, 2008 through January 27, 2011, inclusive

NOTICE OF CONDITIONAL REJECTION OF YOUR ENTIRE CLAIM

Dear Claimant:

The Claim Form you submitted in the Arena Securities Litigation was processed and conditionally rejected in its entirety pursuant to the terms of the Settlement as approved by the Court. The reason(s) for the rejection of your entire claim is on the back of this letter.

NO FURTHER ACTION IS REQUIRED IF YOU AGREE WITH OUR DETERMINATIONS AND/OR DO NOT WISH TO FIX YOUR CLAIM.

To fix or otherwise complete your claim, please follow the directions on the back of this letter. If a noted deficiency relates to documentation submitted, please do not merely re-submit the same documentation as was included with the original submission of your claim. That documentation was determined to be incomplete or inadequate. If your response cures some but not all of the identified conditions of ineligibility, your claim will be considered to the extent cured. Please note: Regardless of how many deficiencies are cured, in order for the claim to be eligible to any extent, IT MUST CALCULATE TO A RECOGNIZED CLAIM UNDER THE PLAN OF ALLOCATION.

If you fail to respond by the Response Due Date above, or if your response fails to sufficiently cure the ineligible condition(s) identified, this claim will be rejected in its entirety.

If you have any questions regarding this letter, please call the Claims Administrator at 877-981-9683 for a detailed description of the reasons your Claim Form has been rejected.

Sincerely,

The Claims Administrator
Arena Securities Litigation

*****THIS IS THE ONLY NOTICE YOU WILL RECEIVE FOR THIS CLAIM*****
IMPORTANT INFORMATION ABOUT YOUR CLAIM AND THE NEXT STEPS YOU MAY TAKE ARE ON THE BACK OF THIS LETTER. IF YOU HAVE ADDITIONAL QUESTIONS, PLEASE CALL US AT 877-981-9683.



Claim No: 1003606

YOU MUST INCLUDE A COPY OF THIS LETTER WITH ANY RESPONSE AND YOU MUST REFERENCE YOUR CLAIM NUMBER ON ALL CORRESPONDENCE AND DOCUMENTATION.

PROBLEM(S) WITH YOUR CLAIM:

PROBLEM WITH YOUR CLAIM:

Your claim does not "balance" because you did not provide information about all of your transactions. For your claim to be in balance, using the chart below, the number of shares held prior to the Settlement Class Period ("A") plus the shares purchased/acquired during the Settlement Class Period ("B") SHOULD EQUAL the number of shares sold during the Settlement Class Period ("C") plus the number of shares held as of the close of trading on April 27, 2011 ("D"). **Because there are transactions missing, your claim does not calculate to a recognized loss under the Plan of Allocation.**

Security	Total Transactions	Transaction	Number of Shares
Common Stock	A	Prior Holding	0.00
Common Stock	B	Purchases	12,000.00
Common Stock	C	Sales	0.00
Common Stock	D	End Holding	0.00

HOW TO FIX YOUR CLAIM:

A summary of your claim transaction history is provided in the table above. Review your transactional history before, during, and after the class period and compare it to the information you previously provided. Return this letter with the additional transactional information as well as appropriate supporting documentation, such as periodic brokerage statements or broker's trade confirmations (handwritten or self-generated information is not acceptable) showing the new transaction(s).

PROBLEM WITH YOUR CLAIM:

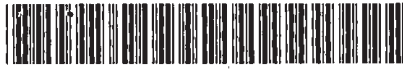
YOU DID NOT PROVIDE ANY DOCUMENTATION TO SUPPORT YOUR CLAIM.

HOW TO FIX YOUR CLAIM:

To complete your claim, you must return this letter along with **appropriate** supporting documentation. Appropriate supporting documentation includes periodic brokerage statements or broker's trade confirmations (handwritten or self-generated information is not acceptable) showing all transactions and holdings positions listed on your Claim Form.

Failure to substantiate your transactions and holdings positions will likely result in a reduction of your payment, or in no payment at all.

Claim 1003606



Claim No: 1003606

If you do not intend to supply additional information/documentation, and you still disagree with the determination, you may request Court review of the determination. To request Court review, you must send a letter to the claims administrator within twenty (20) days of the date of this letter and it must: (1) specifically state that you "request that the Court review the rejection of your claim," (2) state your argument(s) for why your claim should be accepted, (3) attach any supporting documents you may have to support your argument, and (4) be signed. Please also include a copy of this letter when requesting Court review of the rejection of your claim. If your dispute with the determination to reject your claim cannot otherwise be resolved, your claim and its administrative rejection will be presented to the Court for review. PLEASE NOTE: COURT REVIEW SHOULD ONLY BE SOUGHT IF YOU DISAGREE WITH THE DETERMINATION TO REJECT YOUR CLAIM.

[Redacted line]

[Redacted line]

[Redacted line]

[Redacted line]

[Redacted line]

[Redacted line]

[Redacted line]

[Redacted line]

[Redacted line]

Claim 1030450

Must be
Postmarked
No Later Than
April 13, 2018

Arena Securities Litigation
c/o GCG
P.O. Box 10526
Dublin, OH 43017-0526
Toll-Free: (877) 981-9683

SAP



Settlement Website: www.ArenaPharmaceuticalsClassActionSettlement.com

SAP0203121687



WILLIAM S. CARLE



Claim Number: 01030450

Control Number: 0250125843

Schueneman v. Arena Pharmaceuticals, Inc.

PROOF OF CLAIM AND RELEASE

IF YOU PURCHASED COMMON STOCK OF ARENA PHARMACEUTICALS, INC. ("ARENA") FROM MARCH 17, 2008 THROUGH JANUARY 27, 2011, INCLUSIVE, AND SUFFERED LOSSES AS A RESULT OF SUCH PURCHASE, YOU ARE A "SETTLEMENT CLASS MEMBER" AND YOU MAY BE ENTITLED TO SHARE IN THE SETTLEMENT PROCEEDS.

IF YOU ARE A SETTLEMENT CLASS MEMBER, YOU MUST COMPLETE AND SUBMIT THIS FORM IN ORDER TO BE ELIGIBLE FOR ANY SETTLEMENT BENEFITS.

TO BE ELIGIBLE TO RECEIVE A DISTRIBUTION IN THE SETTLEMENT, YOU MUST COMPLETE AND SIGN THIS PROOF OF CLAIM AND RELEASE ("PROOF OF CLAIM") AND MAIL IT BY FIRST CLASS MAIL, POSTAGE PREPAID, **POSTMARKED NO LATER THAN APRIL 13, 2018**, TO THE CLAIMS ADMINISTRATOR, AT THE ADDRESS SET FORTH ABOVE.

YOUR FAILURE TO TIMELY SUBMIT A COMPLETED PROOF OF CLAIM WILL SUBJECT YOUR CLAIM TO REJECTION AND PRECLUDE YOUR RECEIVING ANY MONEY IN CONNECTION WITH THE SETTLEMENT OF THIS ACTION. DO NOT MAIL OR DELIVER YOUR CLAIM TO THE COURT OR TO ANY OF THE PARTIES OR THEIR COUNSEL AS ANY SUCH CLAIM WILL BE DEEMED NOT TO HAVE BEEN SUBMITTED. SUBMIT YOUR CLAIM ONLY TO THE CLAIMS ADMINISTRATOR.

IF YOU ARE NOT A SETTLEMENT CLASS MEMBER, OR IF YOU FILED A REQUEST FOR EXCLUSION FROM THE SETTLEMENT CLASS, DO NOT SUBMIT A PROOF OF CLAIM. YOU MAY NOT, DIRECTLY OR INDIRECTLY, PARTICIPATE IN THE SETTLEMENT IF YOU ARE NOT A SETTLEMENT CLASS MEMBER OR IF YOU SUBMIT A VALID AND TIMELY REQUEST FOR EXCLUSION.

Submission of this Form does not guarantee that you will share in the proceeds of the Settlement. Distribution of the Net Settlement Fund will be governed by the Plan of Allocation set forth in the Notice, if it is approved by the Court, or by such other plan of allocation as the Court approves.

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PART III - SUBSTITUTE FORM W-9	6
PART IV - CERTIFICATION AND RELEASE	6-8

Important - This form should be completed IN CAPITAL LETTERS using BLACK or DARK BLUE ballpoint/fountain pen. Characters and marks used should be similar in the style to the following:

A B C D E F G H I J K L M N O P Q R S T U V W X Y Z 1 2 3 4 5 6 7 0



CLAIMANT'S STATEMENT

1. I (we) purchased or otherwise purchased shares of Arena common stock between March 17, 2008 and January 27, 2011, inclusive, and claim to have suffered losses as a result of such purchase.
2. By submitting this Proof of Claim, I (we) state that I (we) believe in good faith that I am (we are) a Settlement Class Member as defined above and in the Notice of Pendency and Proposed Settlement of Class Action (the "Notice"), or am (are) acting for such person(s); that I am (we are) not a Defendant in the Action or anyone excluded from the Settlement Class; that I (we) have read and understand the Notice; that I (we) believe that I am (we are) entitled to receive a share of the Net Settlement Fund, as defined in the Notice; that I (we) elect to participate in the proposed Settlement described in the Notice; and that I (we) have not filed a request for exclusion. (Note: If you are acting in a representative capacity on behalf of a Settlement Class Member [e.g., as an executor, administrator, trustee, or other representative], you must submit evidence of your current authority to act on behalf of that Class Member. Such evidence would include, for example, letters testamentary, letters of administration, or a copy of the trust documents.)
3. I (we) consent to the jurisdiction of the Court with respect to all questions concerning the validity of this Proof of Claim. I (we) understand and agree that my (our) claim may be subject to investigation and discovery under the Federal Rules of Civil Procedure, provided that such investigation and discovery shall be limited to my (our) status as a Settlement Class Member(s) and the validity and amount of my (our) claim. No discovery shall be allowed on the merits of the Action or Settlement in connection with processing of the Proof of Claim.
4. I (we) have set forth where requested below all relevant information with respect to each purchase of Arena common stock during the Class Period, and each sale, if any, of such securities. I (we) agree to furnish additional information to the Claims Administrator to support this claim if requested to do so.
5. I (we) have enclosed photocopies of the stockbroker's confirmation slips, stockbroker's statements, or other documents evidencing each purchase, sale or retention of Arena common stock listed below in support of my (our) claim. (Note: IF ANY SUCH DOCUMENTS ARE NOT IN YOUR POSSESSION, PLEASE OBTAIN A COPY OR EQUIVALENT DOCUMENTS FROM YOUR BROKER BECAUSE THESE DOCUMENTS ARE NECESSARY TO PROVE AND PROCESS YOUR CLAIM.)
6. I (we) understand that the information contained in this Proof of Claim is subject to such verification as the Claims Administrator may request or as the Court may direct, and I (we) agree to cooperate in any such verification. (Note: The information requested herein is designed to provide the minimum amount of information necessary to process most simple claims. The Claims Administrator may request additional information as required to efficiently and reliably calculate your recognized claim. In some cases, the Claims Administrator may condition acceptance of the claim based upon the production of additional information.
7. Upon the occurrence of the Court's approval of the Settlement, as detailed in the Notice, I (we) agree and acknowledge that my (our) signature(s) hereto shall effect and constitute a full and complete release, remise and discharge by me (us) and my (our) heirs, joint tenants, tenants in common, beneficiaries, executors, administrators, predecessors, successors, attorneys, insurers and assigns (or, if I am (we are) submitting this Proof of Claim on behalf of a corporation, a partnership, estate or one or more other persons, by it, him, her or them, and by its, his, her or their heirs, executors, administrators, predecessors, successors, and assigns) of each of the "Released Parties" of all "Release of Claims," as defined in the Notice.
8. NOTICE REGARDING ELECTRONIC FILES: Certain claimants with large numbers of transactions may request, or may be requested, to submit information regarding their transactions in electronic files. All Claimants MUST submit a manually signed paper Proof of Claim form listing all their transactions whether or not they also submit electronic copies. If you wish to file your claim electronically, you must contact the Claims Administrator at eClaim@choossegcg.com or visit their website at www.ArenaPharmaceuticalsClassActionSettlement.com to obtain the required file layout. No electronic files will be considered to have been properly submitted unless the Claims Administrator issues to the Claimant a written acknowledgment of receipt and acceptance of electronically submitted data.

Claim 1030450

3



PART I - CLAIMANT INFORMATION

Claimant Contact Information:

The Claims Administrator will use this information for all communications relevant to this claim (including the check, if eligible for payment). If this information changes, you MUST notify the Claims Administrator in writing at the address above.

Claimant Name(s) (as the name(s) should appear on the check, if eligible for payment; if the shares are or were jointly owned, the names of all beneficial owners must be provided):

Name:

WILLIAM S CARLE

Address:

[REDACTED]

City:

[REDACTED]

State:

[REDACTED]

Zip Code:

[REDACTED]

Foreign Province:

[REDACTED]

Foreign Country:

[REDACTED]

Daytime Telephone Number:

[REDACTED]

Evening Telephone Number:

[REDACTED]

Social Security Number (for individuals) OR Taxpayer Identification Number (for estates, trusts, corporations, etc.)

[REDACTED]

Please complete the appropriate section carefully. Failure to complete it in its entirety will result in the forfeiture of your rights to receive the Securities if any are distributed. You may only complete one.

Section A. Individual / Sole Proprietor. Use of initials only (e.g. J.G. Doe) is not acceptable.

☒ Individual.

☐ Sole Proprietor

Name:

William S. Carle

Section B. Joint Ownership. Please indicate the type of tenancy.

☐ Joint Tenants - Jt Ten

(includes right of survivorship - JTWROS)

☐ Tenants in Common - Ten Com

☐ Tenants by Entireties - Ten Ent

Name(s):

[REDACTED]

[REDACTED]



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PART I - CLAIMANT INFORMATION CONTINUED

Section C. Retirement accounts. *Please indicate the type of retirement account.*

- ☐ IRA
☐ Roth IRA
☐ Keogh
(Defined Benefit Plan or Defined Contribution Plan)
☐ Other (please describe) _____

Custodian:

Beneficiary:

Date of Plan:

Section D. Private or public entity. *Please indicate the entity type and check one box below.*

- ☐ Corporation
☐ Partnership
☐ Limited Liability Company
☐ Non-Profit Organization
☐ Foundation

Section E. Custodianships.

- ☐ UCTA
☐ UGMA
☐ UTMA

Custodian:

State:

Minor:

Age:

Section F. Under Trust agreements. *Please indicate the name of the trustee(s), the name of the Trust and the date of the Trust agreement in the space provide below)*

Name of trustee(s):

Name of Trust:

Date of Trust Agreement:

Section G. Estate. *Please indicate the fiduciary capacity and the name of the person or entity authorized to hold such capacity as follows. (e.g. Include the name of the deceased and the executor)*

- ☐ Executor (EX)
☐ Personal Representative (Per Rep)
☐ Administrator (Adm)
☐ Conservator (Cons)
☐ Other. Please explain _____

Fiduciary Name(s):

Estate of:

Date of Agreement:

Claim 1030450

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PART II - SCHEDULE OF TRANSACTIONS IN ARENA COMMON STOCK

A. BEGINNING HOLDINGS: State the total number of shares of Arena common stock owned at the opening of trading on March 17, 2008, long or short (*must be documented*). If none, write "zero" or "0".

Shares					

B. PURCHASES/ACQUISITIONS: Separately list each and every purchase of Arena common stock during the period from March 17, 2008 and April 27, 2011, inclusive, and provide the following information (*must be documented*):

Trade Date (List Chronologically) (Month/Day/Year)	Number of Shares Purchased/Acquired	Purchase/Acquisition Price Per Share	Total Cost (excluding taxes, commissions and fees)
03/21/08	5000	425	21250.00

C. SALES: For shares purchased separately list each and every sale of Arena common stock during the period March 17, 2008 and April 27, 2011, inclusive, and provide the following information (*must be documented*):

Trade Date (List Chronologically) (Month/Day/Year)	Number of Shares Sold	Sale Price Per Share	Amount Received (excluding taxes, commissions and fees)

D. ENDING HOLDINGS: State the total number of shares of Arena common stock owned at the close of trading on April 27, 2011, long or short (*must be documented*).

Shares					

IF YOU NEED ADDITIONAL SPACE TO LIST YOUR TRANSACTIONS YOU MUST PHOTOCOPY THIS PAGE, PRINT YOUR NAME AND SOCIAL SECURITY OR TAXPAYER IDENTIFICATION NUMBER AT THE TOP OF EACH SHEET AND CHECK THIS BOX:

☐

IF YOU DO NOT CHECK THIS BOX THESE ADDITIONAL PAGES WILL NOT BE REVIEWED

Please note: Information requested with respect to your purchases/acquisitions of Arena common stock from after the close of trading on January 27, 2011 through and including the close of trading on April 27, 2011 is needed in order to balance your claim; purchases/acquisitions during this period, however, are not eligible under the Settlement and will not be used for purposes of calculating your Recognized Loss pursuant to the Plan of Allocation.



PART III - SUBSTITUTE FORM W-9

Request for Taxpayer Identification Number (Required for Receipt of Stock):

Enter taxpayer identification number below for the Beneficial Owner(s). For most individuals, this is your Social Security Number. The Internal Revenue Service ("I.R.S.") requires such taxpayer identification number. If you fail to provide this information, your claim may be rejected.

Social Security Number (for individuals) OR Taxpayer Identification Number (for estates, trusts, corporations, etc.)



PART IV - CERTIFICATION AND RELEASE

Definitions

All capitalized terms used but not defined herein shall have the same meanings as in the Notice and the Stipulation and Agreement of Settlement dated November 3, 2017 ("the Stipulation"), which is posted on the Claims Administrator's website at www.ArenaPharmaceuticalsClassActionSettlement.com. In addition, the following terms shall have the following meanings:

1. "Defendants" means Arena Pharmaceuticals, Inc., Jack Lief, Robert E. Hoffman, Dominic P. Behan, William R. Shanahan, Jr., and Christen Anderson.
2. "Released Persons" means each and all of the Defendants and each and all of their Related Persons.
3. "Related Persons" means each of the Released Persons' and their legal affiliates' past or present directors, officers, employees, partners, insurers, co-insurers, reinsurers, principals, controlling shareholders, attorneys, accountants, auditors, investment advisors, personal or legal representatives, predecessors, successors, parents, subsidiaries, divisions, joint ventures, assigns, spouses, heirs, estates, related or affiliated entities, any entity in which a Released Person has a controlling interest, any members of an Individual Defendant's immediate family, any trust of which an Individual Defendant is the settlor or which is for the benefit of an Individual Defendant and/or any member of an Individual Defendant's immediate family, and any entity in which a Defendant and/or any member of an Individual Defendant's immediate family has or have a controlling interest (directly or indirectly).
4. "Released Claims" means any and all claims, demands, rights, causes of action or liabilities of every nature and description whatsoever (including, but not limited to, any claims for damages, interest, attorneys' fees, expert or consulting fees, and any other costs, expenses or liabilities whatsoever), whether based on federal, state, local, foreign, statutory or common law or any other law, rule, ordinance, administrative provision or regulation, including Unknown Claims as defined in ¶1.30 of the Stipulation, whether class or individual in nature, whether fixed or contingent, accrued or unaccrued, liquidated or unliquidated, at law or in equity, matured or unmatured, concealed or hidden, suspected or unsuspected, which now exist or heretofore have existed, that were asserted or could have been asserted by Lead Plaintiff or any Settlement Class Member against the Released Persons based on, arising from or relating to both: (i) the purchase, acquisition, holding, disposition, or sale of Arena common stock during the Class Period; and (ii) the allegations, transactions, facts, matters, events, disclosures, registration statements, public filings, acts, occurrences, representations, statements, omissions or failures to act that occurred during the Class Period and that were or could have been alleged by Lead Plaintiff in the Action against the Released Persons based upon the facts alleged in the Complaint. Released Claims does not include claims to enforce the Settlement.
5. "Unknown Claims" means collectively any Released Claims which Lead Plaintiff or any Settlement Class Member do not know or suspect to exist in his, her or its favor at the time of the release of the Released Persons which, if known by him, her or it, might have affected his, her or its settlement with and release of the Released Persons, or might have affected his, her or its decision not to object to this Settlement or seek exclusion from the Settlement Class. With respect to any and all Released Claims, the Settling Parties stipulate and agree that, upon the Effective Date, Lead Plaintiff shall expressly waive and each of the Class Members shall be deemed to have, and by operation of the Judgment shall have, expressly waived the provisions, rights, and benefits of California Civil Code §1542, which provides:



PART IV- CERTIFICATION AND RELEASE CONTINUED

A general release does not extend to claims which the creditor does not know or suspect to exist in his or her favor at the time of executing the release, which if known by him or her must have materially affected his or her settlement with the debtor.

Lead Plaintiff shall expressly waive and each of the Settlement Class Members shall be deemed to have, and by operation of the Judgment shall have, expressly waived any and all provisions, rights, and benefits conferred by any law of any state or territory of the United States or any foreign country, or any principle of common law, which is similar, comparable or equivalent in substance to California Civil Code §1542. Lead Plaintiff and Settlement Class Members may hereafter discover facts in addition to or different from those which he, she or it now knows or believes to be true with respect to the subject matter of the Released Claims, but upon the Effective Date, each Settlement Class Member, and Lead Plaintiff expressly, shall be deemed to have, and by operation of the Judgment shall have, fully, finally, and forever settled and released any and all Released Claims, known or unknown, suspected or unsuspected, contingent or non-contingent; whether or not concealed or hidden, which now exist, or heretofore have existed, upon any theory of law or equity now existing or coming into existence in the future, including, but not limited to, conduct which is negligent, intentional, with or without malice, or a breach of any duty, law or rule, without regard to the subsequent discovery or existence of such different or additional facts. Lead Plaintiff acknowledges, and the Settlement Class Members shall be deemed by operation of the Judgment to have acknowledged, that the foregoing waiver was separately bargained for and a material element of the Settlement of which this release is a part.

Submission to Jurisdiction of Court and Acknowledgements and Affirmations

I (we) submit this Proof of Claim and Release Form under the terms of the Stipulation of Settlement described in the Notice. I (we) also submit to the jurisdiction of the United States District Court for the Southern District of California with respect to my claim as a Settlement Class Member and for purposes of enforcing the release set forth herein. I (we) further acknowledge that I am (we are) bound and subject to the terms of any judgment that may be entered in the Action. I (we) affirm that I (we) purchased Arena common stock between March 17, 2008 and January 27, 2011, inclusive, and claim to have suffered losses as a result of such purchase. By submitting this Proof of Claim and Release Form, I (we) state that I (we) believe in good faith that I am (we are) Settlement Class Member(s) as defined in the Notice or am (are) acting for such person; that I am (we are) not a Defendant in the Action or anyone excluded from the Settlement Class; that I (we) have read and understand the Notice; that I (we) believe that I am (we are) entitled to receive a share of the Net Settlement Fund; that I (we) elect to participate in the proposed Settlement described in the Notice; that I (we) have not filed a request for exclusion; and that I (we) have not submitted any other claim covering the same purchases of Arena common stock between March 17, 2008 and January 27, 2011, inclusive, and know of no other person having done so on my (our) behalf. I (We) have set forth where requested herein all relevant information with respect to each purchase or acquisition of Arena common stock between March 17, 2008 and January 27, 2011, inclusive. I (we) agree to furnish additional information to the Claims Administrator to support this claim if requested to do so. I (we) understand that no discovery shall be allowed on the merits of the Action or Settlement in connection with processing of the Proof of Claim and in particular that no discovery shall be permitted against any Defendants in connection with any Proof of Claim.



PART IV- CERTIFICATION AND RELEASE CONTINUED

Release

I (We) hereby acknowledge, on behalf of myself (ourselves) and my (our) heirs, agents, executors, administrators, predecessors, successors, and assigns (or, if submitting this Proof of Claim and Release Form on behalf of a corporation, a partnership, estate or one or more other persons, on behalf of it, him, her or them and on behalf of its, his, her or their heirs, agents, executors, administrators, predecessors, successors, and assigns), full and complete satisfaction of, and do hereby fully, finally and forever settle, release and discharge from the Released Claims each and all of the Released Parties, and I (we) shall forever be enjoined from prosecuting any or all Released Claims against any Released Parties.

This release shall be of no force or effect unless and until the Court approves the Stipulation and the Stipulation becomes effective on the Effective Date (as defined in the Stipulation).

I (We) hereby warrant and represent that I (we) have not assigned or transferred or purported to assign, transfer, voluntarily or involuntarily, any matter released pursuant to this release or any other part or portion thereof.

I (We) hereby warrant and represent that I (we) have included information about all of my (our) transactions in Arena common stock that occurred during the Class Period, as well as the number of shares of Arena held by me (us) at the beginning of trading on March 17, 2008 and at the close of trading on January 27, 2011.

I (We) certify that I am (we are) not subject to backup withholding under the provisions of Section 3406(a)(1)(C) of the Internal Revenue Code.

Note: If you have been notified by the Internal Revenue Service that you are subject to backup withholding, please strike out the language that you are not subject to backup withholding in the certification above.

I (We) declare under penalty of perjury under the laws of the United States of America that the foregoing information supplied by the undersigned is true and correct.


Signature

2-18-18
Date

William S. Carle
Print Name


Email Address


Day Telephone


Evening Telephone

On Behalf of:

(Print name of corporation, partnership, estate, or other entity if you are submitting this form on behalf of one of them.)

Signature

Date

Print Name

Email Address

Day Telephone

Evening Telephone

On Behalf of:

(Print name of corporation, partnership, estate, or other entity if you are submitting this form on behalf of one of them.)



UBS FINANCIAL SERVICES INC.
90 SOUTH CASCADE AVE, STE 900
COLORADO SPRINGS CO 80903-1622

DOI1033844 000001674000161120040539720 00025

Confirmation

PAGE 1 OF 2

Account Number [REDACTED]
Your Financial Advisor [REDACTED]

00018847 01 MB 0.382 01 TR 000083 BCCDD210B 0000000 edg

WILLIAM S CARLE

Please retain for your records.

Important



We confirm the following transaction(s):

Trade activity				Trade date		Date processed		Payment date/ Settlement date	
BOUGHT				10/21/2009		10/21/2009		10/26/2009	
Reference no.	Quantity/ Face value	Description	Price	Gross amount	Commission/ Mark-up	Accrued interest	Other Fees and charges	Total amount	
40213	5,000	ARENA PHARMACEUTICALS INC	4.258000. UNSOLICITED	\$21,290.00	\$567.36		\$5.25 Discounted Rate	\$21,862.61	

An affiliate of UBS Financial Services Inc. makes a market in this security.

UBS INVESTMENT RESEARCH RATING: Neutral

Rating Exception -- The rating for this stock may have been placed Under Review by the analyst or may have an exception to the core rating bands. For further information, please refer to the Research Rating Exceptions daily report or contact your Financial Advisor or representative.

SYMBOL ARNA CUSIP NO. 040047102
Location of Execution: 09 Capacity: Agent

00018847 00039486

Claim 1030450

Additional Terms and Definitions

Payment and Delivery: You acknowledge that (1) for all property purchased for your account, you will make payment by settlement date and (2) for all property sold for your account (except for short sales), that you own such property and that either such property has been forwarded to your account or will be forwarded to your account by settlement date.

Close-out Rights: We reserve the right to close transactions without further notice when, if in our judgment, margins on deposit with us are below our requirements or if payment for the transaction or delivery of property is not received by settlement date.

Late Payment: If payment is not received by the settlement date shown, a late payment interest charge may be incurred. Until payment is received from you, these securities may be loaned, pledged, hypothecated and/or commingled with securities carried for other clients.

Regulations: These transactions are subject to the rules, regulations and customs of the exchange or market on which they are made and to any and all applicable federal, state and/or foreign statutes or regulations.

Other Fees and Charges: Amounts charged to your account may include, but not be limited to, transaction fees (rounded to the next penny on each sale transaction), subscription fees for U.S. Government and Government agency issues, postage, insurance premiums and other charges associated with the processing of securities, funds and assets.

Markets: Many securities are traded in multiple markets and, unless specifically instructed in this regard, we will exercise discretion as to the market or markets in which your order is executed. The time of execution is available upon written request.

Market Maker: As market maker, we or our affiliate may have acted as principal, may have had a long or short position in the security and may have profited or lost in connection with this transaction.

Boston Options Exchange: UBS Securities LLC, an affiliate of UBS Financial Services Inc., has a beneficial ownership interest in the entity which operates the Boston Options Exchange.

Cincinnati Stock Exchange: If the location of execution is the Cincinnati Stock Exchange and we directed the order there, the transaction was executed by an affiliated market maker who may have earned compensation in addition to the commission shown on the front hereof. See Market Maker definition.

International Securities Exchange: UBS Securities LLC, an affiliate of UBS Financial Services Inc., has a beneficial interest in the entity which operates the International Securities Exchange.

Agency Trades: For trades in which we acted as agent, the name of the other party and/or the source and amount of any other remuneration received by us, in addition to the amount shown on the front of the confirmation, will be furnished upon written request. The other party may be an affiliate that may earn compensation in addition to the commission shown on the front hereof.

Principal Trades: For trades in which we acted as principal, the price shown may reflect revenue received by us in addition to any amount shown under "Commission/Markup," including revenue received from affiliated dealers.

Reported Securities: For trades in which we acted as principal in a reported security, the amount shown under "Price" is the reported trade price.

Odd-lot Differential: The amount of any odd-lot differential or equivalent fee charged to you in connection with the execution of an order for an odd-lot number of shares, units or principal amount of a security will be furnished upon request.

Not-Rated Debt: This security is not rated by a nationally recognized statistical rating organization. This information is based on a good faith inquiry of selected sources we believe to be reliable and current, but its accuracy cannot be guaranteed.

Dual Agent: If the capacity shown on the front is dual agent, then we acted as agent for both you and another client.

Asset-Backed Security: The actual yield on an asset-backed security may vary according to the rate at which the underlying receivables or other financial assets are prepaid. Information concerning the factors that affect yield will be furnished upon written request. **EY:** Estimated Yield; **WAL:** Weighted Average Life; **PSA:** Prepayment Speed Assumption; **CPR:** Conditional Prepayment Rate.

Zero-Coupon Security: If this transaction involves a zero-coupon security, no periodic interest payments will be made and, if the security is callable, it may be called below maturity value and may be called by mail without direct notice to the holder unless the holder is registered.

Redeemable/Callable Securities: Certain securities are subject to redemption or call prior to maturity that, depending on the redemption or call price, can adversely affect yield. Where less than all of an outstanding issue of securities is redeemed or called, we will hold an impartial lottery in which the probability of your securities being selected as redeemed or called is proportional to the holdings of all such securities held by us.

Average Priced Trade: Your order has been executed in more than one transaction, most likely at different prices. Actual prices are available upon request.

Aggregated Orders: Your order may have been aggregated with orders of other customers for purposes of execution. Additional information upon request.

Multiple Capacity: If the capacity shown on the front of the confirm is multiple, we executed the trade, acting in two or more of the following capacities: principal, agent or dual agent. Additional information upon request.

Credits: In over-the-counter transactions, in both debt and equity securities, we or an affiliated broker-dealer may act as principal and pay a credit to the Financial Advisor handling your order. This credit represents an internal allocation of revenues received. Additional information is available upon request.

Governing Law: The terms and conditions of this confirmation shall be governed by and construed in accordance with the laws of the state of New York, without giving effect to the conflict of law provisions thereof.

Minimum Denomination: The security that you purchased may have a minimum denomination set by the issuer. The Municipal Securities Rulemaking Board has established certain conditions for the purchase of below-minimum denomination securities by brokers, dealers and municipal securities dealers, which may reduce the number of investors willing to purchase the security and, therefore, adversely impact the price that you receive for the security. If the quantity you purchased is below this minimum, it will be indicated on the front of this confirmation.

Revenue Sharing and Additional Compensation: In addition to commissions on sales and 12b-1 fees received in connection with the distribution of mutual funds to our clients, we receive revenue sharing payments from distributors and/or advisors of the mutual funds that we sell. These amounts are based on two different components: (i) the amount of new sales of the mutual funds of a particular fund family; and (ii) the amount of mutual fund assets of that particular fund family held by our clients. We also receive networking fees in consideration for transfer agent services that we provide to the mutual funds. These fees generally are paid from investor assets in the mutual fund and are a fixed dollar amount based on the number of accounts at the broker-dealer holding mutual funds of that fund family. In addition to commissions received in connection with the sale or distribution of annuity contracts and unit investment trust units to our clients, we receive revenue sharing compensation from many of the insurance companies underwriting the annuity contracts, affiliates of the insurance companies or sponsors of the unit investment trusts we distribute. Our affiliates also receive trading commissions and other compensation from mutual funds and insurance companies whose products we distribute.

Foreign Market Transaction Settlement Date: "Settlement date" is computed on the basis of the standard U.S. calendar and does not take into account holidays on foreign markets. Accordingly, the actual settlement date for a foreign market transaction may differ from the date listed on the front of this trade confirmation. Additional information is available upon request.

Location of Execution

1. New York Stock Exchange
2. American Stock Exchange
3. Boston Stock Exchange
4. Chicago Stock Exchange
5. Cincinnati Stock Exchange—See Disclosure Above
6. Pacific Stock Exchange/Archipelago Exchange
7. Philadelphia Stock Exchange
8. Chicago Board Options Exchange
9. Over-the-Counter
10. Multiple Locations—Additional Information Upon Request
11. International Securities Exchange—See Disclosure Above
12. Boston Options Exchange—See Disclosure Above

Claim 1030450



UBS FINANCIAL SERVICES INC.

DOT1033845 000001674000161120040539720 0002E

Confirmation

CONTINUATION FROM PREVIOUS PAGE

Account Number [REDACTED]

PAGE 2 OF 2

We confirm the following transaction(s):

Trade activity	Trade date	Date processed	Payment date/ Settlement date
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BOUGHT								
Reference no.	Quantity/ Face value	Description	Price	Gross amount	Commission Mark-up	Accrued interest	Other Fees and charges	Total amount
			10/21/2009					
			10/21/2009					
			10/26/2009					
Total:	5,000			\$21,290.00	\$567.36		\$5.25	\$21,862.61

It is important that you retain this trade confirmation for your tax and financial records. When remittances/securities are due, they must be received by us at the address above on or before the payment/settlement date. Payments not received by the settlement date may be subject to a late settlement fee. Please indicate your account number on your check or correspondence. Make checks payable to UBS Financial Services Inc. Please see the back of this confirmation for additional terms and definitions applicable to this transaction.

UBS Financial Services Inc. is an indirect subsidiary of UBS AG and an affiliate of UBS Securities LLC.

00018847 00039487

Additional Terms and Definitions

Payment and Delivery: You acknowledge that (1) for all property purchased for your account, you will make payment by settlement date and (2) for all property sold for your account (except for short sales), that you own such property and that either such property has been forwarded to your account or will be forwarded to your account with settlement date. If payment for the transaction is not received by settlement date, we reserve the right to close transactions without further notice.

Close-out Rights: We reserve the right to close transactions without further notice.

Late Payment: If payment is not received by the settlement date shown, a late payment interest charge may be incurred. Until payment is received from you, these securities may be loaned, pledged, hypothecated and/or commingled with securities carried for other clients.

Regulations: These transactions are subject to the rules, regulations and customs of the exchange or market on which they are made and to any and all applicable federal, state and/or foreign laws.

Other Fees and Charges: Amounts charged to your account may include, but not be limited to, transaction fees (rounded to the next penny on each sale transaction), subscription fees for U.S. Government and Government agency issues, postage, insurance premiums and other charges associated with the processing of securities, funds and assets.

Markets: Many securities are traded in multiple markets in which your order is executed. In regard, we will exercise discretion as to the market or markets in which your order is executed.

Market Maker: As market maker, we or our affiliate may have acted as principal, may have had a long or short position in the security and may have profited or lost in connection with this transaction.

Market Options Exchange: UBS Securities LLC, an affiliate of UBS Financial Services Inc., has a beneficial ownership interest in the entity which operates the Boston Options Exchange and we had a long or short position in the security and may have profited or lost in connection with this transaction.

Boston Options Exchange: If the location of execution is the Cincinnati Stock Exchange, we directed the order there, the transaction was executed by an affiliated market maker who may have had a long or short position in the security and may have profited or lost in connection with this transaction.

Cincinnati Stock Exchange: UBS Securities LLC, an affiliate of UBS Financial Services Inc., directed the order there, the transaction was executed by an affiliated market maker who may have had a long or short position in the security and may have profited or lost in connection with this transaction.

International Securities Exchange: UBS Securities LLC, an affiliate of UBS Financial Services Inc., earned compensation in addition to the commission shown on the front hereof.

Agency Trades: For trades in which we acted as agent, the name of the other party may be an International Securities Exchange.

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Redeemable/Callable Securities: Certain securities can adversely affect an impairment to the maturity that, depending on the redemption or call price, we will hold is proportional to the amount of an outstanding issue of securities being selected as redeemed or called is proportional to the amount of an outstanding issue of securities being selected as redeemed or called.

Average Priced Trade: Your order may have been aggregated with orders of other customers for which the probability of your securities being selected as redeemed or called is proportional to the amount of an outstanding issue of securities being selected as redeemed or called.

Aggregated Orders: Your order may have been aggregated with orders of other customers for which the probability of your securities being selected as redeemed or called is proportional to the amount of an outstanding issue of securities being selected as redeemed or called.

Multiple Capacity: If the capacity shown on the front of the confirm, agent or dual agent. Additional purposes of execution. Additional information upon request.

Credits: In over-the-counter transactions, in both debt and equity securities, we or an affiliated broker-dealer may act as principal and pay a credit to the Financial Advisor handling your order. This credit represents an internal allocation of revenues received. Additional information is available upon request.

Governing Law: The terms and conditions of this confirmation shall be governed by and conform to the laws of the state of New York, without giving effect to the conflict of laws provisions thereof.

Minimum Denomination: The security that you purchased may have a minimum denomination of law provisions thereof.

Revenue Sharing and Additional Compensation: In addition to commissions on sales and 12b-1 fees received in connection with the distribution of mutual fund assets of that particular fund family, we also receive payments from distributors and/or advisors of the amount of new sales of the mutual fund assets of that particular fund family.

Revenue Sharing and Additional Compensation: In addition to commissions on sales and 12b-1 fees received in connection with the distribution of mutual fund assets of that particular fund family, we also receive payments from distributors and/or advisors of the amount of new sales of the mutual fund assets of that particular fund family.

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Revenue Sharing and Additional Compensation: In addition to commissions on sales and 12b-1 fees received in connection with the distribution of mutual fund assets of that particular fund family, we also receive payments from distributors and/or advisors of the amount of new sales of the mutual fund assets of that particular fund family.

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Revenue Sharing and Additional Compensation: In addition to commissions on sales and 12b-1 fees received in connection with the distribution of mutual fund assets of that particular fund family, we also receive payments from distributors and/or advisors of the amount of new sales of the mutual fund assets of that particular fund family.

Revenue Sharing and Additional Compensation: In addition to commissions on sales and 12b-1 fees received in connection with the distribution of mutual fund assets of that particular fund family, we also receive payments from distributors and/or advisors of the amount of new sales of the mutual fund assets of that particular fund family.

Revenue Sharing and Additional Compensation: In addition to commissions on sales and 12b-1 fees received in connection with the distribution of mutual fund assets of that particular fund family, we also receive payments from distributors and/or advisors of the amount of new sales of the mutual fund assets of that particular fund family.

Location of Execution

1. New York Stock Exchange
2. American Stock Exchange
3. Boston Stock Exchange—See Disclosure Above
4. Chicago Stock Exchange—See Disclosure Above
5. Cincinnati Stock Exchange/Archipelago Exchange
6. Pacific Stock Exchange
7. Philadelphia Stock Exchange
8. Chicago Board Options Exchange
9. Over-the-Counter
10. Multiple Locations—See Disclosure Above
11. International Securities Exchange—See Disclosure Above
12. Boston Options Exchange—See Disclosure Above

RC2RW Rev 7/08
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Arena Securities Litigation
c/o GCG
P.O. Box 10526
Dublin, OH 43017-0526
(877) 981-9683
www.ArenaPharmaceuticalsClassActionSettlement.com
SAP0210346247



WILLIAM S. CARLE



Mailing Date: June 15, 2018
Response Due Date: July 5, 2018
Claim No: 1030450

Eligible Securities:
Arena common stock

Class Period:
March 17, 2008 through January 27, 2011, inclusive

NOTICE OF CONDITIONAL REJECTION OF YOUR ENTIRE CLAIM

Dear Claimant:

The Claim Form you submitted in the Arena Securities Litigation was processed and conditionally rejected in its entirety pursuant to the terms of the Settlement as approved by the Court. The reason(s) for the rejection of your entire claim is on the back of this letter.

NO FURTHER ACTION IS REQUIRED IF YOU AGREE WITH OUR DETERMINATIONS AND/OR DO NOT WISH TO FIX YOUR CLAIM.

To fix or otherwise complete your claim, please follow the directions on the back of this letter. If a noted deficiency relates to documentation submitted, please do not merely re-submit the same documentation as was included with the original submission of your claim. That documentation was determined to be incomplete or inadequate. If your response cures some but not all of the identified conditions of ineligibility, your claim will be considered to the extent cured. Please note: Regardless of how many deficiencies are cured, in order for the claim to be eligible to any extent, IT MUST CALCULATE TO A RECOGNIZED CLAIM UNDER THE PLAN OF ALLOCATION.

If you fail to respond by the Response Due Date above, or if your response fails to sufficiently cure the ineligible condition(s) identified, this claim will be rejected in its entirety.

If you have any questions regarding this letter, please call the Claims Administrator at 877-981-9683 for a detailed description of the reasons your Claim Form has been rejected.

Sincerely,

The Claims Administrator
Arena Securities Litigation

*****THIS IS THE ONLY NOTICE YOU WILL RECEIVE FOR THIS CLAIM*****
IMPORTANT INFORMATION ABOUT YOUR CLAIM AND THE NEXT STEPS YOU MAY TAKE ARE
ON THE BACK OF THIS LETTER. IF YOU HAVE ADDITIONAL QUESTIONS, PLEASE CALL US AT
877-981-9683.



Claim No: 1030450

YOU MUST INCLUDE A COPY OF THIS LETTER WITH ANY RESPONSE AND YOU MUST REFERENCE YOUR CLAIM NUMBER ON ALL CORRESPONDENCE AND DOCUMENTATION.

PROBLEM(S) WITH YOUR CLAIM:

PROBLEM WITH YOUR CLAIM:

Your claim does not "balance" because you did not provide information about all of your transactions. For your claim to be in balance, using the chart below, the number of shares held prior to the Settlement Class Period ("A") plus the shares purchased/acquired during the Settlement Class Period ("B") SHOULD EQUAL the number of shares sold during the Settlement Class Period ("C") plus the number of shares held as of the close of trading on April 27, 2011 ("D"). **Because there are transactions missing, your claim does not calculate to a recognized loss under the Plan of Allocation.**

Security	Total Transactions	Transaction	Number of Shares
Common Stock	A	Prior Holding	0.00
Common Stock	B	Purchases	5,000.00
Common Stock	C	Sales	0.00
Common Stock	D	End Holding	0.00

HOW TO FIX YOUR CLAIM:

A summary of your claim transaction history is provided in the table above. Review your transactional history before, during, and after the class period and compare it to the information you previously provided. Return this letter with the additional transactional information as well as appropriate supporting documentation, such as periodic brokerage statements or broker's trade confirmations (handwritten or self-generated information is not acceptable) showing the new transaction(s).

If you do not intend to supply additional information/documentation, and you still disagree with the determination, you may request Court review of the determination. To request Court review, you must send a letter to the claims administrator within twenty (20) days of the date of this letter and it must: (1) specifically state that you "request that the Court review the rejection of your claim," (2) state your argument(s) for why your claim should be accepted, (3) attach any supporting documents you may have to support your argument, and (4) be signed. Please also include a copy of this letter when requesting Court review of the rejection of your claim. If your dispute with the determination to reject your claim cannot otherwise be resolved, your claim and its administrative rejection will be presented to the Court for review. **PLEASE NOTE: COURT REVIEW SHOULD ONLY BE SOUGHT IF YOU DISAGREE WITH THE DETERMINATION TO REJECT YOUR CLAIM.**

Please have the court review my
holdings/purchases of ARNA stock.

Clearly, I held it when the time periods
were in effect.

Not sure in this electronic/digital age
I can provide paperwork — I do get
a brokerage statement monthly showing I
own the stock —

Your records should be better than mine
I don't follow why you had to sell your shares —

Bill Carle individual
Sisters of the West LLC — Partner
Winneshake

1. The first step in the process is to identify the problem or issue that needs to be addressed. This involves gathering information and understanding the context of the problem.

2. Once the problem is identified, the next step is to define the objectives and goals of the project. This helps to clarify what needs to be achieved and provides a clear direction for the work.

3. The third step is to develop a plan or strategy to address the problem. This involves breaking down the problem into smaller, manageable tasks and determining the resources needed to complete them.

4. The fourth step is to implement the plan. This involves putting the strategy into action and monitoring progress to ensure that the objectives are being met.

5. The final step is to evaluate the results of the project. This involves assessing the effectiveness of the plan and identifying any areas for improvement or further action.

||·||_q, ||·||_p, ||·||_r, ||·||_s, ||·||_t, ||·||_v, ||·||_w, ||·||_x, ||·||_y, ||·||_z

Control Number: 2969973714

A B C D E F G H I J K L M N O P Q R S T U V W X Y Z 1 2 3 4 5 6 7 0



CLAIMANT'S STATEMENT

1. I (we) purchased or otherwise purchased shares of Arena common stock between March 17, 2008 and January 27, 2011, inclusive, and claim to have suffered losses as a result of such purchase.
2. By submitting this Proof of Claim, I (we) state that I (we) believe in good faith that I am (we are) a Settlement Class Member as defined above and in the Notice of Pendency and Proposed Settlement of Class Action (the "Notice"), or am (are) acting for such person(s); that I am (we are) not a Defendant in the Action or anyone excluded from the Settlement Class; that I (we) have read and understand the Notice; that I (we) believe that I am (we are) entitled to receive a share of the Net Settlement Fund, as defined in the Notice; that I (we) elect to participate in the proposed Settlement described in the Notice; and that I (we) have not filed a request for exclusion. (Note: If you are acting in a representative capacity on behalf of a Settlement Class Member [e.g., as an executor, administrator, trustee, or other representative], you must submit evidence of your current authority to act on behalf of that Class Member. Such evidence would include, for example, letters testamentary, letters of administration, or a copy of the trust documents.)
3. I (we) consent to the jurisdiction of the Court with respect to all questions concerning the validity of this Proof of Claim. I (we) understand and agree that my (our) claim may be subject to investigation and discovery under the Federal Rules of Civil Procedure, provided that such investigation and discovery shall be limited to my (our) status as a Settlement Class Member(s) and the validity and amount of my (our) claim. No discovery shall be allowed on the merits of the Action or Settlement in connection with processing of the Proof of Claim.
4. I (we) have set forth where requested below all relevant information with respect to each purchase of Arena common stock during the Class Period, and each sale, if any, of such securities. I (we) agree to furnish additional information to the Claims Administrator to support this claim if requested to do so.
5. I (we) have enclosed photocopies of the stockbroker's confirmation slips, stockbroker's statements, or other documents evidencing each purchase, sale or retention of Arena common stock listed below in support of my (our) claim. (Note: IF ANY SUCH DOCUMENTS ARE NOT IN YOUR POSSESSION, PLEASE OBTAIN A COPY OR EQUIVALENT DOCUMENTS FROM YOUR BROKER BECAUSE THESE DOCUMENTS ARE NECESSARY TO PROVE AND PROCESS YOUR CLAIM.)
6. I (we) understand that the information contained in this Proof of Claim is subject to such verification as the Claims Administrator may request or as the Court may direct, and I (we) agree to cooperate in any such verification. (Note: The information requested herein is designed to provide the minimum amount of information necessary to process most simple claims. The Claims Administrator may request additional information as required to efficiently and reliably calculate your recognized claim. In some cases, the Claims Administrator may condition acceptance of the claim based upon the production of additional information.
7. Upon the occurrence of the Court's approval of the Settlement, as detailed in the Notice, I (we) agree and acknowledge that my (our) signature(s) hereto shall effect and constitute a full and complete release, remise and discharge by me (us) and my (our) heirs, joint tenants, tenants in common, beneficiaries, executors, administrators, predecessors, successors, attorneys, insurers and assigns (or, if I am (we are) submitting this Proof of Claim on behalf of a corporation, a partnership, estate or one or more other persons, by it, him, her or them, and by its, his, her or their heirs, executors, administrators, predecessors, successors, and assigns) of each of the "Released Parties" of all "Release of Claims," as defined in the Notice.
8. NOTICE REGARDING ELECTRONIC FILES: Certain claimants with large numbers of transactions may request, or may be requested, to submit information regarding their transactions in electronic files. All Claimants MUST submit a manually signed paper Proof of Claim form listing all their transactions whether or not they also submit electronic copies. If you wish to file your claim electronically, you must contact the Claims Administrator at eClaim@choossegcg.com or visit their website at www.ArenaPharmaceuticalsClassActionSettlement.com to obtain the required file layout. No electronic files will be considered to have been properly submitted unless the Claims Administrator issues to the Claimant a written acknowledgment of receipt and acceptance of electronically submitted data.

5/21/20
10/6/20
0 4.15 51
2182.61



3

PART I - CLAIMANT INFORMATION

Claimant Contact Information:

The Claims Administrator will use this information for all communications relevant to this claim (including the check, if eligible for payment). If this information changes, you MUST notify the Claims Administrator in writing at the address above.

Claimant Name(s) (as the name(s) should appear on the check; if eligible for payment; if the shares are or were jointly owned, the names of all beneficial owners must be provided):

Name:

W I L L I A M S C A R L E

Address:

[Redacted Address Line 1]

[Redacted Address Line 2]

City:

[Redacted City]

State:

[Redacted State]

Zip Code:

[Redacted Zip Code]

Foreign Province:

[Redacted Foreign Province]

Foreign Country:

[Redacted Foreign Country]

Daytime Telephone Number:

[Redacted Daytime Telephone Number]

Evening Telephone Number:

[Redacted Evening Telephone Number]

Social Security Number (for individuals) OR Taxpayer Identification Number (for estates, trusts, corporations, etc.):

[Redacted Social Security Number]

Please complete the appropriate section carefully. Failure to complete it in its entirety will result in the forfeiture of your rights to receive the Securities if any are distributed. You may only complete one.

Section A. Individual / Sole Proprietor. Use of initials only (e.g. J.G. Doe) is not acceptable.

- ☒ Individual.
☐ Sole Proprietor

Name:

William S. Carle

Section B. Joint Ownership. Please indicate the type of tenancy.

- ☐ Joint Tenants - Jt Ten
(includes right of survivorship - JTWRROS)
☐ Tenants in Common - Ten Com
☐ Tenants by Entireties - Ten Ent

Name(s):

[Redacted Name(s) Line 1]

[Redacted Name(s) Line 2]



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PART I - CLAIMANT INFORMATION CONTINUED

Section C. Retirement accounts. Please indicate the type of retirement account.

- ☐ IRA
☐ Roth IRA
☐ Keogh
(Defined Benefit Plan or Defined Contribution Plan)
☐ Other (please describe) _____

Custodian:

Beneficiary:

Date of Plan:

____ / ____ / ____

Section D. Private or public entity. Please indicate the entity type and check one box below.

- ☐ Corporation
☐ Partnership
☐ Limited Liability Company
☐ Non-Profit Organization
☐ Foundation

Section E. Custodianships.

- ☐ UCTA
☐ UGMA
☐ UTMA

Custodian:

State:

Minor:

Age:

Section F. Under Trust agreements. Please indicate the name of the trustee(s), the name of the Trust and the date of the Trust agreement in the space provide below)

Name of trustee(s):

Name of Trust:

Date of Trust Agreement:

____ / ____ / ____

Section G. Estate. Please indicate the fiduciary capacity and the name of the person or entity authorized to hold such capacity as follows. (e.g. Include the name of the deceased and the executor)

- ☐ Executor (EX) ...
☐ Personal Representative (Per Rep)
☐ Administrator (Adm)
☐ Conservator (Cons)
☐ Other. Please explain _____

Fiduciary Name(s):

Estate of:

Date of Agreement:

____ / ____ / ____

Claim 1043584

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PART II - SCHEDULE OF TRANSACTIONS IN ARENA COMMON STOCK

A. BEGINNING HOLDINGS: State the total number of shares of Arena common stock owned at the opening of trading on March 17, 2008, long or short (*must be documented*). If none, write "zero" or "0".

Shares					

B. PURCHASES/ACQUISITIONS: Separately list each and every purchase of Arena common stock during the period from March 17, 2008 and April 27, 2011, inclusive, and provide the following information (*must be documented*):

Trade Date (List Chronologically) (Month/Day/Year)	Number of Shares Purchased/Acquired	Purchase/Acquisition Price Per Share	Total Cost (excluding taxes, commissions and fees)
10 / 21 / 09	6,290	1.54	17,155.14

C. SALES: For shares purchased separately list each and every sale of Arena common stock during the period March 17, 2008 and April 27, 2011, inclusive, and provide the following information (*must be documented*):

Trade Date (List Chronologically) (Month/Day/Year)	Number of Shares Sold	Sale Price Per Share	Amount Received (excluding taxes, commissions and fees)

D. ENDING HOLDINGS: State the total number of shares of Arena common stock owned at the close of trading on April 27, 2011, long or short (*must be documented*).

Shares					

IF YOU NEED ADDITIONAL SPACE TO LIST YOUR TRANSACTIONS YOU MUST PHOTOCOPY THIS PAGE. PRINT YOUR NAME AND SOCIAL SECURITY OR TAXPAYER IDENTIFICATION NUMBER AT THE TOP OF EACH SHEET AND CHECK THIS BOX:

☐

IF YOU DO NOT CHECK THIS BOX THESE ADDITIONAL PAGES WILL NOT BE REVIEWED.

Please note: Information requested with respect to your purchases/acquisitions of Arena common stock from after the close of trading on January 27, 2011 through and including the close of trading on April 27, 2011 is needed in order to balance your claim; purchases/acquisitions during this period, however, are not eligible under the Settlement and will not be used for purposes of calculating your Recognized Loss pursuant to the Plan of Allocation.



PART III - SUBSTITUTE FORM W-9

Request for Taxpayer Identification Number (Required for Receipt of Stock):

Enter taxpayer identification number below for the Beneficial Owner(s). For most individuals, this is your Social Security Number. The Internal Revenue Service ("I.R.S.") requires such taxpayer identification number. If you fail to provide this information, your claim may be rejected.

Social Security Number (for individuals) OR Taxpayer Identification Number (for estates, trusts, corporations, etc.)

[REDACTED]

PART IV - CERTIFICATION AND RELEASE

Definitions

All capitalized terms used but not defined herein shall have the same meanings as in the Notice and the Stipulation and Agreement of Settlement dated November 3, 2017 ("the Stipulation"), which is posted on the Claims Administrator's website at www.ArenaPharmaceuticalsClassActionSettlement.com. In addition, the following terms shall have the following meanings:

1. "Defendants" means Arena Pharmaceuticals, Inc., Jack Lief, Robert E. Hoffman, Dominic P. Behan, William R. Shanahan, Jr., and Christen Anderson.
2. "Released Persons" means each and all of the Defendants and each and all of their Related Persons.
3. "Related Persons" means each of the Released Persons' and their legal affiliates' past or present directors, officers, employees, partners, insurers, co-insurers, reinsurers, principals, controlling shareholders, attorneys, accountants, auditors, investment advisors, personal or legal representatives, predecessors, successors, parents, subsidiaries, divisions, joint ventures, assigns, spouses, heirs, estates, related or affiliated entities, any entity in which a Released Person has a controlling interest, any members of an Individual Defendant's immediate family, any trust of which an Individual Defendant is the settlor or which is for the benefit of an Individual Defendant and/or any member of an Individual Defendant's immediate family, and any entity in which a Defendant and/or any member of an Individual Defendant's immediate family has or have a controlling interest (directly or indirectly).
4. "Released Claims" means any and all claims, demands, rights, causes of action or liabilities of every nature and description whatsoever (including, but not limited to, any claims for damages, interest, attorneys' fees, expert or consulting fees, and any other costs, expenses or liabilities whatsoever), whether based on federal, state, local, foreign, statutory or common law or any other law, rule, ordinance, administrative provision or regulation, including Unknown Claims as defined in ¶1.30 of the Stipulation, whether class or individual in nature, whether fixed or contingent, accrued or unaccrued, liquidated or unliquidated, at law or in equity, matured or unmatured, concealed or hidden, suspected or unsuspected, which now exist or heretofore have existed, that were asserted or could have been asserted by Lead Plaintiff or any Settlement Class Member against the Released Persons based on, arising from or relating to both: (i) the purchase, acquisition, holding, disposition, or sale of Arena common stock during the Class Period; and (ii) the allegations, transactions, facts, matters, events, disclosures, registration statements, public filings, acts, occurrences, representations, statements, omissions or failures to act that occurred during the Class Period and that were or could have been alleged by Lead Plaintiff in the Action against the Released Persons based upon the facts alleged in the Complaint. Released Claims does not include claims to enforce the Settlement.
5. "Unknown Claims" means collectively any Released Claims which Lead Plaintiff or any Settlement Class Member do not know or suspect to exist in his, her or its favor at the time of the release of the Released Persons which, if known by him, her or it, might have affected his, her or its settlement with and release of the Released Persons, or might have affected his, her or its decision not to object to this Settlement or seek exclusion from the Settlement Class. With respect to any and all Released Claims, the Settling Parties stipulate and agree that, upon the Effective Date, Lead Plaintiff shall expressly waive and each of the Class Members shall be deemed to have, and by operation of the Judgment shall have, expressly waived the provisions, rights, and benefits of California Civil Code §1542, which provides:



PART IV- CERTIFICATION AND RELEASE CONTINUED

A general release does not extend to claims which the creditor does not know or suspect to exist in his or her favor at the time of executing the release, which if known by him or her must have materially affected his or her settlement with the debtor.

Lead Plaintiff shall expressly waive and each of the Settlement Class Members shall be deemed to have, and by operation of the Judgment shall have, expressly waived any and all provisions, rights, and benefits conferred by any law of any state or territory of the United States or any foreign country, or any principle of common law, which is similar, comparable or equivalent in substance to California Civil Code §1542. Lead Plaintiff and Settlement Class Members may hereafter discover facts in addition to or different from those which he, she or it now knows or believes to be true with respect to the subject matter of the Released Claims, but upon the Effective Date, each Settlement Class Member, and Lead Plaintiff expressly, shall be deemed to have, and by operation of the Judgment shall have, fully, finally, and forever settled and released any and all Released Claims, known or unknown, suspected or unsuspected, contingent or non-contingent, whether or not concealed or hidden, which now exist, or heretofore have existed, upon any theory of law or equity now existing or coming into existence in the future, including, but not limited to, conduct which is negligent, intentional, with or without malice, or a breach of any duty, law or rule, without regard to the subsequent discovery or existence of such different or additional facts. Lead Plaintiff acknowledges, and the Settlement Class Members shall be deemed by operation of the Judgment to have acknowledged, that the foregoing waiver was separately bargained for and a material element of the Settlement of which this release is a part.

Submission to Jurisdiction of Court and Acknowledgements and Affirmations

I (we) submit this Proof of Claim and Release Form under the terms of the Stipulation of Settlement described in the Notice. I (we) also submit to the jurisdiction of the United States District Court for the Southern District of California with respect to my claim as a Settlement Class Member and for purposes of enforcing the release set forth herein. I (we) further acknowledge that I am (we are) bound and subject to the terms of any judgment that may be entered in the Action. I (we) affirm that I (we) purchased Arena common stock between March 17, 2008 and January 27, 2011, inclusive, and claim to have suffered losses as a result of such purchase. By submitting this Proof of Claim and Release Form, I (we) state that I (we) believe in good faith that I am a (we are) Settlement Class Member(s) as defined in the Notice or am (are) acting for such person; that I am (we are) not a Defendant in the Action or anyone excluded from the Settlement Class; that I (we) have read and understand the Notice; that I (we) believe that I am (we are) entitled to receive a share of the Net Settlement Fund; that I (we) elect to participate in the proposed Settlement described in the Notice; that I (we) have not filed a request for exclusion; and that I (we) have not submitted any other claim covering the same purchases of Arena common stock between March 17, 2008 and January 27, 2011, inclusive, and know of no other person having done so on my (our) behalf. I (We) have set forth where requested herein all relevant information with respect to each purchase or acquisition of Arena common stock between March 17, 2008 and January 27, 2011, inclusive. I (we) agree to furnish additional information to the Claims Administrator to support this claim if requested to do so. I (we) understand that no discovery shall be allowed on the merits of the Action or Settlement in connection with processing of the Proof of Claim and in particular that no discovery shall be permitted against any Defendants in connection with any Proof of Claim.



PART IV- CERTIFICATION AND RELEASE CONTINUED

Release

I (We) hereby acknowledge, on behalf of myself (ourselves) and my (our) heirs, agents, executors, administrators, predecessors, successors, and assigns (or, if submitting this Proof of Claim and Release Form on behalf of a corporation, a partnership, estate or one or more other persons, on behalf of it, him, her or them and on behalf of its, his, her or their heirs, agents, executors, administrators, predecessors, successors, and assigns), full and complete satisfaction of, and do hereby fully, finally and forever settle, release and discharge from the Released Claims each and all of the Released Parties, and I (we) shall forever be enjoined from prosecuting any or all Released Claims against any Released Parties.

This release shall be of no force or effect unless and until the Court approves the Stipulation and the Stipulation becomes effective on the Effective Date (as defined in the Stipulation).

I (We) hereby warrant and represent that I (we) have not assigned or transferred or purported to assign, transfer, voluntarily or involuntarily, any matter released pursuant to this release or any other part or portion thereof.

I (We) hereby warrant and represent that I (we) have included information about all of my (our) transactions in Arena common stock that occurred during the Class Period, as well as the number of shares of Arena held by me (us) at the beginning of trading on March 17, 2008 and at the close of trading on January 27, 2011.

I (We) certify that I am (we are) not subject to backup withholding under the provisions of Section 3406(a)(1)(C) of the Internal Revenue Code.

Note: If you have been notified by the Internal Revenue Service that you are subject to backup withholding, please strike out the language that you are not subject to backup withholding in the certification above.

I (We) declare under penalty of perjury under the laws of the United States of America that the foregoing information supplied by the undersigned is true and correct.

W. S. Clark
Signature

2-18-18
Date

William S. Clark
Print Name

[REDACTED]
Email Address

[REDACTED]
Day Telephone

[REDACTED]
Evening Telephone

On Behalf of: I can't really determine from my brokerage statements today when/how I bought these shares

(Print name of corporation, partnership, estate, or other entity if you are submitting this form on behalf of one of them.)

Signature

Date

Print Name

Email Address

Day Telephone

Evening Telephone

On Behalf of: _____

(Print name of corporation, partnership, estate, or other entity if you are submitting this form on behalf of one of them.)

Arena Securities Litigation
c/o GCG
P.O. Box 10526
Dublin, OH 43017-0526
(877) 981-9683
www.ArenaPharmaceuticalsClassActionSettlement.com
SAP0210368751



WILLIAM S CARLE



Mailing Date: June 15, 2018
Response Due Date: July 5, 2018
Claim No: 1043584

Eligible Securities:
Arena common stock

Class Period:
March 17, 2008 through January 27, 2011, inclusive

NOTICE OF CONDITIONAL REJECTION OF YOUR ENTIRE CLAIM

Dear Claimant:

The Claim Form you submitted in the Arena Securities Litigation was processed and conditionally rejected in its entirety pursuant to the terms of the Settlement as approved by the Court. The reason(s) for the rejection of your entire claim is on the back of this letter.

NO FURTHER ACTION IS REQUIRED IF YOU AGREE WITH OUR DETERMINATIONS AND/OR DO NOT WISH TO FIX YOUR CLAIM.

To fix or otherwise complete your claim, please follow the directions on the back of this letter. If a noted deficiency relates to documentation submitted, please do not merely re-submit the same documentation as was included with the original submission of your claim. That documentation was determined to be incomplete or inadequate. If your response cures some but not all of the identified conditions of ineligibility, your claim will be considered to the extent cured. Please note: Regardless of how many deficiencies are cured, in order for the claim to be eligible to any extent, IT MUST CALCULATE TO A RECOGNIZED CLAIM UNDER THE PLAN OF ALLOCATION.

If you fail to respond by the Response Due Date above, or if your response fails to sufficiently cure the ineligible condition(s) identified, this claim will be rejected in its entirety.

If you have any questions regarding this letter, please call the Claims Administrator at 877-981-9683 for a detailed description of the reasons your Claim Form has been rejected.

Sincerely,

The Claims Administrator
Arena Securities Litigation

*****THIS IS THE ONLY NOTICE YOU WILL RECEIVE FOR THIS CLAIM***
IMPORTANT INFORMATION ABOUT YOUR CLAIM AND THE NEXT STEPS YOU MAY TAKE ARE
ON THE BACK OF THIS LETTER. IF YOU HAVE ADDITIONAL QUESTIONS, PLEASE CALL US AT
877-981-9683.**



Claim No: 1043584

YOU MUST INCLUDE A COPY OF THIS LETTER WITH ANY RESPONSE AND YOU MUST REFERENCE YOUR CLAIM NUMBER ON ALL CORRESPONDENCE AND DOCUMENTATION.

PROBLEM(S) WITH YOUR CLAIM:

PROBLEM WITH YOUR CLAIM:

Your claim does not "balance" because you did not provide information about all of your transactions. For your claim to be in balance, using the chart below, the number of shares held prior to the Settlement Class Period ("A") plus the shares purchased/acquired during the Settlement Class Period ("B") SHOULD EQUAL the number of shares sold during the Settlement Class Period ("C") plus the number of shares held as of the close of trading on April 27, 2011 ("D"). Because there are transactions missing, your claim does not calculate to a recognized loss under the Plan of Allocation.

Security	Total Transactions	Transaction	Number of Shares
Common Stock	A	Prior Holding	0.00
Common Stock	B	Purchases	6,290.00
Common Stock	C	Sales	0.00
Common Stock	D	End Holding	0.00

HOW TO FIX YOUR CLAIM:

A summary of your claim transaction history is provided in the table above. Review your transactional history before, during, and after the class period and compare it to the information you previously provided. Return this letter with the additional transactional information as well as appropriate supporting documentation, such as periodic brokerage statements or broker's trade confirmations (handwritten or self-generated information is not acceptable) showing the new transaction(s).

PROBLEM WITH YOUR CLAIM:

YOU DID NOT PROVIDE ANY DOCUMENTATION TO SUPPORT YOUR CLAIM.

HOW TO FIX YOUR CLAIM:

To complete your claim, you must return this letter along with appropriate supporting documentation. Appropriate supporting documentation includes periodic brokerage statements or broker's trade confirmations (handwritten or self-generated information is not acceptable) showing all transactions and holdings positions listed on your Claim Form.

Failure to substantiate your transactions and holdings positions will likely result in a reduction of your payment, or in no payment at all.

Please have the court review my
holdings/purchases of ARNA stock.

Clearly, I held it when the time periods
were in effect.

Not sure in this electronic/digital age
I can provide paperwork - I do get
a brokerage statement monthly showing I
own the stock -

Your records should be better than mine
I don't follow why you had to sell your shares -

Bill Carle individual
Sisters of the West LLC - Partner
WinnShale

Claim 1052328

Must be
Postmarked
No Later Than
April 13, 2018

Arena Securities Litigation
c/o GCG
P.O. Box 10526
Dublin, OH 43017-0526
Toll-Free: (877) 981-9683

Settlement Website: www.ArenaPharmaceuticalsClassActionSettlement.com

SAP



SAP0205002323



DAVID JEWETT

Claim Number: 01052328

Control Number: 5056493980

Schueneman v. Arena Pharmaceuticals, Inc.
PROOF OF CLAIM AND RELEASE

IF YOU PURCHASED COMMON STOCK OF ARENA PHARMACEUTICALS, INC. ("ARENA") FROM MARCH 17, 2008 THROUGH JANUARY 27, 2011, INCLUSIVE, AND SUFFERED LOSSES AS A RESULT OF SUCH PURCHASE, YOU ARE A "SETTLEMENT CLASS MEMBER" AND YOU MAY BE ENTITLED TO SHARE IN THE SETTLEMENT PROCEEDS.

IF YOU ARE A SETTLEMENT CLASS MEMBER, YOU MUST COMPLETE AND SUBMIT THIS FORM IN ORDER TO BE ELIGIBLE FOR ANY SETTLEMENT BENEFITS.

TO BE ELIGIBLE TO RECEIVE A DISTRIBUTION IN THE SETTLEMENT, YOU MUST COMPLETE AND SIGN THIS PROOF OF CLAIM AND RELEASE ("PROOF OF CLAIM") AND MAIL IT BY FIRST CLASS MAIL, POSTAGE PREPAID, **POSTMARKED NO LATER THAN APRIL 13, 2018**, TO THE CLAIMS ADMINISTRATOR, AT THE ADDRESS SET FORTH ABOVE.

YOUR FAILURE TO TIMELY SUBMIT A COMPLETED PROOF OF CLAIM WILL SUBJECT YOUR CLAIM TO REJECTION AND PRECLUDE YOUR RECEIVING ANY MONEY IN CONNECTION WITH THE SETTLEMENT OF THIS ACTION. DO NOT MAIL OR DELIVER YOUR CLAIM TO THE COURT OR TO ANY OF THE PARTIES OR THEIR COUNSEL AS ANY SUCH CLAIM WILL BE DEEMED NOT TO HAVE BEEN SUBMITTED. SUBMIT YOUR CLAIM ONLY TO THE CLAIMS ADMINISTRATOR.

IF YOU ARE NOT A SETTLEMENT CLASS MEMBER, OR IF YOU FILED A REQUEST FOR EXCLUSION FROM THE SETTLEMENT CLASS, DO NOT SUBMIT A PROOF OF CLAIM. YOU MAY NOT, DIRECTLY OR INDIRECTLY, PARTICIPATE IN THE SETTLEMENT IF YOU ARE NOT A SETTLEMENT CLASS MEMBER OR IF YOU SUBMIT A VALID AND TIMELY REQUEST FOR EXCLUSION.

Submission of this Form does not guarantee that you will share in the proceeds of the Settlement. Distribution of the Net Settlement Fund will be governed by the Plan of Allocation set forth in the Notice, if it is approved by the Court, or by such other plan of allocation as the Court approves.

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Important: This form should be completed IN CAPITAL LETTERS using BLACK or DARK BLUE ballpoint/fountain pen. Characters and marks used should be similar in the style to the following:

A B C D E F G H I J K L M N O P Q R S T U V W X Y Z 1 2 3 4 5 6 7 0



CLAIMANT'S STATEMENT

1. I (we) purchased or otherwise purchased shares of Arena common stock between March 17, 2008 and January 27, 2011, inclusive, and claim to have suffered losses as a result of such purchase.
2. By submitting this Proof of Claim, I (we) state that I (we) believe in good faith that I am (we are) a Settlement Class Member as defined above and in the Notice of Pendency and Proposed Settlement of Class Action (the "Notice"), or am (are) acting for such person(s); that I am (we are) not a Defendant in the Action or anyone excluded from the Settlement Class; that I (we) have read and understand the Notice; that I (we) believe that I am (we are) entitled to receive a share of the Net Settlement Fund, as defined in the Notice; that I (we) elect to participate in the proposed Settlement described in the Notice; and that I (we) have not filed a request for exclusion. (Note: If you are acting in a representative capacity on behalf of a Settlement Class Member [e.g., as an executor, administrator, trustee, or other representative], you must submit evidence of your current authority to act on behalf of that Class Member. Such evidence would include, for example, letters testamentary, letters of administration, or a copy of the trust documents.)
3. I (we) consent to the jurisdiction of the Court with respect to all questions concerning the validity of this Proof of Claim. I (we) understand and agree that my (our) claim may be subject to investigation and discovery under the Federal Rules of Civil Procedure, provided that such investigation and discovery shall be limited to my (our) status as a Settlement Class Member(s) and the validity and amount of my (our) claim. No discovery shall be allowed on the merits of the Action or Settlement in connection with processing of the Proof of Claim.
4. I (we) have set forth where requested below all relevant information with respect to each purchase of Arena common stock during the Class Period, and each sale, if any, of such securities. I (we) agree to furnish additional information to the Claims Administrator to support this claim if requested to do so.
5. I (we) have enclosed photocopies of the stockbroker's confirmation slips, stockbroker's statements, or other documents evidencing each purchase, sale or retention of Arena common stock listed below in support of my (our) claim. (Note: IF ANY SUCH DOCUMENTS ARE NOT IN YOUR POSSESSION, PLEASE OBTAIN A COPY OR EQUIVALENT DOCUMENTS FROM YOUR BROKER BECAUSE THESE DOCUMENTS ARE NECESSARY TO PROVE AND PROCESS YOUR CLAIM.)
6. I (we) understand that the information contained in this Proof of Claim is subject to such verification as the Claims Administrator may request or as the Court may direct, and I (we) agree to cooperate in any such verification. (Note: The information requested herein is designed to provide the minimum amount of information necessary to process most simple claims. The Claims Administrator may request additional information as required to efficiently and reliably calculate your recognized claim. In some cases, the Claims Administrator may condition acceptance of the claim based upon the production of additional information.
7. Upon the occurrence of the Court's approval of the Settlement, as detailed in the Notice, I (we) agree and acknowledge that my (our) signature(s) hereto shall effect and constitute a full and complete release, remise and discharge by me (us) and my (our) heirs, joint tenants, tenants in common, beneficiaries, executors, administrators, predecessors, successors, attorneys, insurers and assigns (or, if I am (we are) submitting this Proof of Claim on behalf of a corporation, a partnership, estate or one or more other persons, by it, him, her or them, and by its, his, her or their heirs, executors, administrators, predecessors, successors, and assigns) of each of the "Released Parties" of all "Release of Claims," as defined in the Notice.
8. NOTICE REGARDING ELECTRONIC FILES: Certain claimants with large numbers of transactions may request, or may be requested, to submit information regarding their transactions in electronic files. All Claimants MUST submit a manually signed paper Proof of Claim form listing all their transactions whether or not they also submit electronic copies. If you wish to file your claim electronically, you must contact the Claims Administrator at eClaim@choosegca.com or visit their website at www.ArenaPharmaceuticalsClassActionSettlement.com to obtain the required file layout. No electronic files will be considered to have been properly submitted unless the Claims Administrator issues to the Claimant a written acknowledgment of receipt and acceptance of electronically submitted data.



PART I - CLAIMANT INFORMATION

Claimant Contact Information:

The Claims Administrator will use this information for all communications relevant to this claim (including the check, if eligible for payment). If this information changes, you MUST notify the Claims Administrator in writing at the address above.

Claimant Name(s) (as the name(s) should appear on the check, if eligible for payment; if the shares are or were jointly owned, the names of all beneficial owners must be provided):

Name:

David J. Jewett

Address:

[Redacted Address]

City:

[Redacted City]

State:

[Redacted State]

Zip Code:

[Redacted Zip Code]

Foreign Province:

[Redacted Foreign Province]

Foreign Country:

[Redacted Foreign Country]

Daytime Telephone Number:

[Redacted Daytime Telephone Number]

Evening Telephone Number:

[Redacted Evening Telephone Number]

Social Security Number (for individuals) OR Taxpayer Identification Number (for estates, trusts, corporations, etc.):

[Redacted Social Security Number]

Please complete the appropriate section carefully. Failure to complete it in its entirety will result in the forfeiture of your rights to receive the Securities if any are distributed. You may only complete one.

Section A. Individual / Sole Proprietor. Use of initials only (e.g. J.G. Doe) is not acceptable.

- ☒ Individual.
☐ Sole Proprietor

Name:

David J. Jewett

Section B. Joint Ownership. Please indicate the type of tenancy.

- ☐ Joint Tenants - Jt Ten
(includes right of survivorship - JTWROS)
☐ Tenants in Common - Ten Com
☐ Tenants by Entireties - Ten Ent

Name(s):

[Redacted Name(s)]

[Redacted Name(s)]



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PART I - CLAIMANT INFORMATION CONTINUED

Section C. Retirement accounts. Please indicate the type of retirement account.

- ☐ IRA
☐ Roth IRA
☐ Keogh
(Defined Benefit Plan or Defined Contribution Plan)
☐ Other. (please describe) _____

Custodian:

Beneficiary:

Date of Plan:

Section D. Private or public entity. Please indicate the entity type and check one box below.

- ☐ Corporation
☐ Partnership
☐ Limited Liability Company
☐ Non-Profit Organization
☐ Foundation

Section E. Custodianships.

Custodian:

State:

- ☐ UCTA
☐ UGMA
☐ UTMA

Minor:

Age:

Section F. Under Trust agreements. Please indicate the name of the trustee(s), the name of the Trust and the date of the Trust agreement in the space provide below)

Name of trustee(s):

Name of Trust:

Date of Trust Agreement:

Section G. Estate. Please indicate the fiduciary capacity and the name of the person or entity authorized to hold such capacity as follows. (e.g. Include the name of the deceased and the executor)

- ☐ Executor (EX)
☐ Personal Representative (Per Rep)
☐ Administrator (Adm)
☐ Conservator (Cons)
☐ Other. Please explain _____

Fiduciary Name(s):

Estate of:

Date of Agreement:

A. BEGINNING HOLDINGS: State the total number of shares of Arena common stock owned at the opening of trading on March 17, 2008, long or short (*must be documented*). If none, write "zero" or "0".

Shares

B. PURCHASES/ACQUISITIONS: Separately list each and every purchase of Arena common stock during the period from March 17, 2008 and April 27, 2011, inclusive, and provide the following information (*must be documented*):¹

C. **SALES:** For shares purchased separately list each and every sale of Arena common stock during the period March 17, 2008 and April 27, 2011, inclusive, and provide the following information *(must be documented)*:

D. ENDING HOLDINGS: State the total number of shares of Arena common stock owned at the close of trading on April 27, 2011, long or short (*must be documented*).

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Shares



Please note: Information requested with respect to your purchases/acquisitions of Arena common stock from after the close of trading on January 27, 2011 through and including the close of trading on April 27, 2011 is needed in order to balance your claim; purchases/acquisitions during this period, however, are not eligible under the Settlement and will not be used for purposes of calculating your Recognized Loss pursuant to the Plan of Allocation.



PART III - SUBSTITUTE FORM W-9

Request for Taxpayer Identification Number (Required for Receipt of Stock):
Enter taxpayer identification number below for the Beneficial Owner(s). For most individuals, this is your Social Security Number. The Internal Revenue Service (IRS) requires such taxpayer identification number. If you fail to provide this information, your claim may be rejected.
Social Security Number (for individuals) OR Taxpayer Identification Number (for estates, trusts, corporations, etc.)



PART IV - CERTIFICATION AND RELEASE

Definitions

All capitalized terms used but not defined herein shall have the same meanings as in the Notice and the Stipulation and Agreement of Settlement dated November 3, 2017 ("the Stipulation"), which is posted on the Claims Administrator's website at www.ArenaPharmaceuticalsClassActionSettlement.com. In addition, the following terms shall have the following meanings:

1. "Defendants" means Arena Pharmaceuticals, Inc., Jack Lief, Robert E. Hoffman, Dominic P. Béhan, William R. Shanahan, Jr., and Christen Anderson.
2. "Released Persons" means each and all of the Defendants and each and all of their Related Persons.
3. "Related Persons" means each of the Released Persons' and their legal affiliates' past or present directors, officers, employees, partners, insurers, co-insurers, reinsurers, principals, controlling shareholders, attorneys, accountants, auditors, investment advisors, personal or legal representatives, predecessors, successors, parents, subsidiaries, divisions, joint ventures, assigns, spouses, heirs, estates, related or affiliated entities, any entity in which a Released Person has a controlling interest, any members of an Individual Defendant's immediate family, any trust of which an Individual Defendant is the settlor or which is for the benefit of an Individual Defendant and/or any member of an Individual Defendant's immediate family, and any entity in which a Defendant and/or any member of an Individual Defendant's immediate family has or have a controlling interest (directly or indirectly).
4. "Released Claims" means any and all claims, demands, rights, causes of action or liabilities of every nature and description whatsoever (including, but not limited to, any claims for damages, interest, attorneys' fees, expert or consulting fees, and any other costs, expenses or liabilities whatsoever), whether based on federal, state, local, foreign, statutory or common law or any other law, rule, ordinance, administrative provision or regulation, including Unknown Claims as defined in ¶1.30 of the Stipulation, whether class or individual in nature, whether fixed or contingent, accrued or unaccrued, liquidated or unliquidated, at law or in equity, matured or unmatured, concealed or hidden, suspected or unsuspected, which now exist or heretofore have existed, that were asserted or could have been asserted by Lead Plaintiff or any Settlement Class Member against the Released Persons based on, arising from or relating to both: (i) the purchase, acquisition, holding, disposition, or sale of Arena common stock during the Class Period; and (ii) the allegations, transactions, facts, matters, events, disclosures, registration statements, public filings, acts, occurrences, representations, statements, omissions or failures to act that occurred during the Class Period and that were or could have been alleged by Lead Plaintiff in the Action against the Released Persons based upon the facts alleged in the Complaint. Released Claims does not include claims to enforce the Settlement.
5. "Unknown Claims" means collectively any Released Claims which Lead Plaintiff or any Settlement Class Member do not know or suspect to exist in his, her or its favor at the time of the release of the Released Persons which, if known by him, her or it, might have affected his, her or its settlement with and release of the Released Persons, or might have affected his, her or its decision not to object to this Settlement or seek exclusion from the Settlement Class. With respect to any and all Released Claims, the Settling Parties stipulate and agree that, upon the Effective Date, Lead Plaintiff shall expressly waive and each of the Class Members shall be deemed to have, and by operation of the Judgment shall have, expressly waived the provisions, rights, and benefits of California Civil Code §1542, which provides:



PART IV- CERTIFICATION AND RELEASE CONTINUED

A general release does not extend to claims which the creditor does not know or suspect to exist in his or her favor at the time of executing the release, which if known by him or her must have materially affected his or her settlement with the debtor.

Lead Plaintiff shall expressly waive and each of the Settlement Class Members shall be deemed to have, and by operation of the Judgment shall have, expressly waived any and all provisions, rights, and benefits conferred by any law of any state or territory of the United States or any foreign country, or any principle of common law, which is similar, comparable or equivalent in substance to California Civil Code §1542. Lead Plaintiff and Settlement Class Members may hereafter discover facts in addition to or different from those which he, she or it now knows or believes to be true with respect to the subject matter of the Released Claims, but upon the Effective Date, each Settlement Class Member, and Lead Plaintiff expressly, shall be deemed to have, and by operation of the Judgment shall have, fully, finally, and forever settled and released any and all Released Claims, known or unknown, suspected or unsuspected, contingent or non-contingent, whether or not concealed or hidden, which now exist, or heretofore have existed, upon any theory of law or equity now existing or coming into existence in the future, including, but not limited to, conduct which is negligent, intentional, with or without malice, or a breach of any duty, law or rule, without regard to the subsequent discovery or existence of such different or additional facts. Lead Plaintiff acknowledges, and the Settlement Class Members, shall be deemed by operation of the Judgment to have acknowledged, that the foregoing waiver was separately bargained for and a material element of the Settlement of which this release is a part.

Submission to Jurisdiction of Court and Acknowledgements and Affirmations

I (we) submit this Proof of Claim and Release Form under the terms of the Stipulation of Settlement described in the Notice. I (we) also submit to the jurisdiction of the United States District Court for the Southern District of California with respect to my claim as a Settlement Class Member and for purposes of enforcing the release set forth herein. I (we) further acknowledge that I am (we are) bound and subject to the terms of any judgment that may be entered in the Action. I (we) affirm that I (we) purchased Arena common stock between March 17, 2008 and January 27, 2011, inclusive, and claim to have suffered losses as a result of such purchase. By submitting this Proof of Claim and Release Form, I (we) state that I (we) believe in good faith that I am a (we are) Settlement Class Member(s) as defined in the Notice or am (are) acting for such person; that I am (we are) not a Defendant in the Action or anyone excluded from the Settlement Class; that I (we) have read and understand the Notice; that I (we) believe that I am (we are) entitled to receive a share of the Net Settlement Fund; that I (we) elect to participate in the proposed Settlement described in the Notice; that I (we) have not filed a request for exclusion; and that I (we) have not submitted any other claim covering the same purchases of Arena common stock between March 17, 2008 and January 27, 2011, inclusive, and know of no other person having done so on my (our) behalf. I (We) have set forth where requested herein all relevant information with respect to each purchase or acquisition of Arena common stock between March 17, 2008 and January 27, 2011, inclusive. I (we) agree to furnish additional information to the Claims Administrator to support this claim if requested to do so. I (we) understand that no discovery shall be allowed on the merits of the Action or Settlement in connection with processing of the Proof of Claim and in particular that no discovery shall be permitted against any Defendants in connection with any Proof of Claim.



PART IV- CERTIFICATION AND RELEASE CONTINUED

Release

I (We) hereby acknowledge, on behalf of myself (ourselves) and my (our) heirs, agents, executors, administrators, predecessors, successors, and assigns (or, if submitting this Proof of Claim and Release Form on behalf of a corporation, a partnership, estate or one or more other persons, on behalf of it, him, her or them and on behalf of its, his, her or their heirs, agents, executors, administrators, predecessors, successors, and assigns), full and complete satisfaction of, and do hereby fully, finally and forever settle, release and discharge from the Released Claims each and all of the Released Parties, and I (we) shall forever be enjoined from prosecuting any or all Released Claims against any Released Parties.

This release shall be of no force or effect unless and until the Court approves the Stipulation and the Stipulation becomes effective on the Effective Date (as defined in the Stipulation).

I (We) hereby warrant and represent that I (we) have not assigned or transferred or purported to assign, transfer, voluntarily or involuntarily, any matter released pursuant to this release or any other part or portion thereof.

I (We) hereby warrant and represent that I (we) have included information about all of my (our) transactions in Arena common stock that occurred during the Class Period, as well as the number of shares of Arena held by me (us) at the beginning of trading on March 17, 2008 and at the close of trading on January 27, 2011.

I (We) certify that I am (we are) not subject to backup withholding under the provisions of Section 3406(a)(1)(C) of the Internal Revenue Code.

Note: If you have been notified by the Internal Revenue Service that you are subject to backup withholding, please strike out the language that you are not subject to backup withholding in the certification above.

I (We) declare under penalty of perjury under the laws of the United States of America that the foregoing information supplied by the undersigned is true and correct.

Signature

Date

Print Name

Email Address

Day Telephone

Evening Telephone

On Behalf of:

(Print name of corporation, partnership, estate, or other entity if you are submitting this form on behalf of one of them.)

Signature

Date

Print Name

Email Address

Day Telephone

Evening Telephone

On Behalf of:

(Print name of corporation, partnership, estate, or other entity if you are submitting this form on behalf of one of them.)

Arena Securities Litigation
c/o GCG
P.O. Box 10526
Dublin, OH 43017-0526
(877) 981-9683
www.ArenaPharmaceuticalsClassActionSettlement.com
SAP0210382234



DAVID J JEWETT



Mailing Date: June 15, 2018
Response Due Date: July 5, 2018
Claim No: 1052328

Eligible Securities:
Arena common stock

Class Period:
March 17, 2008 through January 27, 2011, inclusive

NOTICE OF CONDITIONAL REJECTION OF YOUR ENTIRE CLAIM

Dear Claimant:

The Claim Form you submitted in the Arena Securities Litigation was processed and conditionally rejected in its entirety pursuant to the terms of the Settlement as approved by the Court. The reason(s) for the rejection of your entire claim is on the back of this letter.

NO FURTHER ACTION IS REQUIRED IF YOU AGREE WITH OUR DETERMINATIONS AND/OR DO NOT WISH TO FIX YOUR CLAIM.

To fix or otherwise complete your claim, please follow the directions on the back of this letter. If a noted deficiency relates to documentation submitted, please do not merely re-submit the same documentation as was included with the original submission of your claim. That documentation was determined to be incomplete or inadequate. If your response cures some but not all of the identified conditions of ineligibility, your claim will be considered to the extent cured. Please note: Regardless of how many deficiencies are cured, in order for the claim to be eligible to any extent, IT MUST CALCULATE TO A RECOGNIZED CLAIM UNDER THE PLAN OF ALLOCATION.

If you fail to respond by the Response Due Date above, or if your response fails to sufficiently cure the ineligible condition(s) identified, this claim will be rejected in its entirety.

If you have any questions regarding this letter, please call the Claims Administrator at 877-981-9683 for a detailed description of the reasons your Claim Form has been rejected.

Sincerely,

The Claims Administrator
Arena Securities Litigation

*****THIS IS THE ONLY NOTICE YOU WILL RECEIVE FOR THIS CLAIM*****
IMPORTANT INFORMATION ABOUT YOUR CLAIM AND THE NEXT STEPS YOU MAY TAKE ARE
ON THE BACK OF THIS LETTER. IF YOU HAVE ADDITIONAL QUESTIONS, PLEASE CALL US AT
877-981-9683.



Claim No: 1052328

YOU MUST INCLUDE A COPY OF THIS LETTER WITH ANY RESPONSE AND YOU MUST REFERENCE YOUR CLAIM NUMBER ON ALL CORRESPONDENCE AND DOCUMENTATION.

PROBLEM(S) WITH YOUR CLAIM:

PROBLEM WITH YOUR CLAIM:

YOU DID NOT PROVIDE ANY DOCUMENTATION TO SUPPORT YOUR CLAIM.

HOW TO FIX YOUR CLAIM:

To complete your claim, you must return this letter along with appropriate supporting documentation. Appropriate supporting documentation includes periodic brokerage statements or broker's trade confirmations (handwritten or self-generated information is not acceptable) showing all transactions and holdings positions listed on your Claim Form.

Failure to substantiate your transactions and holdings positions will likely result in a reduction of your payment, or in no payment at all.

If you do not intend to supply additional information/documentation, and you still disagree with the determination, you may request Court review of the determination. To request Court review, you must send a letter to the claims administrator within twenty (20) days of the date of this letter and it must: (1) specifically state that you "request that the Court review the rejection of your claim," (2) state your argument(s) for why your claim should be accepted, (3) attach any supporting documents you may have to support your argument, and (4) be signed. Please also include a copy of this letter when requesting Court review of the rejection of your claim. If your dispute with the determination to reject your claim cannot otherwise be resolved, your claim and its administrative rejection will be presented to the Court for review. PLEASE NOTE: COURT REVIEW SHOULD ONLY BE SOUGHT IF YOU DISAGREE WITH THE DETERMINATION TO REJECT YOUR CLAIM.

Shirley B. - G
Claim 1052328

Arena Securities Litigation
ATTN: Claims Administrator
P.O. Box 10526
Dublin, OH 43017

RE: Claim no 1052328

Claims Administrator,

I am formally requesting that the Court review the rejection of my claim. My argument for why the claim should be accepted is as follows..Shortly after receiving the notice of the lawsuit, I called Scott Trade and asked them for details of the trade confirmations I had made with Arena Pharm. I asked the Scott Trade Representative to send me a copy of those transactions. I informed the representative I needed to give him a new address as I had moved a while back and he told me they could only send the information to the address they had listed when the account was originally opened. Since it had been so long since I had moved, I opted not to have him send it.

On June 24, 2018, I received the rejection notice. So I thought I would call Scott Trade again and hope for different results. As my bad luck would have it, Scott Trade was acquired by Ameri Trade back in Feb 2018. Ameritrade does not have any records of previous Scott Trade accounts that have been closed. They only have those that are still active.

I lost a lot of money that day and thought it was gone forever. This lawsuit was a hope that some of it would be returned one day.

On 9-14-2010 I purchased 1248 shares at \$4.17 totaling \$5204.16. And on the same date, I purchased another 1817 shares at \$4.16 for a total of \$7565.72. Total of \$12,769.88

On 11-17-2010, I sold 3065 shares at \$1.35 totaling \$4130.99. A loss of \$8638.89.

Thank you for your time,

Respectfully,

David J Jewett




Chubb v. CV
Claim 1076446

Must be
Postmarked
No Later Than
April 13, 2018

Arena Securities Litigation
c/o GCG
P.O. Box 10526
Dublin, OH 43017-0526
Toll-Free: (877) 981-9683

SAP



Settlement Website: www.ArenaPharmaceuticalsClassActionSettlement.com

Schueneman v. Arena Pharmaceuticals, Inc.

PROOF OF CLAIM AND RELEASE

IF YOU PURCHASED COMMON STOCK OF ARENA PHARMACEUTICALS, INC. ("ARENA") FROM MARCH 17, 2008 THROUGH JANUARY 27, 2011, INCLUSIVE, AND SUFFERED LOSSES AS A RESULT OF SUCH PURCHASE, YOU ARE A "SETTLEMENT CLASS MEMBER" AND YOU MAY BE ENTITLED TO SHARE IN THE SETTLEMENT PROCEEDS.

IF YOU ARE A SETTLEMENT CLASS MEMBER, YOU MUST COMPLETE AND SUBMIT THIS FORM IN ORDER TO BE ELIGIBLE FOR ANY SETTLEMENT BENEFITS.

TO BE ELIGIBLE TO RECEIVE A DISTRIBUTION IN THE SETTLEMENT, YOU MUST COMPLETE AND SIGN THIS PROOF OF CLAIM AND RELEASE ("PROOF OF CLAIM") AND MAIL IT BY FIRST CLASS MAIL, POSTAGE PREPAID, **POSTMARKED NO LATER THAN APRIL 13, 2018**, TO THE CLAIMS ADMINISTRATOR, AT THE ADDRESS SET FORTH ABOVE.

YOUR FAILURE TO TIMELY SUBMIT A COMPLETED PROOF OF CLAIM WILL SUBJECT YOUR CLAIM TO REJECTION AND PRECLUDE YOUR RECEIVING ANY MONEY IN CONNECTION WITH THE SETTLEMENT OF THIS ACTION. DO NOT MAIL OR DELIVER YOUR CLAIM TO THE COURT OR TO ANY OF THE PARTIES OR THEIR COUNSEL AS ANY SUCH CLAIM WILL BE DEEMED NOT TO HAVE BEEN SUBMITTED. SUBMIT YOUR CLAIM ONLY TO THE CLAIMS ADMINISTRATOR.

IF YOU ARE NOT A SETTLEMENT CLASS MEMBER, OR IF YOU FILED A REQUEST FOR EXCLUSION FROM THE SETTLEMENT CLASS, DO NOT SUBMIT A PROOF OF CLAIM. YOU MAY NOT, DIRECTLY OR INDIRECTLY, PARTICIPATE IN THE SETTLEMENT IF YOU ARE NOT A SETTLEMENT CLASS MEMBER OR IF YOU SUBMIT A VALID AND TIMELY REQUEST FOR EXCLUSION.

Submission of this Form does not guarantee that you will share in the proceeds of the Settlement. Distribution of the Net Settlement Fund will be governed by the Plan of Allocation set forth in the Notice, if it is approved by the Court, or by such other plan of allocation as the Court approves.

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A B C D E F G H I J K L M N O P Q R S T U V W X Y Z 1 2 3 4 5 6 7 0



CLAIMANT'S STATEMENT

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2. By submitting this Proof of Claim, I (we) state that I (we) believe in good faith that I am (we are) a Settlement Class Member as defined above and in the Notice of Pendency and Proposed Settlement of Class Action (the "Notice"), or am (are) acting for such person(s); that I am (we are) not a Defendant in the Action or anyone excluded from the Settlement Class; that I (we) have read and understand the Notice; that I (we) believe that I am (we are) entitled to receive a share of the Net Settlement Fund, as defined in the Notice; that I (we) elect to participate in the proposed Settlement described in the Notice; and that I (we) have not filed a request for exclusion. (Note: If you are acting in a representative capacity on behalf of a Settlement Class Member [e.g., as an executor, administrator, trustee, or other representative], you must submit evidence of your current authority to act on behalf of that Class Member. Such evidence would include, for example, letters testamentary, letters of administration, or a copy of the trust documents.)
3. I (we) consent to the jurisdiction of the Court with respect to all questions concerning the validity of this Proof of Claim. I (we) understand and agree that my (our) claim may be subject to investigation and discovery under the Federal Rules of Civil Procedure, provided that such investigation and discovery shall be limited to my (our) status as a Settlement Class Member(s) and the validity and amount of my (our) claim. No discovery shall be allowed on the merits of the Action or Settlement in connection with processing of the Proof of Claim.
4. I (we) have set forth where requested below all relevant information with respect to each purchase of Arena common stock during the Class Period, and each sale, if any, of such securities. I (we) agree to furnish additional information to the Claims Administrator to support this claim if requested to do so.
5. I (we) have enclosed photocopies of the stockbroker's confirmation slips, stockbroker's statements, or other documents evidencing each purchase, sale or retention of Arena common stock listed below in support of my (our) claim. (Note: IF ANY SUCH DOCUMENTS ARE NOT IN YOUR POSSESSION, PLEASE OBTAIN A COPY OR EQUIVALENT DOCUMENTS FROM YOUR BROKER BECAUSE THESE DOCUMENTS ARE NECESSARY TO PROVE AND PROCESS YOUR CLAIM.)
6. I (we) understand that the information contained in this Proof of Claim is subject to such verification as the Claims Administrator may request or as the Court may direct, and I (we) agree to cooperate in any such verification. (Note: The information requested herein is designed to provide the minimum amount of information necessary to process most simple claims. The Claims Administrator may request additional information as required to efficiently and reliably calculate your recognized claim. In some cases, the Claims Administrator may condition acceptance of the claim based upon the production of additional information.
7. Upon the occurrence of the Court's approval of the Settlement, as detailed in the Notice, I (we) agree and acknowledge that my (our) signature(s) hereto shall effect and constitute a full and complete release, remise and discharge by me (us) and my (our) heirs, joint tenants, tenants in common, beneficiaries, executors, administrators, predecessors, successors, attorneys, insurers and assigns (or, if I am (we are) submitting this Proof of Claim on behalf of a corporation, a partnership, estate or one or more other persons, by it, him, her or them, and by its, his, her or their heirs, executors, administrators, predecessors, successors, and assigns) of each of the "Released Parties" of all "Release of Claims," as defined in the Notice.
8. NOTICE REGARDING ELECTRONIC FILES: Certain claimants with large numbers of transactions may request, or may be requested, to submit information regarding their transactions in electronic files. All Claimants MUST submit a manually signed paper Proof of Claim form listing all their transactions whether or not they also submit electronic copies. If you wish to file your claim electronically, you must contact the Claims Administrator at eClaim@choosseqcg.com or visit their website at www.ArenaPharmaceuticalsClassActionSettlement.com to obtain the required file layout. No electronic files will be considered to have been properly submitted unless the Claims Administrator issues to the Claimant a written acknowledgment of receipt and acceptance of electronically submitted data.

Claim 1076446

3



PART I - CLAIMANT INFORMATION

Claimant Contact Information:

The Claims Administrator will use this information for all communications relevant to this claim (including the check, if eligible for payment). If this information changes, you MUST notify the Claims Administrator in writing at the address above.

Claimant Name(s) (as the name(s) should appear on the check, if eligible for payment; if the shares are or were jointly owned, the names of all beneficial owners must be provided):

Name:

JOSEPH C. MOLEANO

Address:

[Redacted Address]

City:

[Redacted City]

State:

[Redacted State]

Zip Code:

[Redacted Zip Code]

Foreign Province:

[Redacted Foreign Province]

Foreign Country:

[Redacted Foreign Country]

Daytime Telephone Number:

[Redacted Daytime Telephone Number]

Evening Telephone Number:

[Redacted Evening Telephone Number]

Social Security Number (for individuals) OR Taxpayer Identification Number (for estates, trusts, corporations, etc.):

[Redacted Social Security Number]

Please complete the appropriate section carefully. Failure to complete it in its entirety will result in the forfeiture of your rights to receive the Securities if any are distributed. You may only complete one.

Section A. Individual / Sole Proprietor. Use of initials only (e.g. J.G. Doe) is not acceptable.

- ☒ Individual.
☐ Sole Proprietor

Name:

JOSEPH C. MOLEANO

Section B. Joint Ownership. Please indicate the type of tenancy.

- ☐ Joint Tenants - Jt Ten
(includes right of survivorship - JTWROS)
☐ Tenants in Common - Ten Com
☐ Tenants by Entireties - Ten Ent

Name(s):

[Redacted Name(s)]

[Redacted Name(s)]



PART I - CLAIMANT INFORMATION CONTINUED

Section C. Retirement accounts. *Please indicate the type of retirement account.*

- ☐ IRA
☐ Roth IRA
☐ Keogh
(Defined Benefit Plan or Defined Contribution Plan)
☐ Other (please describe) _____

Custodian:

Beneficiary:

Date of Plan:

Section D. Private or public entity. *Please indicate the entity type and check one box below.*

- ☐ Corporation
☐ Partnership
☐ Limited Liability Company
☐ Non-Profit Organization
☐ Foundation

Section E. Custodianships.

Custodian:

State:

- ☐ UCTA
☐ UGMA
☐ UTMA

Minor:

Age:

Section F. Under Trust agreements. *Please indicate the name of the trustee(s), the name of the Trust and the date of the Trust agreement in the space provide below)*

Name of trustee(s):

Name of Trust:

Date of Trust Agreement:

Section G. Estate. *Please indicate the fiduciary capacity and the name of the person or entity authorized to hold such capacity as follows. (e.g. Include the name of the deceased and the executor)*

- ☐ Executor (EX)
☐ Personal Representative (Per Rep)
☐ Administrator (Adm)
☐ Conservator (Cons)
☐ Other. Please explain _____

Fiduciary Name(s):

Estate of:

Date of Agreement:

Claim 1076446

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PART II - SCHEDULE OF TRANSACTIONS IN ARENA COMMON STOCK

A. BEGINNING HOLDINGS: State the total number of shares of Arena common stock owned at the opening of trading on March 17, 2008, long or short (*must be documented*). If none, write "zero" or "0".

000000
Shares

B. PURCHASES/ACQUISITIONS: Separately list each and every purchase of Arena common stock during the period from March 17, 2008 and April 27, 2011, inclusive, and provide the following information (*must be documented*):

Trade Date (List Chronologically) (Month/Day/Year)	Number of Shares Purchased/Acquired	Purchase/Acquisition Price Per Share	Total Cost (excluding taxes, commissions and fees)
10/12/10	546	1.81	998.20

C. SALES: For shares purchased separately list each and every sale of Arena common stock during the period March 17, 2008 and April 27, 2011, inclusive, and provide the following information (*must be documented*):

Trade Date (List Chronologically) (Month/Day/Year)	Number of Shares Sold	Sale Price Per Share	Amount Received (excluding taxes, commissions and fees)
10/15/10	546	1.72	929.16

D. ENDING HOLDINGS: State the total number of shares of Arena common stock owned at the close of trading on April 27, 2011, long or short (*must be documented*).

000000
Shares

IF YOU NEED ADDITIONAL SPACE TO LIST YOUR TRANSACTIONS YOU MUST PHOTOCOPY THIS PAGE, PRINT YOUR NAME AND SOCIAL SECURITY OR TAXPAYER IDENTIFICATION NUMBER AT THE TOP OF EACH SHEET AND CHECK THIS BOX:



IF YOU DO NOT CHECK THIS BOX THESE ADDITIONAL PAGES WILL NOT BE REVIEWED.

Please note: Information requested with respect to your purchases/acquisitions of Arena common stock from after the close of trading on January 27, 2011 through and including the close of trading on April 27, 2011 is needed in order to balance your claim; purchases/acquisitions during this period, however, are not eligible under the Settlement and will not be used for purposes of calculating your Recognized Loss pursuant to the Plan of Allocation.



PART III - SUBSTITUTE FORM W-9

Request for Taxpayer Identification Number (Required for Receipt of Stock)

Enter taxpayer identification number below for the Beneficial Owner(s). For most individuals, this is your Social Security Number. The Internal Revenue Service (I.R.S.) requires such taxpayer identification number. If you fail to provide this information, your claim may be rejected.

Social Security Number (for individuals) OR Taxpayer Identification Number (for estates, trusts, corporations, etc.)

PART IV - CERTIFICATION AND RELEASE

Definitions

All capitalized terms used but not defined herein shall have the same meanings as in the Notice and the Stipulation and Agreement of Settlement dated November 3, 2017 ("the Stipulation"), which is posted on the Claims Administrator's website at www.ArenaPharmaceuticalsClassActionSettlement.com. In addition, the following terms shall have the following meanings:

1. "Defendants" means Arena Pharmaceuticals, Inc., Jack Lief, Robert E. Hoffman, Dominic P. Behan, William R. Shanahan, Jr., and Christen Anderson.
2. "Released Persons" means each and all of the Defendants and each and all of their Related Persons.
3. "Related Persons" means each of the Released Persons' and their legal affiliates' past or present directors, officers, employees, partners, insurers, co-insurers, reinsurers, principals, controlling shareholders, attorneys, accountants, auditors, investment advisors, personal or legal representatives, predecessors, successors, parents, subsidiaries, divisions, joint ventures, assigns, spouses, heirs, estates, related or affiliated entities, any entity in which a Released Person has a controlling interest, any members of an Individual Defendant's immediate family, any trust of which an Individual Defendant is the settlor or which is for the benefit of an Individual Defendant and/or any member of an Individual Defendant's immediate family, and any entity in which a Defendant and/or any member of an Individual Defendant's immediate family has or have a controlling interest (directly or indirectly).
4. "Released Claims" means any and all claims, demands, rights, causes of action or liabilities of every nature and description whatsoever (including, but not limited to, any claims for damages, interest, attorneys' fees, expert or consulting fees, and any other costs, expenses or liabilities whatsoever), whether based on federal, state, local, foreign, statutory or common law or any other law, rule, ordinance, administrative provision or regulation, including Unknown Claims as defined in ¶1.30 of the Stipulation, whether class or individual in nature, whether fixed or contingent, accrued or unaccrued, liquidated or unliquidated, at law or in equity, matured or unmatured, concealed or hidden, suspected or unsuspected, which now exist or heretofore have existed, that were asserted or could have been asserted by Lead Plaintiff or any Settlement Class Member against the Released Persons based on, arising from or relating to both: (i) the purchase, acquisition, holding, disposition, or sale of Arena common stock during the Class Period; and (ii) the allegations, transactions, facts, matters, events, disclosures, registration statements, public filings, acts, occurrences, representations, statements, omissions or failures to act that occurred during the Class Period and that were or could have been alleged by Lead Plaintiff in the Action against the Released Persons based upon the facts alleged in the Complaint. Released Claims does not include claims to enforce the Settlement.
5. "Unknown Claims" means collectively any Released Claims which Lead Plaintiff or any Settlement Class Member do not know or suspect to exist in his, her or its favor at the time of the release of the Released Persons which, if known by him, her or it, might have affected his, her or its settlement with and release of the Released Persons, or might have affected his, her or its decision not to object to this Settlement or seek exclusion from the Settlement Class. With respect to any and all Released Claims, the Settling Parties stipulate and agree that, upon the Effective Date, Lead Plaintiff shall expressly waive and each of the Class Members shall be deemed to have, and by operation of the Judgment shall have, expressly waived the provisions, rights, and benefits of California Civil Code §1542, which provides:



PART IV- CERTIFICATION AND RELEASE CONTINUED

A general release does not extend to claims which the creditor does not know or suspect to exist in his or her favor at the time of executing the release, which if known by him or her must have materially affected his or her settlement with the debtor.

Lead Plaintiff shall expressly waive and each of the Settlement Class Members shall be deemed to have, and by operation of the Judgment shall have, expressly waived any and all provisions, rights, and benefits conferred by any law of any state or territory of the United States or any foreign country, or any principle of common law, which is similar, comparable or equivalent in substance to California Civil Code §1542. Lead Plaintiff and Settlement Class Members may hereafter discover facts in addition to or different from those which he, she or it now knows or believes to be true with respect to the subject matter of the Released Claims, but upon the Effective Date, each Settlement Class Member, and Lead Plaintiff expressly, shall be deemed to have, and by operation of the Judgment shall have, fully, finally, and forever settled and released any and all Released Claims, known or unknown, suspected or unsuspected, contingent or non-contingent, whether or not concealed or hidden, which now exist, or heretofore have existed, upon any theory of law or equity now existing or coming into existence in the future, including, but not limited to, conduct which is negligent, intentional, with or without malice, or a breach of any duty, law or rule, without regard to the subsequent discovery or existence of such different or additional facts. Lead Plaintiff acknowledges, and the Settlement Class Members shall be deemed by operation of the Judgment to have acknowledged, that the foregoing waiver was separately bargained for and a material element of the Settlement of which this release is a part.

Submission to Jurisdiction of Court and Acknowledgements and Affirmations

I (we) submit this Proof of Claim and Release Form under the terms of the Stipulation of Settlement described in the Notice. I (we) also submit to the jurisdiction of the United States District Court for the Southern District of California with respect to my claim as a Settlement Class Member and for purposes of enforcing the release set forth herein. I (we) further acknowledge that I am (we are) bound and subject to the terms of any judgment that may be entered in the Action. I (we) affirm that I (we) purchased Arena common stock between March 17, 2008 and January 27, 2011, inclusive, and claim to have suffered losses as a result of such purchase. By submitting this Proof of Claim and Release Form, I (we) state that I (we) believe in good faith that I am a (we are) Settlement Class Member(s) as defined in the Notice or am (are) acting for such person; that I am (we are) not a Defendant in the Action or anyone excluded from the Settlement Class; that I (we) have read and understand the Notice; that I (we) believe that I am (we are) entitled to receive a share of the Net Settlement Fund; that I (we) elect to participate in the proposed Settlement described in the Notice; that I (we) have not filed a request for exclusion; and that I (we) have not submitted any other claim covering the same purchases of Arena common stock between March 17, 2008 and January 27, 2011, inclusive, and know of no other person having done so on my (our) behalf. I (We) have set forth where requested herein all relevant information with respect to each purchase or acquisition of Arena common stock between March 17, 2008 and January 27, 2011, inclusive. I (we) agree to furnish additional information to the Claims Administrator to support this claim if requested to do so. I (we) understand that no discovery shall be allowed on the merits of the Action or Settlement in connection with processing of the Proof of Claim and in particular that no discovery shall be permitted against any Defendants in connection with any Proof of Claim.



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PART IV- CERTIFICATION AND RELEASE CONTINUED

Release

I (We) hereby acknowledge, on behalf of myself (ourselves) and my (our) heirs, agents, executors, administrators, predecessors, successors, and assigns (or, if submitting this Proof of Claim and Release Form on behalf of a corporation, a partnership, estate or one or more other persons, on behalf of it, him, her or them and on behalf of its, his, her or their heirs, agents, executors, administrators, predecessors, successors, and assigns), full and complete satisfaction of, and do hereby fully, finally and forever settle, release and discharge from the Released Claims each and all of the Released Parties, and I (we) shall forever be enjoined from prosecuting any or all Released Claims against any Released Parties.

This release shall be of no force or effect unless and until the Court approves the Stipulation and the Stipulation becomes effective on the Effective Date (as defined in the Stipulation).

I (We) hereby warrant and represent that I (we) have not assigned or transferred or purported to assign, transfer, voluntarily or involuntarily, any matter released pursuant to this release or any other part or portion thereof.

I (We) hereby warrant and represent that I (we) have included information about all of my (our) transactions in Arena common stock that occurred during the Class Period, as well as the number of shares of Arena held by me (us) at the beginning of trading on March 17, 2008 and at the close of trading on January 27, 2011.

I (We) certify that I am (we are) not subject to backup withholding under the provisions of Section 3406(a)(1)(C) of the Internal Revenue Code.

Note: If you have been notified by the Internal Revenue Service that you are subject to backup withholding, please strike out the language that you are not subject to backup withholding in the certification above.

I (We) declare under penalty of perjury under the laws of the United States of America that the foregoing information supplied by the undersigned is true and correct.

Signature

Date

Print Name

Email Address

Day Telephone

Evening Telephone

On Behalf of:

(Print name of corporation, partnership, estate, or other entity if you are submitting this form on behalf of one of them.)

Signature

Date

Print Name

Email Address

Day Telephone

Evening Telephone

On Behalf of:

(Print name of corporation, partnership, estate, or other entity if you are submitting this form on behalf of one of them.)

Arena Securities Litigation
c/o GCG
P.O. Box 10526
Dublin, OH 43017-0526
(877) 981-9683
www.ArenaPharmaceuticalsClassActionSettlement.com
SAP0210429182



JOSEPH C MOLEANO



Mailing Date: June 15, 2018
Response Due Date: July 5, 2018
Claim No: 1076446

Eligible Securities:
Arena common stock

Class Period:
March 17, 2008 through January 27, 2011, inclusive

NOTICE OF CONDITIONAL REJECTION OF YOUR ENTIRE CLAIM

Dear Claimant:

The Claim Form you submitted in the Arena Securities Litigation was processed and conditionally rejected in its entirety pursuant to the terms of the Settlement as approved by the Court. The reason(s) for the rejection of your entire claim is on the back of this letter.

NO FURTHER ACTION IS REQUIRED IF YOU AGREE WITH OUR DETERMINATIONS AND/OR DO NOT WISH TO FIX YOUR CLAIM.

To fix or otherwise complete your claim, please follow the directions on the back of this letter. If a noted deficiency relates to documentation submitted, please do not merely re-submit the same documentation as was included with the original submission of your claim. That documentation was determined to be incomplete or inadequate. If your response cures some but not all of the identified conditions of ineligibility, your claim will be considered to the extent cured. Please note: Regardless of how many deficiencies are cured, in order for the claim to be eligible to any extent, IT MUST CALCULATE TO A RECOGNIZED CLAIM UNDER THE PLAN OF ALLOCATION.

If you fail to respond by the Response Due Date above, or if your response fails to sufficiently cure the ineligible condition(s) identified, this claim will be rejected in its entirety.

If you have any questions regarding this letter, please call the Claims Administrator at 877-981-9683 for a detailed description of the reasons your Claim Form has been rejected.

Sincerely,

The Claims Administrator
Arena Securities Litigation

*****THIS IS THE ONLY NOTICE YOU WILL RECEIVE FOR THIS CLAIM***
IMPORTANT INFORMATION ABOUT YOUR CLAIM AND THE NEXT STEPS YOU MAY TAKE ARE
ON THE BACK OF THIS LETTER. IF YOU HAVE ADDITIONAL QUESTIONS, PLEASE CALL US AT
877-981-9683.**

Claim 1076446



Claim No: 1076446

YOU MUST INCLUDE A COPY OF THIS LETTER WITH ANY RESPONSE AND YOU MUST REFERENCE YOUR CLAIM NUMBER ON ALL CORRESPONDENCE AND DOCUMENTATION.

PROBLEM(S) WITH YOUR CLAIM:

PROBLEM WITH YOUR CLAIM:

YOU DID NOT PROVIDE ANY DOCUMENTATION TO SUPPORT YOUR CLAIM.

HOW TO FIX YOUR CLAIM:

To complete your claim, you must return this letter along with **appropriate** supporting documentation. Appropriate supporting documentation includes periodic brokerage statements or broker's trade confirmations (handwritten or self-generated information is not acceptable) showing all transactions and holdings positions listed on your Claim Form.

Failure to substantiate your transactions and holdings positions will likely result in a reduction of your payment, or in no payment at all.

If you do not intend to supply additional information/documentation, and you still disagree with the determination, you may request Court review of the determination. To request Court review, you must send a letter to the claims administrator within twenty (20) days of the date of this letter and it must: (1) specifically state that you "request that the Court review the rejection of your claim," (2) state your argument(s) for why your claim should be accepted, (3) attach any supporting documents you may have to support your argument, and (4) be signed. Please also include a copy of this letter when requesting Court review of the rejection of your claim. If your dispute with the determination to reject your claim cannot otherwise be resolved, your claim and its administrative rejection will be presented to the Court for review. PLEASE NOTE: COURT REVIEW SHOULD ONLY BE SOUGHT IF YOU DISAGREE WITH THE DETERMINATION TO REJECT YOUR CLAIM.

Chubb B-01
Claim 1076446

June 24, 2018

Arena Securities Litigation
The Claims Administrator
c/o GCG
P.O. Box 10526
Dublin, OH 43017-9683

Claim No. 1076446
Joseph C Moleano



I hereby request that the Court review the rejection of my claim. I do have documentation for my proof. I'm attaching my TD Ameritrade statement for the year of 2010 that shows my purchase of Arena Pharmaceuticals Inc Com on 10/12/2010 and the selling of the same stock on 10/15/2010.

Sincerely

A handwritten signature in black ink, appearing to read "J. Moleano", written over the word "Sincerely".

Joseph C Moleano

Claim 1076446

TD Ameritrade

PO BOX 733

Bensalem, PA 19020-0733

Please do not send deposits or correspondence to this address



00072333 02 AV 0.460 02 TR 00435 TDDCD204 110000

JOSEPH C MOLEANO



T6017222956



20110



Member FINRA/SIPC/NFA

JOSEPH C MOLEANO

Tax Identification Number: [REDACTED]
Account Number: [REDACTED]

2010 Consolidated Forms 1099

TD AMERITRADE
DIVISION OF TD AMERITRADE INC
PO BOX 2209
OMAHA, NE 68103-2209

For 1099 questions, please call:
800-669-3900

This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported.
TD Ameritrade Clearing, Inc., Federal Tax Identification Number: 47-0533629

Form 1099-B Proceeds From Broker & Barter Exchange Transactions (OMB No. 1545-0715)

Line #	Category	Amount
1a	Date of Sale or Exchange	See Details
1b	CUSIP No.	See Details
2	Stocks, Bonds, Etc Reported To IRS	See Details
3	--Gross Proceeds Less Commissions and Options Premiums	21,782.65
4	Federal Income Tax Withheld	0.00
5	No. of Shares Exchanged	153,498
6	Classes of Stock Exchanged	See Details
7	Description	See Details
REGULATED FUTURES CONTRACTS		
8	Profit or (loss) realized in 2010	0.00
9	Unrealized profit or (loss) on open contracts - 12/31/2009	0.00
10	Unrealized profit or (loss) on open contracts - 12/31/2010	0.00
11	Aggregate profit or (loss)	0.00

Shirley B. Giv
Claim 1076446

2010 Consolidated Forms 109

Details of Form 1099-B - Proceeds from Broker and Barter Exchange Transactions

(OMB No:)

LINE #2 STOCKS, BONDS, ETC. REPORTED TO IRS - GROSS PROCEEDS LESS COMMISSIONS AND OPTIONS PREMIUMS

DATE (LINE # 1A)	DESCRIPTION (LINE # 7)	SYMBOL	CUSIP (LINE # 1B)	INFORMATION	# SHARES EXCHANGED (LINE #5)	CLASS (LINE #6)	AMOUNT (LINE #2)	FEDERAL TAX WITHHELD (LINE #4)	NO LOSS IF CHECKED (LINE #12)
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10/15/10	ARENA PHARMACEUTICALS INC COM	ARNA	040047102	SELL	546	C	929.16	0.00	
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01/14/11

PageID.0110

Chubb - CV
Claim 1076446

2010 Consolidated Forms 1099

Details of Form 1099-B - Proceeds from Broker and Barter Exchange Transactions, continued

(OMB No: [REDACTED])

LINE #2 STOCKS, BONDS, ETC. REPORTED TO IRS - GROSS PROCEEDS LESS COMMISSIONS AND OPTIONS PREMIUMS

DATE (LINE # 1A)	DESCRIPTION (LINE # 7)	SYMBOL (LINE # 1B)	CUSIP INFORMATION (LINE # 1B)	# SHARES EXCHANGED (LINE #5)	CLASS (LINE #6)	AMOUNT (LINE #2)	FEDERAL TAX WITHHELD (LINE #4)	NO LOSS IF CHECKED (LINE #12)
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[REDACTED]								
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072333 IDDCD204 037234

01/14/11

Page 5 of 10

Chubb B-01
Claim 1076446

2010 Consolidated Forms 1099

Details of Form 1099-B - Proceeds from Broker and Barter Exchange Transactions, continued

(OMB No: [REDACTED])

LINE #2 STOCKS, BONDS, ETC. REPORTED TO IRS - GROSS PROCEEDS LESS COMMISSIONS AND OPTIONS PREMIUMS

DATE (LINE # 1A)	DESCRIPTION (LINE # 7)	SYMBOL (LINE # 1B)	CUSIP (LINE # 18)	INFORMATION	# SHARES EXCHANGED (LINE #6)	CLASS (LINE #6)	AMOUNT (LINE #2)	FEDERAL TAX WITHHELD (LINE #4)	NO LOSS IF CHECKED (LINE #12)
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Chubb B-LM
Claim 1076446

2010 Consolidated Forms 1099

Supplemental Reporting Section

*This section contains valuable tax reporting information that is not provided to the Internal Revenue Service.
**Indicates a correction.*

PURCHASES (TRADE DATE 2010)

DATE	DESCRIPTION	SYMBOL	CUSIP	INFORMATION	QUANTITY	INTEREST	AMOUNT	SUBTOTAL
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10/12/10	ARENA PHARMACEUTICALS INC.COM	ARNA	040047102	BUY	546	0.00	998.20	
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Shubert-Civ
Claim 1076446

2010 Consolidated Forms 109

Supplemental Reporting Section, continued

This section contains valuable tax reporting information that is not provided to the Internal Revenue Service.
**Indicates a correction.

PURCHASES (TRADE DATE 2010)									
DATE	DESCRIPTION	SYMBOL	CUSIP	INFORMATION	QUANTITY	INTEREST	AMOUNT	SUBTOTAL	

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Chubb B-01
Claim 1076446

2010 Consolidated Forms 1099

Supplemental Reporting Section, continued

*This section contains valuable tax reporting information that is not provided to the Internal Revenue Service.
**Indicates a correction.*

PURCHASES (TRADE DATE 2010)

DATE	DESCRIPTION	SYMBOL	CUSIP	INFORMATION	QUANTITY	INTEREST	AMOUNT	SUBTOTAL
------	-------------	--------	-------	-------------	----------	----------	--------	----------

Claim 1092516

Must be
Postmarked
No Later Than
April 13, 2018

Arena Securities Litigation
c/o GCG
P.O. Box 10526
Dublin, OH 43017-0526
Toll-Free: (877) 981-9683



SAP

Settlement Website: www.ArenaPharmaceuticalsClassActionSettlement.com

SAP0208896970



STUART JONES

Claim Number: 01092516

Control Number: 2450287430

Schueneman v. Arena Pharmaceuticals, Inc.
PROOF OF CLAIM AND RELEASE

IF YOU PURCHASED COMMON STOCK OF ARENA PHARMACEUTICALS, INC. ("ARENA") FROM MARCH 17, 2008 THROUGH JANUARY 27, 2011, INCLUSIVE, AND SUFFERED LOSSES AS A RESULT OF SUCH PURCHASE, YOU ARE A "SETTLEMENT CLASS MEMBER" AND YOU MAY BE ENTITLED TO SHARE IN THE SETTLEMENT PROCEEDS.

IF YOU ARE A SETTLEMENT CLASS MEMBER, YOU MUST COMPLETE AND SUBMIT THIS FORM IN ORDER TO BE ELIGIBLE FOR ANY SETTLEMENT BENEFITS.

TO BE ELIGIBLE TO RECEIVE A DISTRIBUTION IN THE SETTLEMENT, YOU MUST COMPLETE AND SIGN THIS PROOF OF CLAIM AND RELEASE ("PROOF OF CLAIM") AND MAIL IT BY FIRST CLASS MAIL, POSTAGE PREPAID, **POSTMARKED NO LATER THAN APRIL 13, 2018**, TO THE CLAIMS ADMINISTRATOR, AT THE ADDRESS SET FORTH ABOVE.

YOUR FAILURE TO TIMELY SUBMIT A COMPLETED PROOF OF CLAIM WILL SUBJECT YOUR CLAIM TO REJECTION AND PRECLUDE YOUR RECEIVING ANY MONEY IN CONNECTION WITH THE SETTLEMENT OF THIS ACTION. DO NOT MAIL OR DELIVER YOUR CLAIM TO THE COURT OR TO ANY OF THE PARTIES OR THEIR COUNSEL AS ANY SUCH CLAIM WILL BE DEEMED NOT TO HAVE BEEN SUBMITTED. SUBMIT YOUR CLAIM ONLY TO THE CLAIMS ADMINISTRATOR.

IF YOU ARE NOT A SETTLEMENT CLASS MEMBER, OR IF YOU FILED A REQUEST FOR EXCLUSION FROM THE SETTLEMENT CLASS, DO NOT SUBMIT A PROOF OF CLAIM. YOU MAY NOT, DIRECTLY OR INDIRECTLY, PARTICIPATE IN THE SETTLEMENT IF YOU ARE NOT A SETTLEMENT CLASS MEMBER OR IF YOU SUBMIT A VALID AND TIMELY REQUEST FOR EXCLUSION.

Submission of this Form does not guarantee that you will share in the proceeds of the Settlement. Distribution of the Net Settlement Fund will be governed by the Plan of Allocation set forth in the Notice, if it is approved by the Court, or by such other plan of allocation as the Court approves.

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PART III - SUBSTITUTE FORM W-9	6
PART IV - CERTIFICATION AND RELEASE	6-8

Important - This form should be completed IN CAPITAL LETTERS using BLACK or DARK BLUE ballpoint/fountain pen. Characters and marks used should be similar in the style to the following:

A B C D E F G H I J K L M N O P Q R S T U V W X Y Z 1 2 3 4 5 6 7 0



CLAIMANT'S STATEMENT

1. I (we) purchased or otherwise purchased shares of Arena common stock between March 17, 2008 and January 27, 2011, inclusive, and claim to have suffered losses as a result of such purchase.
2. By submitting this Proof of Claim, I (we) state that I (we) believe in good faith that I am (we are) a Settlement Class Member as defined above and in the Notice of Pendency and Proposed Settlement of Class Action (the "Notice"), or am (are) acting for such person(s); that I am (we are) not a Defendant in the Action or anyone excluded from the Settlement Class; that I (we) have read and understand the Notice; that I (we) believe that I am (we are) entitled to receive a share of the Net Settlement Fund, as defined in the Notice; that I (we) elect to participate in the proposed Settlement described in the Notice; and that I (we) have not filed a request for exclusion. (Note: If you are acting in a representative capacity on behalf of a Settlement Class Member [e.g., as an executor, administrator, trustee, or other representative], you must submit evidence of your current authority to act on behalf of that Class Member. Such evidence would include, for example, letters testamentary, letters of administration, or a copy of the trust documents.)
3. I (we) consent to the jurisdiction of the Court with respect to all questions concerning the validity of this Proof of Claim. I (we) understand and agree that my (our) claim may be subject to investigation and discovery under the Federal Rules of Civil Procedure, provided that such investigation and discovery shall be limited to my (our) status as a Settlement Class Member(s) and the validity and amount of my (our) claim. No discovery shall be allowed on the merits of the Action or Settlement in connection with processing of the Proof of Claim.
4. I (we) have set forth where requested below all relevant information with respect to each purchase of Arena common stock during the Class Period, and each sale, if any, of such securities. I (we) agree to furnish additional information to the Claims Administrator to support this claim if requested to do so.
5. I (we) have enclosed photocopies of the stockbroker's confirmation slips, stockbroker's statements, or other documents evidencing each purchase, sale or retention of Arena common stock listed below in support of my (our) claim. (Note: IF ANY SUCH DOCUMENTS ARE NOT IN YOUR POSSESSION, PLEASE OBTAIN A COPY OR EQUIVALENT DOCUMENTS FROM YOUR BROKER BECAUSE THESE DOCUMENTS ARE NECESSARY TO PROVE AND PROCESS YOUR CLAIM.)
6. I (we) understand that the information contained in this Proof of Claim is subject to such verification as the Claims Administrator may request or as the Court may direct, and I (we) agree to cooperate in any such verification. (Note: The information requested herein is designed to provide the minimum amount of information necessary to process most simple claims. The Claims Administrator may request additional information as required to efficiently and reliably calculate your recognized claim. In some cases, the Claims Administrator may condition acceptance of the claim based upon the production of additional information.
7. Upon the occurrence of the Court's approval of the Settlement, as detailed in the Notice, I (we) agree and acknowledge that my (our) signature(s) hereto shall effect and constitute a full and complete release, remise and discharge by me (us) and my (our) heirs, joint tenants, tenants in common, beneficiaries, executors, administrators, predecessors, successors, attorneys, insurers and assigns (or, if I am (we are) submitting this Proof of Claim on behalf of a corporation, a partnership, estate or one or more other persons, by it, him, her or them, and by its, his, her or their heirs, executors, administrators, predecessors, successors, and assigns) of each of the "Released Parties" of all "Release of Claims," as defined in the Notice.
8. NOTICE REGARDING ELECTRONIC FILES: Certain claimants with large numbers of transactions may request, or may be requested, to submit information regarding their transactions in electronic files. All Claimants MUST submit a manually signed paper Proof of Claim form listing all their transactions whether or not they also submit electronic copies. If you wish to file your claim electronically, you must contact the Claims Administrator at eClaim@choosegcg.com or visit their website at www.ArenaPharmaceuticalsClassActionSettlement.com to obtain the required file layout. No electronic files will be considered to have been properly submitted unless the Claims Administrator issues to the Claimant a written acknowledgment of receipt and acceptance of electronically submitted data.

Claim 1092516

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PART I - CLAIMANT INFORMATION

Claimant Contact Information:

The Claims Administrator will use this information for all communications relevant to this claim (including the check, if eligible for payment). If this information changes, you **MUST** notify the Claims Administrator in writing at the address above.

Claimant Name(s) (as the name(s) should appear on the check, if eligible for payment; if the shares are or were jointly owned, the names of all beneficial owners must be provided):

Name:

Stuart Dewitt Jones

Address:

[Redacted Address]

City:

[Redacted City]

State:

[Redacted State]

Zip Code:

[Redacted Zip Code]

Foreign Province:

[Redacted Foreign Province]

Foreign Country:

[Redacted Foreign Country]

Daytime Telephone Number:

[Redacted Daytime Telephone Number]

Evening Telephone Number:

[Redacted Evening Telephone Number]

Social Security Number (for individuals) OR Taxpayer Identification Number (for estates, trusts, corporations, etc.)

[Redacted Social Security Number]

Please complete the appropriate section carefully. Failure to complete it in its entirety will result in the forfeiture of your rights to receive the Securities if any are distributed. You may only complete one.

Section A. Individual / Sole Proprietor. Use of initials only (e.g. J.G. Doe) is not acceptable.

- ☒ Individual.
☐ Sole Proprietor

Name:

Stuart D. Jones

Section B. Joint Ownership. Please indicate the type of tenancy.

- ☐ Joint Tenants - Jt Ten
(includes right of survivorship - JTWROS)
☐ Tenants in Common - Ten Com
☐ Tenants by Entireties - Ten Ent

Name(s):

[Redacted Name(s)]



PART I - CLAIMANT INFORMATION CONTINUED

Section C. Retirement accounts. Please indicate the type of retirement account.

- ☐ IRA
☐ Roth IRA
☐ Keogh
(Defined Benefit Plan or Defined Contribution Plan)
☒ Other (please describe) _____

Custodian:

Beneficiary:

Date of Plan:

Section D. Private or public entity. Please indicate the entity type and check one box below.

- ☐ Corporation
☐ Partnership
☐ Limited Liability Company
☐ Non-Profit Organization
☐ Foundation

Section E. Custodianships.

- ☐ UCTA
☐ UGMA
☒ UTMA

Custodian:

State:

Minor:

Age:

Section F. Under Trust agreements. Please indicate the name of the trustee(s), the name of the Trust and the date of the Trust agreement in the space provide below)

Name of trustee(s):

Name of Trust:

Date of Trust Agreement:

Section G. Estate. Please indicate the fiduciary capacity and the name of the person or entity authorized to hold such capacity as follows. (e.g. Include the name of the deceased and the executor)

- ☐ Executor-(EX)
☐ Personal Representative (Per Rep)
☐ Administrator (Adm)
☐ Conservator (Cons)
☐ Other. Please explain _____

Fiduciary Name(s):

Estate of:

Date of Agreement:

I bought the stock through Sharebuilder,
now owned by Capital One. I am not a client
of theirs anymore so I do not have access to
their records
You can contact them and see if they are willing to work with you

Claim 1092516

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PART II - SCHEDULE OF TRANSACTIONS IN ARENA COMMON STOCK

A. BEGINNING HOLDINGS: State the total number of shares of Arena common stock owned at the opening of trading on March 17, 2008, long or short (*must be documented*). If none, write "zero" or "0".

000000
Shares

B. PURCHASES/ACQUISITIONS: Separately list each and every purchase of Arena common stock during the period from March 17, 2008 and April 27, 2011, inclusive, and provide the following information (*must be documented*):

Trade Date (List Chronologically) (Month/Day/Year)	Number of Shares Purchased/Acquired	Purchase/Acquisition Price Per Share	Total Cost (excluding taxes, commissions and fees)
12/17/10	7,300--	16.50	120450.00

C. SALES: For shares purchased separately list each and every sale of Arena common stock during the period March 17, 2008 and April 27, 2011, inclusive, and provide the following information (*must be documented*):

Trade Date (List Chronologically) (Month/Day/Year)	Number of Shares Sold	Sale Price Per Share	Amount Received (excluding taxes, commissions and fees)
03/11/11	7,300--	15.10	110230.--
			-10220.--

This is the amount after trading that I lost, I only had a negative balance

D. ENDING HOLDINGS: State the total number of shares of Arena common stock owned at the close of trading on April 27, 2011, long or short (*must be documented*).

000000
Shares

IF YOU NEED ADDITIONAL SPACE TO LIST YOUR TRANSACTIONS YOU MUST PHOTOCOPY THIS PAGE, PRINT YOUR NAME AND SOCIAL SECURITY OR TAXPAYER IDENTIFICATION NUMBER AT THE TOP OF EACH SHEET AND CHECK THIS BOX:

☐

IF YOU DO NOT CHECK THIS BOX THESE ADDITIONAL PAGES WILL NOT BE REVIEWED.

Please note: Information requested with respect to your purchases/acquisitions of Arena common stock from after the close of trading on January 27, 2011 through and including the close of trading on April 27, 2011 is needed in order to balance your claim; purchases/acquisitions during this period, however, are not eligible under the Settlement and will not be used for purposes of calculating your Recognized Loss pursuant to the Plan of Allocation.



PART III - SUBSTITUTE FORM W-9

Request for Taxpayer Identification Number (Required for Receipt of Stock):
Enter taxpayer identification number below for the Beneficial Owner(s). For most individuals, this is your Social Security Number. The Internal Revenue Service ("I.R.S.") requires such taxpayer identification number. If you fail to provide this information, your claim may be rejected.

Social Security Number (for individuals) OR Taxpayer Identification Number (for estates, trusts, corporations, etc.)

PART IV - CERTIFICATION AND RELEASE

Definitions

All capitalized terms used but not defined herein shall have the same meanings as in the Notice and the Stipulation and Agreement of Settlement dated November 3, 2017 ("the Stipulation"), which is posted on the Claims Administrator's website at www.ArenaPharmaceuticalsClassActionSettlement.com. In addition, the following terms shall have the following meanings:

1. "Defendants" means Arena Pharmaceuticals, Inc., Jack Lief, Robert E. Hoffman, Dominic P. Behan, William R. Shanahan, Jr., and Christen Anderson.
2. "Released Persons" means each and all of the Defendants and each and all of their Related Persons.
3. "Related Persons" means each of the Released Persons' and their legal affiliates' past or present directors, officers, employees, partners, insurers, co-insurers, reinsurers, principals, controlling shareholders, attorneys, accountants, auditors, investment advisors, personal or legal representatives, predecessors, successors, parents, subsidiaries, divisions, joint ventures, assigns, spouses, heirs, estates, related or affiliated entities, any entity in which a Released Person has a controlling interest, any members of an Individual Defendant's immediate family, any trust of which an Individual Defendant is the settlor or which is for the benefit of an Individual Defendant and/or any member of an Individual Defendant's immediate family, and any entity in which a Defendant and/or any member of an Individual Defendant's immediate family has or have a controlling interest (directly or indirectly).
4. "Released Claims" means any and all claims, demands, rights, causes of action or liabilities of every nature and description whatsoever (including, but not limited to, any claims for damages, interest, attorneys' fees, expert or consulting fees, and any other costs, expenses or liabilities whatsoever), whether based on federal, state, local, foreign, statutory or common law or any other law, rule, ordinance, administrative provision or regulation, including Unknown Claims as defined in ¶1.30 of the Stipulation, whether class or individual in nature, whether fixed or contingent, accrued or unaccrued, liquidated or unliquidated, at law or in equity, matured or unmatured, concealed or hidden, suspected or unsuspected, which now exist or heretofore have existed, that were asserted or could have been asserted by Lead Plaintiff or any Settlement Class Member against the Released Persons based on, arising from or relating to both: (i) the purchase, acquisition, holding, disposition, or sale of Arena common stock during the Class Period; and (ii) the allegations, transactions, facts, matters, events, disclosures, registration statements, public filings, acts, occurrences, representations, statements, omissions or failures to act that occurred during the Class Period and that were or could have been alleged by Lead Plaintiff in the Action against the Released Persons based upon the facts alleged in the Complaint. Released Claims does not include claims to enforce the Settlement.
5. "Unknown Claims" means collectively any Released Claims which Lead Plaintiff or any Settlement Class Member do not know or suspect to exist in his, her or its favor at the time of the release of the Released Persons which, if known by him, her or it, might have affected his, her or its settlement with and release of the Released Persons, or might have affected his, her or its decision not to object to this Settlement or seek exclusion from the Settlement Class. With respect to any and all Released Claims, the Settling Parties stipulate and agree that, upon the Effective Date, Lead Plaintiff shall expressly waive and each of the Class Members shall be deemed to have, and by operation of the Judgment shall have, expressly waived the provisions, rights, and benefits of California Civil Code §1542, which provides:



PART IV- CERTIFICATION AND RELEASE CONTINUED

A general release does not extend to claims which the creditor does not know or suspect to exist in his or her favor at the time of executing the release, which if known by him or her must have materially affected his or her settlement with the debtor.

Lead Plaintiff shall expressly waive and each of the Settlement Class Members shall be deemed to have, and by operation of the Judgment shall have, expressly waived any and all provisions, rights, and benefits conferred by any law of any state or territory of the United States or any foreign country, or any principle of common law, which is similar, comparable or equivalent in substance to California Civil Code §1542. Lead Plaintiff and Settlement Class Members may hereafter discover facts in addition to or different from those which he, she or it now knows or believes to be true with respect to the subject matter of the Released Claims, but upon the Effective Date, each Settlement Class Member, and Lead Plaintiff expressly, shall be deemed to have, and by operation of the Judgment shall have, fully, finally, and forever settled and released any and all Released Claims, known or unknown, suspected or unsuspected, contingent or non-contingent, whether or not concealed or hidden, which now exist, or heretofore have existed, upon any theory of law or equity now existing or coming into existence in the future, including, but not limited to, conduct which is negligent, intentional, with or without malice, or a breach of any duty, law or rule, without regard to the subsequent discovery or existence of such different or additional facts. Lead Plaintiff acknowledges, and the Settlement Class Members shall be deemed by operation of the Judgment to have acknowledged, that the foregoing waiver was separately bargained for and a material element of the Settlement of which this release is a part.

Submission to Jurisdiction of Court and Acknowledgements and Affirmations

I (we) submit this Proof of Claim and Release Form under the terms of the Stipulation of Settlement described in the Notice. I (we) also submit to the jurisdiction of the United States District Court for the Southern District of California with respect to my claim as a Settlement Class Member and for purposes of enforcing the release set forth herein. I (we) further acknowledge that I am (we are) bound and subject to the terms of any judgment that may be entered in the Action. I (we) affirm that I (we) purchased Arena common stock between March 17, 2008 and January 27, 2011, inclusive, and claim to have suffered losses as a result of such purchase. By submitting this Proof of Claim and Release Form, I (we) state that I (we) believe in good faith that I am a (we are) Settlement Class Member(s) as defined in the Notice or am (are) acting for such person; that I am (we are) not a Defendant in the Action or anyone excluded from the Settlement Class; that I (we) have read and understand the Notice; that I (we) believe that I am (we are) entitled to receive a share of the Net Settlement Fund; that I (we) elect to participate in the proposed Settlement described in the Notice; that I (we) have not filed a request for exclusion; and that I (we) have not submitted any other claim covering the same purchases of Arena common stock between March 17, 2008 and January 27, 2011, inclusive, and know of no other person having done so on my (our) behalf. I (We) have set forth where requested herein all relevant information with respect to each purchase or acquisition of Arena common stock between March 17, 2008 and January 27, 2011, inclusive. I (we) agree to furnish additional information to the Claims Administrator to support this claim if requested to do so. I (we) understand that no discovery shall be allowed on the merits of the Action or Settlement in connection with processing of the Proof of Claim and in particular that no discovery shall be permitted against any Defendants in connection with any Proof of Claim.



PART IV- CERTIFICATION AND RELEASE CONTINUED

Release

I (We) hereby acknowledge, on behalf of myself (ourselves) and my (our) heirs, agents, executors, administrators, predecessors, successors, and assigns (or, if submitting this Proof of Claim and Release Form on behalf of a corporation, a partnership, estate or one or more other persons, on behalf of it, him, her or them and on behalf of its, his, her or their heirs, agents, executors, administrators, predecessors, successors, and assigns), full and complete satisfaction of, and do hereby fully, finally and forever settle, release and discharge from the Released Claims each and all of the Released Parties, and I (we) shall forever be enjoined from prosecuting any or all Released Claims against any Released Parties.

This release shall be of no force or effect unless and until the Court approves the Stipulation and the Stipulation becomes effective on the Effective Date (as defined in the Stipulation).

I (We) hereby warrant and represent that I (we) have not assigned or transferred or purported to assign, transfer, voluntarily or involuntarily, any matter released pursuant to this release or any other part or portion thereof.

I (We) hereby warrant and represent that I (we) have included information about all of my (our) transactions in Arena common stock that occurred during the Class Period, as well as the number of shares of Arena held by me (us) at the beginning of trading on March 17, 2008 and at the close of trading on January 27, 2011.

I (We) certify that I am (we are) not subject to backup withholding under the provisions of Section 3406(a)(1)(C) of the Internal Revenue Code.

Note: If you have been notified by the Internal Revenue Service that you are subject to backup withholding, please strike out the language that you are not subject to backup withholding in the certification above.

I (We) declare under penalty of perjury under the laws of the United States of America that the foregoing information supplied by the undersigned is true and correct.

Stuart D. Jones
Signature

02/16/2018
Date

Stuart D. Jones
Print Name

[REDACTED]
Email Address

[REDACTED]
Day Telephone

[REDACTED]
Evening Telephone

On Behalf of:

(Print name of corporation, partnership, estate, or other entity if you are submitting this form on behalf of one of them.)

Signature

Date

Print Name

Email Address

Day Telephone

Evening Telephone

On Behalf of:

(Print name of corporation, partnership, estate, or other entity if you are submitting this form on behalf of one of them.)

Arena Securities Litigation
c/o GCG
P.O. Box 10526
Dublin, OH 43017-0526
(877) 981-9683
www.ArenaPharmaceuticalsClassActionSettlement.com
SAP0210461095



STUART JONES



Mailing Date: June 15, 2018
Response Due Date: July 5, 2018
Claim No: 1092516

Eligible Securities:
Arena common stock

Class Period:
March 17, 2008 through January 27, 2011, inclusive



NOTICE OF CONDITIONAL REJECTION OF YOUR ENTIRE CLAIM

Dear Claimant:

The Claim Form you submitted in the Arena Securities Litigation was processed and conditionally rejected in its entirety pursuant to the terms of the Settlement as approved by the Court. The reason(s) for the rejection of your entire claim is on the back of this letter.

NO FURTHER ACTION IS REQUIRED IF YOU AGREE WITH OUR DETERMINATIONS AND/OR DO NOT WISH TO FIX YOUR CLAIM.

To fix or otherwise complete your claim, please follow the directions on the back of this letter. If a noted deficiency relates to documentation submitted, please do not merely re-submit the same documentation as was included with the original submission of your claim. That documentation was determined to be incomplete or inadequate. If your response cures some but not all of the identified conditions of ineligibility, your claim will be considered to the extent cured. Please note: Regardless of how many deficiencies are cured, in order for the claim to be eligible to any extent, IT MUST CALCULATE TO A RECOGNIZED CLAIM UNDER THE PLAN OF ALLOCATION.

If you fail to respond by the Response Due Date above, or if your response fails to sufficiently cure the ineligible condition(s) identified, this claim will be rejected in its entirety.

If you have any questions regarding this letter, please call the Claims Administrator at 877-981-9683 for a detailed description of the reasons your Claim Form has been rejected.

Sincerely,

The Claims Administrator
Arena Securities Litigation

*****THIS IS THE ONLY NOTICE YOU WILL RECEIVE FOR THIS CLAIM***
IMPORTANT INFORMATION ABOUT YOUR CLAIM AND THE NEXT STEPS YOU MAY TAKE ARE
ON THE BACK OF THIS LETTER. IF YOU HAVE ADDITIONAL QUESTIONS, PLEASE CALL US AT
877-981-9683.**



Claim No: 1092516

YOU MUST INCLUDE A COPY OF THIS LETTER WITH ANY RESPONSE AND YOU MUST REFERENCE YOUR CLAIM NUMBER ON ALL CORRESPONDENCE AND DOCUMENTATION.

PROBLEM(S) WITH YOUR CLAIM:

PROBLEM WITH YOUR CLAIM:

YOU DID NOT PROVIDE ANY DOCUMENTATION TO SUPPORT YOUR CLAIM.

HOW TO FIX YOUR CLAIM:

To complete your claim, you must return this letter along with appropriate supporting documentation. Appropriate supporting documentation includes periodic brokerage statements or broker's trade confirmations (handwritten or self-generated information is not acceptable) showing all transactions and holdings positions listed on your Claim Form.

Failure to substantiate your transactions and holdings positions will likely result in a reduction of your payment, or in no payment at all.

If you do not intend to supply additional information/documentation, and you still disagree with the determination, you may request Court review of the determination. To request Court review, you must send a letter to the claims administrator within twenty (20) days of the date of this letter and it must: (1) specifically state that you "request that the Court review the rejection of your claim," (2) state your argument(s) for why your claim should be accepted, (3) attach any supporting documents you may have to support your argument, and (4) be signed. Please also include a copy of this letter when requesting Court review of the rejection of your claim. If your dispute with the determination to reject your claim cannot otherwise be resolved, your claim and its administrative rejection will be presented to the Court for review. PLEASE NOTE: COURT REVIEW SHOULD ONLY BE SOUGHT IF YOU DISAGREE WITH THE DETERMINATION TO REJECT YOUR CLAIM.

06/26/2018
claim # 1092516

Re: Determination,

I do not agree with your determination and I want a court judge to review it. The reason is I bought the stock through E-Trade Securities between 2008 - 2010 and the records have been erased on their site. Since I am not a client anymore I don't think I have access to them anyway. Also, YOU sent me the notice in the mail, alerting me to this lawsuit. I didn't know anything about it. This proves on at least shows that I had stock from Arena around that time, I just don't know how much a share or how many. Please discuss this complaint with the court and see what they decide.

Thank You,
Shirley N. Amara

Exhibit C

Arena Securities Litigation

Timely, Eligible Claims

Exhibit C-1

#	Claim Number	Recognized Loss
1	31	\$ 7,651.62
2	44	\$ 33,480.00
3	51	\$ 1,353.80
4	68	\$ 15,029.40
5	71	\$ 1,143.00
6	75	\$ 17,048.60
7	76	\$ 47,714.60
8	77	\$ 26,354.12
9	78	\$ 7,548.08
10	81	\$ 3,480.00
11	82	\$ 1,940.00
12	84	\$ 3,216.00
13	85	\$ 2,150.00
14	89	\$ 5,048.85
15	95	\$ 4,350.00
16	1000245	\$ 4,850.00
17	1000280	\$ 2,424.60
18	1000342	\$ 2,150.00
19	1000633	\$ 26,540.00
20	1000843	\$ 4,365.00
21	1000891	\$ 320.00
22	1001455	\$ 910.00
23	1001556	\$ 195.00
24	1002202	\$ 645.00
25	1002204	\$ 6,303.00
26	1002328	\$ 2,493.16
27	1002333	\$ 429.79
28	1002394	\$ 4,850.00
29	1002516	\$ 2,260.00
30	1002678	\$ 1,940.00
31	1002740	\$ 1,388.00
32	1002845	\$ 43,880.15
33	1002904	\$ 10,168.95
34	1003112	\$ 2,425.00
35	1003147	\$ 523.86
36	1003150	\$ 564.00
37	1003253	\$ 113.74
38	1003537	\$ 625.35
39	1003567	\$ 13,483.00
40	1003574	\$ 2,182.50
41	1003605	\$ 795.00
42	1003653	\$ 38,292.40
43	1003819	\$ 1,796.60
44	1004127	\$ 1,940.00
45	1004258	\$ 689.72
46	1004338	\$ 2,301.00
47	1004418	\$ 3,395.00

#	Claim Number	Recognized Loss
904	1061089	\$ 3,395.00
905	1061213	\$ 485.00
906	1061485	\$ 12,442.63
907	1061517	\$ 940.97
908	1061607	\$ 270.00
909	1061730	\$ 1,152.00
910	1061731	\$ 230.40
911	1061793	\$ 1,440.00
912	1062036	\$ 62,155.90
913	1062048	\$ 140,620.31
914	1062078	\$ 3,724.00
915	1062079	\$ 4,878.43
916	1062080	\$ 1,160.00
917	1062081	\$ 9,494.00
918	1062295	\$ 320.00
919	1062354	\$ 2,150.00
920	1062363	\$ 75,027.00
921	1062396	\$ 28,980.00
922	1062397	\$ 11,900.00
923	1062402	\$ 9,340.00
924	1062447	\$ 381.00
925	1062624	\$ 5,075.45
926	1062701	\$ 3,200.00
927	1063010	\$ 59,346.00
928	1063018	\$ 30,340.00
929	1063020	\$ 3,082.00
930	1063021	\$ 2,248.00
931	1063060	\$ 321.83
932	1063229	\$ 5,130.00
933	1063242	\$ 592.00
934	1063271	\$ 10,657.00
935	1063285	\$ 1,561.98
936	1063309	\$ 1,041.95
937	1063387	\$ 4,705.00
938	1063514	\$ 16,565.88
939	1063525	\$ 2,360.00
940	1063540	\$ 320.00
941	1063573	\$ 5,640.00
942	1063651	\$ 1,333.00
943	1063704	\$ 7,275.00
944	1063825	\$ 7,226.50
945	1063852	\$ 264.59
946	1063947	\$ 1,299.90
947	1064050	\$ 3,395.00
948	1064331	\$ 1,410.00
949	1064332	\$ 1,489.00
950	1064403	\$ 6,450.00

#	Claim Number	Recognized Loss
1807	1099260	\$ 15,265.00
1808	1099268	\$ 7,225.00
1809	1099269	\$ 6,960.00
1810	1099270	\$ 3,470.00
1811	1099271	\$ 13,285.00
1812	1099272	\$ 13,636.00
1813	1099273	\$ 1,550.00
1814	1099274	\$ 2,201.00
1815	1099275	\$ 13,050.00
1816	1099276	\$ 1,650.00
1817	1099277	\$ 7,275.00
1818	1099281	\$ 9,700.00
1819	1099282	\$ 20,919.90
1820	1099287	\$ 4,218.00
1821	1099290	\$ 2,522.00
1822	1099299	\$ 332.00
1823	1099300	\$ 684.20
1824	1099301	\$ 650.95
1825	1099303	\$ 743.96
1826	1099304	\$ 3,440.00
1827	1099305	\$ 1,854.95
1828	1099312	\$ 11,003.05
1829	1099313	\$ 7,517.50
1830	1099314	\$ 14,125.37
1831	1099317	\$ 533.60
1832	1099318	\$ 2,340.00
1833	1099319	\$ 3,440.00
1834	1099324	\$ 2,700.00
1835	1099330	\$ 1,075.00
1836	1099331	\$ 823.50
1837	1099334	\$ 1,899.00
1838	1099335	\$ 1,690.00
1839	1099336	\$ 320.00
1840	1099338	\$ 209.44
1841	1099346	\$ 4,850.00
1842	1099347	\$ 24,121.00
1843	1099348	\$ 4,850.00
1844	1099350	\$ 4,850.00
1845	1099351	\$ 367.57
1846	1099353	\$ 2,425.00
1847	1099376	\$ 1,870.29
1848	1099377	\$ 3,157.96
1849	1099378	\$ 742.05
1850	5000001	\$ 18,790.09
1851	5000007	\$ 83,375.45
1852	5000017	\$ 3,749.25
1853	5000018	\$ 3,749.25

Arena Securities Litigation

Timely, Eligible Claims

Exhibit C-1

#	Claim Number	Recognized Loss
48	1004431	\$ 116,994.00
49	1004479	\$ 4,850.00
50	1004488	\$ 1,038.03
51	1004566	\$ 1,516.00
52	1004567	\$ 17,899.00
53	1004581	\$ 2,334.97
54	1004694	\$ 20,925.00
55	1004699	\$ 3,019.79
56	1004703	\$ 6,400.00
57	1004741	\$ 10,103.90
58	1004757	\$ 2,580.00
59	1004811	\$ 1,055.00
60	1004820	\$ 51,212.33
61	1004854	\$ 13,035.89
62	1004929	\$ 450.00
63	1004943	\$ 485.00
64	1004990	\$ 18,718.04
65	1005107	\$ 1,638.90
66	1005323	\$ 14,550.00
67	1005329	\$ 852.00
68	1005443	\$ 17,213.62
69	1005514	\$ 14,979.00
70	1005574	\$ 30,245.52
71	1005600	\$ 1,720.00
72	1005638	\$ 2,434.00
73	1005689	\$ 3,126.00
74	1005752	\$ 7,275.00
75	1005782	\$ 533.50
76	1005994	\$ 5,400.00
77	1006002	\$ 48,500.00
78	1006139	\$ 1,043.00
79	1006154	\$ 2,129.90
80	1006253	\$ 51,563.56
81	1006259	\$ 6,425.52
82	1006267	\$ 12,124.00
83	1006279	\$ 2,425.00
84	1006315	\$ 2,257.52
85	1006348	\$ 10,800.00
86	1006387	\$ 13,710.00
87	1006453	\$ 485.00
88	1006470	\$ 388.00
89	1006524	\$ 476.00
90	1006573	\$ 82.50
91	1006674	\$ 6,212.00
92	1006739	\$ 34,660.40
93	1006746	\$ 970.00
94	1006789	\$ 3,204.00

#	Claim Number	Recognized Loss
951	1064518	\$ 3,921.44
952	1064535	\$ 4,850.00
953	1064537	\$ 34,067.21
954	1064541	\$ 2,720.00
955	1064544	\$ 1,425.00
956	1064553	\$ 7,141.00
957	1064573	\$ 8,912.34
958	1064577	\$ 4,758.60
959	1064879	\$ 1,110.00
960	1064886	\$ 970.00
961	1065521	\$ 14,550.00
962	1065614	\$ 18,330.00
963	1065787	\$ 870.00
964	1065822	\$ 34,190.00
965	1066045	\$ 14,440.10
966	1066121	\$ 28.80
967	1066475	\$ 5,834.00
968	1066521	\$ 2,910.00
969	1066599	\$ 29,883.00
970	1066652	\$ 970.00
971	1066685	\$ 916.86
972	1066686	\$ 552.00
973	1066696	\$ 607.00
974	1066714	\$ 3,770.00
975	1066716	\$ 6,062.50
976	1066731	\$ 923.48
977	1066959	\$ 4,850.00
978	1067068	\$ 2,118.50
979	1067084	\$ 960.00
980	1067102	\$ 5,890.00
981	1067104	\$ 1,410.00
982	1067177	\$ 13,451.84
983	1067431	\$ 1,403.90
984	1067444	\$ 3,395.00
985	1067446	\$ 1,455.00
986	1067454	\$ 4,454.85
987	1067761	\$ 4,850.00
988	1067782	\$ 25,645.00
989	1067788	\$ 3,961.69
990	1067830	\$ 4,850.00
991	1067867	\$ 6,055.00
992	1067874	\$ 970.00
993	1067885	\$ 1,740.00
994	1067988	\$ 1,740.00
995	1067990	\$ 870.00
996	1068187	\$ 1,350.00
997	1068239	\$ 7,134.00

#	Claim Number	Recognized Loss
1854	5000019	\$ 7,498.50
1855	5000024	\$ 123,817.94
1856	5000041	\$ 79,974.92
1857	5000042	\$ 34,315.26
1858	5000045	\$ 2,647.70
1859	5000046	\$ 64,529.93
1860	5000047	\$ 6,666.42
1861	5000048	\$ 35,919.71
1862	5000050	\$ 143,249.65
1863	5000052	\$ 9,032.44
1864	5000053	\$ 1,063.92
1865	5000054	\$ 23,043.30
1866	5000055	\$ 118,959.87
1867	5000057	\$ 266,643.34
1868	5000058	\$ 1,573.19
1869	5000061	\$ 1,831.60
1870	5000062	\$ 15,053.88
1871	5000063	\$ 27,033.56
1872	5000068	\$ 12,980.00
1873	5000070	\$ 3,030.00
1874	5000073	\$ 7,496,289.18
1875	5000074	\$ 2,841,389.90
1876	5000076	\$ 63,821.15
1877	5000077	\$ 35,147.95
1878	5000081	\$ 71,457.80
1879	5000085	\$ 5,160.87
1880	5000091	\$ 33,014.00
1881	5000094	\$ 227,346.20
1882	5000098	\$ 355.42
1883	5000100	\$ 1,813.53
1884	5000101	\$ 32,466.29
1885	5000103	\$ 70,439.48
1886	5000126	\$ 12,305.50
1887	5000132	\$ 7,760.00
1888	5000133	\$ 1,005.04
1889	5000136	\$ 3,304.45
1890	5000137	\$ 2,469.33
1891	5000138	\$ 882.96
1892	5000141	\$ 276.46
1893	5000145	\$ 353,005.59
1894	5000152	\$ 50,696.77
1895	5000167	\$ 143,285.60
1896	5000175	\$ 1,575.72
1897	5000193	\$ 217,818.75
1898	5000194	\$ 15,379.14
1899	5000196	\$ 158,353.27
1900	5000197	\$ 542,080.40

Arena Securities Litigation

Timely, Eligible Claims

Exhibit C-1

#	Claim Number	Recognized Loss
95	1006875	\$ 933.00
96	1006930	\$ 93,558.74
97	1006955	\$ 1,967.75
98	1006958	\$ 33,599.10
99	1007044	\$ 3,140.00
100	1007172	\$ 25,854.22
101	1007180	\$ 3,390.00
102	1007190	\$ 1,364.00
103	1007242	\$ 8,025.00
104	1007335	\$ 9,277.80
105	1007458	\$ 14,124.53
106	1007491	\$ 2,411.00
107	1007505	\$ 516.70
108	1007588	\$ 1,455.00
109	1007644	\$ 1,080.00
110	1007675	\$ 2,698.00
111	1007759	\$ 6,305.00
112	1007846	\$ 4,850.00
113	1007852	\$ 4,850.00
114	1007858	\$ 1,180.00
115	1007877	\$ 121.25
116	1007919	\$ 12,079.00
117	1007986	\$ 628.99
118	1008008	\$ 163.00
119	1008066	\$ 242.50
120	1008078	\$ 24,250.00
121	1008159	\$ 8,392.00
122	1008165	\$ 3,340.00
123	1008247	\$ 24,255.43
124	1008258	\$ 970.00
125	1008260	\$ 21,017.00
126	1008308	\$ 5,143.79
127	1008366	\$ 215,120.25
128	1008404	\$ 370.00
129	1008433	\$ 9,457.50
130	1008444	\$ 16,021.81
131	1008505	\$ 1,550.00
132	1008554	\$ 1,032.00
133	1008668	\$ 1,288.00
134	1008715	\$ 316.71
135	1008870	\$ 489.50
136	1008888	\$ 10,131.80
137	1008901	\$ 202,700.00
138	1008960	\$ 487.50
139	1009005	\$ 774.00
140	1009056	\$ 29,722.23
141	1009149	\$ 320.00

#	Claim Number	Recognized Loss
998	1068365	\$ 9,700.00
999	1068421	\$ 3,395.00
1000	1068422	\$ 9,700.00
1001	1068430	\$ 10,708.35
1002	1068431	\$ 6,095.40
1003	1068499	\$ 10,440.00
1004	1068635	\$ 3,210.00
1005	1068830	\$ 5,600.00
1006	1068861	\$ 401,772.70
1007	1068910	\$ 3,482.25
1008	1068911	\$ 3,697.25
1009	1069033	\$ 95,997.00
1010	1069059	\$ 10,679.70
1011	1069281	\$ 2,010.00
1012	1069698	\$ 281.99
1013	1069869	\$ 147.00
1014	1070194	\$ 8,290.00
1015	1070385	\$ 1,025.00
1016	1070452	\$ 470.00
1017	1070755	\$ 10,950.00
1018	1070757	\$ 59,800.50
1019	1070759	\$ 703.50
1020	1070762	\$ 166,885.03
1021	1070763	\$ 954,568.40
1022	1070764	\$ 355,918.00
1023	1070765	\$ 91,962.74
1024	1070768	\$ 87,400.00
1025	1070769	\$ 44,828.00
1026	1070779	\$ 199.80
1027	1070882	\$ 9,367.72
1028	1070933	\$ 4,043.00
1029	1070940	\$ 47.45
1030	1070973	\$ 3,201.00
1031	1071008	\$ 1,890.00
1032	1071012	\$ 705.00
1033	1071143	\$ 2,304.00
1034	1071224	\$ 68,027.43
1035	1071319	\$ 31,040.00
1036	1071354	\$ 1,628.40
1037	1071405	\$ 1,670.00
1038	1071424	\$ 274.70
1039	1071539	\$ 2,745.00
1040	1071572	\$ 6,690.00
1041	1071588	\$ 4,873.00
1042	1071610	\$ 870.00
1043	1071683	\$ 2,290.00
1044	1071875	\$ 485.00

#	Claim Number	Recognized Loss
1901	5000201	\$ 8,843.88
1902	5000202	\$ 22,753.33
1903	5000203	\$ 229,146.85
1904	5000204	\$ 259,810.21
1905	5000205	\$ 24,870.57
1906	5000206	\$ 40,418.64
1907	5000208	\$ 127,447.32
1908	5000210	\$ 16,518.66
1909	5000211	\$ 39,659.76
1910	5000212	\$ 74,063.94
1911	5000213	\$ 77,975.28
1912	5000214	\$ 1,746.18
1913	5000224	\$ 386,500.26
1914	5000225	\$ 14,917.26
1915	5000232	\$ 2,584.57
1916	5000233	\$ 109.60
1917	5000234	\$ 4,212.05
1918	5000235	\$ 32,157.80
1919	5000236	\$ 8,812.21
1920	5000239	\$ 26,956.80
1921	5000245	\$ 382,941.02
1922	5000251	\$ 140.00
1923	5000255	\$ 764.50
1924	5000256	\$ 146.98
1925	5000259	\$ 6.31
1926	5000261	\$ 231.94
1927	5000263	\$ 65.29
1928	5000271	\$ 1,044.70
1929	5000273	\$ 277.88
1930	5000276	\$ 6.12
1931	5000278	\$ 3,672.00
1932	5000279	\$ 729.00
1933	5000285	\$ 127.33
1934	5000286	\$ 246.12
1935	5000294	\$ 120.21
1936	5000297	\$ 804.52
1937	5000299	\$ 81.44
1938	5000302	\$ 160,254.00
1939	5000303	\$ 936,135.00
1940	5000304	\$ 656,224.00
1941	5000305	\$ 1,858.00
1942	5000306	\$ 26,164.07
1943	5000307	\$ 310,590.00
1944	5000308	\$ 472,695.00
1945	5000309	\$ 275,421.91
1946	5000312	\$ 485,444.00
1947	5000315	\$ 233,786.95

Arena Securities Litigation

Timely, Eligible Claims

Exhibit C-1

#	Claim Number	Recognized Loss
142	1009220	\$ 21,262.50
143	1009311	\$ 410.00
144	1009326	\$ 14,550.00
145	1009376	\$ 70,000.00
146	1009540	\$ 23,700.00
147	1009592	\$ 11,680.13
148	1009601	\$ 3,880.00
149	1009602	\$ 14,550.00
150	1009623	\$ 3,637.50
151	1009631	\$ 10,832.00
152	1009639	\$ 9,700.00
153	1009769	\$ 53,875.00
154	1009775	\$ 9,700.00
155	1009790	\$ 1,392.00
156	1009817	\$ 9,700.00
157	1009894	\$ 1,069.72
158	1009922	\$ 21,643.50
159	1009956	\$ 32,950.00
160	1010005	\$ 4,588.43
161	1010086	\$ 49,876.00
162	1010124	\$ 3,559.46
163	1010142	\$ 1,870.00
164	1010146	\$ 4,850.00
165	1010158	\$ 7,000.00
166	1010173	\$ 106.98
167	1010230	\$ 17,260.00
168	1010231	\$ 13,860.00
169	1010274	\$ 32,948.84
170	1010341	\$ 3,480.00
171	1010510	\$ 4,680.00
172	1010615	\$ 3,758.75
173	1010627	\$ 1,008.93
174	1010685	\$ 2,150.00
175	1010708	\$ 43,770.00
176	1010780	\$ 4,850.00
177	1010837	\$ 4,850.00
178	1010860	\$ 11,114.75
179	1010952	\$ 65,793.04
180	1010964	\$ 2,150.00
181	1010976	\$ 3,395.00
182	1011002	\$ 7,769.70
183	1011003	\$ 8,162.55
184	1011037	\$ 5,275.20
185	1011124	\$ 5,483.05
186	1011170	\$ 1,900.00
187	1011223	\$ 1,455.00
188	1011377	\$ 1,525.00

#	Claim Number	Recognized Loss
1045	1071889	\$ 1,449.90
1046	1071911	\$ 35.02
1047	1071935	\$ 3,760.85
1048	1071941	\$ 1,890.00
1049	1071975	\$ 272.00
1050	1072059	\$ 2,459.80
1051	1072195	\$ 895.80
1052	1072246	\$ 1,104.00
1053	1072254	\$ 727.50
1054	1072258	\$ 1,460.00
1055	1072450	\$ 3,500.00
1056	1072532	\$ 1,702.50
1057	1072567	\$ 3,286.86
1058	1072639	\$ 12,610.00
1059	1072660	\$ 612.00
1060	1072672	\$ 2,505.00
1061	1072795	\$ 79.20
1062	1072860	\$ 9,195.62
1063	1072897	\$ 10,648.20
1064	1073306	\$ 1,720.00
1065	1073510	\$ 614.10
1066	1073958	\$ 1,045.22
1067	1074114	\$ 656.00
1068	1074892	\$ 3,082.89
1069	1074910	\$ 10,143.27
1070	1075049	\$ 36,256.01
1071	1075209	\$ 27,093.24
1072	1075441	\$ 3,319.96
1073	1075534	\$ 485.00
1074	1075545	\$ 2,198.00
1075	1075939	\$ 5,789.60
1076	1075967	\$ 13,612.78
1077	1075974	\$ 7,595.00
1078	1075991	\$ 12,610.00
1079	1075993	\$ 3,412.00
1080	1075999	\$ 960.00
1081	1076009	\$ 19,400.00
1082	1076012	\$ 131,847.00
1083	1076015	\$ 197.99
1084	1076019	\$ 6,400.00
1085	1076022	\$ 9,660.00
1086	1076027	\$ 6,408.86
1087	1076032	\$ 243.00
1088	1076035	\$ 6,009.00
1089	1076050	\$ 185.00
1090	1076142	\$ 1,218.00
1091	1076152	\$ 1,836.00

#	Claim Number	Recognized Loss
1948	5000319	\$ 49,280.28
1949	5000320	\$ 19,061.24
1950	5000321	\$ 83,696.48
1951	5000322	\$ 136,620.43
1952	5000323	\$ 126,035.51
1953	5000324	\$ 507,731.15
1954	5000325	\$ 83,637.88
1955	5000326	\$ 13,107.28
1956	5000327	\$ 241,295.38
1957	5000328	\$ 8,785.60
1958	5000330	\$ 18,397.80
1959	5000342	\$ 370,563.53
1960	5000344	\$ 111,211.10
1961	5000349	\$ 1,740.00
1962	5000362	\$ 1,200.00
1963	5000363	\$ 35,768.87
1964	5000365	\$ 3,345,982.04
1965	5000366	\$ 1,497,555.95
1966	5000367	\$ 110,083.75
1967	5000368	\$ 311,754.85
1968	5000369	\$ 1,653.98
1969	5000370	\$ 77.76
1970	5000371	\$ 497.64
1971	5000372	\$ 29.18
1972	5000373	\$ 892,966.47
1973	5000374	\$ 2,715,844.15
1974	5000375	\$ 1,438.16
1975	5000377	\$ 1,967,256.76
1976	5000378	\$ 255,702.14
1977	5000379	\$ 199,564.16
1978	5000383	\$ 15,138.00
1979	5000384	\$ 215,512.49
1980	5000394	\$ 8,972.50
1981	5000400	\$ 97,647.42
1982	5000401	\$ 70,845.33
1983	5000402	\$ 21,156.43
1984	5000403	\$ 9,094.00
1985	5000404	\$ 21,331,157.02
1986	5000407	\$ 97,000.00
1987	5000426	\$ 384.00
1988	5000523	\$ 1,738.82
1989	5000572	\$ 453.05
1990	5000587	\$ 1,552.39
1991	5000605	\$ 6,029.70
1992	5000606	\$ 242.50
1993	5000607	\$ 6,470.95
1994	5000611	\$ 290.22

Arena Securities Litigation

Timely, Eligible Claims

Exhibit C-1

#	Claim Number	Recognized Loss
189	1011407	\$ 4,850.00
190	1011546	\$ 4,223.60
191	1011547	\$ 1,085.08
192	1011565	\$ 2,425.00
193	1011584	\$ 5,389.47
194	1011643	\$ 875.00
195	1011723	\$ 233.25
196	1011761	\$ 4,850.00
197	1011810	\$ 148.85
198	1011838	\$ 323.77
199	1011910	\$ 62,390.75
200	1012042	\$ 44,675.00
201	1012156	\$ 1,455.00
202	1012187	\$ 6,023.70
203	1012213	\$ 291.60
204	1012220	\$ 5,587.20
205	1012341	\$ 4,607.00
206	1012371	\$ 1,400.00
207	1012412	\$ 2,015.00
208	1012612	\$ 14,700.00
209	1012620	\$ 1,920.00
210	1012669	\$ 9,700.00
211	1012743	\$ 3,400.00
212	1012787	\$ 3,880.00
213	1012803	\$ 3,717.67
214	1012804	\$ 1,110.65
215	1012956	\$ 29,399.63
216	1013155	\$ 3,665.17
217	1013169	\$ 14,155.58
218	1013170	\$ 219,640.86
219	1013272	\$ 232.00
220	1013436	\$ 42,900.00
221	1013543	\$ 1,212.50
222	1013544	\$ 20,929.23
223	1013593	\$ 22,310.00
224	1013594	\$ 2,425.00
225	1013699	\$ 8,700.00
226	1013711	\$ 1,940.00
227	1013727	\$ 3,351.35
228	1014059	\$ 4,733.40
229	1014072	\$ 9,330.00
230	1014074	\$ 149.60
231	1014102	\$ 25,342.24
232	1014123	\$ 7,575.00
233	1014193	\$ 1,162.00
234	1014302	\$ 29,100.00
235	1014416	\$ 7,205.00

#	Claim Number	Recognized Loss
1092	1076157	\$ 3,810.81
1093	1076216	\$ 3,577.50
1094	1076260	\$ 981.75
1095	1076263	\$ 4,300.00
1096	1076270	\$ 460.00
1097	1076295	\$ 7,081.00
1098	1076320	\$ 21,500.00
1099	1076321	\$ 4,850.00
1100	1076323	\$ 240.00
1101	1076400	\$ 1,161.60
1102	1076404	\$ 3,870.00
1103	1076407	\$ 5,001.82
1104	1076409	\$ 8,713.61
1105	1076424	\$ 1,572.00
1106	1076428	\$ 155.00
1107	1076432	\$ 337.98
1108	1076433	\$ 753.00
1109	1076434	\$ 910.00
1110	1076444	\$ 3,865.00
1111	1076448	\$ 570.00
1112	1076453	\$ 1,926.50
1113	1076454	\$ 432.00
1114	1076458	\$ 135.00
1115	1076459	\$ 4,201.00
1116	1076460	\$ 28,515.00
1117	1076461	\$ 14,463.00
1118	1076463	\$ 28,421.00
1119	1076466	\$ 6,590.00
1120	1076850	\$ 9,154.00
1121	1077142	\$ 57.47
1122	1077319	\$ 14,550.00
1123	1077337	\$ 4,800.00
1124	1077344	\$ 9,700.00
1125	1077345	\$ 14,000.00
1126	1077394	\$ 3,097.00
1127	1077397	\$ 2,398.00
1128	1077399	\$ 52,846.00
1129	1077411	\$ 1,746.00
1130	1077413	\$ 293.00
1131	1077414	\$ 3,380.00
1132	1077517	\$ 1,665.00
1133	1077521	\$ 1,740.00
1134	1077526	\$ 3,780.00
1135	1077528	\$ 7,500.00
1136	1077639	\$ 24,250.00
1137	1077692	\$ 4,850.00
1138	1077793	\$ 1,775.00

#	Claim Number	Recognized Loss
1995	5000612	\$ 3,157.69
1996	5000616	\$ 145.50
1997	5000618	\$ 1,486.71
1998	5000619	\$ 3,261.59
1999	5000620	\$ 15,570.00
2000	5000622	\$ 3,348.00
2001	5000623	\$ 321.23
2002	5000628	\$ 59,182.97
2003	5000631	\$ 603.47
2004	5000634	\$ 496.60
2005	5000637	\$ 16,173.84
2006	5000642	\$ 7,681.14
2007	5000646	\$ 826.50
2008	5000648	\$ 3,519.31
2009	5000651	\$ 924.81
2010	5000652	\$ 28,438.37
2011	5000653	\$ 2,120.79
2012	5000654	\$ 5,432.00
2013	5000659	\$ 2,591.43
2014	5000667	\$ 20,090.70
2015	5000668	\$ 129,380.00
2016	5000669	\$ 290.89
2017	5000680	\$ 16,404.00
2018	5000681	\$ 1,274.52
2019	5000683	\$ 735.00
2020	5000684	\$ 712.86
2021	5000685	\$ 715.31
2022	5000689	\$ 158,177.87
2023	5000691	\$ 54,000.00
2024	5000693	\$ 4,819.20
2025	5000701	\$ 7,258.83
2026	5000713	\$ 11,827.69
2027	5000714	\$ 3,009.12
2028	5000716	\$ 678,029.09
2029	5000718	\$ 69,277.06
2030	5000719	\$ 9,856.30
2031	5000721	\$ 68,658.19
2032	5000724	\$ 29,800.85
2033	5000725	\$ 1,295,117.10
2034	5000727	\$ 10,401.46
2035	5000728	\$ 88,400.95
2036	5000729	\$ 25,248.12
2037	5000730	\$ 919.63
2038	5000731	\$ 51,479.36
2039	5000732	\$ 2,605.83
2040	5000733	\$ 43,498.80
2041	5000735	\$ 3,993.60

Arena Securities Litigation

Timely, Eligible Claims

Exhibit C-1

#	Claim Number	Recognized Loss
236	1014418	\$ 40,065.00
237	1014440	\$ 24,279.00
238	1014465	\$ 2,961.61
239	1014475	\$ 52,870.00
240	1014509	\$ 5,431.00
241	1014534	\$ 6,450.00
242	1014549	\$ 2,256.75
243	1014616	\$ 2,962.00
244	1014647	\$ 5,268.16
245	1014733	\$ 7,153.75
246	1014870	\$ 163.00
247	1014925	\$ 8,000.00
248	1014957	\$ 3,880.00
249	1015054	\$ 452.33
250	1015055	\$ 598.39
251	1015127	\$ 33,008.35
252	1015265	\$ 3,195.68
253	1015272	\$ 771.50
254	1015402	\$ 27,202.00
255	1015464	\$ 4,858.70
256	1015484	\$ 13,337.50
257	1015485	\$ 640.00
258	1015537	\$ 6,305.00
259	1015604	\$ 3,032.73
260	1015624	\$ 1,164.00
261	1015703	\$ 903.75
262	1015728	\$ 858.00
263	1015735	\$ 1,455.00
264	1015803	\$ 3,200.00
265	1015853	\$ 970.00
266	1015900	\$ 2,910.00
267	1015993	\$ 8,309.33
268	1016145	\$ 596.00
269	1016164	\$ 2,176.50
270	1016182	\$ 4,850.00
271	1016188	\$ 4,850.00
272	1016192	\$ 2,950.00
273	1016263	\$ 1,549.50
274	1016273	\$ 2,261.55
275	1016336	\$ 21,825.00
276	1016406	\$ 9,305.00
277	1016422	\$ 1,556.76
278	1016586	\$ 4,290.00
279	1016591	\$ 189.70
280	1016672	\$ 2,269.26
281	1016689	\$ 89,186.00
282	1016735	\$ 51,410.00

#	Claim Number	Recognized Loss
1139	1077812	\$ 848.75
1140	1077813	\$ 3,880.00
1141	1077862	\$ 12,495.00
1142	1077901	\$ 15,923.00
1143	1077907	\$ 690.00
1144	1077968	\$ 2,301.00
1145	1078094	\$ 12.25
1146	1078111	\$ 1,130.00
1147	1078305	\$ 1,940.00
1148	1078327	\$ 24.68
1149	1078359	\$ 3,920.00
1150	1078365	\$ 560.00
1151	1078664	\$ 262.69
1152	1078675	\$ 443.31
1153	1078782	\$ 1,119.00
1154	1078816	\$ 3,480.00
1155	1078938	\$ 17,244.00
1156	1079050	\$ 132.63
1157	1079129	\$ 1,103.20
1158	1079150	\$ 13,398.00
1159	1079174	\$ 13,116.00
1160	1079492	\$ 60.90
1161	1079744	\$ 4,300.00
1162	1079843	\$ 136.00
1163	1079900	\$ 17,353.00
1164	1079901	\$ 860.00
1165	1079903	\$ 2,425.00
1166	1079904	\$ 2,425.00
1167	1079987	\$ 533.33
1168	1080033	\$ 57,996.50
1169	1080147	\$ 14,040.00
1170	1080179	\$ 3,306.00
1171	1080197	\$ 1,616.00
1172	1080256	\$ 810.00
1173	1080276	\$ 97,000.00
1174	1080308	\$ 302.65
1175	1080326	\$ 17,130.00
1176	1080341	\$ 820.00
1177	1080362	\$ 115.02
1178	1080365	\$ 138.07
1179	1080637	\$ 1,637.00
1180	1080655	\$ 403.04
1181	1080679	\$ 455.00
1182	1080719	\$ 27,315.00
1183	1080720	\$ 2,150.00
1184	1080725	\$ 2,919.00
1185	1080747	\$ 17,400.00

#	Claim Number	Recognized Loss
2042	5000736	\$ 5,922.00
2043	5000747	\$ 486,567.00
2044	5000754	\$ 158,320.23
2045	5000756	\$ 6,582.28
2046	5000765	\$ 617.58
2047	5000783	\$ 21,630.00
2048	5000786	\$ 2,505.56
2049	5000790	\$ 1,509.87
2050	5000791	\$ 9,007.73
2051	5000792	\$ 2,505.56
2052	5000796	\$ 25,483.22
2053	5000798	\$ 18,320.00
2054	5000803	\$ 4,175.00
2055	5000807	\$ 1,998.20
2056	5000824	\$ 605,539.71
2057	5000829	\$ 1,331.90
2058	5000830	\$ 12,474.00
2059	5000832	\$ 1,222.64
2060	5000833	\$ 24,659.50
2061	5000838	\$ 4,281.90
2062	5000839	\$ 218.90
2063	5000840	\$ 573.80
2064	5000842	\$ 2,133,675.31
2065	5000843	\$ 74,503.71
2066	5000852	\$ 137,160.00
2067	5000854	\$ 38,403.10
2068	5000856	\$ 108,782.25
2069	5000857	\$ 22,655.00
2070	5000858	\$ 2,075,910.62
2071	5000859	\$ 1,334,947.38
2072	5000860	\$ 6,744,744.48
2073	5000862	\$ 13,623.09
2074	5000863	\$ 65,259.19
2075	5000865	\$ 391,802.54
2076	5000868	\$ 811.20
2077	5000869	\$ 721.97
2078	5000875	\$ 7,011.00
2079	5000882	\$ 3,880.00
2080	5000896	\$ 34,007.30
2081	5000899	\$ 100,293.89
2082	5000914	\$ 206,815.17
2083	5000915	\$ 166,451.45
2084	5000916	\$ 819,515.13
2085	5000917	\$ 652,313.42
2086	5000921	\$ 73,496.45
2087	5000922	\$ 224,632.04
2088	5000924	\$ 7,462.86

Arena Securities Litigation

Timely, Eligible Claims

Exhibit C-1

#	Claim Number	Recognized Loss
283	1016807	\$ 2,458.95
284	1016835	\$ 27,950.00
285	1016849	\$ 6,795.00
286	1016855	\$ 1,668.67
287	1016878	\$ 6,062.50
288	1016879	\$ 6,062.50
289	1016914	\$ 1,035.00
290	1016947	\$ 8,463.25
291	1016948	\$ 11,290.80
292	1016949	\$ 5,626.00
293	1017247	\$ 5,698.00
294	1017282	\$ 1,183.40
295	1017602	\$ 9,154.60
296	1017629	\$ 4,450.00
297	1017637	\$ 4,850.00
298	1017656	\$ 8,866.70
299	1017682	\$ 1,552.00
300	1017711	\$ 3,480.11
301	1017892	\$ 10,698.54
302	1018000	\$ 7,275.00
303	1018023	\$ 128,367.00
304	1018080	\$ 645.00
305	1018109	\$ 776.00
306	1018192	\$ 1,755.00
307	1018419	\$ 258.70
308	1018500	\$ 4,117.00
309	1018534	\$ 5,563.44
310	1018655	\$ 3,180.00
311	1018811	\$ 2,425.00
312	1018826	\$ 175,700.00
313	1018827	\$ 6,220.00
314	1018838	\$ 2,304.00
315	1018884	\$ 8,488.03
316	1018904	\$ 4,940.00
317	1018942	\$ 2,099.00
318	1018949	\$ 4,442.07
319	1018980	\$ 320.00
320	1019100	\$ 4,570.00
321	1019236	\$ 5,550.00
322	1019248	\$ 1,912.26
323	1019271	\$ 9,769.59
324	1019304	\$ 30,542.29
325	1019419	\$ 6,870.00
326	1019424	\$ 15,356.53
327	1019440	\$ 2,794.00
328	1019513	\$ 6,341.28
329	1019559	\$ 4,451.00

#	Claim Number	Recognized Loss
1186	1080782	\$ 35,500.69
1187	1080787	\$ 6,368.00
1188	1080816	\$ 6,220.00
1189	1080832	\$ 83,507.50
1190	1080837	\$ 3,395.00
1191	1080870	\$ 970.00
1192	1080874	\$ 894.00
1193	1080875	\$ 4,850.00
1194	1080878	\$ 12,907.88
1195	1080879	\$ 630.50
1196	1080882	\$ 1,710.00
1197	1080883	\$ 19,400.00
1198	1080886	\$ 1,616.00
1199	1080890	\$ 1,740.00
1200	1080892	\$ 1,945.00
1201	1080893	\$ 2,074.00
1202	1080894	\$ 458.00
1203	1080897	\$ 1,633.50
1204	1080902	\$ 1,374.00
1205	1080903	\$ 1,940.00
1206	1080906	\$ 9,700.00
1207	1080909	\$ 174.00
1208	1080910	\$ 70,650.00
1209	1080911	\$ 74,246.54
1210	1080913	\$ 4,059.80
1211	1080915	\$ 5,089.33
1212	1080916	\$ 2,990.00
1213	1080917	\$ 1,090.30
1214	1080918	\$ 1,800.00
1215	1081004	\$ 160.00
1216	1081005	\$ 15,437.32
1217	1081007	\$ 581.00
1218	1081010	\$ 3,355.00
1219	1081011	\$ 3,299.60
1220	1081012	\$ 1,746.00
1221	1081016	\$ 1,050.00
1222	1081018	\$ 8,349.86
1223	1081021	\$ 727.50
1224	1081026	\$ 390.00
1225	1081027	\$ 9,700.00
1226	1081028	\$ 1,245.00
1227	1081029	\$ 930.00
1228	1081030	\$ 8,940.00
1229	1081033	\$ 1,075.00
1230	1081038	\$ 1,940.00
1231	1081043	\$ 370.00
1232	1081053	\$ 3,580.00

#	Claim Number	Recognized Loss
2089	5000926	\$ 6,540.00
2090	5000929	\$ 5,335.00
2091	5000930	\$ 8,084.75
2092	5000931	\$ 46,578.47
2093	5000932	\$ 30,661.18
2094	5000933	\$ 44,785.99
2095	5000934	\$ 315,396.20
2096	5000936	\$ 564,300.00
2097	5000951	\$ 177,783.70
2098	5000953	\$ 80,040.00
2099	5000954	\$ 684.00
2100	5000955	\$ 19,010.25
2101	5000959	\$ 17,784.00
2102	5000964	\$ 921.50
2103	5000969	\$ 243,855.31
2104	5000970	\$ 6,040.00
2105	5000971	\$ 32,211.39
2106	5000978	\$ 59,524.14
2107	5000981	\$ 178,116.00
2108	5000985	\$ 11,647.24
2109	5000986	\$ 9,700.00
2110	5000987	\$ 1,400.00
2111	5000988	\$ 1,785.00
2112	5000996	\$ 2,930.53
2113	5001000	\$ 19,400.00
2114	5001004	\$ 3,389.37
2115	5001005	\$ 1,175.96
2116	5001006	\$ 5,832.00
2117	5001008	\$ 1,818.75
2118	5001012	\$ 2,719.90
2119	5001014	\$ 51,698.60
2120	5001017	\$ 10,688.70
2121	5001018	\$ 55,366.29
2122	5001019	\$ 700.99
2123	5001020	\$ 858.00
2124	5001021	\$ 419.00
2125	5001022	\$ 755.00
2126	5001026	\$ 12,039.00
2127	5001027	\$ 827.98
2128	5001029	\$ 2,425.00
2129	5001031	\$ 2,189.95
2130	5001032	\$ 1,909.80
2131	5001034	\$ 320.72
2132	5001037	\$ 7,539.80
2133	5001038	\$ 173.93
2134	5001039	\$ 3,772.50
2135	5001040	\$ 3,803.79

Arena Securities Litigation

Timely, Eligible Claims

Exhibit C-1

#	Claim Number	Recognized Loss
330	1019576	\$ 11,998.46
331	1019577	\$ 2,425.00
332	1019610	\$ 696.96
333	1019647	\$ 15,935.00
334	1019907	\$ 2,667.50
335	1020025	\$ 6,305.00
336	1020052	\$ 1,075.00
337	1020142	\$ 520.00
338	1020152	\$ 9,239.40
339	1020154	\$ 696.00
340	1020243	\$ 3,310.00
341	1020260	\$ 970.00
342	1020262	\$ 762.00
343	1020270	\$ 3,480.00
344	1020292	\$ 878.20
345	1020300	\$ 6,700.00
346	1020363	\$ 9,200.00
347	1020498	\$ 9,991.39
348	1020777	\$ 2,957.01
349	1020818	\$ 3,395.00
350	1020835	\$ 2,810.00
351	1020874	\$ 1,318.56
352	1020998	\$ 7,760.00
353	1021079	\$ 5,352.20
354	1021142	\$ 2,774.45
355	1021296	\$ 2,810.00
356	1021327	\$ 47,549.83
357	1021351	\$ 145.00
358	1021436	\$ 62,102.59
359	1021479	\$ 8,646.87
360	1021495	\$ 39,112.00
361	1021534	\$ 6,400.00
362	1021574	\$ 1,442,319.19
363	1021696	\$ 24,250.00
364	1021715	\$ 294.00
365	1021819	\$ 485.00
366	1021843	\$ 4,109.00
367	1021913	\$ 668.00
368	1022001	\$ 818.00
369	1022040	\$ 126.00
370	1022105	\$ 3,317.88
371	1022139	\$ 850.00
372	1022155	\$ 7,955.00
373	1022180	\$ 1,940.00
374	1022337	\$ 328,287.91
375	1022373	\$ 277.51
376	1022382	\$ 3,440.00

#	Claim Number	Recognized Loss
1233	1081118	\$ 2,060.00
1234	1081122	\$ 10,816.37
1235	1081125	\$ 6,840.00
1236	1081127	\$ 4,880.00
1237	1081128	\$ 2,623.00
1238	1081129	\$ 5,104.20
1239	1081131	\$ 11,659.94
1240	1081132	\$ 4,300.00
1241	1081134	\$ 45,590.00
1242	1081135	\$ 1,119.50
1243	1081137	\$ 3,608.17
1244	1081138	\$ 4,850.00
1245	1081139	\$ 4,850.00
1246	1081145	\$ 272.47
1247	1081148	\$ 2,222.71
1248	1081153	\$ 485.00
1249	1081154	\$ 839.05
1250	1081155	\$ 17,750.00
1251	1081159	\$ 720.00
1252	1081162	\$ 58,677.32
1253	1081163	\$ 41,997.20
1254	1081164	\$ 4,170.80
1255	1081165	\$ 3,311.00
1256	1081166	\$ 950.60
1257	1081167	\$ 11,900.00
1258	1081169	\$ 7,800.00
1259	1081170	\$ 161.10
1260	1081171	\$ 13,230.00
1261	1081173	\$ 6,791.00
1262	1081174	\$ 8,412.00
1263	1081175	\$ 5,441.10
1264	1081178	\$ 2,193.00
1265	1081180	\$ 1,093.00
1266	1081181	\$ 36,060.00
1267	1081225	\$ 15,520.00
1268	1081547	\$ 17,340.00
1269	1081814	\$ 224.00
1270	1082150	\$ 40,537.10
1271	1082423	\$ 2,190.00
1272	1082743	\$ 970.00
1273	1082764	\$ 640.00
1274	1083383	\$ 1,740.00
1275	1083386	\$ 272.12
1276	1083564	\$ 1,034.19
1277	1084233	\$ 2,425.00
1278	1084501	\$ 5,082.18
1279	1084813	\$ 485.00

#	Claim Number	Recognized Loss
2136	5001043	\$ 4,752.00
2137	5001044	\$ 2,163.00
2138	5001046	\$ 8,700.00
2139	5001047	\$ 380.41
2140	5001049	\$ 883.25
2141	5001050	\$ 870.00
2142	5001053	\$ 1,610.00
2143	5001056	\$ 8,745.00
2144	5001057	\$ 4,678.56
2145	5001060	\$ 8,715.00
2146	5001061	\$ 2,702.00
2147	5001066	\$ 1,299.25
2148	5001070	\$ 16,250.80
2149	5001072	\$ 14,757.30
2150	5001074	\$ 26,807.00
2151	5001087	\$ 1,372.00
2152	5001090	\$ 156.37
2153	5001091	\$ 1,940.00
2154	5001097	\$ 6,089.50
2155	5001098	\$ 12,210.00
2156	5001100	\$ 63,050.00
2157	5001101	\$ 3,690.00
2158	5001102	\$ 12,343.52
2159	5001103	\$ 1,464.00
2160	5001104	\$ 8,110.00
2161	5001107	\$ 900,560.00
2162	5001112	\$ 508.25
2163	5001114	\$ 2,369.95
2164	5001116	\$ 9,700.00
2165	5001119	\$ 870.00
2166	5001120	\$ 599.48
2167	5001121	\$ 678.00
2168	5001123	\$ 2,425.00
2169	5001129	\$ 6,324.00
2170	5001130	\$ 27,370.00
2171	5001132	\$ 45,982.85
2172	5001134	\$ 9,867.20
2173	5001135	\$ 20,142.44
2174	5001138	\$ 2,425.00
2175	5001139	\$ 16,353.00
2176	5001140	\$ 3,342.00
2177	5001143	\$ 4,850.00
2178	5001144	\$ 3,778.70
2179	5001146	\$ 3,357.71
2180	5001147	\$ 63,957.03
2181	5001152	\$ 4,300.00
2182	5001153	\$ 2,698.74

*Arena Securities Litigation***Timely, Eligible Claims****Exhibit C-1**

#	Claim Number	Recognized Loss
377	1022421	\$ 841.00
378	1022556	\$ 6,439.97
379	1022637	\$ 485.00
380	1022642	\$ 1,550.00
381	1022776	\$ 4,122.50
382	1022787	\$ 4,424.92
383	1022790	\$ 1,842.00
384	1022834	\$ 15,500.44
385	1022856	\$ 2,425.00
386	1022874	\$ 530.01
387	1022893	\$ 3,480.00
388	1022904	\$ 3,420.00
389	1022912	\$ 66,075.51
390	1022942	\$ 2,010.00
391	1022985	\$ 2,217.27
392	1023086	\$ 330.00
393	1023114	\$ 5,777.00
394	1023156	\$ 15,545.33
395	1023267	\$ 8,694.00
396	1023389	\$ 215.00
397	1023467	\$ 29,340.00
398	1023594	\$ 611.40
399	1023621	\$ 3,340.00
400	1023634	\$ 4,762.22
401	1023768	\$ 16,624.40
402	1023814	\$ 11,340.00
403	1023821	\$ 1,298.00
404	1023857	\$ 9,140.00
405	1023870	\$ 5,400.00
406	1023903	\$ 4,760.00
407	1023947	\$ 816.70
408	1023990	\$ 1,440.00
409	1024057	\$ 9,500.00
410	1024071	\$ 480.00
411	1024112	\$ 10,750.00
412	1024240	\$ 19,400.00
413	1024273	\$ 1,453.00
414	1024401	\$ 4,850.00
415	1024416	\$ 6,080.00
416	1024436	\$ 1,350.00
417	1024438	\$ 2,709.34
418	1024449	\$ 131.60
419	1024498	\$ 2,648.00
420	1024549	\$ 11,213.00
421	1024562	\$ 3,244.00
422	1024595	\$ 192,683.00
423	1024596	\$ 113,920.00

#	Claim Number	Recognized Loss
1280	1085074	\$ 7,522.00
1281	1085532	\$ 121,250.00
1282	1085707	\$ 320.00
1283	1086037	\$ 22,217.96
1284	1086064	\$ 150,350.00
1285	1086424	\$ 265.50
1286	1086564	\$ 582.00
1287	1086581	\$ 2,940.00
1288	1086802	\$ 759.00
1289	1087078	\$ 1,455.00
1290	1087338	\$ 2,140.00
1291	1087531	\$ 1,776.00
1292	1088260	\$ 1,720.00
1293	1088300	\$ 1,068.01
1294	1088636	\$ 655.00
1295	1088666	\$ 3,497.49
1296	1088713	\$ 20,196.00
1297	1088843	\$ 7,100.80
1298	1088867	\$ 1,448.43
1299	1089387	\$ 29,080.00
1300	1089569	\$ 3,440.00
1301	1089575	\$ 784.00
1302	1089637	\$ 448.00
1303	1089659	\$ 14,550.00
1304	1089901	\$ 1,075.00
1305	1089991	\$ 10,930.00
1306	1090028	\$ 426.95
1307	1090231	\$ 7,076.15
1308	1090568	\$ 586.43
1309	1090630	\$ 485.00
1310	1091097	\$ 471.50
1311	1091120	\$ 14,817.00
1312	1091151	\$ 455.49
1313	1091240	\$ 738.00
1314	1091455	\$ 427.85
1315	1091583	\$ 13,020.00
1316	1091919	\$ 651.99
1317	1092068	\$ 4,292.25
1318	1092142	\$ 9,918.25
1319	1092295	\$ 3,880.00
1320	1092300	\$ 24,826.31
1321	1092420	\$ 567.30
1322	1092703	\$ 5,670.00
1323	1092777	\$ 5,300.00
1324	1092832	\$ 94.20
1325	1092835	\$ 4,850.00
1326	1092862	\$ 78,369.00

#	Claim Number	Recognized Loss
2183	5001154	\$ 2,698.74
2184	5001157	\$ 2,631.00
2185	5001158	\$ 5,472.09
2186	5001159	\$ 1,505.00
2187	5001160	\$ 755.48
2188	5001164	\$ 219.00
2189	5001168	\$ 5,965.90
2190	5001169	\$ 5,279.80
2191	5001170	\$ 3,953.40
2192	5001171	\$ 3,570.00
2193	5001172	\$ 1,611.96
2194	5001175	\$ 485.00
2195	5001176	\$ 2,204.95
2196	5001177	\$ 14,058.35
2197	5001178	\$ 1,979.88
2198	5001179	\$ 1,075.00
2199	5001184	\$ 18,703.00
2200	5001188	\$ 416.00
2201	5001189	\$ 6,552.00
2202	5001195	\$ 24,218.50
2203	5001197	\$ 3,158.20
2204	5001200	\$ 2,910.00
2205	5001201	\$ 1,455.00
2206	5001204	\$ 1,523.28
2207	5001213	\$ 22,098.00
2208	5001220	\$ 18,749.91
2209	5001225	\$ 2,606.45
2210	5001227	\$ 339.50
2211	5001229	\$ 202.00
2212	5001232	\$ 15.00
2213	5001233	\$ 839.00
2214	5001234	\$ 2,425.00
2215	5001235	\$ 4,850.00
2216	5001237	\$ 2,709.00
2217	5001238	\$ 1,602.00
2218	5001241	\$ 4,850.00
2219	5001242	\$ 1,940.00
2220	5001246	\$ 612.00
2221	5001247	\$ 2,808.50
2222	5001248	\$ 7,531.40
2223	5001249	\$ 994.25
2224	5001255	\$ 1,230.00
2225	5001263	\$ 478.00
2226	5001267	\$ 650.84
2227	5001275	\$ 4,362.00
2228	5001276	\$ 4,850.00
2229	5001277	\$ 5,324.10

Arena Securities Litigation

Timely, Eligible Claims

Exhibit C-1

#	Claim Number	Recognized Loss
424	1024636	\$ 9,700.00
425	1024718	\$ 192.00
426	1024724	\$ 24,300.00
427	1024812	\$ 1,739.00
428	1024847	\$ 496.00
429	1024880	\$ 14,721.64
430	1024941	\$ 4,650.00
431	1025213	\$ 16,839.20
432	1025268	\$ 4,850.00
433	1025301	\$ 9,700.00
434	1025309	\$ 2,425.00
435	1025401	\$ 4,309.00
436	1025406	\$ 2,425.00
437	1025408	\$ 14,304.52
438	1025423	\$ 77.60
439	1025454	\$ 35,000.00
440	1025469	\$ 48.50
441	1025562	\$ 3,915.00
442	1025563	\$ 104,295.93
443	1025572	\$ 37.70
444	1025633	\$ 4,290.94
445	1025637	\$ 3,223.00
446	1025653	\$ 3,295.00
447	1025664	\$ 83,424.82
448	1025694	\$ 6,020.00
449	1025743	\$ 12,166.50
450	1025748	\$ 4,879.25
451	1025829	\$ 1,010.89
452	1025988	\$ 1,035.00
453	1026104	\$ 32.00
454	1026204	\$ 17,309.03
455	1026236	\$ 5,765.53
456	1026240	\$ 96,977.90
457	1026309	\$ 3,880.00
458	1026406	\$ 6,700.00
459	1026463	\$ 741.00
460	1026470	\$ 1,940.00
461	1026551	\$ 463.00
462	1026565	\$ 7,352.50
463	1026728	\$ 4,924.35
464	1026756	\$ 361.00
465	1026893	\$ 1,343.54
466	1026928	\$ 59,202.32
467	1027166	\$ 160.00
468	1027185	\$ 1,740.00
469	1027312	\$ 3,200.00
470	1027323	\$ 488.00

#	Claim Number	Recognized Loss
1327	1092948	\$ 5,265.00
1328	1093261	\$ 44,949.74
1329	1093503	\$ 19,489.24
1330	1093661	\$ 22,301.40
1331	1094210	\$ 13,732.34
1332	1094283	\$ 23,599.39
1333	1094312	\$ 47,593.00
1334	1094377	\$ 169.00
1335	1094454	\$ 7,132.60
1336	1094566	\$ 5,580.57
1337	1094679	\$ 1,455.00
1338	1094707	\$ 28,241.71
1339	1094708	\$ 32,694.15
1340	1094709	\$ 37,104.45
1341	1094712	\$ 330.00
1342	1094914	\$ 145,500.00
1343	1094919	\$ 2,216.13
1344	1094921	\$ 59,613.00
1345	1094922	\$ 3,586.00
1346	1094923	\$ 1,125.00
1347	1094925	\$ 8,700.00
1348	1094926	\$ 31.18
1349	1094927	\$ 3,239.90
1350	1094929	\$ 311.00
1351	1094930	\$ 1,372.00
1352	1094940	\$ 1,333.75
1353	1094944	\$ 12,915.00
1354	1094967	\$ 21,957.27
1355	1095321	\$ 2,425.00
1356	1095450	\$ 1,746.00
1357	1095596	\$ 14,499.70
1358	1095611	\$ 6,315.99
1359	1095612	\$ 613.80
1360	1095613	\$ 19,234.90
1361	1095614	\$ 2,425.00
1362	1095635	\$ 282.00
1363	1095639	\$ 14,550.00
1364	1095640	\$ 270.00
1365	1095641	\$ 540.00
1366	1095642	\$ 640.00
1367	1095644	\$ 3,083.08
1368	1095645	\$ 1,270.85
1369	1095649	\$ 475.75
1370	1095650	\$ 2,150.00
1371	1095652	\$ 12,612.28
1372	1095653	\$ 8.38
1373	1095655	\$ 20.44

#	Claim Number	Recognized Loss
2230	5001278	\$ 6,050.46
2231	5001279	\$ 721.98
2232	5001281	\$ 47,700.00
2233	5001283	\$ 2,262.00
2234	5001284	\$ 7,709.70
2235	5001285	\$ 2,498.44
2236	5001286	\$ 5,039.16
2237	5001289	\$ 3,824.00
2238	5001290	\$ 1,139.25
2239	5001298	\$ 366.74
2240	5001299	\$ 4,850.00
2241	5001300	\$ 2,888.16
2242	5001301	\$ 1,599.48
2243	5001302	\$ 3,117.60
2244	5001304	\$ 4,850.00
2245	5001306	\$ 960.00
2246	5001309	\$ 189.00
2247	5001311	\$ 14,550.00
2248	5001313	\$ 75.50
2249	5001314	\$ 2,923.80
2250	5001315	\$ 320.00
2251	5001317	\$ 9,930.00
2252	5001318	\$ 57,359.00
2253	5001319	\$ 141.00
2254	5001323	\$ 3,227.50
2255	5001325	\$ 3,185.95
2256	5001326	\$ 2,320.00
2257	5001328	\$ 5,370.00
2258	5001329	\$ 4,883.95
2259	5001330	\$ 160.00
2260	5001332	\$ 2,425.00
2261	5001335	\$ 14,728.50
2262	5001336	\$ 5,820.00
2263	5001337	\$ 6,280.00
2264	5001339	\$ 4,072.50
2265	5001340	\$ 3,248.50
2266	5001341	\$ 4,850.00
2267	5001346	\$ 3,419.90
2268	5001352	\$ 5,192.57
2269	5001354	\$ 749.51
2270	5001355	\$ 20,624.45
2271	5001356	\$ 630.50
2272	5001357	\$ 6,184.57
2273	5001367	\$ 174.00
2274	5001368	\$ 4,850.00
2275	5001375	\$ 3,858.50
2276	5001376	\$ 1,916.00

Arena Securities Litigation

Timely, Eligible Claims

Exhibit C-1

#	Claim Number	Recognized Loss
471	1027326	\$ 9,700.00
472	1027339	\$ 2,700.00
473	1027390	\$ 1,208.00
474	1027407	\$ 850.00
475	1027533	\$ 5,400.00
476	1027623	\$ 3,480.00
477	1027722	\$ 14,550.00
478	1027772	\$ 340.69
479	1027812	\$ 16,000.56
480	1027873	\$ 970.00
481	1027945	\$ 830.00
482	1027962	\$ 24,394.00
483	1028026	\$ 5,491.10
484	1028093	\$ 19,422.50
485	1028142	\$ 32,247.00
486	1028144	\$ 6,637.50
487	1028207	\$ 176.16
488	1028220	\$ 1,875.00
489	1028264	\$ 29,257.00
490	1028279	\$ 310.00
491	1028442	\$ 114,390.00
492	1028453	\$ 7,890.96
493	1028478	\$ 81.34
494	1028506	\$ 31,258.00
495	1028519	\$ 29,845.50
496	1028543	\$ 970.00
497	1028729	\$ 2,645.68
498	1028730	\$ 18,345.00
499	1028744	\$ 1,168.00
500	1028801	\$ 1,640.00
501	1028845	\$ 1,112.00
502	1028852	\$ 6,280.00
503	1028900	\$ 11,850.00
504	1028953	\$ 19,390.00
505	1029017	\$ 155.00
506	1029039	\$ 22,570.00
507	1029133	\$ 892.78
508	1029142	\$ 53,750.00
509	1029153	\$ 640.00
510	1029158	\$ 640.00
511	1029175	\$ 24,876.99
512	1029182	\$ 2,425.00
513	1029240	\$ 18,897.76
514	1029323	\$ 105.00
515	1029326	\$ 992.24
516	1029363	\$ 4,850.00
517	1029382	\$ 235,373.89

#	Claim Number	Recognized Loss
1374	1095656	\$ 7,275.00
1375	1095657	\$ 1,314.00
1376	1095663	\$ 3,560.00
1377	1095666	\$ 970.00
1378	1095667	\$ 6,790.00
1379	1095668	\$ 485.00
1380	1095672	\$ 215.00
1381	1095674	\$ 3,601.80
1382	1095678	\$ 3,914.50
1383	1095680	\$ 7,047.75
1384	1095681	\$ 63.25
1385	1095684	\$ 60,879.14
1386	1095695	\$ 344.00
1387	1095696	\$ 40,796.50
1388	1095698	\$ 2,403.00
1389	1095699	\$ 3,390.00
1390	1095700	\$ 2,920.00
1391	1095701	\$ 2,200.00
1392	1095703	\$ 11,768.94
1393	1095709	\$ 270.00
1394	1095710	\$ 21,500.00
1395	1095731	\$ 9,700.00
1396	1095800	\$ 2,570.00
1397	1095803	\$ 14,870.00
1398	1095804	\$ 465.00
1399	1095805	\$ 2,940.00
1400	1095812	\$ 4,850.00
1401	1095814	\$ 7,025.00
1402	1095815	\$ 246.76
1403	1095816	\$ 1,891.00
1404	1096139	\$ 640.00
1405	1096141	\$ 4,850.00
1406	1096142	\$ 4,850.00
1407	1096151	\$ 9,700.00
1408	1096164	\$ 5,384.00
1409	1096165	\$ 2,888.00
1410	1096434	\$ 7,350.16
1411	1096465	\$ 4,350.00
1412	1096551	\$ 1,870.00
1413	1096655	\$ 1,455.00
1414	1096719	\$ 14,464.00
1415	1097018	\$ 14,415.53
1416	1097022	\$ 1,655.50
1417	1097239	\$ 3,104.00
1418	1097295	\$ 4,400.00
1419	1097625	\$ 9,942.50
1420	1097637	\$ 3,900.00

#	Claim Number	Recognized Loss
2277	5001379	\$ 5,400.00
2278	5001385	\$ 2,150.00
2279	5001386	\$ 36,249.55
2280	5001389	\$ 9,700.00
2281	5001390	\$ 727.50
2282	5001391	\$ 727.50
2283	5001394	\$ 1,532.00
2284	5001396	\$ 2,000.00
2285	5001397	\$ 8,495.00
2286	5001399	\$ 1,080.00
2287	5001400	\$ 4,810.00
2288	5001401	\$ 2,320.00
2289	5001402	\$ 374.00
2290	5001407	\$ 2,425.00
2291	5001408	\$ 3,688.10
2292	5001409	\$ 3,688.10
2293	5001411	\$ 1,212.50
2294	5001414	\$ 4,365.00
2295	5001415	\$ 3,010.00
2296	5001416	\$ 2,771.94
2297	5001417	\$ 47,250.00
2298	5001419	\$ 252.00
2299	5001420	\$ 5,633.53
2300	5001421	\$ 327.22
2301	5001424	\$ 6,050.00
2302	5001426	\$ 4,697.40
2303	5001427	\$ 1,894.95
2304	5001432	\$ 408.00
2305	5001433	\$ 34,840.00
2306	5001434	\$ 4,200.00
2307	5001437	\$ 4,212.92
2308	5001441	\$ 1,039.74
2309	5001442	\$ 232.00
2310	5001450	\$ 287.99
2311	5001451	\$ 21,785.96
2312	5001452	\$ 3,661.20
2313	5001456	\$ 2,900.00
2314	5001457	\$ 8,859.22
2315	5001459	\$ 1,965.00
2316	5001465	\$ 6,420.00
2317	5001467	\$ 5,957.87
2318	5001468	\$ 371,444.60
2319	5001469	\$ 2,720.00
2320	5001470	\$ 4,552.28
2321	5001471	\$ 6,279.60
2322	5001472	\$ 421.99
2323	5001473	\$ 3,490.00

Arena Securities Litigation

Timely, Eligible Claims

Exhibit C-1

#	Claim Number	Recognized Loss
518	1029386	\$ 4,482.00
519	1029405	\$ 24.25
520	1029430	\$ 7,286.00
521	1029510	\$ 5,560.83
522	1029593	\$ 4,101.55
523	1029688	\$ 7,620.00
524	1029741	\$ 13,306.49
525	1029757	\$ 252.00
526	1029777	\$ 4,850.00
527	1029911	\$ 219.00
528	1029926	\$ 4,850.00
529	1029973	\$ 215.00
530	1029986	\$ 1,958.00
531	1030018	\$ 874.25
532	1030040	\$ 8,640.00
533	1030147	\$ 6,672.00
534	1030207	\$ 2,905.86
535	1030233	\$ 6,763.00
536	1030240	\$ 7,545.00
537	1030347	\$ 870.00
538	1030470	\$ 6,070.00
539	1030485	\$ 4,635.00
540	1030650	\$ 6,814.25
541	1030708	\$ 65,475.00
542	1030711	\$ 3,132.80
543	1030724	\$ 5,436.65
544	1030738	\$ 2,150.00
545	1030740	\$ 4,318.20
546	1030743	\$ 3,370.00
547	1030856	\$ 693.00
548	1030946	\$ 365.00
549	1030993	\$ 1,509.00
550	1031081	\$ 1,580.56
551	1031097	\$ 1,225.98
552	1031110	\$ 346.50
553	1031262	\$ 64.00
554	1031327	\$ 3,301.00
555	1031335	\$ 255.00
556	1031352	\$ 21,561.50
557	1031536	\$ 6,720.00
558	1031792	\$ 970.00
559	1032203	\$ 4,300.00
560	1032867	\$ 834.00
561	1032879	\$ 2,390.95
562	1032897	\$ 5,820.00
563	1033135	\$ 2,531.70
564	1033795	\$ 970.00

#	Claim Number	Recognized Loss
1421	1097638	\$ 3,480.00
1422	1097641	\$ 3,990.00
1423	1097642	\$ 2,385.00
1424	1097644	\$ 3,178.00
1425	1097664	\$ 720.00
1426	1097669	\$ 1,118.98
1427	1097673	\$ 80,830.00
1428	1097675	\$ 1,460.00
1429	1097679	\$ 87.00
1430	1097680	\$ 280.86
1431	1097681	\$ 7,244.75
1432	1097683	\$ 4,850.00
1433	1097684	\$ 9,700.00
1434	1097688	\$ 229.00
1435	1097690	\$ 3,980.00
1436	1097691	\$ 459.75
1437	1097693	\$ 3,210.00
1438	1097694	\$ 7,680.00
1439	1097695	\$ 767,762.66
1440	1097700	\$ 20,534.35
1441	1097701	\$ 687.74
1442	1097702	\$ 6,220.75
1443	1097706	\$ 560.00
1444	1097712	\$ 6,795.00
1445	1097756	\$ 404.97
1446	1097815	\$ 1,940.00
1447	1097872	\$ 9,346.20
1448	1097875	\$ 1,480.00
1449	1097907	\$ 1,940.00
1450	1097921	\$ 2,390.00
1451	1097971	\$ 4,122.50
1452	1097987	\$ 152.00
1453	1097995	\$ 5,820.00
1454	1098019	\$ 152.00
1455	1098047	\$ 375.00
1456	1098050	\$ 152.00
1457	1098070	\$ 11,010.00
1458	1098073	\$ 9,600.00
1459	1098076	\$ 112,750.00
1460	1098101	\$ 970.00
1461	1098107	\$ 498.00
1462	1098200	\$ 2,592.00
1463	1098230	\$ 76.55
1464	1098250	\$ 16,730.50
1465	1098301	\$ 9,700.00
1466	1098308	\$ 246.96
1467	1098315	\$ 3,880.00

#	Claim Number	Recognized Loss
2324	5001474	\$ 20,970.00
2325	5001475	\$ 3,319.25
2326	5001478	\$ 1,720.00
2327	5001479	\$ 9,700.00
2328	5001482	\$ 3,298.50
2329	5001483	\$ 4,093.20
2330	5001485	\$ 2,638.74
2331	5001488	\$ 20,596.39
2332	5001490	\$ 1,978.50
2333	5001492	\$ 73.00
2334	5001494	\$ 4,850.00
2335	5001495	\$ 4,001.25
2336	5001499	\$ 6,783.80
2337	5001502	\$ 2,910.00
2338	5001504	\$ 1,627.36
2339	5001506	\$ 1,235.70
2340	5001509	\$ 2,425.00
2341	5001510	\$ 12,125.00
2342	5001511	\$ 2,718.70
2343	5001515	\$ 3,936.29
2344	5001517	\$ 9,330.00
2345	5001525	\$ 4,850.00
2346	5001531	\$ 7,450.00
2347	5001533	\$ 273.25
2348	5001534	\$ 67.28
2349	5001536	\$ 4,850.00
2350	5001538	\$ 1,075.00
2351	5001539	\$ 663.00
2352	5001540	\$ 86,151.00
2353	5001543	\$ 7,519.50
2354	5001547	\$ 12,765.15
2355	5001549	\$ 444.99
2356	5001551	\$ 6,678.28
2357	5001552	\$ 24,250.00
2358	5001555	\$ 9,700.00
2359	5001558	\$ 1,610.00
2360	5001559	\$ 757.98
2361	5001563	\$ 1,830.00
2362	5001566	\$ 359.00
2363	5001569	\$ 261.00
2364	5001574	\$ 7,275.00
2365	5001575	\$ 2,510.00
2366	5001577	\$ 1,440.00
2367	5001578	\$ 889.98
2368	5001579	\$ 14,296.42
2369	5001580	\$ 603.25
2370	5001586	\$ 2,425.00

Arena Securities Litigation

Timely, Eligible Claims

Exhibit C-1

#	Claim Number	Recognized Loss
565	1034122	\$ 1,185.00
566	1034124	\$ 1,940.00
567	1034161	\$ 4,850.00
568	1034163	\$ 211,300.42
569	1034178	\$ 606.25
570	1034220	\$ 13,785.90
571	1034358	\$ 23,709.08
572	1034424	\$ 200.40
573	1034507	\$ 174.00
574	1034709	\$ 2,182.50
575	1034746	\$ 12,392.00
576	1034783	\$ 28,155.00
577	1034797	\$ 2,580.00
578	1034816	\$ 15,475.30
579	1034935	\$ 576.75
580	1034971	\$ 3,123.85
581	1035169	\$ 8,575.00
582	1035278	\$ 324.10
583	1035306	\$ 17.95
584	1035318	\$ 35,779.50
585	1035408	\$ 4,850.00
586	1035599	\$ 1,691.00
587	1035816	\$ 6,668.75
588	1035853	\$ 4,607.50
589	1036134	\$ 366.76
590	1036277	\$ 136.69
591	1036398	\$ 2,425.00
592	1036422	\$ 0.76
593	1036519	\$ 326.93
594	1036523	\$ 3,007.75
595	1036833	\$ 413.85
596	1037013	\$ 293.90
597	1037174	\$ 16.25
598	1037353	\$ 688.50
599	1037409	\$ 236.95
600	1037540	\$ 2,425.00
601	1037600	\$ 2,425.00
602	1037687	\$ 2,425.00
603	1037811	\$ 4,850.00
604	1037841	\$ 1,940.00
605	1037910	\$ 4,850.00
606	1038048	\$ 1,017.47
607	1038053	\$ 4,537.49
608	1038148	\$ 104,199.00
609	1038165	\$ 1,860.00
610	1038182	\$ 1,939.90
611	1038228	\$ 20,590.00

#	Claim Number	Recognized Loss
1468	1098326	\$ 631.96
1469	1098329	\$ 23,921.90
1470	1098336	\$ 680.00
1471	1098341	\$ 1,455.00
1472	1098344	\$ 2,571.00
1473	1098346	\$ 680.00
1474	1098350	\$ 8,509.40
1475	1098393	\$ 4,607.50
1476	1098400	\$ 3,395.00
1477	1098489	\$ 4,690.00
1478	1098497	\$ 13,865.00
1479	1098498	\$ 970.00
1480	1098501	\$ 970.00
1481	1098509	\$ 7,580.00
1482	1098514	\$ 12,318.71
1483	1098515	\$ 52,800.00
1484	1098516	\$ 51,694.42
1485	1098517	\$ 1,022.40
1486	1098528	\$ 20,695.00
1487	1098529	\$ 2,425.00
1488	1098530	\$ 485.00
1489	1098532	\$ 8,417.20
1490	1098533	\$ 776.00
1491	1098534	\$ 3,449.82
1492	1098535	\$ 7,579.50
1493	1098536	\$ 2,962.50
1494	1098538	\$ 6,450.00
1495	1098540	\$ 45,325.00
1496	1098543	\$ 9,987.00
1497	1098544	\$ 3,880.00
1498	1098549	\$ 1,504.00
1499	1098550	\$ 490.00
1500	1098552	\$ 5,154.94
1501	1098588	\$ 887.55
1502	1098589	\$ 1,320.00
1503	1098595	\$ 679,030.80
1504	1098596	\$ 1,064.00
1505	1098600	\$ 580.00
1506	1098604	\$ 142.45
1507	1098606	\$ 474.00
1508	1098607	\$ 314.41
1509	1098613	\$ 4,702.92
1510	1098644	\$ 6,372.50
1511	1098646	\$ 76,821.60
1512	1098649	\$ 4,766.30
1513	1098653	\$ 44.68
1514	1098655	\$ 821.00

#	Claim Number	Recognized Loss
2371	5001587	\$ 10,550.00
2372	5001997	\$ 2,395.50
2373	5002014	\$ 2,114.10
2374	5002113	\$ 144,807.80
2375	5002228	\$ 3,203.30
2376	5002534	\$ 7,386.00
2377	5002849	\$ 11,960.62
2378	5002974	\$ 201,099.60
2379	5003096	\$ 1,600.00
2380	5003162	\$ 391,700.41
2381	5003201	\$ 1,212.92
2382	5004383	\$ 287,479.08
2383	5004384	\$ 15,200.00
2384	5004386	\$ 1,509.44
2385	5004389	\$ 125.28
2386	5004391	\$ 788,682.54
2387	5004394	\$ 383,280.41
2388	5004403	\$ 76,485.80
2389	5004404	\$ 1,749.50
2390	5004409	\$ 83.09
2391	5004411	\$ 2,395.15
2392	5004430	\$ 20,704.80
2393	5004441	\$ 377.74
2394	5004456	\$ 10,494.23
2395	5004460	\$ 186.10
2396	5004467	\$ 34,150.34
2397	5004469	\$ 1,576.50
2398	5004471	\$ 4,850.00
2399	5004475	\$ 392.41
2400	5004476	\$ 3,608.40
2401	5004478	\$ 29,269.40
2402	5004480	\$ 1,469.44
2403	5004483	\$ 1,282.00
2404	5004490	\$ 874,542.28
2405	5004493	\$ 170,276.89
2406	5004512	\$ 201,723.67
2407	5004515	\$ 581,852.72
2408	5004537	\$ 929,407.06
2409	5004565	\$ 50,925.00
2410	5004570	\$ 741,275.20
2411	5004578	\$ 244.25
2412	5004580	\$ 485.00
2413	5004581	\$ 190,306.60
2414	5004596	\$ 14,364.00
2415	5004612	\$ 1,763.35
2416	5004614	\$ 20,736.00
2417	5004615	\$ 1,486.70

Arena Securities Litigation

Timely, Eligible Claims

Exhibit C-1

#	Claim Number	Recognized Loss
612	1038276	\$ 2,219.40
613	1038443	\$ 621.50
614	1038584	\$ 34,800.00
615	1038590	\$ 2,517.00
616	1038600	\$ 24,250.00
617	1038613	\$ 5,263.00
618	1038631	\$ 4,458.00
619	1038657	\$ 2,425.00
620	1038676	\$ 3,880.00
621	1038684	\$ 2,304.80
622	1038748	\$ 3,309.20
623	1038850	\$ 9,640.00
624	1038919	\$ 1,205.00
625	1038938	\$ 13,780.25
626	1039121	\$ 5,210.80
627	1039128	\$ 1,350.00
628	1039481	\$ 1,226.05
629	1039662	\$ 4,850.00
630	1039732	\$ 2,507.16
631	1039842	\$ 7,275.00
632	1039852	\$ 253.00
633	1039951	\$ 4,639.00
634	1040079	\$ 485.00
635	1040106	\$ 7,760.00
636	1040114	\$ 15,498.00
637	1040321	\$ 19,745.50
638	1040343	\$ 9,579.35
639	1040400	\$ 3,997.60
640	1040405	\$ 1,616.00
641	1040426	\$ 3,198.60
642	1040480	\$ 970.00
643	1040644	\$ 1,940.00
644	1040978	\$ 485.00
645	1040994	\$ 81,900.00
646	1041017	\$ 18,575.00
647	1041018	\$ 800.00
648	1041028	\$ 6,702.00
649	1041034	\$ 4,647.11
650	1041081	\$ 368.00
651	1041085	\$ 2,080.00
652	1041113	\$ 4,520.00
653	1041126	\$ 1,480.00
654	1041154	\$ 3,559.00
655	1041157	\$ 3,740.00
656	1041167	\$ 1,528.00
657	1041228	\$ 970.00
658	1041287	\$ 5,335.00

#	Claim Number	Recognized Loss
1515	1098657	\$ 15,825.00
1516	1098658	\$ 485.00
1517	1098664	\$ 9,700.00
1518	1098665	\$ 3,880.00
1519	1098667	\$ 2,425.00
1520	1098669	\$ 2,150.00
1521	1098671	\$ 13,412.10
1522	1098676	\$ 4,165.00
1523	1098680	\$ 16,443.25
1524	1098681	\$ 33,240.00
1525	1098682	\$ 31,436.97
1526	1098683	\$ 2,935.80
1527	1098685	\$ 3,517.34
1528	1098688	\$ 363.75
1529	1098689	\$ 1,498.00
1530	1098690	\$ 645.00
1531	1098692	\$ 622.89
1532	1098693	\$ 9,447.13
1533	1098694	\$ 59,887.60
1534	1098695	\$ 2,088.00
1535	1098721	\$ 2,955.89
1536	1098722	\$ 3,001.50
1537	1098723	\$ 3,200.00
1538	1098724	\$ 2,425.00
1539	1098725	\$ 1,195.00
1540	1098726	\$ 12,400.00
1541	1098730	\$ 2,832.70
1542	1098731	\$ 334.00
1543	1098732	\$ 720.00
1544	1098733	\$ 1,600.00
1545	1098737	\$ 4,360.00
1546	1098738	\$ 57,737.78
1547	1098739	\$ 8,730.00
1548	1098740	\$ 1,763.01
1549	1098741	\$ 48,490.00
1550	1098742	\$ 7,251.60
1551	1098744	\$ 435.00
1552	1098747	\$ 4,300.00
1553	1098752	\$ 48.50
1554	1098754	\$ 179.88
1555	1098758	\$ 1,825.00
1556	1098759	\$ 163.90
1557	1098762	\$ 46,899.50
1558	1098765	\$ 2,178.00
1559	1098769	\$ 2,636.10
1560	1098772	\$ 870.00
1561	1098773	\$ 2,680.00

#	Claim Number	Recognized Loss
2418	5004616	\$ 11,304.56
2419	5004617	\$ 3,261.58
2420	5004619	\$ 76,113.00
2421	5004625	\$ 167,244.00
2422	5004628	\$ 35,702.97
2423	5004629	\$ 6,155.09
2424	5004630	\$ 236.96
2425	5004631	\$ 4,303.21
2426	5004632	\$ 219.92
2427	5004633	\$ 576.61
2428	5004634	\$ 1,404.00
2429	5004641	\$ 652.45
2430	5004643	\$ 77.86
2431	5004650	\$ 10,179.00
2432	5004653	\$ 68,040.90
2433	5004656	\$ 116.36
2434	5004657	\$ 529.44
2435	5004660	\$ 22,053.55
2436	5004672	\$ 7,587.00
2437	5004675	\$ 133.86
2438	5004676	\$ 16,642.72
2439	5004682	\$ 3,942.00
2440	5004687	\$ 729.00
2441	5004691	\$ 5,479.61
2442	5004705	\$ 858.60
2443	5004712	\$ 2,626.08
2444	5004714	\$ 1,931.33
2445	5004717	\$ 9,765.18
2446	5004720	\$ 904.45
2447	5004727	\$ 22,895.95
2448	5004728	\$ 82,458.00
2449	5004737	\$ 1,659,646.53
2450	5004738	\$ 5,160.86
2451	5004739	\$ 2,322.00
2452	5004740	\$ 33,183.00
2453	5004741	\$ 4,563.00
2454	5004742	\$ 3,414.00
2455	5004747	\$ 1,410.67
2456	5004748	\$ 2,269.13
2457	5004749	\$ 6,190.35
2458	5004750	\$ 10,014.25
2459	5004751	\$ 4,941.90
2460	5004755	\$ 45,635.70
2461	5004757	\$ 1,583.46
2462	5004759	\$ 255,310.88
2463	5004765	\$ 17,645.02
2464	5004766	\$ 28,230.18

Arena Securities Litigation

Timely, Eligible Claims

Exhibit C-1

#	Claim Number	Recognized Loss
659	1041289	\$ 9,700.00
660	1041314	\$ 7,760.00
661	1041335	\$ 1,455.00
662	1041410	\$ 4,171.95
663	1041515	\$ 1,605.00
664	1041557	\$ 447.00
665	1041559	\$ 1,069.81
666	1041573	\$ 8,405.00
667	1041658	\$ 2,483.00
668	1041659	\$ 900.00
669	1041696	\$ 2,570.50
670	1041697	\$ 3,395.00
671	1041706	\$ 1,212.50
672	1041710	\$ 8,730.00
673	1041712	\$ 3,346.50
674	1041727	\$ 8,245.00
675	1041748	\$ 4,850.00
676	1041753	\$ 4,850.00
677	1041898	\$ 29,100.00
678	1041938	\$ 367.90
679	1041976	\$ 14,380.00
680	1041977	\$ 1,585.00
681	1042505	\$ 1,320.00
682	1042508	\$ 2,070.00
683	1042513	\$ 11,290.00
684	1042519	\$ 2,895.00
685	1042538	\$ 4,100.00
686	1042549	\$ 12,665.00
687	1042603	\$ 7,208.00
688	1042627	\$ 1,843.00
689	1042647	\$ 1,212.50
690	1042911	\$ 2,681.00
691	1042921	\$ 3,218.00
692	1042952	\$ 18,913.80
693	1042964	\$ 1,867.25
694	1043028	\$ 5,272.75
695	1043077	\$ 389.50
696	1043087	\$ 4,850.00
697	1043147	\$ 3,157.00
698	1043223	\$ 20,496.00
699	1043225	\$ 30,200.00
700	1043234	\$ 272,182.00
701	1043309	\$ 631.60
702	1043310	\$ 278.00
703	1043314	\$ 631.60
704	1043315	\$ 354.00
705	1043316	\$ 14,520.00

#	Claim Number	Recognized Loss
1562	1098774	\$ 747.00
1563	1098775	\$ 5,639.92
1564	1098778	\$ 8,260.00
1565	1098784	\$ 891.45
1566	1098786	\$ 923.14
1567	1098788	\$ 1,740.97
1568	1098789	\$ 14,595.34
1569	1098790	\$ 7,981.15
1570	1098791	\$ 5,170.00
1571	1098795	\$ 3,000.00
1572	1098799	\$ 1,095.05
1573	1098800	\$ 1,920.00
1574	1098810	\$ 9,604.00
1575	1098812	\$ 8,050.00
1576	1098813	\$ 145,430.00
1577	1098814	\$ 16,026.67
1578	1098815	\$ 4,382.87
1579	1098819	\$ 800.00
1580	1098822	\$ 130.00
1581	1098823	\$ 96,250.00
1582	1098826	\$ 32,748.78
1583	1098828	\$ 8,635.00
1584	1098829	\$ 5,541.00
1585	1098831	\$ 2,947.00
1586	1098845	\$ 947,950.00
1587	1098846	\$ 4,300.00
1588	1098848	\$ 2,650.00
1589	1098850	\$ 556.00
1590	1098857	\$ 27,809.95
1591	1098858	\$ 4,091.00
1592	1098859	\$ 13,760.00
1593	1098861	\$ 3,260.00
1594	1098862	\$ 242.50
1595	1098863	\$ 2,910.00
1596	1098864	\$ 4,850.00
1597	1098865	\$ 580.00
1598	1098866	\$ 161.00
1599	1098867	\$ 39,860.00
1600	1098868	\$ 40,542.00
1601	1098870	\$ 43,509.48
1602	1098871	\$ 2,870.00
1603	1098872	\$ 1,980.00
1604	1098874	\$ 4,850.00
1605	1098875	\$ 540.00
1606	1098881	\$ 188.60
1607	1098885	\$ 1,622.50
1608	1098886	\$ 1,703.00

#	Claim Number	Recognized Loss
2465	5004769	\$ 13,041.00
2466	5004771	\$ 14,453.15
2467	5004772	\$ 1,735.36
2468	5004773	\$ 9,686.23
2469	5004782	\$ 3,942.00
2470	5004783	\$ 4,850.00
2471	5004785	\$ 27,011.47
2472	5004794	\$ 2,240.00
2473	5004795	\$ 1,280.00
2474	5004827	\$ 196,470.00
2475	5004980	\$ 1,663.20
2476	5004981	\$ 2,886.60
2477	5004983	\$ 17,385.60
2478	5004985	\$ 30,546.27
2479	5004986	\$ 19,647.18
2480	5004990	\$ 1,218.00
2481	5004991	\$ 1,653.00
2482	5004992	\$ 1,672.92
2483	5005000	\$ 26,294.67
2484	5005003	\$ 45.09
2485	5005004	\$ 1,222.70
2486	5005005	\$ 38,984.44
2487	5005006	\$ 74,434.08
2488	5005009	\$ 36,459.12
2489	5005010	\$ 7,240.00
2490	5005011	\$ 143.85
2491	5005012	\$ 11,735.80
2492	5005013	\$ 1,170.78
2493	5005015	\$ 29,488.00
2494	5005016	\$ 5,605.92
2495	5005017	\$ 2,867.82
2496	5005018	\$ 4,998.64
2497	5005021	\$ 40,433.45
2498	5005025	\$ 15,331.12
2499	5005026	\$ 48,500.00
2500	5005027	\$ 4,641.20
2501	5005029	\$ 57,963.28
2502	5005030	\$ 9,539.20
2503	5005032	\$ 200,136.16
2504	5005036	\$ 423,703.49
2505	5005037	\$ 75,341.28
2506	5005039	\$ 320.00
2507	5005053	\$ 11,086.53
2508	5005054	\$ 7,779.00
2509	5005055	\$ 5,696.43
2510	5005056	\$ 7,885.75
2511	5005057	\$ 5,210.57

Arena Securities Litigation

Timely, Eligible Claims

Exhibit C-1

#	Claim Number	Recognized Loss
706	1043323	\$ 5,070.00
707	1043350	\$ 727.50
708	1043471	\$ 4,826.00
709	1043499	\$ 3,320.00
710	1043506	\$ 533.50
711	1043580	\$ 14,060.00
712	1043588	\$ 2,998.00
713	1043617	\$ 2,425.00
714	1043619	\$ 5,490.00
715	1043639	\$ 3,880.00
716	1043656	\$ 4,850.00
717	1043938	\$ 3,090.00
718	1044122	\$ 58.65
719	1044290	\$ 2,809.93
720	1044295	\$ 4,080.00
721	1044302	\$ 485.00
722	1044304	\$ 810.00
723	1044316	\$ 218.00
724	1044332	\$ 41,098.90
725	1044367	\$ 320.00
726	1044409	\$ 6,302.88
727	1044440	\$ 3,889.85
728	1044462	\$ 849.90
729	1044500	\$ 435.00
730	1044510	\$ 12,812.05
731	1044535	\$ 870.00
732	1044537	\$ 4,850.00
733	1044545	\$ 1,644.95
734	1044633	\$ 6,566.13
735	1044773	\$ 1,455.00
736	1044789	\$ 8,090.00
737	1044824	\$ 1,080.00
738	1044980	\$ 190.00
739	1044985	\$ 291.00
740	1045086	\$ 345.80
741	1045142	\$ 3,261.58
742	1045233	\$ 4,073.40
743	1045295	\$ 1,097.50
744	1045365	\$ 891.00
745	1045405	\$ 4,850.00
746	1045491	\$ 1,189.90
747	1045494	\$ 837.00
748	1045497	\$ 14,550.00
749	1045516	\$ 2,182.50
750	1045716	\$ 6,586.61
751	1045773	\$ 9,700.00
752	1045848	\$ 1,940.00

#	Claim Number	Recognized Loss
1609	1098888	\$ 185.36
1610	1098889	\$ 2,425.00
1611	1098890	\$ 7,970.00
1612	1098891	\$ 3,249.50
1613	1098893	\$ 5,501.93
1614	1098894	\$ 5,764.80
1615	1098896	\$ 1,879.95
1616	1098898	\$ 966.84
1617	1098900	\$ 20,200.02
1618	1098902	\$ 11,824.00
1619	1098904	\$ 4,252.50
1620	1098905	\$ 4,300.00
1621	1098906	\$ 9,803.56
1622	1098907	\$ 1,519.00
1623	1098914	\$ 2,268.15
1624	1098916	\$ 6,403.00
1625	1098918	\$ 7,320.00
1626	1098920	\$ 2,348.05
1627	1098922	\$ 2,488.00
1628	1098924	\$ 3,637.50
1629	1098926	\$ 1,126.60
1630	1098927	\$ 3,669.61
1631	1098928	\$ 1,515.56
1632	1098929	\$ 2,217.80
1633	1098930	\$ 568.40
1634	1098932	\$ 1,664.42
1635	1098933	\$ 115,240.00
1636	1098936	\$ 5,606.24
1637	1098937	\$ 5,101.00
1638	1098938	\$ 5,494.20
1639	1098942	\$ 103,200.00
1640	1098943	\$ 6,350.00
1641	1098945	\$ 3,847.50
1642	1098946	\$ 1,000.00
1643	1098947	\$ 392.00
1644	1098948	\$ 392.00
1645	1098949	\$ 759.45
1646	1098950	\$ 2,117.88
1647	1098953	\$ 8,387.27
1648	1098954	\$ 120,320.00
1649	1098955	\$ 3,982.58
1650	1098956	\$ 53,250.00
1651	1098957	\$ 10,744.54
1652	1098959	\$ 2,730.00
1653	1098961	\$ 22,079.47
1654	1098962	\$ 15,180.00
1655	1098964	\$ 5,961.95

#	Claim Number	Recognized Loss
2512	5005058	\$ 1,299.54
2513	5005060	\$ 137,239.00
2514	5005061	\$ 1,127.95
2515	5005062	\$ 73,371.44
2516	5005063	\$ 38,154.19
2517	5005064	\$ 9,607.05
2518	5005065	\$ 30,352.77
2519	5005067	\$ 12,076.96
2520	5005070	\$ 355.02
2521	5005072	\$ 18,820.60
2522	5005074	\$ 3,798.69
2523	5005075	\$ 4,290.00
2524	5005077	\$ 1,006.73
2525	5005083	\$ 883.74
2526	5005085	\$ 212,291.30
2527	5005086	\$ 107,434.27
2528	5005087	\$ 42,361.31
2529	5005088	\$ 10,737.68
2530	5005089	\$ 442.76
2531	5005090	\$ 2,287.95
2532	5005091	\$ 90.00
2533	5005092	\$ 86,645.23
2534	5005094	\$ 9,274.60
2535	5005095	\$ 9,118.24
2536	5005096	\$ 43,364.33
2537	5005122	\$ 428,924.30
2538	5005123	\$ 1,076,602.34
2539	5005126	\$ 21,987.84
2540	5005127	\$ 7,794.23
2541	5005128	\$ 184,615.73
2542	5005129	\$ 2,067.52
2543	5005130	\$ 2,721.60
2544	5005133	\$ 15,822.84
2545	5005135	\$ 90,955.89
2546	5005136	\$ 33,906.75
2547	5005143	\$ 10,093.15
2548	5005144	\$ 162,972.64
2549	5005145	\$ 84,211.60
2550	5005146	\$ 5,774.50
2551	5005151	\$ 470,323.90
2552	5005154	\$ 13,172.60
2553	5005155	\$ 4,423.20
2554	5005156	\$ 16,291.15
2555	5005158	\$ 11,944.90
2556	5005159	\$ 6,176.30
2557	5005160	\$ 1,407.26
2558	5005161	\$ 9,991.18

Arena Securities Litigation

Timely, Eligible Claims

Exhibit C-1

#	Claim Number	Recognized Loss
753	1045859	\$ 261.88
754	1045875	\$ 5,110.85
755	1046018	\$ 3,490.00
756	1046049	\$ 64.00
757	1046121	\$ 300.00
758	1046127	\$ 13,669.50
759	1046221	\$ 5,892.00
760	1046247	\$ 861.47
761	1046248	\$ 659.32
762	1046367	\$ 271.60
763	1046594	\$ 627.90
764	1046934	\$ 507.20
765	1046937	\$ 4,850.00
766	1047022	\$ 499.98
767	1047050	\$ 1,066.50
768	1047249	\$ 435.00
769	1047489	\$ 816.57
770	1047503	\$ 6,790.00
771	1047579	\$ 1,292.17
772	1047672	\$ 9,700.00
773	1047682	\$ 56,300.00
774	1047947	\$ 826.00
775	1048013	\$ 3,520.00
776	1048112	\$ 3,479.18
777	1048129	\$ 2,425.00
778	1048205	\$ 340,200.00
779	1048208	\$ 18,187.50
780	1048221	\$ 27,086.23
781	1048231	\$ 18,455.97
782	1048232	\$ 3,880.00
783	1048378	\$ 47.45
784	1048479	\$ 16,543.43
785	1048518	\$ 2,425.00
786	1048549	\$ 6,305.00
787	1048556	\$ 94,353.09
788	1048725	\$ 9,215.00
789	1048853	\$ 716.50
790	1048897	\$ 564.42
791	1048960	\$ 9,039.50
792	1048961	\$ 12,637.63
793	1049054	\$ 8,450.85
794	1049057	\$ 21,174.34
795	1049102	\$ 508.00
796	1049110	\$ 48.50
797	1049160	\$ 8,602.50
798	1049261	\$ 25,456.83
799	1049702	\$ 274.70

#	Claim Number	Recognized Loss
1656	1098967	\$ 29,556.07
1657	1098978	\$ 908.00
1658	1098980	\$ 1,190.00
1659	1098985	\$ 6,248.00
1660	1098986	\$ 510.00
1661	1098987	\$ 4,850.00
1662	1098988	\$ 1,264.00
1663	1098989	\$ 2,910.00
1664	1098998	\$ 13,775.00
1665	1098999	\$ 40,037.45
1666	1099001	\$ 50,722.40
1667	1099005	\$ 864.50
1668	1099006	\$ 28,264.10
1669	1099010	\$ 1,430.75
1670	1099018	\$ 903.00
1671	1099020	\$ 4,850.00
1672	1099027	\$ 582,000.00
1673	1099028	\$ 37,320.00
1674	1099029	\$ 210,841.40
1675	1099030	\$ 901.00
1676	1099031	\$ 2,946.50
1677	1099032	\$ 133,872.43
1678	1099033	\$ 1,600.00
1679	1099034	\$ 19,109.00
1680	1099036	\$ 1,606.00
1681	1099037	\$ 3,045.00
1682	1099039	\$ 6,970.00
1683	1099045	\$ 8,400.00
1684	1099046	\$ 4,075.00
1685	1099049	\$ 3,214.00
1686	1099051	\$ 2,231.00
1687	1099053	\$ 4,396.70
1688	1099054	\$ 5,720.00
1689	1099055	\$ 55,676.77
1690	1099061	\$ 9,740.00
1691	1099063	\$ 59,079.00
1692	1099065	\$ 197.10
1693	1099066	\$ 1,780.00
1694	1099072	\$ 1,725.00
1695	1099073	\$ 9,700.00
1696	1099074	\$ 588.00
1697	1099078	\$ 863.04
1698	1099079	\$ 1,410.00
1699	1099080	\$ 4,850.00
1700	1099081	\$ 4,277.00
1701	1099085	\$ 9,700.00
1702	1099086	\$ 6,750.00

#	Claim Number	Recognized Loss
2559	5005162	\$ 2,263.57
2560	5005163	\$ 162,895.68
2561	5005165	\$ 57.68
2562	5005166	\$ 331.20
2563	5005167	\$ 291.00
2564	5005168	\$ 388.00
2565	5005171	\$ 291.00
2566	5005175	\$ 194.00
2567	5005187	\$ 85.40
2568	5005188	\$ 369.16
2569	5005205	\$ 442.00
2570	5005273	\$ 410,342.95
2571	5005274	\$ 68,412.48
2572	5005275	\$ 23,698.28
2573	5005408	\$ 2,300.82
2574	5005410	\$ 485.00
2575	5005411	\$ 174.00
2576	5005415	\$ 19,625.00
2577	5005417	\$ 1,564.85
2578	5005419	\$ 799.85
2579	5005421	\$ 40,883.50
2580	5005423	\$ 1,460.00
2581	5005425	\$ 134.80
2582	5005426	\$ 2.79
2583	5005430	\$ 3,132.00
2584	5005433	\$ 990.50
2585	5005435	\$ 40.75
2586	5005436	\$ 586.85
2587	5005437	\$ 1,406.50
2588	5005438	\$ 435.00
2589	5005440	\$ 242.50
2590	5005443	\$ 2,182.50
2591	5005444	\$ 2,137.50
2592	5005450	\$ 305.00
2593	5005471	\$ 115,178.70
2594	5005472	\$ 72,750.00
2595	5005474	\$ 61,148.54
2596	5005480	\$ 71,333.88
2597	5005481	\$ 22,662.90
2598	5005482	\$ 116,732.88
2599	5005486	\$ 3,912.50
2600	5005487	\$ 1,455.00
2601	5005488	\$ 72,095.16
2602	5005489	\$ 117,121.62
2603	5005490	\$ 119,287.44
2604	5005491	\$ 494,322.42
2605	5005493	\$ 11,872.60

Arena Securities Litigation

Timely, Eligible Claims

Exhibit C-1

#	Claim Number	Recognized Loss
800	1049779	\$ 3,641.00
801	1049836	\$ 9,700.00
802	1049874	\$ 9,700.00
803	1049885	\$ 485.00
804	1049925	\$ 4,050.00
805	1049978	\$ 37,490.18
806	1050151	\$ 315.25
807	1050199	\$ 7,126.50
808	1050200	\$ 11,409.00
809	1050331	\$ 595.00
810	1050342	\$ 407.85
811	1050418	\$ 3,295.00
812	1050475	\$ 3,295.00
813	1050505	\$ 1,810.00
814	1050651	\$ 3,540.00
815	1051016	\$ 348.00
816	1051402	\$ 4,480.00
817	1051457	\$ 80,117.23
818	1051522	\$ 14,347.48
819	1051547	\$ 22,150.00
820	1051552	\$ 1,940.00
821	1051574	\$ 522.00
822	1051611	\$ 6,220.00
823	1051643	\$ 243.00
824	1051734	\$ 73.20
825	1052174	\$ 160.00
826	1052236	\$ 1,475.50
827	1052381	\$ 1,977.91
828	1052624	\$ 1,252.80
829	1052625	\$ 63,050.00
830	1052966	\$ 1,849.90
831	1053013	\$ 1,450.00
832	1053112	\$ 3,100.65
833	1053615	\$ 10,321.90
834	1053747	\$ 970.00
835	1053801	\$ 1,937.50
836	1053809	\$ 286.50
837	1053987	\$ 2,192.55
838	1054030	\$ 1,056.12
839	1054051	\$ 375.12
840	1054064	\$ 338.15
841	1054065	\$ 189.38
842	1054363	\$ 1,890.00
843	1054721	\$ 2,280.00
844	1054800	\$ 51.29
845	1054977	\$ 497.00
846	1055038	\$ 10,885.00

#	Claim Number	Recognized Loss
1703	1099087	\$ 6,198.15
1704	1099089	\$ 522.00
1705	1099092	\$ 39,650.00
1706	1099093	\$ 28,173.40
1707	1099094	\$ 1,479.00
1708	1099095	\$ 485.00
1709	1099096	\$ 4,821.00
1710	1099098	\$ 14,550.00
1711	1099099	\$ 104.06
1712	1099100	\$ 32,817.45
1713	1099102	\$ 1,338.60
1714	1099105	\$ 22,599.00
1715	1099107	\$ 8,122.70
1716	1099108	\$ 565.01
1717	1099109	\$ 3,472.34
1718	1099110	\$ 62,200.00
1719	1099115	\$ 9,733.28
1720	1099116	\$ 2,728.35
1721	1099118	\$ 16,257.64
1722	1099119	\$ 16,776.40
1723	1099123	\$ 335.00
1724	1099124	\$ 8,850.00
1725	1099125	\$ 20,675.16
1726	1099126	\$ 18,560.00
1727	1099127	\$ 307.45
1728	1099128	\$ 5,689.20
1729	1099129	\$ 5,175.00
1730	1099130	\$ 7,381.26
1731	1099131	\$ 109,323.40
1732	1099132	\$ 9,990.00
1733	1099134	\$ 2,530.00
1734	1099135	\$ 1,033.05
1735	1099136	\$ 19,633.95
1736	1099137	\$ 4,371.73
1737	1099138	\$ 5,166.02
1738	1099139	\$ 7,311.90
1739	1099140	\$ 10,427.50
1740	1099141	\$ 537.50
1741	1099142	\$ 16,200.00
1742	1099143	\$ 2,231.00
1743	1099145	\$ 3,395.00
1744	1099147	\$ 5,400.00
1745	1099148	\$ 3,880.00
1746	1099150	\$ 640.00
1747	1099152	\$ 6,552.88
1748	1099153	\$ 960.00
1749	1099154	\$ 2,240.00

#	Claim Number	Recognized Loss
2606	5005494	\$ 5,203.20
2607	5005496	\$ 7,445.60
2608	5005497	\$ 8,331.30
2609	5005499	\$ 64,032.00
2610	5005506	\$ 11,828.37
2611	5005511	\$ 2,408.56
2612	5005523	\$ 97.00
2613	5005541	\$ 7,760.00
2614	5005543	\$ 709.66
2615	5005545	\$ 48.50
2616	5005548	\$ 203.40
2617	5005574	\$ 260,217.05
2618	5005580	\$ 7,344.00
2619	5005581	\$ 8,184.21
2620	5005624	\$ 31,116.25
2621	5005625	\$ 1,740.00
2622	5005662	\$ 117,564.16
2623	5005665	\$ 11,308.85
2624	5005666	\$ 16,402.13
2625	5005668	\$ 1,805,365.75
2626	5005670	\$ 242,324.30
2627	5005671	\$ 165,757.81
2628	5005832	\$ 3,103,669.50
2629	10000023	\$ 1,330.00
2630	10000025	\$ 45.60
2631	10000027	\$ 6,220.00
2632	10000029	\$ 2,580.00
2633	10000039	\$ 899.90
2634	10000040	\$ 3,200.00
2635	10000041	\$ 480.00
2636	10000042	\$ 19,433.60
2637	10000043	\$ 15,089.80
2638	10000046	\$ 485.00
2639	10000052	\$ 14,340.00
2640	10000055	\$ 24,272.00
2641	10000056	\$ 32,850.00
2642	10000058	\$ 18,964.80
2643	10000059	\$ 939.00
2644	10000064	\$ 320.00
2645	10000065	\$ 1,261.00
2646	10000081	\$ 970.00
2647	10000087	\$ 7,943.70
2648	10000089	\$ 159,600.00
2649	10000090	\$ 3,298.00
2650	10000096	\$ 1,903.79
2651	10000097	\$ 3,212.00
2652	10000099	\$ 845.00

Arena Securities Litigation

Timely, Eligible Claims

Exhibit C-1

#	Claim Number	Recognized Loss
847	1055087	\$ 750.00
848	1055309	\$ 120,793.23
849	1055604	\$ 1,388.00
850	1055908	\$ 1,455.00
851	1056094	\$ 970.00
852	1056113	\$ 311.00
853	1056150	\$ 21,483.68
854	1056156	\$ 14.49
855	1056171	\$ 157.05
856	1056203	\$ 2,349.80
857	1056342	\$ 8,150.00
858	1056403	\$ 3,295.00
859	1056467	\$ 1,600.00
860	1056472	\$ 29,100.00
861	1056615	\$ 3,298.00
862	1056771	\$ 485.00
863	1057020	\$ 124,959.30
864	1057085	\$ 727.50
865	1057101	\$ 1,506.00
866	1057124	\$ 63.00
867	1057201	\$ 1,760.00
868	1057401	\$ 1,667.41
869	1057418	\$ 264,822.58
870	1057443	\$ 1,940.00
871	1057495	\$ 20,466.90
872	1057872	\$ 9,700.00
873	1057897	\$ 3,395.00
874	1057917	\$ 1,455.00
875	1057976	\$ 168.50
876	1058258	\$ 282.62
877	1058274	\$ 668.00
878	1058375	\$ 507.40
879	1058473	\$ 1,840.00
880	1058668	\$ 45,332.09
881	1058671	\$ 843.51
882	1058677	\$ 5,720.00
883	1058739	\$ 1,488.90
884	1058821	\$ 855.36
885	1059005	\$ 173,149.23
886	1059180	\$ 13,340.49
887	1059227	\$ 2,425.00
888	1059273	\$ 111,550.00
889	1059275	\$ 145,500.00
890	1059375	\$ 202.03
891	1059431	\$ 25,705.00
892	1059460	\$ 9,650.00
893	1059554	\$ 63.25

#	Claim Number	Recognized Loss
1750	1099155	\$ 2,240.00
1751	1099156	\$ 2,560.00
1752	1099157	\$ 1,363.40
1753	1099161	\$ 5,433.25
1754	1099162	\$ 3,062.66
1755	1099163	\$ 2,649.90
1756	1099164	\$ 3,810.00
1757	1099166	\$ 833.94
1758	1099167	\$ 19,492.40
1759	1099168	\$ 12,539.20
1760	1099169	\$ 12,529.60
1761	1099170	\$ 2,071.30
1762	1099171	\$ 192.70
1763	1099172	\$ 12,370.00
1764	1099179	\$ 4,850.00
1765	1099180	\$ 5,260.00
1766	1099181	\$ 2,910.00
1767	1099182	\$ 25,712.60
1768	1099183	\$ 30,565.46
1769	1099184	\$ 13,192.20
1770	1099185	\$ 169,750.00
1771	1099186	\$ 3,645.30
1772	1099187	\$ 4,850.00
1773	1099190	\$ 824,165.83
1774	1099191	\$ 432.00
1775	1099192	\$ 18,132.50
1776	1099194	\$ 727.50
1777	1099195	\$ 485.00
1778	1099197	\$ 261.00
1779	1099198	\$ 141,500.00
1780	1099203	\$ 15.00
1781	1099204	\$ 831.90
1782	1099207	\$ 3,034.20
1783	1099209	\$ 15.00
1784	1099213	\$ 1,740.00
1785	1099214	\$ 553.75
1786	1099216	\$ 8,350.00
1787	1099217	\$ 18,365.78
1788	1099218	\$ 14,867.45
1789	1099219	\$ 7,499.96
1790	1099221	\$ 13,995.00
1791	1099222	\$ 6,506.00
1792	1099223	\$ 3,273.00
1793	1099226	\$ 9,708.60
1794	1099227	\$ 2,570.00
1795	1099230	\$ 16,157.00
1796	1099233	\$ 222,227.98

#	Claim Number	Recognized Loss
2653	10000101	\$ 528,253.19
2654	10000102	\$ 970,231.29
2655	10000106	\$ 17,998.10
2656	10000107	\$ 1,024.50
2657	10000109	\$ 36,488.50
2658	10000112	\$ 2,889.00
2659	10000113	\$ 3,177.90
2660	10000114	\$ 2,021.50
2661	10000125	\$ 74.00
2662	10000133	\$ 4,872.00
2663	10000134	\$ 18,085.50
2664	10000152	\$ 3,480.00
2665	10000153	\$ 2,897.10
2666	10000154	\$ 2,609.25
2667	10000155	\$ 2,288.10
2668	10000157	\$ 401.94
2669	10000158	\$ 147.90
2670	10000159	\$ 147.90
2671	10000160	\$ 1,914.00
2672	10000162	\$ 4,665.50
2673	10000168	\$ 210.00
2674	10000170	\$ 4,136.00
2675	10000173	\$ 2,206.75
2676	10000174	\$ 177,750.00
2677	10000177	\$ 12,125.00
2678	10000180	\$ 49,930.00
2679	10000181	\$ 3,774.00
2680	10000193	\$ 640.00
2681	10000194	\$ 1,455.00
2682	10000199	\$ 9,690.00
2683	10000201	\$ 4,850.00
2684	10000207	\$ 5,479.70
2685	10000211	\$ 731.89
2686	10000215	\$ 1,205.56
2687	10000224	\$ 51,054.55
2688	10000227	\$ 63,992.04
2689	10000236	\$ 23,269.80
2690	10000245	\$ 245.59
2691	10000248	\$ 7,255.10
2692	10000251	\$ 4,447.46
2693	10000262	\$ 74,544.16
2694	10000270	\$ 53,400.00
2695	10000272	\$ 2,615.00
2696	10000275	\$ 5,346.20
2697	10000278	\$ 9,294.46
2698	10000303	\$ 21,228.69
2699	10000316	\$ 16,050.65

Arena Securities Litigation

Timely, Eligible Claims

Exhibit C-1

#	Claim Number	Recognized Loss
894	1059585	\$ 139.75
895	1059841	\$ 244.00
896	1060259	\$ 2,107.99
897	1060363	\$ 85,349.60
898	1060376	\$ 197,015.00
899	1060599	\$ 2,425.00
900	1060615	\$ 144.50
901	1060698	\$ 15,050.00
902	1060699	\$ 8,188.50
903	1061003	\$ 3,670.00

#	Claim Number	Recognized Loss
1797	1099238	\$ 3,894.00
1798	1099239	\$ 2,489.50
1799	1099241	\$ 114,533.03
1800	1099242	\$ 40,540.00
1801	1099248	\$ 10,000.00
1802	1099250	\$ 2,425.00
1803	1099253	\$ 320.00
1804	1099255	\$ 1,959.40
1805	1099257	\$ 1,310.00
1806	1099259	\$ 218,855.40

#	Claim Number	Recognized Loss
2700	10000319	\$ 6,664.70
2701	10000321	\$ 282,465.21
2702	10000330	\$ 82.00
2703	10000333	\$ 1,741.64
2704	10000334	\$ 22,410.16
2705	10000339	\$ 125,751.01
2706	10000340	\$ 24,891.68
2707	10000342	\$ 21,941.69

TOTAL: \$ 131,694,643.09

Arena Securities Litigation
Late, But Otherwise Eligible Claims
Exhibit C-2

#	Claim Number	Recognized Loss
1	1002264	\$ 1,375.00
2	1012226	\$ 747.00
3	1014971	\$ 8,000.00
4	1026256	\$ 4,300.00
5	1035509	\$ 182.00
6	1052273	\$ 5,722.50
7	1060271	\$ 5,531.88
8	1064243	\$ 3,880.00
9	1078533	\$ 1,270.00
10	1086555	\$ 519.00
11	1098063	\$ 8,975.80
12	1099307	\$ 3,460.00
13	1099308	\$ 14,400.00
14	1099309	\$ 10,449.50
15	1099310	\$ 3,052.50
16	1099311	\$ 14,500.00
17	1099354	\$ 7,260.00
18	1099355	\$ 16,100.00
19	1099356	\$ 33,290.00
20	1099357	\$ 11,780.00
21	1099358	\$ 11,780.00
22	1099359	\$ 720.00
23	1099360	\$ 136,395.00
24	1099361	\$ 135,220.00
25	1099362	\$ 213,836.50
26	1099363	\$ 208,230.00
27	1099364	\$ 113,060.00
28	1099365	\$ 278,755.00
29	1099367	\$ 147.20
30	1099385	\$ 2,005.80
31	1099386	\$ 2,999.90
32	1099716	\$ 3,069.00
33	1099719	\$ 640.00
34	1099737	\$ 2,617.50
35	1099743	\$ 7,000.00
36	5000429	\$ 485.00
37	5000431	\$ 17,756.40
38	5001589	\$ 2,030.00
39	5001598	\$ 614.18
40	5001614	\$ 15,812.80
41	5001615	\$ 11,034.00

#	Claim Number	Recognized Loss
42	5001618	\$ 159.22
43	5001637	\$ 444.80
44	5001638	\$ 614.18
45	5001640	\$ 1,228.35
46	5001641	\$ 368.51
47	5001643	\$ 535.40
48	5001649	\$ 61.86
49	5001663	\$ 245.67
50	5001668	\$ 2,592.00
51	5001669	\$ 1,900.00
52	5001670	\$ 1,580.00
53	5001673	\$ 362.40
54	5001674	\$ 1,455.00
55	5001680	\$ 489.93
56	5001681	\$ 98.28
57	5001683	\$ 25,650.00
58	5001703	\$ 4,850.00
59	5001717	\$ 624.83
60	5001739	\$ 16,400.00
61	5001740	\$ 320.00
62	5001743	\$ 614.18
63	5001756	\$ 5.00
64	5001758	\$ 1,455.00
65	5001759	\$ 192.87
66	5001760	\$ 682.50
67	5001763	\$ 305.00
68	5001764	\$ 3,326.10
69	5001769	\$ 4,850.00
70	5001772	\$ 4,850.00
71	5001773	\$ 18,043.00
72	5001775	\$ 10,640.00
73	5001780	\$ 1,448.00
74	5001784	\$ 29,100.00
75	5001798	\$ 1,399.90
76	5001802	\$ 3,387.06
77	5001806	\$ 463.20
78	5001807	\$ 166.56
79	5001809	\$ 3,130.00
80	5001819	\$ 164.72
81	5001820	\$ 1,847.17
82	5001822	\$ 2,873.00

#	Claim Number	Recognized Loss
83	5001824	\$571.67
84	5001831	\$4,850.00
85	5001841	\$614.18
86	5001850	\$4,767.60
87	5001852	\$614.18
88	5001855	\$4,850.00
89	5001862	\$23.40
90	5001864	\$45,750.00
91	5001903	\$614.18
92	5001905	\$3,448.00
93	5001907	\$2,140.00
94	5001912	\$614.18
95	5001919	\$614.19
96	5001936	\$1,201.51
97	5001937	\$947.17
98	5001948	\$3,842.85
99	5001955	\$612.00
100	5001958	\$27.40
101	5001959	\$464.19
102	5001965	\$8,190.09
103	5001969	\$254.55
104	5001970	\$1,509.00
105	5001971	\$12,927.50
106	5001986	\$395.20
107	5005612	\$245,652.86
108	5005613	\$499,458.16
109	5005618	\$18,656.00
110	5005851	\$1,740.00
111	5005852	\$14,200.00
112	5005853	\$7,442.00
113	5005854	\$2,960.00
114	5005857	\$41,530.86
115	5005915	\$4,850.00
116	5005919	\$5,010.00
117	5005921	\$1,812.00
118	5005927	\$511.00
119	5005937	\$260.00
120	5005951	\$823.99
121	5005952	\$570,228.65
122	5005960	\$200.92
123	5005964	\$298.00

TOTAL: \$ 2,983,404.63

*Arena Securities Litigation***Rejected or Ineligible Claims****Exhibit C-3**

#	Claim Number	Reason for Rejection
1	33	Proof of Claim Did Not Result in a Recognized Loss
2	66	Proof of Claim Did Not Result in a Recognized Loss
3	67	Proof of Claim Did Not Result in a Recognized Loss
4	69	Deficient Proof of Claim Never Cured
5	70	Proof of Claim Did Not Result in a Recognized Loss
6	72	Proof of Claim Did Not Result in a Recognized Loss
7	73	Proof of Claim Did Not Result in a Recognized Loss
8	74	Deficient Proof of Claim Never Cured
9	79	Deficient Proof of Claim Never Cured
10	80	Deficient Proof of Claim Never Cured
11	83	Deficient Proof of Claim Never Cured
12	90	Proof of Claim Did Not Result in a Recognized Loss
13	91	Proof of Claim Did Not Result in a Recognized Loss
14	92	Proof of Claim Did Not Fit the Class Definition
15	94	Proof of Claim Did Not Result in a Recognized Loss
16	1000124	Proof of Claim Did Not Fit the Class Definition
17	1000202	Proof of Claim Did Not Fit the Class Definition
18	1000363	Proof of Claim Did Not Result in a Recognized Loss
19	1000398	Deficient Proof of Claim Never Cured
20	1000404	Deficient Proof of Claim Never Cured
21	1000708	Proof of Claim Did Not Result in a Recognized Loss
22	1000788	Deficient Proof of Claim Never Cured
23	1000814	Deficient Proof of Claim Never Cured
24	1000942	Deficient Proof of Claim Never Cured
25	1000954	Deficient Proof of Claim Never Cured
26	1000957	Proof of Claim Did Not Result in a Recognized Loss
27	1001077	Deficient Proof of Claim Never Cured
28	1001089	Deficient Proof of Claim Never Cured
29	1001417	Deficient Proof of Claim Never Cured
30	1001501	Deficient Proof of Claim Never Cured
31	1001587	Deficient Proof of Claim Never Cured
32	1001645	Duplicate Proof of Claim
33	1001706	Deficient Proof of Claim Never Cured
34	1001720	Proof of Claim Did Not Result in a Recognized Loss
35	1001816	Deficient Proof of Claim Never Cured
36	1001856	Deficient Proof of Claim Never Cured
37	1001860	Deficient Proof of Claim Never Cured
38	1001943	Deficient Proof of Claim Never Cured
39	1001952	Deficient Proof of Claim Never Cured
40	1002012	Deficient Proof of Claim Never Cured
41	1002175	Deficient Proof of Claim Never Cured
42	1002186	Deficient Proof of Claim Never Cured
43	1002223	Deficient Proof of Claim Never Cured
44	1002587	Deficient Proof of Claim Never Cured
45	1002769	Deficient Proof of Claim Never Cured
46	1002813	Deficient Proof of Claim Never Cured
47	1003068	Deficient Proof of Claim Never Cured
48	1003070	Deficient Proof of Claim Never Cured
49	1003097	Deficient Proof of Claim Never Cured
50	1003169	Proof of Claim Did Not Result in a Recognized Loss

*Arena Securities Litigation***Rejected or Ineligible Claims****Exhibit C-3**

#	Claim Number	Reason for Rejection
51	1003183	Deficient Proof of Claim Never Cured
52	1003202	Deficient Proof of Claim Never Cured
53	1003481	Proof of Claim Did Not Result in a Recognized Loss
54	1003606	Deficient Proof of Claim Never Cured
55	1003710	Deficient Proof of Claim Never Cured
56	1003878	Deficient Proof of Claim Never Cured
57	1004138	Deficient Proof of Claim Never Cured
58	1004155	Deficient Proof of Claim Never Cured
59	1004236	Deficient Proof of Claim Never Cured
60	1004322	Proof of Claim Did Not Result in a Recognized Loss
61	1004354	Deficient Proof of Claim Never Cured
62	1004422	Proof of Claim Did Not Result in a Recognized Loss
63	1004423	Proof of Claim Did Not Result in a Recognized Loss
64	1004440	Deficient Proof of Claim Never Cured
65	1004449	Deficient Proof of Claim Never Cured
66	1004630	Deficient Proof of Claim Never Cured
67	1004791	Proof of Claim Did Not Result in a Recognized Loss
68	1004861	Proof of Claim Did Not Result in a Recognized Loss
69	1004875	Proof of Claim Did Not Result in a Recognized Loss
70	1004883	Deficient Proof of Claim Never Cured
71	1005189	Proof of Claim Did Not Result in a Recognized Loss
72	1005216	Deficient Proof of Claim Never Cured
73	1005222	Proof of Claim Did Not Result in a Recognized Loss
74	1005377	Proof of Claim Did Not Result in a Recognized Loss
75	1005404	Deficient Proof of Claim Never Cured
76	1005446	Proof of Claim Did Not Result in a Recognized Loss
77	1005533	Deficient Proof of Claim Never Cured
78	1005547	Deficient Proof of Claim Never Cured
79	1005552	Proof of Claim Did Not Result in a Recognized Loss
80	1005687	Proof of Claim Did Not Result in a Recognized Loss
81	1005758	Proof of Claim Did Not Result in a Recognized Loss
82	1005867	Deficient Proof of Claim Never Cured
83	1005877	Proof of Claim Did Not Result in a Recognized Loss
84	1005986	Deficient Proof of Claim Never Cured
85	1006107	Proof of Claim Did Not Result in a Recognized Loss
86	1006280	Deficient Proof of Claim Never Cured
87	1006351	Deficient Proof of Claim Never Cured
88	1006400	Proof of Claim Did Not Result in a Recognized Loss
89	1006404	Proof of Claim Did Not Result in a Recognized Loss
90	1006438	Proof of Claim Did Not Result in a Recognized Loss
91	1006451	Proof of Claim Did Not Result in a Recognized Loss
92	1006620	Proof of Claim Did Not Result in a Recognized Loss
93	1006636	Proof of Claim Did Not Result in a Recognized Loss
94	1006644	Deficient Proof of Claim Never Cured
95	1006742	Proof of Claim Did Not Result in a Recognized Loss
96	1006761	Deficient Proof of Claim Never Cured
97	1006838	Proof of Claim Did Not Result in a Recognized Loss
98	1006839	Proof of Claim Did Not Result in a Recognized Loss
99	1007046	Deficient Proof of Claim Never Cured
100	1007210	Proof of Claim Did Not Result in a Recognized Loss

*Arena Securities Litigation***Rejected or Ineligible Claims****Exhibit C-3**

#	Claim Number	Reason for Rejection
101	1007498	Proof of Claim Did Not Result in a Recognized Loss
102	1007552	Deficient Proof of Claim Never Cured
103	1007563	Proof of Claim Did Not Fit the Class Definition
104	1007567	Deficient Proof of Claim Never Cured
105	1007638	Deficient Proof of Claim Never Cured
106	1007707	Proof of Claim Did Not Result in a Recognized Loss
107	1007741	Proof of Claim Did Not Result in a Recognized Loss
108	1007771	Proof of Claim Did Not Result in a Recognized Loss
109	1008055	Deficient Proof of Claim Never Cured
110	1008071	Proof of Claim Did Not Result in a Recognized Loss
111	1008073	Deficient Proof of Claim Never Cured
112	1008350	Proof of Claim Did Not Result in a Recognized Loss
113	1008393	Proof of Claim Did Not Result in a Recognized Loss
114	1008449	Deficient Proof of Claim Never Cured
115	1008519	Proof of Claim Did Not Result in a Recognized Loss
116	1008579	Deficient Proof of Claim Never Cured
117	1008650	Deficient Proof of Claim Never Cured
118	1008670	Deficient Proof of Claim Never Cured
119	1008671	Proof of Claim Did Not Result in a Recognized Loss
120	1008723	Deficient Proof of Claim Never Cured
121	1008724	Deficient Proof of Claim Never Cured
122	1008752	Deficient Proof of Claim Never Cured
123	1008811	Proof of Claim Did Not Result in a Recognized Loss
124	1008829	Deficient Proof of Claim Never Cured
125	1008894	Deficient Proof of Claim Never Cured
126	1008922	Deficient Proof of Claim Never Cured
127	1008968	Proof of Claim Did Not Result in a Recognized Loss
128	1008984	Deficient Proof of Claim Never Cured
129	1008995	Deficient Proof of Claim Never Cured
130	1009045	Deficient Proof of Claim Never Cured
131	1009141	Deficient Proof of Claim Never Cured
132	1009157	Proof of Claim Did Not Result in a Recognized Loss
133	1009163	Proof of Claim Did Not Result in a Recognized Loss
134	1009201	Deficient Proof of Claim Never Cured
135	1009211	Proof of Claim Did Not Result in a Recognized Loss
136	1009253	Deficient Proof of Claim Never Cured
137	1009254	Proof of Claim Did Not Fit the Class Definition
138	1009332	Deficient Proof of Claim Never Cured
139	1009336	Deficient Proof of Claim Never Cured
140	1009370	Deficient Proof of Claim Never Cured
141	1009391	Deficient Proof of Claim Never Cured
142	1009449	Proof of Claim Did Not Result in a Recognized Loss
143	1009520	Proof of Claim Did Not Result in a Recognized Loss
144	1009527	Proof of Claim Did Not Fit the Class Definition
145	1009541	Proof of Claim Did Not Result in a Recognized Loss
146	1009593	Deficient Proof of Claim Never Cured
147	1009673	Deficient Proof of Claim Never Cured
148	1009711	Proof of Claim Did Not Result in a Recognized Loss
149	1009840	Deficient Proof of Claim Never Cured
150	1010056	Deficient Proof of Claim Never Cured

*Arena Securities Litigation***Rejected or Ineligible Claims****Exhibit C-3**

#	Claim Number	Reason for Rejection
151	1010114	Proof of Claim Did Not Result in a Recognized Loss
152	1010116	Proof of Claim Did Not Result in a Recognized Loss
153	1010139	Deficient Proof of Claim Never Cured
154	1010166	Deficient Proof of Claim Never Cured
155	1010307	Proof of Claim Did Not Result in a Recognized Loss
156	1010445	Proof of Claim Did Not Result in a Recognized Loss
157	1010496	Proof of Claim Did Not Result in a Recognized Loss
158	1010526	Deficient Proof of Claim Never Cured
159	1010537	Deficient Proof of Claim Never Cured
160	1010547	Proof of Claim Did Not Result in a Recognized Loss
161	1010562	Deficient Proof of Claim Never Cured
162	1010569	Deficient Proof of Claim Never Cured
163	1010586	Proof of Claim Did Not Result in a Recognized Loss
164	1010612	Deficient Proof of Claim Never Cured
165	1010681	Proof of Claim Did Not Result in a Recognized Loss
166	1010807	Deficient Proof of Claim Never Cured
167	1010969	Proof of Claim Did Not Result in a Recognized Loss
168	1010998	Proof of Claim Did Not Result in a Recognized Loss
169	1011108	Proof of Claim Did Not Result in a Recognized Loss
170	1011156	Deficient Proof of Claim Never Cured
171	1011253	Deficient Proof of Claim Never Cured
172	1011277	Deficient Proof of Claim Never Cured
173	1011281	Proof of Claim Did Not Result in a Recognized Loss
174	1011306	Deficient Proof of Claim Never Cured
175	1011366	Deficient Proof of Claim Never Cured
176	1011411	Deficient Proof of Claim Never Cured
177	1011414	Deficient Proof of Claim Never Cured
178	1011452	Deficient Proof of Claim Never Cured
179	1011555	Proof of Claim Did Not Result in a Recognized Loss
180	1011559	Proof of Claim Did Not Fit the Class Definition
181	1011829	Deficient Proof of Claim Never Cured
182	1011842	Deficient Proof of Claim Never Cured
183	1011845	Deficient Proof of Claim Never Cured
184	1011911	Proof of Claim Did Not Result in a Recognized Loss
185	1011922	Proof of Claim Did Not Fit the Class Definition
186	1011966	Proof of Claim Did Not Result in a Recognized Loss
187	1012106	Deficient Proof of Claim Never Cured
188	1012212	Deficient Proof of Claim Never Cured
189	1012255	Proof of Claim Did Not Result in a Recognized Loss
190	1012263	Proof of Claim Did Not Result in a Recognized Loss
191	1012277	Proof of Claim Did Not Result in a Recognized Loss
192	1012407	Deficient Proof of Claim Never Cured
193	1012494	Proof of Claim Did Not Result in a Recognized Loss
194	1012500	Deficient Proof of Claim Never Cured
195	1012671	Proof of Claim Did Not Result in a Recognized Loss
196	1012679	Proof of Claim Did Not Result in a Recognized Loss
197	1012721	Proof of Claim Did Not Result in a Recognized Loss
198	1012780	Proof of Claim Did Not Result in a Recognized Loss
199	1012790	Proof of Claim Did Not Result in a Recognized Loss
200	1012808	Deficient Proof of Claim Never Cured

*Arena Securities Litigation***Rejected or Ineligible Claims****Exhibit C-3**

#	Claim Number	Reason for Rejection
201	1012861	Deficient Proof of Claim Never Cured
202	1012868	Deficient Proof of Claim Never Cured
203	1012905	Deficient Proof of Claim Never Cured
204	1012916	Deficient Proof of Claim Never Cured
205	1012921	Proof of Claim Did Not Result in a Recognized Loss
206	1012981	Proof of Claim Did Not Result in a Recognized Loss
207	1013192	Proof of Claim Did Not Result in a Recognized Loss
208	1013304	Deficient Proof of Claim Never Cured
209	1013356	Proof of Claim Did Not Result in a Recognized Loss
210	1013392	Proof of Claim Did Not Result in a Recognized Loss
211	1013398	Deficient Proof of Claim Never Cured
212	1013446	Deficient Proof of Claim Never Cured
213	1013516	Deficient Proof of Claim Never Cured
214	1013601	Deficient Proof of Claim Never Cured
215	1013736	Proof of Claim Did Not Result in a Recognized Loss
216	1013921	Deficient Proof of Claim Never Cured
217	1013922	Deficient Proof of Claim Never Cured
218	1013949	Proof of Claim Did Not Result in a Recognized Loss
219	1013979	Deficient Proof of Claim Never Cured
220	1014031	Proof of Claim Did Not Result in a Recognized Loss
221	1014124	Proof of Claim Did Not Result in a Recognized Loss
222	1014137	Proof of Claim Did Not Result in a Recognized Loss
223	1014257	Deficient Proof of Claim Never Cured
224	1014359	Deficient Proof of Claim Never Cured
225	1014374	Deficient Proof of Claim Never Cured
226	1014393	Proof of Claim Did Not Result in a Recognized Loss
227	1014453	Proof of Claim Did Not Result in a Recognized Loss
228	1014561	Proof of Claim Did Not Result in a Recognized Loss
229	1014623	Proof of Claim Did Not Result in a Recognized Loss
230	1014667	Deficient Proof of Claim Never Cured
231	1014756	Proof of Claim Did Not Result in a Recognized Loss
232	1014827	Duplicate Proof of Claim
233	1014924	Proof of Claim Did Not Result in a Recognized Loss
234	1014930	Deficient Proof of Claim Never Cured
235	1014951	Proof of Claim Did Not Result in a Recognized Loss
236	1015216	Proof of Claim Did Not Result in a Recognized Loss
237	1015267	Proof of Claim Did Not Fit the Class Definition
238	1015369	Deficient Proof of Claim Never Cured
239	1015430	Deficient Proof of Claim Never Cured
240	1015500	Deficient Proof of Claim Never Cured
241	1015504	Proof of Claim Did Not Result in a Recognized Loss
242	1015608	Deficient Proof of Claim Never Cured
243	1015611	Deficient Proof of Claim Never Cured
244	1015639	Deficient Proof of Claim Never Cured
245	1015840	Proof of Claim Did Not Result in a Recognized Loss
246	1015860	Deficient Proof of Claim Never Cured
247	1016006	Duplicate Proof of Claim
248	1016016	Proof of Claim Did Not Result in a Recognized Loss
249	1016157	Proof of Claim Did Not Result in a Recognized Loss
250	1016166	Deficient Proof of Claim Never Cured

*Arena Securities Litigation***Rejected or Ineligible Claims****Exhibit C-3**

#	Claim Number	Reason for Rejection
251	1016277	Deficient Proof of Claim Never Cured
252	1016316	Proof of Claim Did Not Result in a Recognized Loss
253	1016403	Proof of Claim Did Not Result in a Recognized Loss
254	1016475	Deficient Proof of Claim Never Cured
255	1016500	Proof of Claim Did Not Result in a Recognized Loss
256	1016583	Proof of Claim Did Not Result in a Recognized Loss
257	1016686	Proof of Claim Did Not Result in a Recognized Loss
258	1016687	Proof of Claim Did Not Result in a Recognized Loss
259	1016694	Proof of Claim Did Not Result in a Recognized Loss
260	1016709	Proof of Claim Did Not Result in a Recognized Loss
261	1016815	Proof of Claim Did Not Result in a Recognized Loss
262	1016841	Deficient Proof of Claim Never Cured
263	1016896	Deficient Proof of Claim Never Cured
264	1017020	Deficient Proof of Claim Never Cured
265	1017280	Deficient Proof of Claim Never Cured
266	1017313	Deficient Proof of Claim Never Cured
267	1017536	Deficient Proof of Claim Never Cured
268	1017633	Proof of Claim Did Not Result in a Recognized Loss
269	1017665	Proof of Claim Did Not Result in a Recognized Loss
270	1017686	Deficient Proof of Claim Never Cured
271	1017783	Deficient Proof of Claim Never Cured
272	1017787	Proof of Claim Did Not Result in a Recognized Loss
273	1017813	Proof of Claim Did Not Result in a Recognized Loss
274	1017823	Proof of Claim Did Not Result in a Recognized Loss
275	1017860	Proof of Claim Did Not Result in a Recognized Loss
276	1018182	Proof of Claim Did Not Result in a Recognized Loss
277	1018258	Proof of Claim Did Not Result in a Recognized Loss
278	1018267	Proof of Claim Did Not Result in a Recognized Loss
279	1018276	Deficient Proof of Claim Never Cured
280	1018305	Deficient Proof of Claim Never Cured
281	1018320	Deficient Proof of Claim Never Cured
282	1018571	Deficient Proof of Claim Never Cured
283	1018677	Proof of Claim Did Not Result in a Recognized Loss
284	1018726	Proof of Claim Did Not Result in a Recognized Loss
285	1018806	Proof of Claim Did Not Fit the Class Definition
286	1018858	Proof of Claim Did Not Result in a Recognized Loss
287	1018915	Proof of Claim Did Not Result in a Recognized Loss
288	1018944	Deficient Proof of Claim Never Cured
289	1018990	Deficient Proof of Claim Never Cured
290	1019037	Proof of Claim Did Not Result in a Recognized Loss
291	1019061	Deficient Proof of Claim Never Cured
292	1019065	Proof of Claim Did Not Result in a Recognized Loss
293	1019127	Proof of Claim Did Not Result in a Recognized Loss
294	1019213	Deficient Proof of Claim Never Cured
295	1019323	Deficient Proof of Claim Never Cured
296	1019422	Deficient Proof of Claim Never Cured
297	1019609	Proof of Claim Did Not Result in a Recognized Loss
298	1019626	Proof of Claim Did Not Result in a Recognized Loss
299	1019743	Proof of Claim Did Not Result in a Recognized Loss
300	1019794	Duplicate Proof of Claim

*Arena Securities Litigation***Rejected or Ineligible Claims****Exhibit C-3**

#	Claim Number	Reason for Rejection
301	1019806	Proof of Claim Did Not Result in a Recognized Loss
302	1019823	Proof of Claim Did Not Result in a Recognized Loss
303	1019860	Proof of Claim Did Not Result in a Recognized Loss
304	1019914	Proof of Claim Did Not Result in a Recognized Loss
305	1020287	Deficient Proof of Claim Never Cured
306	1020446	Proof of Claim Did Not Result in a Recognized Loss
307	1020499	Proof of Claim Did Not Result in a Recognized Loss
308	1020524	Proof of Claim Did Not Result in a Recognized Loss
309	1020563	Deficient Proof of Claim Never Cured
310	1020661	Proof of Claim Did Not Result in a Recognized Loss
311	1020716	Deficient Proof of Claim Never Cured
312	1020727	Proof of Claim Did Not Result in a Recognized Loss
313	1020749	Proof of Claim Did Not Result in a Recognized Loss
314	1020800	Proof of Claim Did Not Result in a Recognized Loss
315	1020954	Proof of Claim Did Not Result in a Recognized Loss
316	1021066	Proof of Claim Did Not Result in a Recognized Loss
317	1021068	Duplicate Proof of Claim
318	1021077	Deficient Proof of Claim Never Cured
319	1021148	Deficient Proof of Claim Never Cured
320	1021230	Proof of Claim Did Not Result in a Recognized Loss
321	1021235	Proof of Claim Did Not Result in a Recognized Loss
322	1021368	Proof of Claim Did Not Result in a Recognized Loss
323	1021448	Deficient Proof of Claim Never Cured
324	1021466	Proof of Claim Did Not Result in a Recognized Loss
325	1021550	Proof of Claim Did Not Result in a Recognized Loss
326	1021553	Deficient Proof of Claim Never Cured
327	1021626	Proof of Claim Did Not Result in a Recognized Loss
328	1021689	Deficient Proof of Claim Never Cured
329	1021731	Proof of Claim Did Not Result in a Recognized Loss
330	1021806	Deficient Proof of Claim Never Cured
331	1021814	Proof of Claim Did Not Fit the Class Definition
332	1021836	Proof of Claim Did Not Result in a Recognized Loss
333	1021884	Proof of Claim Did Not Result in a Recognized Loss
334	1022092	Proof of Claim Did Not Result in a Recognized Loss
335	1022106	Deficient Proof of Claim Never Cured
336	1022149	Proof of Claim Did Not Fit the Class Definition
337	1022182	Proof of Claim Did Not Result in a Recognized Loss
338	1022328	Proof of Claim Did Not Result in a Recognized Loss
339	1022346	Proof of Claim Did Not Result in a Recognized Loss
340	1022453	Proof of Claim Did Not Result in a Recognized Loss
341	1022541	Proof of Claim Did Not Result in a Recognized Loss
342	1022679	Deficient Proof of Claim Never Cured
343	1022742	Deficient Proof of Claim Never Cured
344	1022937	Proof of Claim Did Not Result in a Recognized Loss
345	1023004	Proof of Claim Did Not Result in a Recognized Loss
346	1023041	Proof of Claim Did Not Result in a Recognized Loss
347	1023174	Proof of Claim Did Not Result in a Recognized Loss
348	1023178	Deficient Proof of Claim Never Cured
349	1023182	Proof of Claim Did Not Result in a Recognized Loss
350	1023194	Proof of Claim Did Not Result in a Recognized Loss

*Arena Securities Litigation***Rejected or Ineligible Claims****Exhibit C-3**

#	Claim Number	Reason for Rejection
351	1023316	Deficient Proof of Claim Never Cured
352	1023422	Proof of Claim Did Not Result in a Recognized Loss
353	1023446	Proof of Claim Did Not Result in a Recognized Loss
354	1023611	Proof of Claim Did Not Fit the Class Definition
355	1023626	Proof of Claim Did Not Result in a Recognized Loss
356	1023638	Deficient Proof of Claim Never Cured
357	1023690	Deficient Proof of Claim Never Cured
358	1023731	Proof of Claim Did Not Result in a Recognized Loss
359	1023780	Deficient Proof of Claim Never Cured
360	1023985	Deficient Proof of Claim Never Cured
361	1023991	Proof of Claim Did Not Result in a Recognized Loss
362	1024017	Deficient Proof of Claim Never Cured
363	1024031	Proof of Claim Did Not Result in a Recognized Loss
364	1024130	Deficient Proof of Claim Never Cured
365	1024160	Deficient Proof of Claim Never Cured
366	1024190	Proof of Claim Did Not Result in a Recognized Loss
367	1024284	Proof of Claim Did Not Result in a Recognized Loss
368	1024296	Proof of Claim Did Not Result in a Recognized Loss
369	1024306	Proof of Claim Did Not Result in a Recognized Loss
370	1024331	Deficient Proof of Claim Never Cured
371	1024396	Proof of Claim Did Not Result in a Recognized Loss
372	1024431	Proof of Claim Did Not Result in a Recognized Loss
373	1024465	Proof of Claim Did Not Result in a Recognized Loss
374	1024492	Proof of Claim Did Not Result in a Recognized Loss
375	1024637	Deficient Proof of Claim Never Cured
376	1024687	Proof of Claim Did Not Result in a Recognized Loss
377	1024751	Deficient Proof of Claim Never Cured
378	1024786	Deficient Proof of Claim Never Cured
379	1024834	Proof of Claim Did Not Result in a Recognized Loss
380	1025123	Proof of Claim Did Not Result in a Recognized Loss
381	1025212	Proof of Claim Did Not Result in a Recognized Loss
382	1025381	Deficient Proof of Claim Never Cured
383	1025492	Proof of Claim Did Not Result in a Recognized Loss
384	1025543	Deficient Proof of Claim Never Cured
385	1025561	Proof of Claim Did Not Result in a Recognized Loss
386	1025714	Deficient Proof of Claim Never Cured
387	1025721	Proof of Claim Did Not Result in a Recognized Loss
388	1025751	Deficient Proof of Claim Never Cured
389	1025782	Deficient Proof of Claim Never Cured
390	1025884	Proof of Claim Did Not Result in a Recognized Loss
391	1025996	Deficient Proof of Claim Never Cured
392	1026039	Deficient Proof of Claim Never Cured
393	1026177	Deficient Proof of Claim Never Cured
394	1026280	Proof of Claim Did Not Result in a Recognized Loss
395	1026361	Proof of Claim Did Not Result in a Recognized Loss
396	1026372	Deficient Proof of Claim Never Cured
397	1026383	Proof of Claim Did Not Result in a Recognized Loss
398	1026472	Deficient Proof of Claim Never Cured
399	1026516	Deficient Proof of Claim Never Cured
400	1026623	Deficient Proof of Claim Never Cured

*Arena Securities Litigation***Rejected or Ineligible Claims****Exhibit C-3**

#	Claim Number	Reason for Rejection
401	1026687	Proof of Claim Did Not Result in a Recognized Loss
402	1026746	Deficient Proof of Claim Never Cured
403	1026919	Deficient Proof of Claim Never Cured
404	1027030	Deficient Proof of Claim Never Cured
405	1027039	Deficient Proof of Claim Never Cured
406	1027195	Deficient Proof of Claim Never Cured
407	1027362	Proof of Claim Did Not Result in a Recognized Loss
408	1027416	Proof of Claim Did Not Result in a Recognized Loss
409	1027460	Proof of Claim Did Not Result in a Recognized Loss
410	1027485	Deficient Proof of Claim Never Cured
411	1027499	Deficient Proof of Claim Never Cured
412	1027518	Proof of Claim Did Not Result in a Recognized Loss
413	1027575	Proof of Claim Did Not Result in a Recognized Loss
414	1027714	Proof of Claim Did Not Result in a Recognized Loss
415	1027718	Deficient Proof of Claim Never Cured
416	1027864	Proof of Claim Did Not Result in a Recognized Loss
417	1027958	Deficient Proof of Claim Never Cured
418	1027959	Deficient Proof of Claim Never Cured
419	1027961	Proof of Claim Did Not Result in a Recognized Loss
420	1028023	Proof of Claim Did Not Result in a Recognized Loss
421	1028024	Proof of Claim Did Not Result in a Recognized Loss
422	1028029	Deficient Proof of Claim Never Cured
423	1028067	Proof of Claim Did Not Fit the Class Definition
424	1028197	Proof of Claim Did Not Result in a Recognized Loss
425	1028218	Deficient Proof of Claim Never Cured
426	1028261	Proof of Claim Did Not Result in a Recognized Loss
427	1028266	Deficient Proof of Claim Never Cured
428	1028272	Proof of Claim Did Not Result in a Recognized Loss
429	1028307	Deficient Proof of Claim Never Cured
430	1028335	Proof of Claim Did Not Result in a Recognized Loss
431	1028411	Deficient Proof of Claim Never Cured
432	1028522	Deficient Proof of Claim Never Cured
433	1028526	Deficient Proof of Claim Never Cured
434	1028588	Deficient Proof of Claim Never Cured
435	1028631	Deficient Proof of Claim Never Cured
436	1028636	Proof of Claim Did Not Result in a Recognized Loss
437	1028656	Proof of Claim Did Not Result in a Recognized Loss
438	1028727	Deficient Proof of Claim Never Cured
439	1028747	Deficient Proof of Claim Never Cured
440	1028781	Deficient Proof of Claim Never Cured
441	1028798	Proof of Claim Did Not Result in a Recognized Loss
442	1028906	Proof of Claim Did Not Result in a Recognized Loss
443	1028910	Proof of Claim Did Not Result in a Recognized Loss
444	1028926	Deficient Proof of Claim Never Cured
445	1029061	Deficient Proof of Claim Never Cured
446	1029092	Proof of Claim Did Not Result in a Recognized Loss
447	1029316	Deficient Proof of Claim Never Cured
448	1029348	Proof of Claim Did Not Result in a Recognized Loss
449	1029374	Deficient Proof of Claim Never Cured
450	1029669	Proof of Claim Did Not Result in a Recognized Loss

*Arena Securities Litigation***Rejected or Ineligible Claims****Exhibit C-3**

#	Claim Number	Reason for Rejection
451	1029775	Proof of Claim Did Not Result in a Recognized Loss
452	1029996	Proof of Claim Did Not Result in a Recognized Loss
453	1030020	Deficient Proof of Claim Never Cured
454	1030023	Deficient Proof of Claim Never Cured
455	1030121	Proof of Claim Did Not Result in a Recognized Loss
456	1030303	Deficient Proof of Claim Never Cured
457	1030364	Deficient Proof of Claim Never Cured
458	1030450	Deficient Proof of Claim Never Cured
459	1030478	Deficient Proof of Claim Never Cured
460	1030488	Deficient Proof of Claim Never Cured
461	1030736	Proof of Claim Did Not Result in a Recognized Loss
462	1030737	Proof of Claim Did Not Result in a Recognized Loss
463	1031099	Deficient Proof of Claim Never Cured
464	1031140	Duplicate Proof of Claim
465	1031145	Deficient Proof of Claim Never Cured
466	1031184	Deficient Proof of Claim Never Cured
467	1031189	Deficient Proof of Claim Never Cured
468	1031220	Proof of Claim Did Not Result in a Recognized Loss
469	1031264	Proof of Claim Did Not Result in a Recognized Loss
470	1031353	Proof of Claim Did Not Result in a Recognized Loss
471	1031423	Deficient Proof of Claim Never Cured
472	1031549	Proof of Claim Did Not Result in a Recognized Loss
473	1031554	Deficient Proof of Claim Never Cured
474	1031621	Proof of Claim Did Not Result in a Recognized Loss
475	1031760	Proof of Claim Did Not Result in a Recognized Loss
476	1031793	Deficient Proof of Claim Never Cured
477	1031832	Deficient Proof of Claim Never Cured
478	1032177	Proof of Claim Did Not Result in a Recognized Loss
479	1032248	Deficient Proof of Claim Never Cured
480	1032296	Deficient Proof of Claim Never Cured
481	1032552	Deficient Proof of Claim Never Cured
482	1032953	Deficient Proof of Claim Never Cured
483	1033021	Proof of Claim Did Not Result in a Recognized Loss
484	1033764	Proof of Claim Did Not Result in a Recognized Loss
485	1033806	Proof of Claim Did Not Result in a Recognized Loss
486	1034123	Proof of Claim Did Not Result in a Recognized Loss
487	1034146	Deficient Proof of Claim Never Cured
488	1034174	Duplicate Proof of Claim
489	1034387	Proof of Claim Did Not Result in a Recognized Loss
490	1034388	Proof of Claim Did Not Result in a Recognized Loss
491	1034455	Proof of Claim Did Not Result in a Recognized Loss
492	1034501	Proof of Claim Did Not Result in a Recognized Loss
493	1034510	Deficient Proof of Claim Never Cured
494	1034612	Proof of Claim Did Not Result in a Recognized Loss
495	1034675	Deficient Proof of Claim Never Cured
496	1034679	Proof of Claim Did Not Fit the Class Definition
497	1034693	Deficient Proof of Claim Never Cured
498	1035038	Deficient Proof of Claim Never Cured
499	1035061	Deficient Proof of Claim Never Cured
500	1035078	Deficient Proof of Claim Never Cured

*Arena Securities Litigation***Rejected or Ineligible Claims****Exhibit C-3**

#	Claim Number	Reason for Rejection
501	1035217	Proof of Claim Did Not Result in a Recognized Loss
502	1035292	Deficient Proof of Claim Never Cured
503	1035357	Proof of Claim Did Not Result in a Recognized Loss
504	1035429	Proof of Claim Did Not Result in a Recognized Loss
505	1035473	Deficient Proof of Claim Never Cured
506	1035526	Deficient Proof of Claim Never Cured
507	1035546	Proof of Claim Did Not Result in a Recognized Loss
508	1035606	Deficient Proof of Claim Never Cured
509	1035613	Deficient Proof of Claim Never Cured
510	1035627	Proof of Claim Did Not Fit the Class Definition
511	1035637	Proof of Claim Did Not Result in a Recognized Loss
512	1035638	Deficient Proof of Claim Never Cured
513	1035643	Deficient Proof of Claim Never Cured
514	1035684	Proof of Claim Did Not Result in a Recognized Loss
515	1035788	Proof of Claim Did Not Fit the Class Definition
516	1035851	Deficient Proof of Claim Never Cured
517	1035973	Deficient Proof of Claim Never Cured
518	1035991	Proof of Claim Did Not Result in a Recognized Loss
519	1036006	Deficient Proof of Claim Never Cured
520	1036084	Proof of Claim Did Not Result in a Recognized Loss
521	1036136	Proof of Claim Did Not Fit the Class Definition
522	1036211	Proof of Claim Did Not Fit the Class Definition
523	1036233	Proof of Claim Did Not Fit the Class Definition
524	1036260	Deficient Proof of Claim Never Cured
525	1036301	Deficient Proof of Claim Never Cured
526	1036489	Deficient Proof of Claim Never Cured
527	1036572	Deficient Proof of Claim Never Cured
528	1036731	Proof of Claim Did Not Result in a Recognized Loss
529	1036746	Proof of Claim Did Not Result in a Recognized Loss
530	1036771	Proof of Claim Did Not Result in a Recognized Loss
531	1036772	Deficient Proof of Claim Never Cured
532	1036843	Proof of Claim Did Not Result in a Recognized Loss
533	1036937	Deficient Proof of Claim Never Cured
534	1036953	Deficient Proof of Claim Never Cured
535	1037070	Proof of Claim Did Not Result in a Recognized Loss
536	1037075	Proof of Claim Did Not Result in a Recognized Loss
537	1037120	Deficient Proof of Claim Never Cured
538	1037145	Proof of Claim Did Not Result in a Recognized Loss
539	1037282	Proof of Claim Did Not Result in a Recognized Loss
540	1037300	Proof of Claim Did Not Result in a Recognized Loss
541	1037310	Deficient Proof of Claim Never Cured
542	1037395	Deficient Proof of Claim Never Cured
543	1037575	Deficient Proof of Claim Never Cured
544	1037593	Deficient Proof of Claim Never Cured
545	1037642	Proof of Claim Did Not Result in a Recognized Loss
546	1037652	Proof of Claim Did Not Result in a Recognized Loss
547	1037700	Proof of Claim Did Not Result in a Recognized Loss
548	1037747	Deficient Proof of Claim Never Cured
549	1037770	Deficient Proof of Claim Never Cured
550	1037894	Proof of Claim Did Not Result in a Recognized Loss

*Arena Securities Litigation***Rejected or Ineligible Claims****Exhibit C-3**

#	Claim Number	Reason for Rejection
551	1038081	Deficient Proof of Claim Never Cured
552	1038102	Proof of Claim Did Not Result in a Recognized Loss
553	1038211	Deficient Proof of Claim Never Cured
554	1038299	Deficient Proof of Claim Never Cured
555	1038305	Proof of Claim Did Not Result in a Recognized Loss
556	1038371	Proof of Claim Did Not Result in a Recognized Loss
557	1038372	Deficient Proof of Claim Never Cured
558	1038483	Deficient Proof of Claim Never Cured
559	1038677	Proof of Claim Did Not Result in a Recognized Loss
560	1038835	Proof of Claim Did Not Result in a Recognized Loss
561	1039013	Deficient Proof of Claim Never Cured
562	1039180	Proof of Claim Did Not Result in a Recognized Loss
563	1039194	Proof of Claim Did Not Fit the Class Definition
564	1039222	Proof of Claim Did Not Result in a Recognized Loss
565	1039245	Deficient Proof of Claim Never Cured
566	1039426	Deficient Proof of Claim Never Cured
567	1039491	Deficient Proof of Claim Never Cured
568	1039537	Proof of Claim Did Not Result in a Recognized Loss
569	1039703	Proof of Claim Did Not Result in a Recognized Loss
570	1039715	Proof of Claim Did Not Fit the Class Definition
571	1039752	Proof of Claim Did Not Result in a Recognized Loss
572	1039949	Proof of Claim Did Not Fit the Class Definition
573	1039957	Deficient Proof of Claim Never Cured
574	1040071	Proof of Claim Did Not Result in a Recognized Loss
575	1040126	Proof of Claim Did Not Fit the Class Definition
576	1040153	Deficient Proof of Claim Never Cured
577	1040161	Deficient Proof of Claim Never Cured
578	1040186	Deficient Proof of Claim Never Cured
579	1040258	Proof of Claim Did Not Result in a Recognized Loss
580	1040267	Deficient Proof of Claim Never Cured
581	1040424	Deficient Proof of Claim Never Cured
582	1040472	Proof of Claim Did Not Fit the Class Definition
583	1040492	Proof of Claim Did Not Result in a Recognized Loss
584	1040651	Deficient Proof of Claim Never Cured
585	1040652	Deficient Proof of Claim Never Cured
586	1040702	Deficient Proof of Claim Never Cured
587	1040768	Proof of Claim Did Not Fit the Class Definition
588	1040940	Proof of Claim Did Not Fit the Class Definition
589	1041002	Deficient Proof of Claim Never Cured
590	1041027	Deficient Proof of Claim Never Cured
591	1041059	Deficient Proof of Claim Never Cured
592	1041060	Proof of Claim Did Not Fit the Class Definition
593	1041080	Deficient Proof of Claim Never Cured
594	1041137	Proof of Claim Did Not Fit the Class Definition
595	1041190	Deficient Proof of Claim Never Cured
596	1041199	Deficient Proof of Claim Never Cured
597	1041207	Deficient Proof of Claim Never Cured
598	1041608	Deficient Proof of Claim Never Cured
599	1041824	Proof of Claim Did Not Fit the Class Definition
600	1041848	Proof of Claim Did Not Fit the Class Definition

*Arena Securities Litigation***Rejected or Ineligible Claims****Exhibit C-3**

#	Claim Number	Reason for Rejection
601	1041873	Deficient Proof of Claim Never Cured
602	1041978	Deficient Proof of Claim Never Cured
603	1042000	Deficient Proof of Claim Never Cured
604	1042059	Proof of Claim Did Not Result in a Recognized Loss
605	1042064	Proof of Claim Did Not Result in a Recognized Loss
606	1042080	Proof of Claim Did Not Result in a Recognized Loss
607	1042127	Deficient Proof of Claim Never Cured
608	1042158	Proof of Claim Did Not Result in a Recognized Loss
609	1042159	Deficient Proof of Claim Never Cured
610	1042203	Proof of Claim Did Not Result in a Recognized Loss
611	1042228	Deficient Proof of Claim Never Cured
612	1042247	Deficient Proof of Claim Never Cured
613	1042249	Deficient Proof of Claim Never Cured
614	1042323	Proof of Claim Did Not Result in a Recognized Loss
615	1042325	Proof of Claim Did Not Fit the Class Definition
616	1042340	Proof of Claim Did Not Result in a Recognized Loss
617	1042354	Proof of Claim Did Not Result in a Recognized Loss
618	1042362	Proof of Claim Did Not Result in a Recognized Loss
619	1042370	Proof of Claim Did Not Result in a Recognized Loss
620	1042371	Proof of Claim Did Not Result in a Recognized Loss
621	1042381	Proof of Claim Did Not Result in a Recognized Loss
622	1042382	Proof of Claim Did Not Result in a Recognized Loss
623	1042383	Proof of Claim Did Not Result in a Recognized Loss
624	1042392	Proof of Claim Did Not Result in a Recognized Loss
625	1042393	Proof of Claim Did Not Result in a Recognized Loss
626	1042397	Proof of Claim Did Not Result in a Recognized Loss
627	1042404	Proof of Claim Did Not Result in a Recognized Loss
628	1042405	Proof of Claim Did Not Result in a Recognized Loss
629	1042407	Proof of Claim Did Not Result in a Recognized Loss
630	1042418	Proof of Claim Did Not Result in a Recognized Loss
631	1042419	Proof of Claim Did Not Result in a Recognized Loss
632	1042429	Proof of Claim Did Not Result in a Recognized Loss
633	1042452	Proof of Claim Did Not Result in a Recognized Loss
634	1042460	Proof of Claim Did Not Result in a Recognized Loss
635	1042464	Proof of Claim Did Not Result in a Recognized Loss
636	1042466	Proof of Claim Did Not Result in a Recognized Loss
637	1042469	Proof of Claim Did Not Result in a Recognized Loss
638	1042470	Proof of Claim Did Not Result in a Recognized Loss
639	1042486	Proof of Claim Did Not Result in a Recognized Loss
640	1042489	Proof of Claim Did Not Result in a Recognized Loss
641	1042526	Proof of Claim Did Not Result in a Recognized Loss
642	1042535	Proof of Claim Did Not Result in a Recognized Loss
643	1042537	Proof of Claim Did Not Result in a Recognized Loss
644	1042583	Deficient Proof of Claim Never Cured
645	1042605	Duplicate Proof of Claim
646	1042853	Deficient Proof of Claim Never Cured
647	1042917	Deficient Proof of Claim Never Cured
648	1042976	Proof of Claim Did Not Result in a Recognized Loss
649	1042992	Deficient Proof of Claim Never Cured
650	1043034	Proof of Claim Did Not Fit the Class Definition

*Arena Securities Litigation***Rejected or Ineligible Claims****Exhibit C-3**

#	Claim Number	Reason for Rejection
651	1043067	Proof of Claim Did Not Fit the Class Definition
652	1043131	Proof of Claim Did Not Fit the Class Definition
653	1043149	Proof of Claim Did Not Result in a Recognized Loss
654	1043163	Proof of Claim Did Not Result in a Recognized Loss
655	1043164	Proof of Claim Did Not Result in a Recognized Loss
656	1043187	Proof of Claim Did Not Result in a Recognized Loss
657	1043209	Proof of Claim Did Not Result in a Recognized Loss
658	1043297	Deficient Proof of Claim Never Cured
659	1043312	Proof of Claim Did Not Result in a Recognized Loss
660	1043313	Proof of Claim Did Not Result in a Recognized Loss
661	1043343	Proof of Claim Did Not Result in a Recognized Loss
662	1043360	Proof of Claim Did Not Result in a Recognized Loss
663	1043361	Proof of Claim Did Not Result in a Recognized Loss
664	1043365	Proof of Claim Did Not Result in a Recognized Loss
665	1043366	Proof of Claim Did Not Result in a Recognized Loss
666	1043381	Proof of Claim Did Not Result in a Recognized Loss
667	1043436	Deficient Proof of Claim Never Cured
668	1043550	Proof of Claim Did Not Result in a Recognized Loss
669	1043557	Proof of Claim Did Not Result in a Recognized Loss
670	1043565	Deficient Proof of Claim Never Cured
671	1043584	Deficient Proof of Claim Never Cured
672	1043709	Proof of Claim Did Not Result in a Recognized Loss
673	1043710	Proof of Claim Did Not Result in a Recognized Loss
674	1043782	Deficient Proof of Claim Never Cured
675	1043795	Proof of Claim Did Not Result in a Recognized Loss
676	1043997	Deficient Proof of Claim Never Cured
677	1043999	Proof of Claim Did Not Result in a Recognized Loss
678	1044136	Deficient Proof of Claim Never Cured
679	1044193	Proof of Claim Did Not Result in a Recognized Loss
680	1044305	Deficient Proof of Claim Never Cured
681	1044357	Deficient Proof of Claim Never Cured
682	1044410	Deficient Proof of Claim Never Cured
683	1044425	Deficient Proof of Claim Never Cured
684	1044460	Proof of Claim Did Not Result in a Recognized Loss
685	1044464	Proof of Claim Did Not Result in a Recognized Loss
686	1044507	Deficient Proof of Claim Never Cured
687	1044614	Deficient Proof of Claim Never Cured
688	1044694	Proof of Claim Did Not Result in a Recognized Loss
689	1044701	Deficient Proof of Claim Never Cured
690	1044769	Proof of Claim Did Not Result in a Recognized Loss
691	1044927	Deficient Proof of Claim Never Cured
692	1044981	Proof of Claim Did Not Result in a Recognized Loss
693	1045028	Proof of Claim Did Not Result in a Recognized Loss
694	1045189	Proof of Claim Did Not Result in a Recognized Loss
695	1045243	Deficient Proof of Claim Never Cured
696	1045259	Deficient Proof of Claim Never Cured
697	1045267	Deficient Proof of Claim Never Cured
698	1045729	Deficient Proof of Claim Never Cured
699	1045835	Deficient Proof of Claim Never Cured
700	1045846	Proof of Claim Did Not Result in a Recognized Loss

*Arena Securities Litigation***Rejected or Ineligible Claims****Exhibit C-3**

#	Claim Number	Reason for Rejection
701	1045980	Proof of Claim Did Not Result in a Recognized Loss
702	1045985	Proof of Claim Did Not Result in a Recognized Loss
703	1046373	Deficient Proof of Claim Never Cured
704	1046400	Deficient Proof of Claim Never Cured
705	1046402	Deficient Proof of Claim Never Cured
706	1046437	Deficient Proof of Claim Never Cured
707	1046486	Deficient Proof of Claim Never Cured
708	1046498	Deficient Proof of Claim Never Cured
709	1046510	Proof of Claim Did Not Result in a Recognized Loss
710	1046530	Deficient Proof of Claim Never Cured
711	1046621	Deficient Proof of Claim Never Cured
712	1046792	Deficient Proof of Claim Never Cured
713	1046846	Proof of Claim Did Not Result in a Recognized Loss
714	1046992	Proof of Claim Did Not Result in a Recognized Loss
715	1046994	Proof of Claim Did Not Result in a Recognized Loss
716	1047046	Proof of Claim Did Not Result in a Recognized Loss
717	1047175	Deficient Proof of Claim Never Cured
718	1047345	Deficient Proof of Claim Never Cured
719	1047661	Deficient Proof of Claim Never Cured
720	1047750	Deficient Proof of Claim Never Cured
721	1047751	Proof of Claim Did Not Result in a Recognized Loss
722	1047799	Deficient Proof of Claim Never Cured
723	1047835	Deficient Proof of Claim Never Cured
724	1047839	Deficient Proof of Claim Never Cured
725	1047913	Deficient Proof of Claim Never Cured
726	1047963	Deficient Proof of Claim Never Cured
727	1048033	Proof of Claim Did Not Result in a Recognized Loss
728	1048123	Proof of Claim Did Not Result in a Recognized Loss
729	1048213	Duplicate Proof of Claim
730	1048312	Deficient Proof of Claim Never Cured
731	1048319	Proof of Claim Did Not Result in a Recognized Loss
732	1048324	Proof of Claim Did Not Result in a Recognized Loss
733	1048330	Deficient Proof of Claim Never Cured
734	1048395	Deficient Proof of Claim Never Cured
735	1048427	Proof of Claim Did Not Result in a Recognized Loss
736	1048434	Proof of Claim Did Not Result in a Recognized Loss
737	1048535	Deficient Proof of Claim Never Cured
738	1048694	Deficient Proof of Claim Never Cured
739	1048705	Deficient Proof of Claim Never Cured
740	1048770	Deficient Proof of Claim Never Cured
741	1048917	Proof of Claim Did Not Result in a Recognized Loss
742	1049107	Deficient Proof of Claim Never Cured
743	1049180	Deficient Proof of Claim Never Cured
744	1049285	Proof of Claim Did Not Result in a Recognized Loss
745	1049364	Proof of Claim Did Not Result in a Recognized Loss
746	1049520	Deficient Proof of Claim Never Cured
747	1049578	Deficient Proof of Claim Never Cured
748	1049658	Proof of Claim Did Not Result in a Recognized Loss
749	1049691	Deficient Proof of Claim Never Cured
750	1049693	Deficient Proof of Claim Never Cured

*Arena Securities Litigation***Rejected or Ineligible Claims****Exhibit C-3**

#	Claim Number	Reason for Rejection
751	1049746	Proof of Claim Did Not Result in a Recognized Loss
752	1049879	Deficient Proof of Claim Never Cured
753	1050220	Deficient Proof of Claim Never Cured
754	1050221	Proof of Claim Did Not Result in a Recognized Loss
755	1050231	Proof of Claim Did Not Result in a Recognized Loss
756	1050236	Deficient Proof of Claim Never Cured
757	1050329	Proof of Claim Did Not Result in a Recognized Loss
758	1050373	Deficient Proof of Claim Never Cured
759	1050391	Deficient Proof of Claim Never Cured
760	1050625	Deficient Proof of Claim Never Cured
761	1050739	Proof of Claim Did Not Result in a Recognized Loss
762	1050761	Proof of Claim Did Not Result in a Recognized Loss
763	1050901	Deficient Proof of Claim Never Cured
764	1050902	Proof of Claim Did Not Result in a Recognized Loss
765	1050924	Deficient Proof of Claim Never Cured
766	1051110	Proof of Claim Did Not Result in a Recognized Loss
767	1051126	Proof of Claim Did Not Fit the Class Definition
768	1051181	Deficient Proof of Claim Never Cured
769	1051212	Proof of Claim Did Not Result in a Recognized Loss
770	1051216	Deficient Proof of Claim Never Cured
771	1051242	Proof of Claim Did Not Result in a Recognized Loss
772	1051422	Deficient Proof of Claim Never Cured
773	1051518	Deficient Proof of Claim Never Cured
774	1051554	Proof of Claim Did Not Result in a Recognized Loss
775	1051569	Proof of Claim Did Not Result in a Recognized Loss
776	1051583	Proof of Claim Did Not Result in a Recognized Loss
777	1051605	Deficient Proof of Claim Never Cured
778	1051668	Deficient Proof of Claim Never Cured
779	1051701	Deficient Proof of Claim Never Cured
780	1051917	Deficient Proof of Claim Never Cured
781	1051933	Proof of Claim Did Not Result in a Recognized Loss
782	1052083	Deficient Proof of Claim Never Cured
783	1052085	Deficient Proof of Claim Never Cured
784	1052142	Deficient Proof of Claim Never Cured
785	1052205	Deficient Proof of Claim Never Cured
786	1052328	Deficient Proof of Claim Never Cured
787	1052337	Deficient Proof of Claim Never Cured
788	1052414	Proof of Claim Did Not Result in a Recognized Loss
789	1052496	Proof of Claim Did Not Result in a Recognized Loss
790	1052497	Deficient Proof of Claim Never Cured
791	1052515	Proof of Claim Did Not Result in a Recognized Loss
792	1052621	Proof of Claim Did Not Result in a Recognized Loss
793	1052757	Deficient Proof of Claim Never Cured
794	1052772	Deficient Proof of Claim Never Cured
795	1052892	Proof of Claim Did Not Result in a Recognized Loss
796	1052936	Proof of Claim Did Not Result in a Recognized Loss
797	1053088	Deficient Proof of Claim Never Cured
798	1053114	Deficient Proof of Claim Never Cured
799	1053136	Proof of Claim Did Not Result in a Recognized Loss
800	1053194	Deficient Proof of Claim Never Cured

*Arena Securities Litigation***Rejected or Ineligible Claims****Exhibit C-3**

#	Claim Number	Reason for Rejection
801	1053276	Deficient Proof of Claim Never Cured
802	1053319	Proof of Claim Did Not Result in a Recognized Loss
803	1053339	Proof of Claim Did Not Result in a Recognized Loss
804	1053467	Deficient Proof of Claim Never Cured
805	1053473	Proof of Claim Did Not Result in a Recognized Loss
806	1053480	Proof of Claim Did Not Result in a Recognized Loss
807	1053508	Proof of Claim Did Not Result in a Recognized Loss
808	1053583	Deficient Proof of Claim Never Cured
809	1053622	Deficient Proof of Claim Never Cured
810	1053715	Deficient Proof of Claim Never Cured
811	1053804	Deficient Proof of Claim Never Cured
812	1053805	Deficient Proof of Claim Never Cured
813	1054037	Proof of Claim Did Not Result in a Recognized Loss
814	1054045	Deficient Proof of Claim Never Cured
815	1054167	Proof of Claim Did Not Result in a Recognized Loss
816	1054295	Deficient Proof of Claim Never Cured
817	1054307	Deficient Proof of Claim Never Cured
818	1054377	Deficient Proof of Claim Never Cured
819	1054383	Deficient Proof of Claim Never Cured
820	1054405	Proof of Claim Did Not Result in a Recognized Loss
821	1054408	Deficient Proof of Claim Never Cured
822	1054496	Deficient Proof of Claim Never Cured
823	1054537	Deficient Proof of Claim Never Cured
824	1054538	Deficient Proof of Claim Never Cured
825	1054563	Deficient Proof of Claim Never Cured
826	1054575	Deficient Proof of Claim Never Cured
827	1054591	Proof of Claim Did Not Result in a Recognized Loss
828	1054606	Deficient Proof of Claim Never Cured
829	1054688	Deficient Proof of Claim Never Cured
830	1054711	Deficient Proof of Claim Never Cured
831	1054768	Deficient Proof of Claim Never Cured
832	1054814	Proof of Claim Did Not Fit the Class Definition
833	1054935	Deficient Proof of Claim Never Cured
834	1055067	Deficient Proof of Claim Never Cured
835	1055081	Deficient Proof of Claim Never Cured
836	1055142	Deficient Proof of Claim Never Cured
837	1055202	Proof of Claim Did Not Result in a Recognized Loss
838	1055214	Deficient Proof of Claim Never Cured
839	1055219	Deficient Proof of Claim Never Cured
840	1055265	Deficient Proof of Claim Never Cured
841	1055284	Proof of Claim Did Not Result in a Recognized Loss
842	1055305	Deficient Proof of Claim Never Cured
843	1055383	Deficient Proof of Claim Never Cured
844	1055399	Deficient Proof of Claim Never Cured
845	1055769	Deficient Proof of Claim Never Cured
846	1055784	Deficient Proof of Claim Never Cured
847	1055788	Proof of Claim Did Not Result in a Recognized Loss
848	1055887	Deficient Proof of Claim Never Cured
849	1055947	Deficient Proof of Claim Never Cured
850	1055980	Proof of Claim Did Not Result in a Recognized Loss

*Arena Securities Litigation***Rejected or Ineligible Claims****Exhibit C-3**

#	Claim Number	Reason for Rejection
851	1056157	Proof of Claim Did Not Fit the Class Definition
852	1056202	Deficient Proof of Claim Never Cured
853	1056245	Deficient Proof of Claim Never Cured
854	1056490	Deficient Proof of Claim Never Cured
855	1056774	Deficient Proof of Claim Never Cured
856	1056980	Deficient Proof of Claim Never Cured
857	1057011	Proof of Claim Did Not Result in a Recognized Loss
858	1057031	Proof of Claim Did Not Fit the Class Definition
859	1057217	Deficient Proof of Claim Never Cured
860	1057261	Deficient Proof of Claim Never Cured
861	1057274	Deficient Proof of Claim Never Cured
862	1057284	Proof of Claim Did Not Result in a Recognized Loss
863	1057477	Proof of Claim Did Not Result in a Recognized Loss
864	1057552	Proof of Claim Did Not Result in a Recognized Loss
865	1057563	Proof of Claim Did Not Result in a Recognized Loss
866	1057667	Proof of Claim Did Not Result in a Recognized Loss
867	1057807	Deficient Proof of Claim Never Cured
868	1057874	Deficient Proof of Claim Never Cured
869	1057909	Deficient Proof of Claim Never Cured
870	1057919	Deficient Proof of Claim Never Cured
871	1057924	Deficient Proof of Claim Never Cured
872	1057927	Deficient Proof of Claim Never Cured
873	1057938	Deficient Proof of Claim Never Cured
874	1058164	Deficient Proof of Claim Never Cured
875	1058214	Deficient Proof of Claim Never Cured
876	1058374	Proof of Claim Did Not Result in a Recognized Loss
877	1058377	Proof of Claim Did Not Result in a Recognized Loss
878	1058386	Deficient Proof of Claim Never Cured
879	1058504	Deficient Proof of Claim Never Cured
880	1058528	Proof of Claim Did Not Result in a Recognized Loss
881	1058530	Deficient Proof of Claim Never Cured
882	1058546	Proof of Claim Did Not Result in a Recognized Loss
883	1058550	Proof of Claim Did Not Result in a Recognized Loss
884	1058634	Proof of Claim Did Not Result in a Recognized Loss
885	1058715	Proof of Claim Did Not Result in a Recognized Loss
886	1058929	Proof of Claim Did Not Result in a Recognized Loss
887	1058962	Proof of Claim Did Not Result in a Recognized Loss
888	1059193	Proof of Claim Did Not Result in a Recognized Loss
889	1059497	Deficient Proof of Claim Never Cured
890	1059498	Deficient Proof of Claim Never Cured
891	1059508	Proof of Claim Did Not Result in a Recognized Loss
892	1059551	Deficient Proof of Claim Never Cured
893	1059570	Deficient Proof of Claim Never Cured
894	1059799	Deficient Proof of Claim Never Cured
895	1059852	Proof of Claim Did Not Result in a Recognized Loss
896	1059868	Proof of Claim Did Not Result in a Recognized Loss
897	1059946	Deficient Proof of Claim Never Cured
898	1059951	Deficient Proof of Claim Never Cured
899	1059986	Deficient Proof of Claim Never Cured
900	1059987	Proof of Claim Did Not Result in a Recognized Loss

*Arena Securities Litigation***Rejected or Ineligible Claims****Exhibit C-3**

#	Claim Number	Reason for Rejection
901	1059992	Deficient Proof of Claim Never Cured
902	1060011	Proof of Claim Did Not Result in a Recognized Loss
903	1060118	Deficient Proof of Claim Never Cured
904	1060249	Deficient Proof of Claim Never Cured
905	1060286	Proof of Claim Did Not Result in a Recognized Loss
906	1060295	Proof of Claim Did Not Result in a Recognized Loss
907	1060332	Deficient Proof of Claim Never Cured
908	1060392	Deficient Proof of Claim Never Cured
909	1060595	Proof of Claim Did Not Result in a Recognized Loss
910	1060619	Deficient Proof of Claim Never Cured
911	1060627	Proof of Claim Did Not Result in a Recognized Loss
912	1060630	Deficient Proof of Claim Never Cured
913	1060717	Deficient Proof of Claim Never Cured
914	1060761	Proof of Claim Did Not Result in a Recognized Loss
915	1060763	Proof of Claim Did Not Result in a Recognized Loss
916	1060815	Deficient Proof of Claim Never Cured
917	1060832	Deficient Proof of Claim Never Cured
918	1060863	Deficient Proof of Claim Never Cured
919	1060879	Deficient Proof of Claim Never Cured
920	1060885	Deficient Proof of Claim Never Cured
921	1060903	Deficient Proof of Claim Never Cured
922	1061052	Proof of Claim Did Not Fit the Class Definition
923	1061316	Deficient Proof of Claim Never Cured
924	1061327	Deficient Proof of Claim Never Cured
925	1061370	Deficient Proof of Claim Never Cured
926	1061576	Deficient Proof of Claim Never Cured
927	1061699	Deficient Proof of Claim Never Cured
928	1061715	Deficient Proof of Claim Never Cured
929	1061819	Deficient Proof of Claim Never Cured
930	1061825	Deficient Proof of Claim Never Cured
931	1061893	Proof of Claim Did Not Result in a Recognized Loss
932	1061921	Deficient Proof of Claim Never Cured
933	1061922	Deficient Proof of Claim Never Cured
934	1062060	Deficient Proof of Claim Never Cured
935	1062063	Deficient Proof of Claim Never Cured
936	1062072	Deficient Proof of Claim Never Cured
937	1062191	Deficient Proof of Claim Never Cured
938	1062200	Deficient Proof of Claim Never Cured
939	1062235	Deficient Proof of Claim Never Cured
940	1062319	Deficient Proof of Claim Never Cured
941	1062552	Proof of Claim Did Not Result in a Recognized Loss
942	1062583	Proof of Claim Did Not Result in a Recognized Loss
943	1062591	Deficient Proof of Claim Never Cured
944	1062708	Deficient Proof of Claim Never Cured
945	1062734	Proof of Claim Did Not Result in a Recognized Loss
946	1063017	Deficient Proof of Claim Never Cured
947	1063041	Proof of Claim Did Not Result in a Recognized Loss
948	1063179	Proof of Claim Did Not Result in a Recognized Loss
949	1063397	Deficient Proof of Claim Never Cured
950	1063761	Proof of Claim Did Not Result in a Recognized Loss

*Arena Securities Litigation***Rejected or Ineligible Claims****Exhibit C-3**

#	Claim Number	Reason for Rejection
951	1063820	Deficient Proof of Claim Never Cured
952	1063933	Proof of Claim Did Not Result in a Recognized Loss
953	1063943	Proof of Claim Did Not Result in a Recognized Loss
954	1063981	Deficient Proof of Claim Never Cured
955	1064056	Proof of Claim Did Not Result in a Recognized Loss
956	1064090	Proof of Claim Did Not Result in a Recognized Loss
957	1064155	Proof of Claim Did Not Result in a Recognized Loss
958	1064197	Deficient Proof of Claim Never Cured
959	1064208	Proof of Claim Did Not Result in a Recognized Loss
960	1064237	Proof of Claim Did Not Result in a Recognized Loss
961	1064306	Proof of Claim Did Not Result in a Recognized Loss
962	1064342	Proof of Claim Did Not Result in a Recognized Loss
963	1064343	Proof of Claim Did Not Result in a Recognized Loss
964	1064575	Proof of Claim Did Not Result in a Recognized Loss
965	1064664	Proof of Claim Did Not Fit the Class Definition
966	1064897	Proof of Claim Did Not Result in a Recognized Loss
967	1064932	Proof of Claim Did Not Result in a Recognized Loss
968	1064935	Proof of Claim Did Not Result in a Recognized Loss
969	1064936	Proof of Claim Did Not Result in a Recognized Loss
970	1064938	Deficient Proof of Claim Never Cured
971	1065447	Deficient Proof of Claim Never Cured
972	1065625	Deficient Proof of Claim Never Cured
973	1065696	Proof of Claim Did Not Result in a Recognized Loss
974	1065842	Deficient Proof of Claim Never Cured
975	1066023	Proof of Claim Did Not Result in a Recognized Loss
976	1066336	Deficient Proof of Claim Never Cured
977	1066397	Deficient Proof of Claim Never Cured
978	1066405	Duplicate Proof of Claim
979	1066808	Deficient Proof of Claim Never Cured
980	1067006	Deficient Proof of Claim Never Cured
981	1067086	Proof of Claim Did Not Result in a Recognized Loss
982	1067109	Proof of Claim Did Not Result in a Recognized Loss
983	1067128	Proof of Claim Did Not Result in a Recognized Loss
984	1067164	Proof of Claim Did Not Result in a Recognized Loss
985	1067225	Proof of Claim Did Not Result in a Recognized Loss
986	1067309	Deficient Proof of Claim Never Cured
987	1067316	Proof of Claim Did Not Result in a Recognized Loss
988	1067318	Proof of Claim Did Not Result in a Recognized Loss
989	1067496	Proof of Claim Did Not Fit the Class Definition
990	1067565	Proof of Claim Did Not Result in a Recognized Loss
991	1067767	Proof of Claim Did Not Result in a Recognized Loss
992	1067792	Deficient Proof of Claim Never Cured
993	1067836	Proof of Claim Did Not Result in a Recognized Loss
994	1067837	Proof of Claim Did Not Result in a Recognized Loss
995	1067884	Proof of Claim Did Not Result in a Recognized Loss
996	1068211	Deficient Proof of Claim Never Cured
997	1068254	Deficient Proof of Claim Never Cured
998	1068372	Deficient Proof of Claim Never Cured
999	1068472	Proof of Claim Did Not Result in a Recognized Loss
1000	1068486	Deficient Proof of Claim Never Cured

*Arena Securities Litigation***Rejected or Ineligible Claims****Exhibit C-3**

#	Claim Number	Reason for Rejection
1001	1068492	Proof of Claim Did Not Result in a Recognized Loss
1002	1068494	Proof of Claim Did Not Result in a Recognized Loss
1003	1068508	Deficient Proof of Claim Never Cured
1004	1068587	Proof of Claim Did Not Result in a Recognized Loss
1005	1068630	Proof of Claim Did Not Result in a Recognized Loss
1006	1068638	Proof of Claim Did Not Result in a Recognized Loss
1007	1068714	Deficient Proof of Claim Never Cured
1008	1068715	Deficient Proof of Claim Never Cured
1009	1068792	Proof of Claim Did Not Result in a Recognized Loss
1010	1068799	Deficient Proof of Claim Never Cured
1011	1068813	Deficient Proof of Claim Never Cured
1012	1068817	Proof of Claim Did Not Result in a Recognized Loss
1013	1068842	Proof of Claim Did Not Result in a Recognized Loss
1014	1068891	Deficient Proof of Claim Never Cured
1015	1068960	Proof of Claim Did Not Result in a Recognized Loss
1016	1068973	Deficient Proof of Claim Never Cured
1017	1068989	Proof of Claim Did Not Result in a Recognized Loss
1018	1068990	Deficient Proof of Claim Never Cured
1019	1069046	Proof of Claim Did Not Result in a Recognized Loss
1020	1069237	Proof of Claim Did Not Result in a Recognized Loss
1021	1069322	Proof of Claim Did Not Result in a Recognized Loss
1022	1069383	Proof of Claim Did Not Result in a Recognized Loss
1023	1069410	Proof of Claim Did Not Result in a Recognized Loss
1024	1069411	Deficient Proof of Claim Never Cured
1025	1069412	Deficient Proof of Claim Never Cured
1026	1069663	Proof of Claim Did Not Result in a Recognized Loss
1027	1069718	Proof of Claim Did Not Result in a Recognized Loss
1028	1069875	Deficient Proof of Claim Never Cured
1029	1069938	Proof of Claim Did Not Result in a Recognized Loss
1030	1069978	Deficient Proof of Claim Never Cured
1031	1070007	Deficient Proof of Claim Never Cured
1032	1070249	Proof of Claim Did Not Result in a Recognized Loss
1033	1070308	Proof of Claim Did Not Result in a Recognized Loss
1034	1070355	Deficient Proof of Claim Never Cured
1035	1070445	Deficient Proof of Claim Never Cured
1036	1070447	Proof of Claim Did Not Result in a Recognized Loss
1037	1070448	Proof of Claim Did Not Result in a Recognized Loss
1038	1070597	Proof of Claim Did Not Result in a Recognized Loss
1039	1070598	Proof of Claim Did Not Result in a Recognized Loss
1040	1070748	Deficient Proof of Claim Never Cured
1041	1070754	Proof of Claim Did Not Result in a Recognized Loss
1042	1070756	Proof of Claim Did Not Fit the Class Definition
1043	1070758	Deficient Proof of Claim Never Cured
1044	1070761	Proof of Claim Did Not Result in a Recognized Loss
1045	1070880	Proof of Claim Did Not Result in a Recognized Loss
1046	1070887	Deficient Proof of Claim Never Cured
1047	1070904	Proof of Claim Did Not Result in a Recognized Loss
1048	1070911	Proof of Claim Did Not Result in a Recognized Loss
1049	1070950	Proof of Claim Did Not Result in a Recognized Loss
1050	1070976	Proof of Claim Did Not Result in a Recognized Loss

*Arena Securities Litigation***Rejected or Ineligible Claims****Exhibit C-3**

#	Claim Number	Reason for Rejection
1051	1071020	Deficient Proof of Claim Never Cured
1052	1071027	Deficient Proof of Claim Never Cured
1053	1071045	Deficient Proof of Claim Never Cured
1054	1071046	Deficient Proof of Claim Never Cured
1055	1071094	Deficient Proof of Claim Never Cured
1056	1071121	Deficient Proof of Claim Never Cured
1057	1071215	Deficient Proof of Claim Never Cured
1058	1071272	Deficient Proof of Claim Never Cured
1059	1071281	Deficient Proof of Claim Never Cured
1060	1071321	Duplicate Proof of Claim
1061	1071368	Proof of Claim Did Not Result in a Recognized Loss
1062	1071384	Deficient Proof of Claim Never Cured
1063	1071385	Proof of Claim Did Not Result in a Recognized Loss
1064	1071388	Deficient Proof of Claim Never Cured
1065	1071410	Proof of Claim Did Not Result in a Recognized Loss
1066	1071470	Proof of Claim Did Not Result in a Recognized Loss
1067	1071474	Deficient Proof of Claim Never Cured
1068	1071629	Deficient Proof of Claim Never Cured
1069	1071671	Deficient Proof of Claim Never Cured
1070	1071718	Proof of Claim Did Not Result in a Recognized Loss
1071	1071751	Deficient Proof of Claim Never Cured
1072	1071857	Proof of Claim Did Not Result in a Recognized Loss
1073	1071870	Deficient Proof of Claim Never Cured
1074	1071872	Proof of Claim Did Not Result in a Recognized Loss
1075	1071928	Deficient Proof of Claim Never Cured
1076	1072024	Proof of Claim Did Not Fit the Class Definition
1077	1072053	Duplicate Proof of Claim
1078	1072075	Deficient Proof of Claim Never Cured
1079	1072091	Deficient Proof of Claim Never Cured
1080	1072122	Deficient Proof of Claim Never Cured
1081	1072138	Deficient Proof of Claim Never Cured
1082	1072251	Deficient Proof of Claim Never Cured
1083	1072266	Deficient Proof of Claim Never Cured
1084	1072283	Deficient Proof of Claim Never Cured
1085	1072295	Proof of Claim Did Not Result in a Recognized Loss
1086	1072417	Deficient Proof of Claim Never Cured
1087	1072441	Proof of Claim Did Not Fit the Class Definition
1088	1072446	Duplicate Proof of Claim
1089	1072458	Deficient Proof of Claim Never Cured
1090	1072479	Deficient Proof of Claim Never Cured
1091	1072483	Deficient Proof of Claim Never Cured
1092	1072487	Deficient Proof of Claim Never Cured
1093	1072526	Deficient Proof of Claim Never Cured
1094	1072552	Deficient Proof of Claim Never Cured
1095	1072571	Deficient Proof of Claim Never Cured
1096	1072573	Deficient Proof of Claim Never Cured
1097	1072610	Duplicate Proof of Claim
1098	1072681	Proof of Claim Did Not Fit the Class Definition
1099	1072708	Deficient Proof of Claim Never Cured
1100	1072749	Proof of Claim Did Not Result in a Recognized Loss

*Arena Securities Litigation***Rejected or Ineligible Claims****Exhibit C-3**

#	Claim Number	Reason for Rejection
1101	1072796	Duplicate Proof of Claim
1102	1072903	Deficient Proof of Claim Never Cured
1103	1072982	Deficient Proof of Claim Never Cured
1104	1073070	Deficient Proof of Claim Never Cured
1105	1073160	Proof of Claim Did Not Fit the Class Definition
1106	1073804	Deficient Proof of Claim Never Cured
1107	1073963	Deficient Proof of Claim Never Cured
1108	1074125	Proof of Claim Did Not Fit the Class Definition
1109	1074550	Deficient Proof of Claim Never Cured
1110	1074558	Deficient Proof of Claim Never Cured
1111	1074584	Deficient Proof of Claim Never Cured
1112	1074822	Deficient Proof of Claim Never Cured
1113	1074883	Deficient Proof of Claim Never Cured
1114	1074902	Proof of Claim Did Not Fit the Class Definition
1115	1074904	Proof of Claim Did Not Fit the Class Definition
1116	1075040	Deficient Proof of Claim Never Cured
1117	1075078	Duplicate Proof of Claim
1118	1075097	Deficient Proof of Claim Never Cured
1119	1075339	Deficient Proof of Claim Never Cured
1120	1075379	Proof of Claim Did Not Fit the Class Definition
1121	1075395	Proof of Claim Did Not Fit the Class Definition
1122	1075398	Duplicate Proof of Claim
1123	1075578	Proof of Claim Did Not Result in a Recognized Loss
1124	1075597	Proof of Claim Did Not Result in a Recognized Loss
1125	1075623	Deficient Proof of Claim Never Cured
1126	1075624	Proof of Claim Did Not Result in a Recognized Loss
1127	1075877	Proof of Claim Did Not Fit the Class Definition
1128	1075940	Proof of Claim Did Not Result in a Recognized Loss
1129	1075941	Proof of Claim Did Not Result in a Recognized Loss
1130	1075942	Deficient Proof of Claim Never Cured
1131	1075952	Deficient Proof of Claim Never Cured
1132	1075954	Proof of Claim Did Not Result in a Recognized Loss
1133	1075956	Proof of Claim Did Not Result in a Recognized Loss
1134	1075987	Proof of Claim Did Not Result in a Recognized Loss
1135	1075989	Deficient Proof of Claim Never Cured
1136	1076001	Deficient Proof of Claim Never Cured
1137	1076011	Deficient Proof of Claim Never Cured
1138	1076013	Proof of Claim Did Not Result in a Recognized Loss
1139	1076014	Deficient Proof of Claim Never Cured
1140	1076016	Deficient Proof of Claim Never Cured
1141	1076017	Deficient Proof of Claim Never Cured
1142	1076018	Deficient Proof of Claim Never Cured
1143	1076020	Proof of Claim Did Not Result in a Recognized Loss
1144	1076021	Deficient Proof of Claim Never Cured
1145	1076023	Proof of Claim Did Not Result in a Recognized Loss
1146	1076024	Proof of Claim Did Not Result in a Recognized Loss
1147	1076025	Proof of Claim Did Not Result in a Recognized Loss
1148	1076026	Deficient Proof of Claim Never Cured
1149	1076028	Proof of Claim Did Not Result in a Recognized Loss
1150	1076029	Deficient Proof of Claim Never Cured

*Arena Securities Litigation***Rejected or Ineligible Claims****Exhibit C-3**

#	Claim Number	Reason for Rejection
1151	1076030	Proof of Claim Did Not Result in a Recognized Loss
1152	1076031	Deficient Proof of Claim Never Cured
1153	1076033	Proof of Claim Did Not Result in a Recognized Loss
1154	1076034	Deficient Proof of Claim Never Cured
1155	1076147	Proof of Claim Did Not Result in a Recognized Loss
1156	1076170	Proof of Claim Did Not Result in a Recognized Loss
1157	1076176	Proof of Claim Did Not Result in a Recognized Loss
1158	1076180	Deficient Proof of Claim Never Cured
1159	1076187	Deficient Proof of Claim Never Cured
1160	1076190	Deficient Proof of Claim Never Cured
1161	1076192	Deficient Proof of Claim Never Cured
1162	1076193	Deficient Proof of Claim Never Cured
1163	1076197	Deficient Proof of Claim Never Cured
1164	1076223	Deficient Proof of Claim Never Cured
1165	1076227	Deficient Proof of Claim Never Cured
1166	1076252	Deficient Proof of Claim Never Cured
1167	1076262	Proof of Claim Did Not Result in a Recognized Loss
1168	1076265	Deficient Proof of Claim Never Cured
1169	1076268	Proof of Claim Did Not Result in a Recognized Loss
1170	1076273	Deficient Proof of Claim Never Cured
1171	1076274	Proof of Claim Did Not Result in a Recognized Loss
1172	1076282	Deficient Proof of Claim Never Cured
1173	1076288	Deficient Proof of Claim Never Cured
1174	1076293	Proof of Claim Did Not Result in a Recognized Loss
1175	1076301	Deficient Proof of Claim Never Cured
1176	1076303	Deficient Proof of Claim Never Cured
1177	1076304	Proof of Claim Did Not Result in a Recognized Loss
1178	1076307	Proof of Claim Did Not Result in a Recognized Loss
1179	1076311	Proof of Claim Did Not Result in a Recognized Loss
1180	1076313	Proof of Claim Did Not Result in a Recognized Loss
1181	1076319	Proof of Claim Did Not Result in a Recognized Loss
1182	1076322	Proof of Claim Did Not Result in a Recognized Loss
1183	1076380	Proof of Claim Did Not Result in a Recognized Loss
1184	1076385	Deficient Proof of Claim Never Cured
1185	1076392	Deficient Proof of Claim Never Cured
1186	1076395	Deficient Proof of Claim Never Cured
1187	1076396	Deficient Proof of Claim Never Cured
1188	1076398	Proof of Claim Did Not Result in a Recognized Loss
1189	1076425	Deficient Proof of Claim Never Cured
1190	1076426	Proof of Claim Did Not Result in a Recognized Loss
1191	1076429	Deficient Proof of Claim Never Cured
1192	1076430	Proof of Claim Did Not Result in a Recognized Loss
1193	1076431	Proof of Claim Did Not Result in a Recognized Loss
1194	1076436	Proof of Claim Did Not Result in a Recognized Loss
1195	1076437	Deficient Proof of Claim Never Cured
1196	1076438	Deficient Proof of Claim Never Cured
1197	1076439	Deficient Proof of Claim Never Cured
1198	1076440	Deficient Proof of Claim Never Cured
1199	1076441	Proof of Claim Did Not Result in a Recognized Loss
1200	1076442	Proof of Claim Did Not Result in a Recognized Loss

*Arena Securities Litigation***Rejected or Ineligible Claims****Exhibit C-3**

#	Claim Number	Reason for Rejection
1201	1076443	Deficient Proof of Claim Never Cured
1202	1076445	Proof of Claim Did Not Result in a Recognized Loss
1203	1076446	Proof of Claim Did Not Result in a Recognized Loss
1204	1076447	Deficient Proof of Claim Never Cured
1205	1076450	Proof of Claim Did Not Fit the Class Definition
1206	1076451	Proof of Claim Did Not Result in a Recognized Loss
1207	1076455	Proof of Claim Did Not Fit the Class Definition
1208	1076456	Deficient Proof of Claim Never Cured
1209	1076457	Proof of Claim Did Not Result in a Recognized Loss
1210	1076462	Proof of Claim Did Not Result in a Recognized Loss
1211	1076464	Proof of Claim Did Not Fit the Class Definition
1212	1076465	Proof of Claim Did Not Result in a Recognized Loss
1213	1076467	Deficient Proof of Claim Never Cured
1214	1076468	Proof of Claim Did Not Result in a Recognized Loss
1215	1076521	Deficient Proof of Claim Never Cured
1216	1076546	Proof of Claim Did Not Result in a Recognized Loss
1217	1076589	Deficient Proof of Claim Never Cured
1218	1076670	Proof of Claim Did Not Fit the Class Definition
1219	1076723	Deficient Proof of Claim Never Cured
1220	1076775	Proof of Claim Did Not Fit the Class Definition
1221	1077035	Proof of Claim Did Not Fit the Class Definition
1222	1077038	Proof of Claim Did Not Fit the Class Definition
1223	1077084	Proof of Claim Did Not Fit the Class Definition
1224	1077087	Proof of Claim Did Not Result in a Recognized Loss
1225	1077127	Proof of Claim Did Not Result in a Recognized Loss
1226	1077130	Proof of Claim Did Not Fit the Class Definition
1227	1077216	Proof of Claim Did Not Fit the Class Definition
1228	1077336	Duplicate Proof of Claim
1229	1077362	Deficient Proof of Claim Never Cured
1230	1077403	Proof of Claim Did Not Result in a Recognized Loss
1231	1077562	Proof of Claim Did Not Fit the Class Definition
1232	1077589	Proof of Claim Did Not Fit the Class Definition
1233	1077606	Deficient Proof of Claim Never Cured
1234	1077912	Proof of Claim Did Not Fit the Class Definition
1235	1078144	Proof of Claim Did Not Result in a Recognized Loss
1236	1078153	Proof of Claim Did Not Result in a Recognized Loss
1237	1078154	Proof of Claim Did Not Result in a Recognized Loss
1238	1078155	Proof of Claim Did Not Result in a Recognized Loss
1239	1078156	Proof of Claim Did Not Result in a Recognized Loss
1240	1078157	Proof of Claim Did Not Result in a Recognized Loss
1241	1078158	Proof of Claim Did Not Result in a Recognized Loss
1242	1078159	Proof of Claim Did Not Result in a Recognized Loss
1243	1078160	Proof of Claim Did Not Result in a Recognized Loss
1244	1078172	Proof of Claim Did Not Result in a Recognized Loss
1245	1078201	Proof of Claim Did Not Result in a Recognized Loss
1246	1078291	Proof of Claim Did Not Result in a Recognized Loss
1247	1078344	Deficient Proof of Claim Never Cured
1248	1078354	Deficient Proof of Claim Never Cured
1249	1078372	Proof of Claim Did Not Result in a Recognized Loss
1250	1078530	Deficient Proof of Claim Never Cured

*Arena Securities Litigation***Rejected or Ineligible Claims****Exhibit C-3**

#	Claim Number	Reason for Rejection
1251	1078532	Proof of Claim Did Not Result in a Recognized Loss
1252	1078671	Proof of Claim Did Not Result in a Recognized Loss
1253	1078679	Proof of Claim Did Not Result in a Recognized Loss
1254	1078785	Proof of Claim Did Not Result in a Recognized Loss
1255	1078890	Proof of Claim Did Not Result in a Recognized Loss
1256	1079124	Deficient Proof of Claim Never Cured
1257	1079140	Proof of Claim Did Not Result in a Recognized Loss
1258	1079166	Proof of Claim Did Not Result in a Recognized Loss
1259	1079169	Proof of Claim Did Not Result in a Recognized Loss
1260	1079259	Proof of Claim Did Not Fit the Class Definition
1261	1079388	Proof of Claim Did Not Result in a Recognized Loss
1262	1079459	Proof of Claim Did Not Result in a Recognized Loss
1263	1079462	Proof of Claim Did Not Result in a Recognized Loss
1264	1079472	Proof of Claim Did Not Result in a Recognized Loss
1265	1079481	Proof of Claim Did Not Result in a Recognized Loss
1266	1079483	Proof of Claim Did Not Result in a Recognized Loss
1267	1079820	Deficient Proof of Claim Never Cured
1268	1079897	Proof of Claim Did Not Result in a Recognized Loss
1269	1079945	Proof of Claim Did Not Result in a Recognized Loss
1270	1079963	Proof of Claim Did Not Result in a Recognized Loss
1271	1079976	Proof of Claim Did Not Result in a Recognized Loss
1272	1079977	Proof of Claim Did Not Result in a Recognized Loss
1273	1080067	Proof of Claim Did Not Result in a Recognized Loss
1274	1080071	Proof of Claim Did Not Result in a Recognized Loss
1275	1080072	Proof of Claim Did Not Result in a Recognized Loss
1276	1080077	Proof of Claim Did Not Result in a Recognized Loss
1277	1080120	Deficient Proof of Claim Never Cured
1278	1080284	Deficient Proof of Claim Never Cured
1279	1080300	Proof of Claim Did Not Result in a Recognized Loss
1280	1080301	Proof of Claim Did Not Result in a Recognized Loss
1281	1080320	Proof of Claim Did Not Result in a Recognized Loss
1282	1080418	Proof of Claim Did Not Result in a Recognized Loss
1283	1080493	Deficient Proof of Claim Never Cured
1284	1080587	Proof of Claim Did Not Result in a Recognized Loss
1285	1080622	Deficient Proof of Claim Never Cured
1286	1080713	Proof of Claim Did Not Result in a Recognized Loss
1287	1080721	Proof of Claim Did Not Result in a Recognized Loss
1288	1080722	Proof of Claim Did Not Result in a Recognized Loss
1289	1080723	Deficient Proof of Claim Never Cured
1290	1080724	Deficient Proof of Claim Never Cured
1291	1080727	Deficient Proof of Claim Never Cured
1292	1080744	Deficient Proof of Claim Never Cured
1293	1080749	Proof of Claim Did Not Result in a Recognized Loss
1294	1080752	Deficient Proof of Claim Never Cured
1295	1080758	Proof of Claim Did Not Result in a Recognized Loss
1296	1080761	Proof of Claim Did Not Result in a Recognized Loss
1297	1080783	Proof of Claim Did Not Fit the Class Definition
1298	1080785	Proof of Claim Did Not Result in a Recognized Loss
1299	1080786	Proof of Claim Did Not Fit the Class Definition
1300	1080788	Duplicate Proof of Claim

*Arena Securities Litigation***Rejected or Ineligible Claims****Exhibit C-3**

#	Claim Number	Reason for Rejection
1301	1080797	Proof of Claim Did Not Result in a Recognized Loss
1302	1080803	Proof of Claim Did Not Result in a Recognized Loss
1303	1080863	Deficient Proof of Claim Never Cured
1304	1080873	Proof of Claim Did Not Result in a Recognized Loss
1305	1080876	Deficient Proof of Claim Never Cured
1306	1080877	Deficient Proof of Claim Never Cured
1307	1080880	Deficient Proof of Claim Never Cured
1308	1080881	Proof of Claim Did Not Result in a Recognized Loss
1309	1080884	Deficient Proof of Claim Never Cured
1310	1080885	Proof of Claim Did Not Result in a Recognized Loss
1311	1080887	Deficient Proof of Claim Never Cured
1312	1080888	Deficient Proof of Claim Never Cured
1313	1080889	Deficient Proof of Claim Never Cured
1314	1080891	Deficient Proof of Claim Never Cured
1315	1080895	Proof of Claim Did Not Result in a Recognized Loss
1316	1080896	Proof of Claim Did Not Result in a Recognized Loss
1317	1080898	Proof of Claim Did Not Result in a Recognized Loss
1318	1080899	Proof of Claim Did Not Result in a Recognized Loss
1319	1080900	Proof of Claim Did Not Result in a Recognized Loss
1320	1080901	Deficient Proof of Claim Never Cured
1321	1080904	Deficient Proof of Claim Never Cured
1322	1080905	Proof of Claim Did Not Result in a Recognized Loss
1323	1080907	Deficient Proof of Claim Never Cured
1324	1080908	Deficient Proof of Claim Never Cured
1325	1080912	Proof of Claim Did Not Result in a Recognized Loss
1326	1080914	Deficient Proof of Claim Never Cured
1327	1080919	Proof of Claim Did Not Result in a Recognized Loss
1328	1081006	Proof of Claim Did Not Fit the Class Definition
1329	1081008	Deficient Proof of Claim Never Cured
1330	1081009	Proof of Claim Did Not Fit the Class Definition
1331	1081013	Deficient Proof of Claim Never Cured
1332	1081014	Deficient Proof of Claim Never Cured
1333	1081015	Deficient Proof of Claim Never Cured
1334	1081017	Deficient Proof of Claim Never Cured
1335	1081019	Deficient Proof of Claim Never Cured
1336	1081020	Proof of Claim Did Not Result in a Recognized Loss
1337	1081022	Deficient Proof of Claim Never Cured
1338	1081023	Deficient Proof of Claim Never Cured
1339	1081024	Deficient Proof of Claim Never Cured
1340	1081025	Proof of Claim Did Not Result in a Recognized Loss
1341	1081031	Proof of Claim Did Not Result in a Recognized Loss
1342	1081032	Deficient Proof of Claim Never Cured
1343	1081034	Deficient Proof of Claim Never Cured
1344	1081035	Deficient Proof of Claim Never Cured
1345	1081119	Deficient Proof of Claim Never Cured
1346	1081120	Proof of Claim Did Not Result in a Recognized Loss
1347	1081121	Proof of Claim Did Not Result in a Recognized Loss
1348	1081123	Deficient Proof of Claim Never Cured
1349	1081124	Deficient Proof of Claim Never Cured
1350	1081126	Proof of Claim Did Not Result in a Recognized Loss

*Arena Securities Litigation***Rejected or Ineligible Claims****Exhibit C-3**

#	Claim Number	Reason for Rejection
1351	1081130	Proof of Claim Did Not Result in a Recognized Loss
1352	1081133	Deficient Proof of Claim Never Cured
1353	1081136	Proof of Claim Did Not Result in a Recognized Loss
1354	1081152	Deficient Proof of Claim Never Cured
1355	1081157	Deficient Proof of Claim Never Cured
1356	1081158	Proof of Claim Did Not Result in a Recognized Loss
1357	1081160	Deficient Proof of Claim Never Cured
1358	1081161	Deficient Proof of Claim Never Cured
1359	1081168	Deficient Proof of Claim Never Cured
1360	1081172	Proof of Claim Did Not Result in a Recognized Loss
1361	1081176	Proof of Claim Did Not Result in a Recognized Loss
1362	1081177	Proof of Claim Did Not Result in a Recognized Loss
1363	1081179	Proof of Claim Did Not Result in a Recognized Loss
1364	1081471	Proof of Claim Did Not Fit the Class Definition
1365	1081483	Deficient Proof of Claim Never Cured
1366	1081503	Deficient Proof of Claim Never Cured
1367	1081520	Deficient Proof of Claim Never Cured
1368	1081658	Deficient Proof of Claim Never Cured
1369	1081746	Deficient Proof of Claim Never Cured
1370	1081750	Deficient Proof of Claim Never Cured
1371	1082202	Proof of Claim Did Not Result in a Recognized Loss
1372	1082240	Deficient Proof of Claim Never Cured
1373	1082272	Deficient Proof of Claim Never Cured
1374	1082354	Deficient Proof of Claim Never Cured
1375	1082634	Proof of Claim Did Not Result in a Recognized Loss
1376	1082775	Deficient Proof of Claim Never Cured
1377	1083028	Proof of Claim Did Not Result in a Recognized Loss
1378	1083278	Deficient Proof of Claim Never Cured
1379	1083410	Proof of Claim Did Not Result in a Recognized Loss
1380	1083455	Deficient Proof of Claim Never Cured
1381	1083554	Proof of Claim Did Not Fit the Class Definition
1382	1083664	Deficient Proof of Claim Never Cured
1383	1083919	Deficient Proof of Claim Never Cured
1384	1083963	Deficient Proof of Claim Never Cured
1385	1084031	Proof of Claim Did Not Result in a Recognized Loss
1386	1084089	Deficient Proof of Claim Never Cured
1387	1084223	Deficient Proof of Claim Never Cured
1388	1084443	Proof of Claim Did Not Result in a Recognized Loss
1389	1084773	Deficient Proof of Claim Never Cured
1390	1084918	Deficient Proof of Claim Never Cured
1391	1085165	Proof of Claim Did Not Fit the Class Definition
1392	1085182	Deficient Proof of Claim Never Cured
1393	1085183	Deficient Proof of Claim Never Cured
1394	1085191	Deficient Proof of Claim Never Cured
1395	1085358	Deficient Proof of Claim Never Cured
1396	1085369	Proof of Claim Did Not Fit the Class Definition
1397	1085603	Proof of Claim Did Not Fit the Class Definition
1398	1085641	Deficient Proof of Claim Never Cured
1399	1085865	Proof of Claim Did Not Result in a Recognized Loss
1400	1085867	Deficient Proof of Claim Never Cured

*Arena Securities Litigation***Rejected or Ineligible Claims****Exhibit C-3**

#	Claim Number	Reason for Rejection
1401	1085990	Deficient Proof of Claim Never Cured
1402	1086033	Proof of Claim Did Not Result in a Recognized Loss
1403	1086059	Deficient Proof of Claim Never Cured
1404	1086216	Deficient Proof of Claim Never Cured
1405	1086315	Deficient Proof of Claim Never Cured
1406	1086391	Proof of Claim Did Not Fit the Class Definition
1407	1086407	Deficient Proof of Claim Never Cured
1408	1086485	Deficient Proof of Claim Never Cured
1409	1086536	Deficient Proof of Claim Never Cured
1410	1086617	Deficient Proof of Claim Never Cured
1411	1086828	Deficient Proof of Claim Never Cured
1412	1086932	Proof of Claim Did Not Result in a Recognized Loss
1413	1087032	Deficient Proof of Claim Never Cured
1414	1087054	Deficient Proof of Claim Never Cured
1415	1087135	Deficient Proof of Claim Never Cured
1416	1087187	Proof of Claim Did Not Result in a Recognized Loss
1417	1087189	Deficient Proof of Claim Never Cured
1418	1087355	Deficient Proof of Claim Never Cured
1419	1087439	Deficient Proof of Claim Never Cured
1420	1087573	Deficient Proof of Claim Never Cured
1421	1087696	Deficient Proof of Claim Never Cured
1422	1087697	Deficient Proof of Claim Never Cured
1423	1087713	Deficient Proof of Claim Never Cured
1424	1087783	Deficient Proof of Claim Never Cured
1425	1088107	Deficient Proof of Claim Never Cured
1426	1088151	Proof of Claim Did Not Result in a Recognized Loss
1427	1088180	Proof of Claim Did Not Fit the Class Definition
1428	1088202	Deficient Proof of Claim Never Cured
1429	1088270	Deficient Proof of Claim Never Cured
1430	1088286	Proof of Claim Did Not Result in a Recognized Loss
1431	1088301	Deficient Proof of Claim Never Cured
1432	1088421	Proof of Claim Did Not Fit the Class Definition
1433	1088423	Deficient Proof of Claim Never Cured
1434	1088629	Proof of Claim Did Not Result in a Recognized Loss
1435	1088717	Deficient Proof of Claim Never Cured
1436	1088878	Deficient Proof of Claim Never Cured
1437	1088918	Deficient Proof of Claim Never Cured
1438	1088929	Proof of Claim Did Not Result in a Recognized Loss
1439	1088965	Deficient Proof of Claim Never Cured
1440	1088988	Deficient Proof of Claim Never Cured
1441	1089089	Deficient Proof of Claim Never Cured
1442	1089155	Deficient Proof of Claim Never Cured
1443	1089254	Deficient Proof of Claim Never Cured
1444	1089310	Deficient Proof of Claim Never Cured
1445	1089341	Proof of Claim Did Not Fit the Class Definition
1446	1089538	Deficient Proof of Claim Never Cured
1447	1089665	Deficient Proof of Claim Never Cured
1448	1089687	Proof of Claim Did Not Result in a Recognized Loss
1449	1089702	Deficient Proof of Claim Never Cured
1450	1089733	Deficient Proof of Claim Never Cured

*Arena Securities Litigation***Rejected or Ineligible Claims****Exhibit C-3**

#	Claim Number	Reason for Rejection
1451	1089803	Deficient Proof of Claim Never Cured
1452	1089930	Proof of Claim Did Not Result in a Recognized Loss
1453	1089948	Deficient Proof of Claim Never Cured
1454	1090189	Proof of Claim Did Not Result in a Recognized Loss
1455	1090358	Deficient Proof of Claim Never Cured
1456	1090556	Deficient Proof of Claim Never Cured
1457	1090998	Deficient Proof of Claim Never Cured
1458	1091116	Deficient Proof of Claim Never Cured
1459	1091117	Proof of Claim Did Not Result in a Recognized Loss
1460	1091122	Deficient Proof of Claim Never Cured
1461	1091471	Deficient Proof of Claim Never Cured
1462	1091548	Deficient Proof of Claim Never Cured
1463	1091657	Deficient Proof of Claim Never Cured
1464	1091686	Deficient Proof of Claim Never Cured
1465	1091787	Deficient Proof of Claim Never Cured
1466	1091836	Proof of Claim Did Not Result in a Recognized Loss
1467	1092061	Deficient Proof of Claim Never Cured
1468	1092066	Deficient Proof of Claim Never Cured
1469	1092101	Proof of Claim Did Not Result in a Recognized Loss
1470	1092139	Deficient Proof of Claim Never Cured
1471	1092205	Deficient Proof of Claim Never Cured
1472	1092218	Deficient Proof of Claim Never Cured
1473	1092237	Deficient Proof of Claim Never Cured
1474	1092379	Proof of Claim Did Not Result in a Recognized Loss
1475	1092380	Proof of Claim Did Not Result in a Recognized Loss
1476	1092397	Deficient Proof of Claim Never Cured
1477	1092440	Deficient Proof of Claim Never Cured
1478	1092512	Deficient Proof of Claim Never Cured
1479	1092516	Deficient Proof of Claim Never Cured
1480	1092540	Deficient Proof of Claim Never Cured
1481	1092602	Deficient Proof of Claim Never Cured
1482	1092641	Proof of Claim Did Not Result in a Recognized Loss
1483	1092671	Proof of Claim Did Not Result in a Recognized Loss
1484	1092829	Deficient Proof of Claim Never Cured
1485	1092931	Proof of Claim Did Not Result in a Recognized Loss
1486	1093066	Deficient Proof of Claim Never Cured
1487	1093088	Deficient Proof of Claim Never Cured
1488	1093090	Deficient Proof of Claim Never Cured
1489	1093216	Deficient Proof of Claim Never Cured
1490	1093432	Deficient Proof of Claim Never Cured
1491	1093599	Proof of Claim Did Not Fit the Class Definition
1492	1093602	Proof of Claim Did Not Result in a Recognized Loss
1493	1093631	Proof of Claim Did Not Result in a Recognized Loss
1494	1093797	Deficient Proof of Claim Never Cured
1495	1093886	Deficient Proof of Claim Never Cured
1496	1093923	Deficient Proof of Claim Never Cured
1497	1093927	Deficient Proof of Claim Never Cured
1498	1094122	Proof of Claim Did Not Result in a Recognized Loss
1499	1094139	Proof of Claim Did Not Result in a Recognized Loss
1500	1094161	Proof of Claim Did Not Result in a Recognized Loss

*Arena Securities Litigation***Rejected or Ineligible Claims****Exhibit C-3**

#	Claim Number	Reason for Rejection
1501	1094189	Deficient Proof of Claim Never Cured
1502	1094434	Deficient Proof of Claim Never Cured
1503	1094435	Deficient Proof of Claim Never Cured
1504	1094577	Duplicate Proof of Claim
1505	1094598	Deficient Proof of Claim Never Cured
1506	1094652	Proof of Claim Did Not Result in a Recognized Loss
1507	1094678	Proof of Claim Did Not Result in a Recognized Loss
1508	1094680	Proof of Claim Did Not Result in a Recognized Loss
1509	1094681	Proof of Claim Did Not Result in a Recognized Loss
1510	1094710	Deficient Proof of Claim Never Cured
1511	1094711	Proof of Claim Did Not Fit the Class Definition
1512	1094713	Deficient Proof of Claim Never Cured
1513	1094714	Deficient Proof of Claim Never Cured
1514	1094920	Deficient Proof of Claim Never Cured
1515	1094924	Deficient Proof of Claim Never Cured
1516	1094931	Deficient Proof of Claim Never Cured
1517	1094932	Deficient Proof of Claim Never Cured
1518	1094933	Deficient Proof of Claim Never Cured
1519	1094934	Deficient Proof of Claim Never Cured
1520	1094935	Proof of Claim Did Not Result in a Recognized Loss
1521	1094936	Proof of Claim Did Not Result in a Recognized Loss
1522	1094937	Deficient Proof of Claim Never Cured
1523	1094938	Deficient Proof of Claim Never Cured
1524	1094939	Deficient Proof of Claim Never Cured
1525	1094941	Deficient Proof of Claim Never Cured
1526	1094942	Deficient Proof of Claim Never Cured
1527	1094943	Proof of Claim Did Not Result in a Recognized Loss
1528	1095203	Deficient Proof of Claim Never Cured
1529	1095269	Deficient Proof of Claim Never Cured
1530	1095317	Proof of Claim Did Not Result in a Recognized Loss
1531	1095352	Proof of Claim Did Not Result in a Recognized Loss
1532	1095377	Deficient Proof of Claim Never Cured
1533	1095446	Proof of Claim Did Not Result in a Recognized Loss
1534	1095454	Deficient Proof of Claim Never Cured
1535	1095471	Proof of Claim Did Not Result in a Recognized Loss
1536	1095512	Deficient Proof of Claim Never Cured
1537	1095615	Deficient Proof of Claim Never Cured
1538	1095637	Deficient Proof of Claim Never Cured
1539	1095638	Deficient Proof of Claim Never Cured
1540	1095643	Deficient Proof of Claim Never Cured
1541	1095646	Proof of Claim Did Not Result in a Recognized Loss
1542	1095647	Deficient Proof of Claim Never Cured
1543	1095648	Deficient Proof of Claim Never Cured
1544	1095651	Deficient Proof of Claim Never Cured
1545	1095654	Proof of Claim Did Not Result in a Recognized Loss
1546	1095658	Deficient Proof of Claim Never Cured
1547	1095659	Deficient Proof of Claim Never Cured
1548	1095660	Deficient Proof of Claim Never Cured
1549	1095661	Deficient Proof of Claim Never Cured
1550	1095662	Proof of Claim Did Not Result in a Recognized Loss

*Arena Securities Litigation***Rejected or Ineligible Claims****Exhibit C-3**

#	Claim Number	Reason for Rejection
1551	1095664	Deficient Proof of Claim Never Cured
1552	1095665	Deficient Proof of Claim Never Cured
1553	1095669	Proof of Claim Did Not Result in a Recognized Loss
1554	1095670	Duplicate Proof of Claim
1555	1095671	Deficient Proof of Claim Never Cured
1556	1095673	Proof of Claim Did Not Result in a Recognized Loss
1557	1095675	Deficient Proof of Claim Never Cured
1558	1095676	Proof of Claim Did Not Result in a Recognized Loss
1559	1095677	Proof of Claim Did Not Result in a Recognized Loss
1560	1095679	Deficient Proof of Claim Never Cured
1561	1095682	Deficient Proof of Claim Never Cured
1562	1095683	Proof of Claim Did Not Result in a Recognized Loss
1563	1095693	Deficient Proof of Claim Never Cured
1564	1095694	Deficient Proof of Claim Never Cured
1565	1095697	Proof of Claim Did Not Fit the Class Definition
1566	1095702	Deficient Proof of Claim Never Cured
1567	1095708	Proof of Claim Did Not Result in a Recognized Loss
1568	1095711	Deficient Proof of Claim Never Cured
1569	1095801	Deficient Proof of Claim Never Cured
1570	1095802	Deficient Proof of Claim Never Cured
1571	1095806	Deficient Proof of Claim Never Cured
1572	1095807	Proof of Claim Did Not Result in a Recognized Loss
1573	1095808	Deficient Proof of Claim Never Cured
1574	1095809	Deficient Proof of Claim Never Cured
1575	1095810	Deficient Proof of Claim Never Cured
1576	1095811	Deficient Proof of Claim Never Cured
1577	1095813	Proof of Claim Did Not Result in a Recognized Loss
1578	1095817	Proof of Claim Did Not Result in a Recognized Loss
1579	1095818	Proof of Claim Did Not Result in a Recognized Loss
1580	1095928	Proof of Claim Did Not Result in a Recognized Loss
1581	1095929	Proof of Claim Did Not Fit the Class Definition
1582	1095933	Proof of Claim Did Not Fit the Class Definition
1583	1096158	Deficient Proof of Claim Never Cured
1584	1096159	Proof of Claim Did Not Result in a Recognized Loss
1585	1096160	Proof of Claim Did Not Result in a Recognized Loss
1586	1096161	Proof of Claim Did Not Result in a Recognized Loss
1587	1096162	Proof of Claim Did Not Result in a Recognized Loss
1588	1096163	Deficient Proof of Claim Never Cured
1589	1096260	Proof of Claim Did Not Result in a Recognized Loss
1590	1096324	Deficient Proof of Claim Never Cured
1591	1096573	Deficient Proof of Claim Never Cured
1592	1097041	Deficient Proof of Claim Never Cured
1593	1097113	Proof of Claim Did Not Fit the Class Definition
1594	1097247	Proof of Claim Did Not Result in a Recognized Loss
1595	1097282	Proof of Claim Did Not Result in a Recognized Loss
1596	1097437	Proof of Claim Did Not Result in a Recognized Loss
1597	1097564	Proof of Claim Did Not Result in a Recognized Loss
1598	1097640	Proof of Claim Did Not Result in a Recognized Loss
1599	1097643	Proof of Claim Did Not Result in a Recognized Loss
1600	1097645	Proof of Claim Did Not Fit the Class Definition

*Arena Securities Litigation***Rejected or Ineligible Claims****Exhibit C-3**

#	Claim Number	Reason for Rejection
1601	1097646	Deficient Proof of Claim Never Cured
1602	1097647	Proof of Claim Did Not Result in a Recognized Loss
1603	1097648	Proof of Claim Did Not Result in a Recognized Loss
1604	1097649	Deficient Proof of Claim Never Cured
1605	1097650	Proof of Claim Did Not Result in a Recognized Loss
1606	1097674	Deficient Proof of Claim Never Cured
1607	1097677	Deficient Proof of Claim Never Cured
1608	1097678	Deficient Proof of Claim Never Cured
1609	1097682	Deficient Proof of Claim Never Cured
1610	1097685	Proof of Claim Did Not Result in a Recognized Loss
1611	1097686	Proof of Claim Did Not Result in a Recognized Loss
1612	1097687	Proof of Claim Did Not Result in a Recognized Loss
1613	1097689	Proof of Claim Did Not Result in a Recognized Loss
1614	1097692	Proof of Claim Did Not Result in a Recognized Loss
1615	1097696	Deficient Proof of Claim Never Cured
1616	1097697	Proof of Claim Did Not Fit the Class Definition
1617	1097698	Deficient Proof of Claim Never Cured
1618	1097699	Deficient Proof of Claim Never Cured
1619	1097703	Proof of Claim Did Not Result in a Recognized Loss
1620	1097704	Proof of Claim Did Not Result in a Recognized Loss
1621	1097763	Proof of Claim Did Not Result in a Recognized Loss
1622	1097770	Deficient Proof of Claim Never Cured
1623	1097832	Proof of Claim Did Not Result in a Recognized Loss
1624	1097904	Deficient Proof of Claim Never Cured
1625	1097912	Proof of Claim Did Not Result in a Recognized Loss
1626	1097982	Proof of Claim Did Not Result in a Recognized Loss
1627	1098024	Proof of Claim Did Not Result in a Recognized Loss
1628	1098079	Proof of Claim Did Not Result in a Recognized Loss
1629	1098096	Proof of Claim Did Not Result in a Recognized Loss
1630	1098116	Deficient Proof of Claim Never Cured
1631	1098117	Deficient Proof of Claim Never Cured
1632	1098468	Deficient Proof of Claim Never Cured
1633	1098499	Deficient Proof of Claim Never Cured
1634	1098500	Proof of Claim Did Not Result in a Recognized Loss
1635	1098508	Deficient Proof of Claim Never Cured
1636	1098512	Deficient Proof of Claim Never Cured
1637	1098531	Proof of Claim Did Not Result in a Recognized Loss
1638	1098537	Deficient Proof of Claim Never Cured
1639	1098539	Deficient Proof of Claim Never Cured
1640	1098541	Proof of Claim Did Not Result in a Recognized Loss
1641	1098542	Proof of Claim Did Not Result in a Recognized Loss
1642	1098545	Deficient Proof of Claim Never Cured
1643	1098546	Deficient Proof of Claim Never Cured
1644	1098547	Proof of Claim Did Not Result in a Recognized Loss
1645	1098548	Proof of Claim Did Not Result in a Recognized Loss
1646	1098551	Proof of Claim Did Not Result in a Recognized Loss
1647	1098553	Proof of Claim Did Not Result in a Recognized Loss
1648	1098565	Proof of Claim Did Not Result in a Recognized Loss
1649	1098590	Proof of Claim Did Not Result in a Recognized Loss
1650	1098591	Proof of Claim Did Not Result in a Recognized Loss

*Arena Securities Litigation***Rejected or Ineligible Claims****Exhibit C-3**

#	Claim Number	Reason for Rejection
1651	1098592	Deficient Proof of Claim Never Cured
1652	1098593	Deficient Proof of Claim Never Cured
1653	1098594	Deficient Proof of Claim Never Cured
1654	1098597	Deficient Proof of Claim Never Cured
1655	1098598	Deficient Proof of Claim Never Cured
1656	1098599	Proof of Claim Did Not Fit the Class Definition
1657	1098601	Deficient Proof of Claim Never Cured
1658	1098602	Proof of Claim Did Not Result in a Recognized Loss
1659	1098603	Deficient Proof of Claim Never Cured
1660	1098605	Proof of Claim Did Not Result in a Recognized Loss
1661	1098608	Deficient Proof of Claim Never Cured
1662	1098609	Deficient Proof of Claim Never Cured
1663	1098611	Deficient Proof of Claim Never Cured
1664	1098612	Deficient Proof of Claim Never Cured
1665	1098643	Deficient Proof of Claim Never Cured
1666	1098645	Proof of Claim Did Not Result in a Recognized Loss
1667	1098647	Deficient Proof of Claim Never Cured
1668	1098648	Deficient Proof of Claim Never Cured
1669	1098650	Deficient Proof of Claim Never Cured
1670	1098651	Proof of Claim Did Not Result in a Recognized Loss
1671	1098652	Deficient Proof of Claim Never Cured
1672	1098654	Deficient Proof of Claim Never Cured
1673	1098656	Deficient Proof of Claim Never Cured
1674	1098659	Proof of Claim Did Not Result in a Recognized Loss
1675	1098660	Deficient Proof of Claim Never Cured
1676	1098661	Proof of Claim Did Not Result in a Recognized Loss
1677	1098662	Proof of Claim Did Not Result in a Recognized Loss
1678	1098672	Proof of Claim Did Not Result in a Recognized Loss
1679	1098675	Proof of Claim Did Not Result in a Recognized Loss
1680	1098684	Proof of Claim Did Not Result in a Recognized Loss
1681	1098686	Deficient Proof of Claim Never Cured
1682	1098687	Proof of Claim Did Not Result in a Recognized Loss
1683	1098691	Deficient Proof of Claim Never Cured
1684	1098727	Deficient Proof of Claim Never Cured
1685	1098728	Deficient Proof of Claim Never Cured
1686	1098729	Deficient Proof of Claim Never Cured
1687	1098734	Proof of Claim Did Not Result in a Recognized Loss
1688	1098743	Proof of Claim Did Not Result in a Recognized Loss
1689	1098745	Proof of Claim Did Not Result in a Recognized Loss
1690	1098746	Deficient Proof of Claim Never Cured
1691	1098748	Deficient Proof of Claim Never Cured
1692	1098749	Proof of Claim Did Not Fit the Class Definition
1693	1098750	Proof of Claim Did Not Result in a Recognized Loss
1694	1098751	Deficient Proof of Claim Never Cured
1695	1098755	Proof of Claim Did Not Result in a Recognized Loss
1696	1098756	Proof of Claim Did Not Result in a Recognized Loss
1697	1098757	Proof of Claim Did Not Result in a Recognized Loss
1698	1098761	Deficient Proof of Claim Never Cured
1699	1098763	Deficient Proof of Claim Never Cured
1700	1098766	Deficient Proof of Claim Never Cured

*Arena Securities Litigation***Rejected or Ineligible Claims****Exhibit C-3**

#	Claim Number	Reason for Rejection
1701	1098767	Proof of Claim Did Not Result in a Recognized Loss
1702	1098768	Proof of Claim Did Not Result in a Recognized Loss
1703	1098776	Proof of Claim Did Not Result in a Recognized Loss
1704	1098777	Deficient Proof of Claim Never Cured
1705	1098779	Proof of Claim Did Not Result in a Recognized Loss
1706	1098780	Deficient Proof of Claim Never Cured
1707	1098781	Deficient Proof of Claim Never Cured
1708	1098782	Proof of Claim Did Not Result in a Recognized Loss
1709	1098783	Proof of Claim Did Not Result in a Recognized Loss
1710	1098785	Proof of Claim Did Not Result in a Recognized Loss
1711	1098787	Deficient Proof of Claim Never Cured
1712	1098792	Proof of Claim Did Not Result in a Recognized Loss
1713	1098793	Deficient Proof of Claim Never Cured
1714	1098794	Proof of Claim Did Not Result in a Recognized Loss
1715	1098796	Proof of Claim Did Not Result in a Recognized Loss
1716	1098797	Proof of Claim Did Not Result in a Recognized Loss
1717	1098798	Proof of Claim Did Not Result in a Recognized Loss
1718	1098801	Proof of Claim Did Not Result in a Recognized Loss
1719	1098802	Deficient Proof of Claim Never Cured
1720	1098803	Deficient Proof of Claim Never Cured
1721	1098804	Proof of Claim Did Not Result in a Recognized Loss
1722	1098811	Deficient Proof of Claim Never Cured
1723	1098816	Proof of Claim Did Not Result in a Recognized Loss
1724	1098820	Deficient Proof of Claim Never Cured
1725	1098821	Proof of Claim Did Not Result in a Recognized Loss
1726	1098824	Proof of Claim Did Not Result in a Recognized Loss
1727	1098825	Proof of Claim Did Not Result in a Recognized Loss
1728	1098827	Proof of Claim Did Not Result in a Recognized Loss
1729	1098830	Proof of Claim Did Not Result in a Recognized Loss
1730	1098843	Proof of Claim Did Not Result in a Recognized Loss
1731	1098844	Proof of Claim Did Not Result in a Recognized Loss
1732	1098847	Proof of Claim Did Not Result in a Recognized Loss
1733	1098849	Proof of Claim Did Not Result in a Recognized Loss
1734	1098851	Deficient Proof of Claim Never Cured
1735	1098852	Proof of Claim Did Not Result in a Recognized Loss
1736	1098853	Proof of Claim Did Not Result in a Recognized Loss
1737	1098854	Proof of Claim Did Not Result in a Recognized Loss
1738	1098855	Proof of Claim Did Not Result in a Recognized Loss
1739	1098856	Proof of Claim Did Not Result in a Recognized Loss
1740	1098860	Proof of Claim Did Not Result in a Recognized Loss
1741	1098869	Proof of Claim Did Not Result in a Recognized Loss
1742	1098873	Deficient Proof of Claim Never Cured
1743	1098878	Deficient Proof of Claim Never Cured
1744	1098879	Proof of Claim Did Not Result in a Recognized Loss
1745	1098880	Deficient Proof of Claim Never Cured
1746	1098882	Deficient Proof of Claim Never Cured
1747	1098883	Deficient Proof of Claim Never Cured
1748	1098884	Deficient Proof of Claim Never Cured
1749	1098887	Proof of Claim Did Not Result in a Recognized Loss
1750	1098897	Deficient Proof of Claim Never Cured

*Arena Securities Litigation***Rejected or Ineligible Claims****Exhibit C-3**

#	Claim Number	Reason for Rejection
1751	1098899	Proof of Claim Did Not Result in a Recognized Loss
1752	1098901	Proof of Claim Did Not Result in a Recognized Loss
1753	1098903	Deficient Proof of Claim Never Cured
1754	1098913	Deficient Proof of Claim Never Cured
1755	1098915	Proof of Claim Did Not Result in a Recognized Loss
1756	1098917	Duplicate Proof of Claim
1757	1098919	Deficient Proof of Claim Never Cured
1758	1098921	Deficient Proof of Claim Never Cured
1759	1098923	Deficient Proof of Claim Never Cured
1760	1098925	Proof of Claim Did Not Result in a Recognized Loss
1761	1098934	Deficient Proof of Claim Never Cured
1762	1098935	Proof of Claim Did Not Result in a Recognized Loss
1763	1098939	Deficient Proof of Claim Never Cured
1764	1098940	Proof of Claim Did Not Fit the Class Definition
1765	1098941	Deficient Proof of Claim Never Cured
1766	1098952	Deficient Proof of Claim Never Cured
1767	1098958	Deficient Proof of Claim Never Cured
1768	1098960	Proof of Claim Did Not Result in a Recognized Loss
1769	1098963	Proof of Claim Did Not Result in a Recognized Loss
1770	1098965	Deficient Proof of Claim Never Cured
1771	1098966	Deficient Proof of Claim Never Cured
1772	1098972	Deficient Proof of Claim Never Cured
1773	1098973	Deficient Proof of Claim Never Cured
1774	1098974	Deficient Proof of Claim Never Cured
1775	1098975	Deficient Proof of Claim Never Cured
1776	1098976	Deficient Proof of Claim Never Cured
1777	1098977	Proof of Claim Did Not Result in a Recognized Loss
1778	1098979	Deficient Proof of Claim Never Cured
1779	1098981	Proof of Claim Did Not Result in a Recognized Loss
1780	1098982	Proof of Claim Did Not Result in a Recognized Loss
1781	1098990	Proof of Claim Did Not Result in a Recognized Loss
1782	1098991	Proof of Claim Did Not Result in a Recognized Loss
1783	1098992	Proof of Claim Did Not Result in a Recognized Loss
1784	1098993	Proof of Claim Did Not Result in a Recognized Loss
1785	1098994	Proof of Claim Did Not Result in a Recognized Loss
1786	1098995	Proof of Claim Did Not Result in a Recognized Loss
1787	1098997	Deficient Proof of Claim Never Cured
1788	1099000	Deficient Proof of Claim Never Cured
1789	1099002	Deficient Proof of Claim Never Cured
1790	1099003	Deficient Proof of Claim Never Cured
1791	1099004	Deficient Proof of Claim Never Cured
1792	1099007	Deficient Proof of Claim Never Cured
1793	1099008	Deficient Proof of Claim Never Cured
1794	1099009	Proof of Claim Did Not Result in a Recognized Loss
1795	1099011	Proof of Claim Did Not Fit the Class Definition
1796	1099012	Proof of Claim Did Not Result in a Recognized Loss
1797	1099013	Deficient Proof of Claim Never Cured
1798	1099014	Deficient Proof of Claim Never Cured
1799	1099015	Proof of Claim Did Not Result in a Recognized Loss
1800	1099019	Deficient Proof of Claim Never Cured

*Arena Securities Litigation***Rejected or Ineligible Claims****Exhibit C-3**

#	Claim Number	Reason for Rejection
1801	1099021	Proof of Claim Did Not Result in a Recognized Loss
1802	1099022	Proof of Claim Did Not Result in a Recognized Loss
1803	1099023	Deficient Proof of Claim Never Cured
1804	1099035	Proof of Claim Did Not Result in a Recognized Loss
1805	1099038	Deficient Proof of Claim Never Cured
1806	1099040	Deficient Proof of Claim Never Cured
1807	1099041	Proof of Claim Did Not Result in a Recognized Loss
1808	1099042	Proof of Claim Did Not Result in a Recognized Loss
1809	1099044	Proof of Claim Did Not Result in a Recognized Loss
1810	1099047	Deficient Proof of Claim Never Cured
1811	1099048	Proof of Claim Did Not Result in a Recognized Loss
1812	1099050	Deficient Proof of Claim Never Cured
1813	1099052	Proof of Claim Did Not Result in a Recognized Loss
1814	1099060	Proof of Claim Did Not Result in a Recognized Loss
1815	1099062	Deficient Proof of Claim Never Cured
1816	1099064	Proof of Claim Did Not Result in a Recognized Loss
1817	1099067	Deficient Proof of Claim Never Cured
1818	1099068	Deficient Proof of Claim Never Cured
1819	1099069	Proof of Claim Did Not Result in a Recognized Loss
1820	1099070	Deficient Proof of Claim Never Cured
1821	1099071	Deficient Proof of Claim Never Cured
1822	1099075	Deficient Proof of Claim Never Cured
1823	1099076	Proof of Claim Did Not Result in a Recognized Loss
1824	1099077	Deficient Proof of Claim Never Cured
1825	1099082	Deficient Proof of Claim Never Cured
1826	1099083	Proof of Claim Did Not Result in a Recognized Loss
1827	1099084	Proof of Claim Did Not Result in a Recognized Loss
1828	1099088	Proof of Claim Did Not Result in a Recognized Loss
1829	1099090	Deficient Proof of Claim Never Cured
1830	1099091	Deficient Proof of Claim Never Cured
1831	1099097	Proof of Claim Did Not Result in a Recognized Loss
1832	1099101	Proof of Claim Did Not Result in a Recognized Loss
1833	1099103	Deficient Proof of Claim Never Cured
1834	1099104	Proof of Claim Did Not Result in a Recognized Loss
1835	1099106	Proof of Claim Did Not Result in a Recognized Loss
1836	1099112	Proof of Claim Did Not Result in a Recognized Loss
1837	1099113	Proof of Claim Did Not Result in a Recognized Loss
1838	1099114	Proof of Claim Did Not Result in a Recognized Loss
1839	1099120	Proof of Claim Did Not Result in a Recognized Loss
1840	1099122	Proof of Claim Did Not Result in a Recognized Loss
1841	1099133	Deficient Proof of Claim Never Cured
1842	1099144	Deficient Proof of Claim Never Cured
1843	1099146	Proof of Claim Did Not Result in a Recognized Loss
1844	1099149	Deficient Proof of Claim Never Cured
1845	1099151	Deficient Proof of Claim Never Cured
1846	1099158	Deficient Proof of Claim Never Cured
1847	1099159	Deficient Proof of Claim Never Cured
1848	1099160	Proof of Claim Did Not Result in a Recognized Loss
1849	1099165	Deficient Proof of Claim Never Cured
1850	1099173	Deficient Proof of Claim Never Cured

*Arena Securities Litigation***Rejected or Ineligible Claims****Exhibit C-3**

#	Claim Number	Reason for Rejection
1851	1099174	Deficient Proof of Claim Never Cured
1852	1099175	Deficient Proof of Claim Never Cured
1853	1099176	Deficient Proof of Claim Never Cured
1854	1099177	Deficient Proof of Claim Never Cured
1855	1099178	Deficient Proof of Claim Never Cured
1856	1099188	Proof of Claim Did Not Result in a Recognized Loss
1857	1099189	Duplicate Proof of Claim
1858	1099196	Deficient Proof of Claim Never Cured
1859	1099199	Proof of Claim Did Not Result in a Recognized Loss
1860	1099200	Proof of Claim Did Not Result in a Recognized Loss
1861	1099201	Duplicate Proof of Claim
1862	1099202	Deficient Proof of Claim Never Cured
1863	1099205	Proof of Claim Did Not Result in a Recognized Loss
1864	1099206	Proof of Claim Did Not Result in a Recognized Loss
1865	1099208	Deficient Proof of Claim Never Cured
1866	1099211	Proof of Claim Did Not Result in a Recognized Loss
1867	1099212	Proof of Claim Did Not Result in a Recognized Loss
1868	1099215	Deficient Proof of Claim Never Cured
1869	1099220	Deficient Proof of Claim Never Cured
1870	1099224	Proof of Claim Did Not Result in a Recognized Loss
1871	1099225	Deficient Proof of Claim Never Cured
1872	1099228	Deficient Proof of Claim Never Cured
1873	1099229	Deficient Proof of Claim Never Cured
1874	1099231	Proof of Claim Did Not Result in a Recognized Loss
1875	1099232	Proof of Claim Did Not Result in a Recognized Loss
1876	1099234	Deficient Proof of Claim Never Cured
1877	1099235	Proof of Claim Did Not Result in a Recognized Loss
1878	1099236	Deficient Proof of Claim Never Cured
1879	1099237	Proof of Claim Did Not Result in a Recognized Loss
1880	1099240	Deficient Proof of Claim Never Cured
1881	1099243	Deficient Proof of Claim Never Cured
1882	1099244	Deficient Proof of Claim Never Cured
1883	1099245	Proof of Claim Did Not Fit the Class Definition
1884	1099246	Deficient Proof of Claim Never Cured
1885	1099247	Proof of Claim Did Not Result in a Recognized Loss
1886	1099249	Deficient Proof of Claim Never Cured
1887	1099251	Deficient Proof of Claim Never Cured
1888	1099252	Deficient Proof of Claim Never Cured
1889	1099254	Proof of Claim Did Not Result in a Recognized Loss
1890	1099256	Deficient Proof of Claim Never Cured
1891	1099258	Proof of Claim Did Not Result in a Recognized Loss
1892	1099262	Deficient Proof of Claim Never Cured
1893	1099263	Proof of Claim Did Not Result in a Recognized Loss
1894	1099264	Deficient Proof of Claim Never Cured
1895	1099265	Deficient Proof of Claim Never Cured
1896	1099266	Deficient Proof of Claim Never Cured
1897	1099267	Deficient Proof of Claim Never Cured
1898	1099278	Deficient Proof of Claim Never Cured
1899	1099279	Deficient Proof of Claim Never Cured
1900	1099280	Deficient Proof of Claim Never Cured

*Arena Securities Litigation***Rejected or Ineligible Claims****Exhibit C-3**

#	Claim Number	Reason for Rejection
1901	1099283	Proof of Claim Did Not Result in a Recognized Loss
1902	1099284	Deficient Proof of Claim Never Cured
1903	1099285	Deficient Proof of Claim Never Cured
1904	1099286	Deficient Proof of Claim Never Cured
1905	1099288	Deficient Proof of Claim Never Cured
1906	1099289	Proof of Claim Did Not Result in a Recognized Loss
1907	1099291	Proof of Claim Did Not Result in a Recognized Loss
1908	1099292	Deficient Proof of Claim Never Cured
1909	1099293	Proof of Claim Did Not Result in a Recognized Loss
1910	1099294	Deficient Proof of Claim Never Cured
1911	1099295	Deficient Proof of Claim Never Cured
1912	1099296	Deficient Proof of Claim Never Cured
1913	1099297	Deficient Proof of Claim Never Cured
1914	1099298	Deficient Proof of Claim Never Cured
1915	1099302	Deficient Proof of Claim Never Cured
1916	1099306	Deficient Proof of Claim Never Cured
1917	1099315	Deficient Proof of Claim Never Cured
1918	1099316	Proof of Claim Did Not Result in a Recognized Loss
1919	1099320	Deficient Proof of Claim Never Cured
1920	1099321	Deficient Proof of Claim Never Cured
1921	1099323	Proof of Claim Did Not Result in a Recognized Loss
1922	1099325	Deficient Proof of Claim Never Cured
1923	1099326	Proof of Claim Did Not Result in a Recognized Loss
1924	1099327	Deficient Proof of Claim Never Cured
1925	1099328	Deficient Proof of Claim Never Cured
1926	1099329	Proof of Claim Did Not Result in a Recognized Loss
1927	1099332	Deficient Proof of Claim Never Cured
1928	1099333	Proof of Claim Did Not Result in a Recognized Loss
1929	1099337	Proof of Claim Did Not Result in a Recognized Loss
1930	1099339	Deficient Proof of Claim Never Cured
1931	1099340	Deficient Proof of Claim Never Cured
1932	1099341	Deficient Proof of Claim Never Cured
1933	1099342	Proof of Claim Did Not Result in a Recognized Loss
1934	1099343	Proof of Claim Did Not Result in a Recognized Loss
1935	1099344	Proof of Claim Did Not Result in a Recognized Loss
1936	1099345	Deficient Proof of Claim Never Cured
1937	1099366	Deficient Proof of Claim Never Cured
1938	1099368	Deficient Proof of Claim Never Cured
1939	1099369	Deficient Proof of Claim Never Cured
1940	1099370	Duplicate Proof of Claim
1941	1099375	Proof of Claim Did Not Fit the Class Definition
1942	1099694	Proof of Claim Did Not Result in a Recognized Loss
1943	1099695	Deficient Proof of Claim Never Cured
1944	1099701	Deficient Proof of Claim Never Cured
1945	1099702	Proof of Claim Did Not Result in a Recognized Loss
1946	1099718	Proof of Claim Did Not Fit the Class Definition
1947	1099735	Deficient Proof of Claim Never Cured
1948	1099738	Proof of Claim Did Not Fit the Class Definition
1949	1099740	Proof of Claim Did Not Fit the Class Definition
1950	1099741	Deficient Proof of Claim Never Cured

*Arena Securities Litigation***Rejected or Ineligible Claims****Exhibit C-3**

#	Claim Number	Reason for Rejection
1951	5000002	Proof of Claim Did Not Fit the Class Definition
1952	5000003	Proof of Claim Did Not Fit the Class Definition
1953	5000004	Proof of Claim Did Not Fit the Class Definition
1954	5000005	Proof of Claim Did Not Result in a Recognized Loss
1955	5000006	Proof of Claim Did Not Result in a Recognized Loss
1956	5000008	Proof of Claim Did Not Result in a Recognized Loss
1957	5000009	Proof of Claim Did Not Fit the Class Definition
1958	5000010	Proof of Claim Did Not Result in a Recognized Loss
1959	5000011	Proof of Claim Did Not Result in a Recognized Loss
1960	5000012	Deficient Proof of Claim Never Cured
1961	5000013	Deficient Proof of Claim Never Cured
1962	5000014	Deficient Proof of Claim Never Cured
1963	5000015	Proof of Claim Did Not Result in a Recognized Loss
1964	5000016	Proof of Claim Did Not Result in a Recognized Loss
1965	5000020	Proof of Claim Did Not Result in a Recognized Loss
1966	5000021	Proof of Claim Did Not Result in a Recognized Loss
1967	5000022	Proof of Claim Did Not Result in a Recognized Loss
1968	5000023	Proof of Claim Did Not Result in a Recognized Loss
1969	5000025	Proof of Claim Did Not Result in a Recognized Loss
1970	5000026	Proof of Claim Did Not Fit the Class Definition
1971	5000027	Proof of Claim Did Not Fit the Class Definition
1972	5000028	Proof of Claim Did Not Result in a Recognized Loss
1973	5000029	Proof of Claim Did Not Result in a Recognized Loss
1974	5000030	Proof of Claim Did Not Result in a Recognized Loss
1975	5000031	Proof of Claim Did Not Result in a Recognized Loss
1976	5000032	Proof of Claim Did Not Result in a Recognized Loss
1977	5000033	Proof of Claim Did Not Result in a Recognized Loss
1978	5000034	Proof of Claim Did Not Result in a Recognized Loss
1979	5000035	Proof of Claim Did Not Fit the Class Definition
1980	5000036	Proof of Claim Did Not Fit the Class Definition
1981	5000037	Proof of Claim Did Not Fit the Class Definition
1982	5000038	Proof of Claim Did Not Result in a Recognized Loss
1983	5000039	Proof of Claim Did Not Result in a Recognized Loss
1984	5000040	Proof of Claim Did Not Fit the Class Definition
1985	5000043	Proof of Claim Did Not Result in a Recognized Loss
1986	5000044	Proof of Claim Did Not Result in a Recognized Loss
1987	5000049	Proof of Claim Did Not Result in a Recognized Loss
1988	5000051	Proof of Claim Did Not Fit the Class Definition
1989	5000056	Proof of Claim Did Not Result in a Recognized Loss
1990	5000059	Proof of Claim Did Not Result in a Recognized Loss
1991	5000060	Proof of Claim Did Not Fit the Class Definition
1992	5000064	Proof of Claim Did Not Fit the Class Definition
1993	5000065	Proof of Claim Did Not Fit the Class Definition
1994	5000066	Proof of Claim Did Not Fit the Class Definition
1995	5000067	Proof of Claim Did Not Result in a Recognized Loss
1996	5000069	Proof of Claim Did Not Fit the Class Definition
1997	5000071	Proof of Claim Did Not Result in a Recognized Loss
1998	5000072	Deficient Proof of Claim Never Cured
1999	5000075	Proof of Claim Did Not Fit the Class Definition
2000	5000078	Deficient Proof of Claim Never Cured

*Arena Securities Litigation***Rejected or Ineligible Claims****Exhibit C-3**

#	Claim Number	Reason for Rejection
2001	5000079	Deficient Proof of Claim Never Cured
2002	5000080	Proof of Claim Did Not Result in a Recognized Loss
2003	5000082	Proof of Claim Did Not Result in a Recognized Loss
2004	5000083	Proof of Claim Did Not Result in a Recognized Loss
2005	5000084	Proof of Claim Did Not Result in a Recognized Loss
2006	5000086	Proof of Claim Did Not Result in a Recognized Loss
2007	5000087	Proof of Claim Did Not Result in a Recognized Loss
2008	5000088	Proof of Claim Did Not Result in a Recognized Loss
2009	5000089	Proof of Claim Did Not Result in a Recognized Loss
2010	5000090	Proof of Claim Did Not Fit the Class Definition
2011	5000092	Proof of Claim Did Not Fit the Class Definition
2012	5000093	Proof of Claim Did Not Fit the Class Definition
2013	5000095	Proof of Claim Did Not Fit the Class Definition
2014	5000096	Proof of Claim Did Not Fit the Class Definition
2015	5000097	Proof of Claim Did Not Fit the Class Definition
2016	5000099	Deficient Proof of Claim Never Cured
2017	5000102	Proof of Claim Did Not Result in a Recognized Loss
2018	5000104	Deficient Proof of Claim Never Cured
2019	5000105	Proof of Claim Did Not Fit the Class Definition
2020	5000106	Proof of Claim Did Not Fit the Class Definition
2021	5000107	Proof of Claim Did Not Fit the Class Definition
2022	5000108	Proof of Claim Did Not Result in a Recognized Loss
2023	5000109	Proof of Claim Did Not Fit the Class Definition
2024	5000110	Proof of Claim Did Not Fit the Class Definition
2025	5000111	Proof of Claim Did Not Fit the Class Definition
2026	5000112	Proof of Claim Did Not Result in a Recognized Loss
2027	5000113	Proof of Claim Did Not Result in a Recognized Loss
2028	5000114	Proof of Claim Did Not Result in a Recognized Loss
2029	5000115	Proof of Claim Did Not Result in a Recognized Loss
2030	5000116	Deficient Proof of Claim Never Cured
2031	5000117	Proof of Claim Did Not Fit the Class Definition
2032	5000118	Proof of Claim Did Not Fit the Class Definition
2033	5000119	Deficient Proof of Claim Never Cured
2034	5000120	Proof of Claim Did Not Result in a Recognized Loss
2035	5000121	Proof of Claim Did Not Fit the Class Definition
2036	5000122	Proof of Claim Did Not Result in a Recognized Loss
2037	5000123	Proof of Claim Did Not Fit the Class Definition
2038	5000124	Proof of Claim Did Not Result in a Recognized Loss
2039	5000125	Proof of Claim Did Not Result in a Recognized Loss
2040	5000127	Proof of Claim Did Not Result in a Recognized Loss
2041	5000128	Proof of Claim Did Not Result in a Recognized Loss
2042	5000129	Deficient Proof of Claim Never Cured
2043	5000130	Proof of Claim Did Not Result in a Recognized Loss
2044	5000131	Proof of Claim Did Not Fit the Class Definition
2045	5000134	Proof of Claim Did Not Result in a Recognized Loss
2046	5000135	Proof of Claim Did Not Result in a Recognized Loss
2047	5000139	Proof of Claim Did Not Result in a Recognized Loss
2048	5000140	Proof of Claim Did Not Result in a Recognized Loss
2049	5000142	Proof of Claim Did Not Fit the Class Definition
2050	5000143	Proof of Claim Did Not Result in a Recognized Loss

*Arena Securities Litigation***Rejected or Ineligible Claims****Exhibit C-3**

#	Claim Number	Reason for Rejection
2051	5000144	Proof of Claim Did Not Fit the Class Definition
2052	5000146	Proof of Claim Did Not Fit the Class Definition
2053	5000147	Duplicate Proof of Claim
2054	5000148	Proof of Claim Did Not Result in a Recognized Loss
2055	5000149	Proof of Claim Did Not Result in a Recognized Loss
2056	5000150	Proof of Claim Did Not Fit the Class Definition
2057	5000151	Proof of Claim Did Not Result in a Recognized Loss
2058	5000153	Proof of Claim Did Not Result in a Recognized Loss
2059	5000154	Proof of Claim Did Not Result in a Recognized Loss
2060	5000155	Proof of Claim Did Not Fit the Class Definition
2061	5000156	Proof of Claim Did Not Fit the Class Definition
2062	5000157	Proof of Claim Did Not Result in a Recognized Loss
2063	5000158	Proof of Claim Did Not Result in a Recognized Loss
2064	5000159	Proof of Claim Did Not Result in a Recognized Loss
2065	5000160	Proof of Claim Did Not Result in a Recognized Loss
2066	5000161	Proof of Claim Did Not Result in a Recognized Loss
2067	5000162	Proof of Claim Did Not Result in a Recognized Loss
2068	5000163	Deficient Proof of Claim Never Cured
2069	5000164	Proof of Claim Did Not Result in a Recognized Loss
2070	5000165	Proof of Claim Did Not Result in a Recognized Loss
2071	5000166	Deficient Proof of Claim Never Cured
2072	5000168	Proof of Claim Did Not Fit the Class Definition
2073	5000169	Proof of Claim Did Not Fit the Class Definition
2074	5000170	Proof of Claim Did Not Result in a Recognized Loss
2075	5000171	Proof of Claim Did Not Result in a Recognized Loss
2076	5000172	Proof of Claim Did Not Result in a Recognized Loss
2077	5000173	Deficient Proof of Claim Never Cured
2078	5000174	Proof of Claim Did Not Fit the Class Definition
2079	5000176	Proof of Claim Did Not Result in a Recognized Loss
2080	5000177	Proof of Claim Did Not Result in a Recognized Loss
2081	5000178	Proof of Claim Did Not Result in a Recognized Loss
2082	5000179	Deficient Proof of Claim Never Cured
2083	5000180	Proof of Claim Did Not Result in a Recognized Loss
2084	5000181	Proof of Claim Did Not Result in a Recognized Loss
2085	5000182	Deficient Proof of Claim Never Cured
2086	5000183	Proof of Claim Did Not Result in a Recognized Loss
2087	5000184	Proof of Claim Did Not Result in a Recognized Loss
2088	5000185	Proof of Claim Did Not Result in a Recognized Loss
2089	5000186	Proof of Claim Did Not Result in a Recognized Loss
2090	5000187	Proof of Claim Did Not Fit the Class Definition
2091	5000188	Proof of Claim Did Not Fit the Class Definition
2092	5000189	Proof of Claim Did Not Result in a Recognized Loss
2093	5000190	Proof of Claim Did Not Fit the Class Definition
2094	5000191	Deficient Proof of Claim Never Cured
2095	5000192	Proof of Claim Did Not Fit the Class Definition
2096	5000195	Proof of Claim Did Not Result in a Recognized Loss
2097	5000198	Proof of Claim Did Not Result in a Recognized Loss
2098	5000199	Proof of Claim Did Not Result in a Recognized Loss
2099	5000200	Proof of Claim Did Not Result in a Recognized Loss
2100	5000207	Proof of Claim Did Not Result in a Recognized Loss

*Arena Securities Litigation***Rejected or Ineligible Claims****Exhibit C-3**

#	Claim Number	Reason for Rejection
2101	5000209	Proof of Claim Did Not Result in a Recognized Loss
2102	5000215	Proof of Claim Did Not Result in a Recognized Loss
2103	5000216	Proof of Claim Did Not Result in a Recognized Loss
2104	5000217	Proof of Claim Did Not Result in a Recognized Loss
2105	5000218	Deficient Proof of Claim Never Cured
2106	5000219	Deficient Proof of Claim Never Cured
2107	5000220	Proof of Claim Did Not Result in a Recognized Loss
2108	5000221	Proof of Claim Did Not Result in a Recognized Loss
2109	5000222	Proof of Claim Did Not Result in a Recognized Loss
2110	5000223	Deficient Proof of Claim Never Cured
2111	5000226	Proof of Claim Did Not Result in a Recognized Loss
2112	5000227	Deficient Proof of Claim Never Cured
2113	5000228	Proof of Claim Did Not Result in a Recognized Loss
2114	5000229	Proof of Claim Did Not Result in a Recognized Loss
2115	5000230	Proof of Claim Did Not Result in a Recognized Loss
2116	5000231	Deficient Proof of Claim Never Cured
2117	5000237	Proof of Claim Did Not Result in a Recognized Loss
2118	5000238	Proof of Claim Did Not Result in a Recognized Loss
2119	5000240	Proof of Claim Did Not Result in a Recognized Loss
2120	5000241	Proof of Claim Did Not Result in a Recognized Loss
2121	5000242	Proof of Claim Did Not Result in a Recognized Loss
2122	5000243	Proof of Claim Did Not Fit the Class Definition
2123	5000244	Proof of Claim Did Not Fit the Class Definition
2124	5000246	Proof of Claim Did Not Result in a Recognized Loss
2125	5000247	Proof of Claim Did Not Fit the Class Definition
2126	5000248	Deficient Proof of Claim Never Cured
2127	5000249	Deficient Proof of Claim Never Cured
2128	5000250	Proof of Claim Did Not Fit the Class Definition
2129	5000252	Deficient Proof of Claim Never Cured
2130	5000253	Deficient Proof of Claim Never Cured
2131	5000254	Deficient Proof of Claim Never Cured
2132	5000257	Proof of Claim Did Not Fit the Class Definition
2133	5000258	Deficient Proof of Claim Never Cured
2134	5000260	Deficient Proof of Claim Never Cured
2135	5000262	Deficient Proof of Claim Never Cured
2136	5000264	Deficient Proof of Claim Never Cured
2137	5000265	Deficient Proof of Claim Never Cured
2138	5000266	Deficient Proof of Claim Never Cured
2139	5000267	Deficient Proof of Claim Never Cured
2140	5000268	Deficient Proof of Claim Never Cured
2141	5000269	Deficient Proof of Claim Never Cured
2142	5000270	Deficient Proof of Claim Never Cured
2143	5000272	Deficient Proof of Claim Never Cured
2144	5000274	Deficient Proof of Claim Never Cured
2145	5000275	Deficient Proof of Claim Never Cured
2146	5000277	Deficient Proof of Claim Never Cured
2147	5000280	Proof of Claim Did Not Fit the Class Definition
2148	5000281	Deficient Proof of Claim Never Cured
2149	5000282	Deficient Proof of Claim Never Cured
2150	5000283	Deficient Proof of Claim Never Cured

*Arena Securities Litigation***Rejected or Ineligible Claims****Exhibit C-3**

#	Claim Number	Reason for Rejection
2151	5000284	Deficient Proof of Claim Never Cured
2152	5000287	Deficient Proof of Claim Never Cured
2153	5000288	Deficient Proof of Claim Never Cured
2154	5000289	Deficient Proof of Claim Never Cured
2155	5000290	Deficient Proof of Claim Never Cured
2156	5000291	Deficient Proof of Claim Never Cured
2157	5000292	Deficient Proof of Claim Never Cured
2158	5000293	Deficient Proof of Claim Never Cured
2159	5000295	Deficient Proof of Claim Never Cured
2160	5000296	Deficient Proof of Claim Never Cured
2161	5000298	Deficient Proof of Claim Never Cured
2162	5000300	Proof of Claim Did Not Result in a Recognized Loss
2163	5000301	Proof of Claim Did Not Result in a Recognized Loss
2164	5000310	Proof of Claim Did Not Result in a Recognized Loss
2165	5000311	Deficient Proof of Claim Never Cured
2166	5000313	Proof of Claim Did Not Result in a Recognized Loss
2167	5000314	Deficient Proof of Claim Never Cured
2168	5000316	Proof of Claim Did Not Fit the Class Definition
2169	5000317	Proof of Claim Did Not Fit the Class Definition
2170	5000318	Proof of Claim Did Not Result in a Recognized Loss
2171	5000329	Proof of Claim Did Not Result in a Recognized Loss
2172	5000331	Proof of Claim Did Not Fit the Class Definition
2173	5000332	Deficient Proof of Claim Never Cured
2174	5000333	Duplicate Proof of Claim
2175	5000334	Deficient Proof of Claim Never Cured
2176	5000335	Deficient Proof of Claim Never Cured
2177	5000336	Deficient Proof of Claim Never Cured
2178	5000337	Deficient Proof of Claim Never Cured
2179	5000338	Deficient Proof of Claim Never Cured
2180	5000339	Proof of Claim Did Not Result in a Recognized Loss
2181	5000340	Deficient Proof of Claim Never Cured
2182	5000341	Proof of Claim Did Not Result in a Recognized Loss
2183	5000343	Proof of Claim Did Not Result in a Recognized Loss
2184	5000345	Proof of Claim Did Not Result in a Recognized Loss
2185	5000346	Proof of Claim Did Not Result in a Recognized Loss
2186	5000347	Deficient Proof of Claim Never Cured
2187	5000348	Deficient Proof of Claim Never Cured
2188	5000350	Proof of Claim Did Not Fit the Class Definition
2189	5000351	Proof of Claim Did Not Fit the Class Definition
2190	5000352	Proof of Claim Did Not Fit the Class Definition
2191	5000353	Proof of Claim Did Not Fit the Class Definition
2192	5000354	Proof of Claim Did Not Fit the Class Definition
2193	5000355	Proof of Claim Did Not Result in a Recognized Loss
2194	5000356	Proof of Claim Did Not Fit the Class Definition
2195	5000357	Deficient Proof of Claim Never Cured
2196	5000358	Proof of Claim Did Not Result in a Recognized Loss
2197	5000359	Proof of Claim Did Not Result in a Recognized Loss
2198	5000360	Proof of Claim Did Not Result in a Recognized Loss
2199	5000361	Proof of Claim Did Not Result in a Recognized Loss
2200	5000364	Proof of Claim Did Not Result in a Recognized Loss

*Arena Securities Litigation***Rejected or Ineligible Claims****Exhibit C-3**

#	Claim Number	Reason for Rejection
2201	5000376	Proof of Claim Did Not Result in a Recognized Loss
2202	5000380	Proof of Claim Did Not Fit the Class Definition
2203	5000381	Proof of Claim Did Not Result in a Recognized Loss
2204	5000382	Proof of Claim Did Not Result in a Recognized Loss
2205	5000385	Proof of Claim Did Not Result in a Recognized Loss
2206	5000386	Deficient Proof of Claim Never Cured
2207	5000387	Proof of Claim Did Not Fit the Class Definition
2208	5000388	Deficient Proof of Claim Never Cured
2209	5000389	Deficient Proof of Claim Never Cured
2210	5000390	Proof of Claim Did Not Fit the Class Definition
2211	5000391	Deficient Proof of Claim Never Cured
2212	5000392	Proof of Claim Did Not Fit the Class Definition
2213	5000393	Deficient Proof of Claim Never Cured
2214	5000395	Deficient Proof of Claim Never Cured
2215	5000396	Deficient Proof of Claim Never Cured
2216	5000397	Proof of Claim Did Not Result in a Recognized Loss
2217	5000398	Proof of Claim Did Not Result in a Recognized Loss
2218	5000399	Proof of Claim Did Not Result in a Recognized Loss
2219	5000405	Proof of Claim Did Not Fit the Class Definition
2220	5000406	Proof of Claim Did Not Result in a Recognized Loss
2221	5000408	Proof of Claim Did Not Result in a Recognized Loss
2222	5000409	Proof of Claim Did Not Fit the Class Definition
2223	5000410	Proof of Claim Did Not Fit the Class Definition
2224	5000411	Proof of Claim Did Not Result in a Recognized Loss
2225	5000412	Proof of Claim Did Not Result in a Recognized Loss
2226	5000413	Proof of Claim Did Not Result in a Recognized Loss
2227	5000414	Proof of Claim Did Not Fit the Class Definition
2228	5000415	Proof of Claim Did Not Result in a Recognized Loss
2229	5000416	Proof of Claim Did Not Result in a Recognized Loss
2230	5000417	Deficient Proof of Claim Never Cured
2231	5000418	Deficient Proof of Claim Never Cured
2232	5000419	Proof of Claim Did Not Fit the Class Definition
2233	5000420	Proof of Claim Did Not Fit the Class Definition
2234	5000421	Deficient Proof of Claim Never Cured
2235	5000422	Deficient Proof of Claim Never Cured
2236	5000423	Deficient Proof of Claim Never Cured
2237	5000424	Proof of Claim Did Not Result in a Recognized Loss
2238	5000425	Proof of Claim Did Not Result in a Recognized Loss
2239	5000427	Proof of Claim Did Not Result in a Recognized Loss
2240	5000428	Proof of Claim Did Not Result in a Recognized Loss
2241	5000430	Deficient Proof of Claim Never Cured
2242	5000432	Proof of Claim Did Not Fit the Class Definition
2243	5000433	Proof of Claim Did Not Result in a Recognized Loss
2244	5000434	Proof of Claim Did Not Fit the Class Definition
2245	5000435	Deficient Proof of Claim Never Cured
2246	5000436	Proof of Claim Did Not Result in a Recognized Loss
2247	5000437	Proof of Claim Did Not Fit the Class Definition
2248	5000438	Deficient Proof of Claim Never Cured
2249	5000439	Proof of Claim Did Not Result in a Recognized Loss
2250	5000440	Proof of Claim Did Not Result in a Recognized Loss

*Arena Securities Litigation***Rejected or Ineligible Claims****Exhibit C-3**

#	Claim Number	Reason for Rejection
2251	5000441	Proof of Claim Did Not Result in a Recognized Loss
2252	5000442	Proof of Claim Did Not Result in a Recognized Loss
2253	5000443	Proof of Claim Did Not Result in a Recognized Loss
2254	5000444	Proof of Claim Did Not Result in a Recognized Loss
2255	5000445	Proof of Claim Did Not Result in a Recognized Loss
2256	5000446	Proof of Claim Did Not Result in a Recognized Loss
2257	5000447	Proof of Claim Did Not Result in a Recognized Loss
2258	5000448	Proof of Claim Did Not Result in a Recognized Loss
2259	5000449	Proof of Claim Did Not Result in a Recognized Loss
2260	5000450	Proof of Claim Did Not Result in a Recognized Loss
2261	5000451	Proof of Claim Did Not Result in a Recognized Loss
2262	5000452	Proof of Claim Did Not Result in a Recognized Loss
2263	5000453	Proof of Claim Did Not Result in a Recognized Loss
2264	5000454	Proof of Claim Did Not Result in a Recognized Loss
2265	5000455	Proof of Claim Did Not Result in a Recognized Loss
2266	5000456	Proof of Claim Did Not Result in a Recognized Loss
2267	5000457	Proof of Claim Did Not Result in a Recognized Loss
2268	5000458	Proof of Claim Did Not Result in a Recognized Loss
2269	5000459	Proof of Claim Did Not Result in a Recognized Loss
2270	5000460	Proof of Claim Did Not Result in a Recognized Loss
2271	5000461	Proof of Claim Did Not Result in a Recognized Loss
2272	5000462	Proof of Claim Did Not Result in a Recognized Loss
2273	5000463	Proof of Claim Did Not Result in a Recognized Loss
2274	5000464	Proof of Claim Did Not Result in a Recognized Loss
2275	5000465	Proof of Claim Did Not Result in a Recognized Loss
2276	5000466	Proof of Claim Did Not Result in a Recognized Loss
2277	5000467	Proof of Claim Did Not Result in a Recognized Loss
2278	5000468	Proof of Claim Did Not Result in a Recognized Loss
2279	5000469	Proof of Claim Did Not Result in a Recognized Loss
2280	5000470	Proof of Claim Did Not Result in a Recognized Loss
2281	5000471	Proof of Claim Did Not Result in a Recognized Loss
2282	5000472	Proof of Claim Did Not Result in a Recognized Loss
2283	5000473	Proof of Claim Did Not Result in a Recognized Loss
2284	5000474	Proof of Claim Did Not Result in a Recognized Loss
2285	5000475	Proof of Claim Did Not Result in a Recognized Loss
2286	5000476	Proof of Claim Did Not Result in a Recognized Loss
2287	5000477	Proof of Claim Did Not Result in a Recognized Loss
2288	5000478	Proof of Claim Did Not Result in a Recognized Loss
2289	5000479	Proof of Claim Did Not Result in a Recognized Loss
2290	5000480	Proof of Claim Did Not Result in a Recognized Loss
2291	5000481	Proof of Claim Did Not Result in a Recognized Loss
2292	5000482	Proof of Claim Did Not Result in a Recognized Loss
2293	5000483	Proof of Claim Did Not Result in a Recognized Loss
2294	5000484	Proof of Claim Did Not Result in a Recognized Loss
2295	5000485	Proof of Claim Did Not Result in a Recognized Loss
2296	5000486	Proof of Claim Did Not Result in a Recognized Loss
2297	5000487	Proof of Claim Did Not Result in a Recognized Loss
2298	5000488	Proof of Claim Did Not Result in a Recognized Loss
2299	5000489	Proof of Claim Did Not Result in a Recognized Loss
2300	5000490	Proof of Claim Did Not Result in a Recognized Loss

*Arena Securities Litigation***Rejected or Ineligible Claims****Exhibit C-3**

#	Claim Number	Reason for Rejection
2301	5000491	Proof of Claim Did Not Result in a Recognized Loss
2302	5000492	Proof of Claim Did Not Result in a Recognized Loss
2303	5000493	Proof of Claim Did Not Result in a Recognized Loss
2304	5000494	Proof of Claim Did Not Result in a Recognized Loss
2305	5000495	Proof of Claim Did Not Result in a Recognized Loss
2306	5000496	Proof of Claim Did Not Result in a Recognized Loss
2307	5000497	Proof of Claim Did Not Result in a Recognized Loss
2308	5000498	Proof of Claim Did Not Result in a Recognized Loss
2309	5000499	Proof of Claim Did Not Result in a Recognized Loss
2310	5000500	Proof of Claim Did Not Result in a Recognized Loss
2311	5000501	Proof of Claim Did Not Result in a Recognized Loss
2312	5000502	Proof of Claim Did Not Result in a Recognized Loss
2313	5000503	Proof of Claim Did Not Result in a Recognized Loss
2314	5000504	Proof of Claim Did Not Result in a Recognized Loss
2315	5000505	Proof of Claim Did Not Result in a Recognized Loss
2316	5000506	Proof of Claim Did Not Result in a Recognized Loss
2317	5000507	Proof of Claim Did Not Result in a Recognized Loss
2318	5000508	Proof of Claim Did Not Result in a Recognized Loss
2319	5000509	Proof of Claim Did Not Result in a Recognized Loss
2320	5000510	Proof of Claim Did Not Result in a Recognized Loss
2321	5000511	Proof of Claim Did Not Result in a Recognized Loss
2322	5000512	Proof of Claim Did Not Result in a Recognized Loss
2323	5000513	Proof of Claim Did Not Result in a Recognized Loss
2324	5000514	Proof of Claim Did Not Result in a Recognized Loss
2325	5000515	Proof of Claim Did Not Result in a Recognized Loss
2326	5000516	Proof of Claim Did Not Result in a Recognized Loss
2327	5000517	Proof of Claim Did Not Result in a Recognized Loss
2328	5000518	Proof of Claim Did Not Result in a Recognized Loss
2329	5000519	Proof of Claim Did Not Result in a Recognized Loss
2330	5000520	Proof of Claim Did Not Result in a Recognized Loss
2331	5000521	Proof of Claim Did Not Result in a Recognized Loss
2332	5000522	Proof of Claim Did Not Result in a Recognized Loss
2333	5000524	Proof of Claim Did Not Result in a Recognized Loss
2334	5000525	Proof of Claim Did Not Result in a Recognized Loss
2335	5000526	Deficient Proof of Claim Never Cured
2336	5000527	Deficient Proof of Claim Never Cured
2337	5000528	Proof of Claim Did Not Result in a Recognized Loss
2338	5000529	Proof of Claim Did Not Result in a Recognized Loss
2339	5000530	Proof of Claim Did Not Result in a Recognized Loss
2340	5000531	Proof of Claim Did Not Result in a Recognized Loss
2341	5000532	Proof of Claim Did Not Result in a Recognized Loss
2342	5000533	Proof of Claim Did Not Result in a Recognized Loss
2343	5000534	Proof of Claim Did Not Result in a Recognized Loss
2344	5000535	Proof of Claim Did Not Result in a Recognized Loss
2345	5000536	Proof of Claim Did Not Result in a Recognized Loss
2346	5000537	Proof of Claim Did Not Fit the Class Definition
2347	5000538	Proof of Claim Did Not Result in a Recognized Loss
2348	5000539	Proof of Claim Did Not Result in a Recognized Loss
2349	5000540	Proof of Claim Did Not Result in a Recognized Loss
2350	5000541	Proof of Claim Did Not Result in a Recognized Loss

*Arena Securities Litigation***Rejected or Ineligible Claims****Exhibit C-3**

#	Claim Number	Reason for Rejection
2351	5000542	Proof of Claim Did Not Result in a Recognized Loss
2352	5000543	Proof of Claim Did Not Result in a Recognized Loss
2353	5000544	Proof of Claim Did Not Result in a Recognized Loss
2354	5000545	Proof of Claim Did Not Result in a Recognized Loss
2355	5000546	Proof of Claim Did Not Result in a Recognized Loss
2356	5000547	Proof of Claim Did Not Result in a Recognized Loss
2357	5000548	Proof of Claim Did Not Result in a Recognized Loss
2358	5000549	Proof of Claim Did Not Result in a Recognized Loss
2359	5000550	Proof of Claim Did Not Result in a Recognized Loss
2360	5000551	Proof of Claim Did Not Result in a Recognized Loss
2361	5000552	Proof of Claim Did Not Result in a Recognized Loss
2362	5000553	Proof of Claim Did Not Result in a Recognized Loss
2363	5000554	Proof of Claim Did Not Result in a Recognized Loss
2364	5000555	Proof of Claim Did Not Result in a Recognized Loss
2365	5000556	Proof of Claim Did Not Result in a Recognized Loss
2366	5000557	Deficient Proof of Claim Never Cured
2367	5000558	Proof of Claim Did Not Result in a Recognized Loss
2368	5000559	Proof of Claim Did Not Result in a Recognized Loss
2369	5000560	Proof of Claim Did Not Result in a Recognized Loss
2370	5000561	Proof of Claim Did Not Result in a Recognized Loss
2371	5000562	Proof of Claim Did Not Result in a Recognized Loss
2372	5000563	Proof of Claim Did Not Result in a Recognized Loss
2373	5000564	Proof of Claim Did Not Result in a Recognized Loss
2374	5000565	Proof of Claim Did Not Result in a Recognized Loss
2375	5000566	Proof of Claim Did Not Result in a Recognized Loss
2376	5000567	Proof of Claim Did Not Result in a Recognized Loss
2377	5000568	Proof of Claim Did Not Result in a Recognized Loss
2378	5000569	Proof of Claim Did Not Result in a Recognized Loss
2379	5000570	Proof of Claim Did Not Result in a Recognized Loss
2380	5000571	Proof of Claim Did Not Result in a Recognized Loss
2381	5000573	Proof of Claim Did Not Result in a Recognized Loss
2382	5000574	Proof of Claim Did Not Result in a Recognized Loss
2383	5000575	Proof of Claim Did Not Result in a Recognized Loss
2384	5000576	Proof of Claim Did Not Result in a Recognized Loss
2385	5000577	Proof of Claim Did Not Result in a Recognized Loss
2386	5000578	Proof of Claim Did Not Result in a Recognized Loss
2387	5000579	Proof of Claim Did Not Result in a Recognized Loss
2388	5000580	Proof of Claim Did Not Result in a Recognized Loss
2389	5000581	Proof of Claim Did Not Result in a Recognized Loss
2390	5000582	Proof of Claim Did Not Result in a Recognized Loss
2391	5000583	Proof of Claim Did Not Result in a Recognized Loss
2392	5000584	Proof of Claim Did Not Result in a Recognized Loss
2393	5000585	Proof of Claim Did Not Result in a Recognized Loss
2394	5000586	Proof of Claim Did Not Result in a Recognized Loss
2395	5000588	Proof of Claim Did Not Result in a Recognized Loss
2396	5000589	Proof of Claim Did Not Result in a Recognized Loss
2397	5000590	Proof of Claim Did Not Result in a Recognized Loss
2398	5000591	Proof of Claim Did Not Result in a Recognized Loss
2399	5000592	Proof of Claim Did Not Result in a Recognized Loss
2400	5000593	Proof of Claim Did Not Result in a Recognized Loss

*Arena Securities Litigation***Rejected or Ineligible Claims****Exhibit C-3**

#	Claim Number	Reason for Rejection
2401	5000594	Proof of Claim Did Not Result in a Recognized Loss
2402	5000595	Proof of Claim Did Not Result in a Recognized Loss
2403	5000596	Proof of Claim Did Not Result in a Recognized Loss
2404	5000597	Proof of Claim Did Not Result in a Recognized Loss
2405	5000598	Proof of Claim Did Not Result in a Recognized Loss
2406	5000599	Proof of Claim Did Not Result in a Recognized Loss
2407	5000600	Proof of Claim Did Not Fit the Class Definition
2408	5000601	Proof of Claim Did Not Result in a Recognized Loss
2409	5000602	Proof of Claim Did Not Result in a Recognized Loss
2410	5000603	Proof of Claim Did Not Result in a Recognized Loss
2411	5000604	Proof of Claim Did Not Result in a Recognized Loss
2412	5000608	Proof of Claim Did Not Result in a Recognized Loss
2413	5000609	Proof of Claim Did Not Result in a Recognized Loss
2414	5000610	Deficient Proof of Claim Never Cured
2415	5000613	Duplicate Proof of Claim
2416	5000614	Proof of Claim Did Not Result in a Recognized Loss
2417	5000615	Proof of Claim Did Not Result in a Recognized Loss
2418	5000617	Duplicate Proof of Claim
2419	5000621	Proof of Claim Did Not Fit the Class Definition
2420	5000624	Proof of Claim Did Not Result in a Recognized Loss
2421	5000625	Proof of Claim Did Not Result in a Recognized Loss
2422	5000626	Proof of Claim Did Not Fit the Class Definition
2423	5000627	Proof of Claim Did Not Fit the Class Definition
2424	5000629	Proof of Claim Did Not Result in a Recognized Loss
2425	5000630	Proof of Claim Did Not Result in a Recognized Loss
2426	5000632	Duplicate Proof of Claim
2427	5000633	Duplicate Proof of Claim
2428	5000635	Proof of Claim Did Not Fit the Class Definition
2429	5000636	Proof of Claim Did Not Result in a Recognized Loss
2430	5000638	Proof of Claim Did Not Result in a Recognized Loss
2431	5000639	Proof of Claim Did Not Result in a Recognized Loss
2432	5000640	Proof of Claim Did Not Result in a Recognized Loss
2433	5000641	Proof of Claim Did Not Result in a Recognized Loss
2434	5000643	Proof of Claim Did Not Result in a Recognized Loss
2435	5000644	Proof of Claim Did Not Fit the Class Definition
2436	5000645	Proof of Claim Did Not Result in a Recognized Loss
2437	5000647	Proof of Claim Did Not Result in a Recognized Loss
2438	5000649	Proof of Claim Did Not Result in a Recognized Loss
2439	5000650	Proof of Claim Did Not Result in a Recognized Loss
2440	5000655	Proof of Claim Did Not Result in a Recognized Loss
2441	5000656	Proof of Claim Did Not Fit the Class Definition
2442	5000657	Proof of Claim Did Not Fit the Class Definition
2443	5000658	Proof of Claim Did Not Fit the Class Definition
2444	5000660	Proof of Claim Did Not Fit the Class Definition
2445	5000661	Proof of Claim Did Not Fit the Class Definition
2446	5000662	Proof of Claim Did Not Result in a Recognized Loss
2447	5000663	Proof of Claim Did Not Fit the Class Definition
2448	5000664	Proof of Claim Did Not Result in a Recognized Loss
2449	5000665	Proof of Claim Did Not Fit the Class Definition
2450	5000666	Proof of Claim Did Not Fit the Class Definition

*Arena Securities Litigation***Rejected or Ineligible Claims****Exhibit C-3**

#	Claim Number	Reason for Rejection
2451	5000670	Proof of Claim Did Not Fit the Class Definition
2452	5000671	Proof of Claim Did Not Fit the Class Definition
2453	5000672	Proof of Claim Did Not Fit the Class Definition
2454	5000673	Proof of Claim Did Not Result in a Recognized Loss
2455	5000674	Deficient Proof of Claim Never Cured
2456	5000675	Proof of Claim Did Not Result in a Recognized Loss
2457	5000676	Proof of Claim Did Not Result in a Recognized Loss
2458	5000677	Proof of Claim Did Not Result in a Recognized Loss
2459	5000678	Duplicate Proof of Claim
2460	5000679	Proof of Claim Did Not Result in a Recognized Loss
2461	5000682	Proof of Claim Did Not Fit the Class Definition
2462	5000686	Proof of Claim Did Not Fit the Class Definition
2463	5000687	Proof of Claim Did Not Fit the Class Definition
2464	5000688	Proof of Claim Did Not Result in a Recognized Loss
2465	5000690	Proof of Claim Did Not Result in a Recognized Loss
2466	5000692	Deficient Proof of Claim Never Cured
2467	5000694	Proof of Claim Did Not Result in a Recognized Loss
2468	5000695	Deficient Proof of Claim Never Cured
2469	5000696	Proof of Claim Did Not Result in a Recognized Loss
2470	5000697	Proof of Claim Did Not Fit the Class Definition
2471	5000698	Deficient Proof of Claim Never Cured
2472	5000699	Proof of Claim Did Not Fit the Class Definition
2473	5000700	Proof of Claim Did Not Result in a Recognized Loss
2474	5000702	Proof of Claim Did Not Result in a Recognized Loss
2475	5000703	Proof of Claim Did Not Result in a Recognized Loss
2476	5000704	Proof of Claim Did Not Result in a Recognized Loss
2477	5000705	Proof of Claim Did Not Fit the Class Definition
2478	5000706	Proof of Claim Did Not Fit the Class Definition
2479	5000707	Proof of Claim Did Not Result in a Recognized Loss
2480	5000708	Proof of Claim Did Not Fit the Class Definition
2481	5000709	Proof of Claim Did Not Fit the Class Definition
2482	5000710	Proof of Claim Did Not Result in a Recognized Loss
2483	5000711	Proof of Claim Did Not Fit the Class Definition
2484	5000712	Proof of Claim Did Not Result in a Recognized Loss
2485	5000715	Proof of Claim Did Not Result in a Recognized Loss
2486	5000717	Proof of Claim Did Not Result in a Recognized Loss
2487	5000720	Proof of Claim Did Not Result in a Recognized Loss
2488	5000722	Proof of Claim Did Not Result in a Recognized Loss
2489	5000723	Proof of Claim Did Not Result in a Recognized Loss
2490	5000726	Proof of Claim Did Not Result in a Recognized Loss
2491	5000734	Proof of Claim Did Not Fit the Class Definition
2492	5000737	Proof of Claim Did Not Fit the Class Definition
2493	5000738	Deficient Proof of Claim Never Cured
2494	5000739	Proof of Claim Did Not Result in a Recognized Loss
2495	5000740	Proof of Claim Did Not Result in a Recognized Loss
2496	5000741	Proof of Claim Did Not Fit the Class Definition
2497	5000742	Proof of Claim Did Not Result in a Recognized Loss
2498	5000743	Proof of Claim Did Not Result in a Recognized Loss
2499	5000744	Proof of Claim Did Not Result in a Recognized Loss
2500	5000745	Proof of Claim Did Not Result in a Recognized Loss

*Arena Securities Litigation***Rejected or Ineligible Claims****Exhibit C-3**

#	Claim Number	Reason for Rejection
2501	5000746	Proof of Claim Did Not Result in a Recognized Loss
2502	5000748	Deficient Proof of Claim Never Cured
2503	5000749	Proof of Claim Did Not Fit the Class Definition
2504	5000750	Proof of Claim Did Not Fit the Class Definition
2505	5000751	Proof of Claim Did Not Fit the Class Definition
2506	5000752	Proof of Claim Did Not Fit the Class Definition
2507	5000753	Proof of Claim Did Not Fit the Class Definition
2508	5000755	Proof of Claim Did Not Result in a Recognized Loss
2509	5000757	Proof of Claim Did Not Result in a Recognized Loss
2510	5000758	Proof of Claim Did Not Result in a Recognized Loss
2511	5000759	Proof of Claim Did Not Result in a Recognized Loss
2512	5000760	Proof of Claim Did Not Result in a Recognized Loss
2513	5000761	Proof of Claim Did Not Fit the Class Definition
2514	5000762	Deficient Proof of Claim Never Cured
2515	5000763	Proof of Claim Did Not Result in a Recognized Loss
2516	5000764	Proof of Claim Did Not Result in a Recognized Loss
2517	5000766	Proof of Claim Did Not Fit the Class Definition
2518	5000767	Proof of Claim Did Not Fit the Class Definition
2519	5000768	Proof of Claim Did Not Fit the Class Definition
2520	5000769	Proof of Claim Did Not Result in a Recognized Loss
2521	5000770	Proof of Claim Did Not Fit the Class Definition
2522	5000771	Deficient Proof of Claim Never Cured
2523	5000772	Proof of Claim Did Not Result in a Recognized Loss
2524	5000773	Proof of Claim Did Not Fit the Class Definition
2525	5000774	Proof of Claim Did Not Fit the Class Definition
2526	5000775	Proof of Claim Did Not Fit the Class Definition
2527	5000776	Proof of Claim Did Not Fit the Class Definition
2528	5000777	Proof of Claim Did Not Fit the Class Definition
2529	5000778	Proof of Claim Did Not Fit the Class Definition
2530	5000779	Proof of Claim Did Not Fit the Class Definition
2531	5000780	Proof of Claim Did Not Fit the Class Definition
2532	5000781	Proof of Claim Did Not Result in a Recognized Loss
2533	5000782	Proof of Claim Did Not Fit the Class Definition
2534	5000784	Proof of Claim Did Not Result in a Recognized Loss
2535	5000785	Proof of Claim Did Not Result in a Recognized Loss
2536	5000787	Proof of Claim Did Not Fit the Class Definition
2537	5000788	Proof of Claim Did Not Fit the Class Definition
2538	5000789	Proof of Claim Did Not Result in a Recognized Loss
2539	5000793	Proof of Claim Did Not Fit the Class Definition
2540	5000794	Proof of Claim Did Not Fit the Class Definition
2541	5000795	Proof of Claim Did Not Result in a Recognized Loss
2542	5000797	Proof of Claim Did Not Fit the Class Definition
2543	5000799	Proof of Claim Did Not Result in a Recognized Loss
2544	5000800	Duplicate Proof of Claim
2545	5000801	Proof of Claim Did Not Result in a Recognized Loss
2546	5000802	Proof of Claim Did Not Result in a Recognized Loss
2547	5000804	Proof of Claim Did Not Fit the Class Definition
2548	5000805	Proof of Claim Did Not Result in a Recognized Loss
2549	5000806	Proof of Claim Did Not Result in a Recognized Loss
2550	5000808	Proof of Claim Did Not Result in a Recognized Loss

*Arena Securities Litigation***Rejected or Ineligible Claims****Exhibit C-3**

#	Claim Number	Reason for Rejection
2551	5000809	Proof of Claim Did Not Fit the Class Definition
2552	5000810	Proof of Claim Did Not Result in a Recognized Loss
2553	5000811	Proof of Claim Did Not Fit the Class Definition
2554	5000812	Deficient Proof of Claim Never Cured
2555	5000813	Proof of Claim Did Not Fit the Class Definition
2556	5000814	Proof of Claim Did Not Fit the Class Definition
2557	5000815	Proof of Claim Did Not Fit the Class Definition
2558	5000816	Deficient Proof of Claim Never Cured
2559	5000817	Proof of Claim Did Not Fit the Class Definition
2560	5000818	Deficient Proof of Claim Never Cured
2561	5000819	Deficient Proof of Claim Never Cured
2562	5000820	Deficient Proof of Claim Never Cured
2563	5000821	Proof of Claim Did Not Fit the Class Definition
2564	5000822	Deficient Proof of Claim Never Cured
2565	5000823	Proof of Claim Did Not Fit the Class Definition
2566	5000825	Proof of Claim Did Not Fit the Class Definition
2567	5000826	Proof of Claim Did Not Fit the Class Definition
2568	5000827	Duplicate Proof of Claim
2569	5000828	Proof of Claim Did Not Fit the Class Definition
2570	5000831	Proof of Claim Did Not Result in a Recognized Loss
2571	5000834	Proof of Claim Did Not Fit the Class Definition
2572	5000835	Proof of Claim Did Not Result in a Recognized Loss
2573	5000836	Deficient Proof of Claim Never Cured
2574	5000837	Deficient Proof of Claim Never Cured
2575	5000841	Proof of Claim Did Not Result in a Recognized Loss
2576	5000844	Proof of Claim Did Not Result in a Recognized Loss
2577	5000845	Proof of Claim Did Not Result in a Recognized Loss
2578	5000846	Proof of Claim Did Not Result in a Recognized Loss
2579	5000847	Proof of Claim Did Not Result in a Recognized Loss
2580	5000848	Proof of Claim Did Not Fit the Class Definition
2581	5000849	Proof of Claim Did Not Fit the Class Definition
2582	5000850	Proof of Claim Did Not Result in a Recognized Loss
2583	5000851	Deficient Proof of Claim Never Cured
2584	5000853	Proof of Claim Did Not Fit the Class Definition
2585	5000855	Proof of Claim Did Not Result in a Recognized Loss
2586	5000861	Proof of Claim Did Not Result in a Recognized Loss
2587	5000864	Proof of Claim Did Not Result in a Recognized Loss
2588	5000866	Proof of Claim Did Not Result in a Recognized Loss
2589	5000867	Proof of Claim Did Not Result in a Recognized Loss
2590	5000870	Proof of Claim Did Not Fit the Class Definition
2591	5000871	Proof of Claim Did Not Result in a Recognized Loss
2592	5000872	Proof of Claim Did Not Result in a Recognized Loss
2593	5000873	Deficient Proof of Claim Never Cured
2594	5000874	Deficient Proof of Claim Never Cured
2595	5000876	Proof of Claim Did Not Result in a Recognized Loss
2596	5000877	Proof of Claim Did Not Result in a Recognized Loss
2597	5000878	Proof of Claim Did Not Fit the Class Definition
2598	5000879	Proof of Claim Did Not Result in a Recognized Loss
2599	5000880	Proof of Claim Did Not Result in a Recognized Loss
2600	5000881	Proof of Claim Did Not Fit the Class Definition

*Arena Securities Litigation***Rejected or Ineligible Claims****Exhibit C-3**

#	Claim Number	Reason for Rejection
2601	5000883	Proof of Claim Did Not Fit the Class Definition
2602	5000884	Proof of Claim Did Not Fit the Class Definition
2603	5000885	Proof of Claim Did Not Result in a Recognized Loss
2604	5000886	Proof of Claim Did Not Fit the Class Definition
2605	5000887	Deficient Proof of Claim Never Cured
2606	5000888	Proof of Claim Did Not Fit the Class Definition
2607	5000889	Deficient Proof of Claim Never Cured
2608	5000890	Proof of Claim Did Not Fit the Class Definition
2609	5000891	Deficient Proof of Claim Never Cured
2610	5000892	Proof of Claim Did Not Fit the Class Definition
2611	5000893	Proof of Claim Did Not Result in a Recognized Loss
2612	5000894	Proof of Claim Did Not Fit the Class Definition
2613	5000895	Proof of Claim Did Not Result in a Recognized Loss
2614	5000897	Proof of Claim Did Not Result in a Recognized Loss
2615	5000898	Proof of Claim Did Not Result in a Recognized Loss
2616	5000900	Proof of Claim Did Not Result in a Recognized Loss
2617	5000901	Proof of Claim Did Not Result in a Recognized Loss
2618	5000902	Proof of Claim Did Not Fit the Class Definition
2619	5000903	Proof of Claim Did Not Fit the Class Definition
2620	5000904	Proof of Claim Did Not Result in a Recognized Loss
2621	5000905	Deficient Proof of Claim Never Cured
2622	5000906	Proof of Claim Did Not Result in a Recognized Loss
2623	5000907	Proof of Claim Did Not Fit the Class Definition
2624	5000908	Proof of Claim Did Not Fit the Class Definition
2625	5000909	Proof of Claim Did Not Fit the Class Definition
2626	5000910	Proof of Claim Did Not Fit the Class Definition
2627	5000911	Proof of Claim Did Not Result in a Recognized Loss
2628	5000912	Proof of Claim Did Not Result in a Recognized Loss
2629	5000913	Proof of Claim Did Not Result in a Recognized Loss
2630	5000918	Proof of Claim Did Not Result in a Recognized Loss
2631	5000919	Proof of Claim Did Not Result in a Recognized Loss
2632	5000920	Proof of Claim Did Not Result in a Recognized Loss
2633	5000923	Proof of Claim Did Not Fit the Class Definition
2634	5000925	Proof of Claim Did Not Result in a Recognized Loss
2635	5000927	Proof of Claim Did Not Result in a Recognized Loss
2636	5000928	Proof of Claim Did Not Result in a Recognized Loss
2637	5000935	Proof of Claim Did Not Fit the Class Definition
2638	5000937	Deficient Proof of Claim Never Cured
2639	5000938	Deficient Proof of Claim Never Cured
2640	5000939	Deficient Proof of Claim Never Cured
2641	5000940	Deficient Proof of Claim Never Cured
2642	5000941	Deficient Proof of Claim Never Cured
2643	5000942	Proof of Claim Did Not Result in a Recognized Loss
2644	5000943	Proof of Claim Did Not Fit the Class Definition
2645	5000944	Deficient Proof of Claim Never Cured
2646	5000945	Deficient Proof of Claim Never Cured
2647	5000946	Deficient Proof of Claim Never Cured
2648	5000947	Proof of Claim Did Not Fit the Class Definition
2649	5000948	Deficient Proof of Claim Never Cured
2650	5000949	Deficient Proof of Claim Never Cured

*Arena Securities Litigation***Rejected or Ineligible Claims****Exhibit C-3**

#	Claim Number	Reason for Rejection
2651	5000950	Proof of Claim Did Not Fit the Class Definition
2652	5000952	Deficient Proof of Claim Never Cured
2653	5000956	Proof of Claim Did Not Fit the Class Definition
2654	5000957	Deficient Proof of Claim Never Cured
2655	5000958	Deficient Proof of Claim Never Cured
2656	5000960	Proof of Claim Did Not Fit the Class Definition
2657	5000961	Proof of Claim Did Not Fit the Class Definition
2658	5000962	Proof of Claim Did Not Result in a Recognized Loss
2659	5000963	Proof of Claim Did Not Fit the Class Definition
2660	5000965	Proof of Claim Did Not Fit the Class Definition
2661	5000966	Proof of Claim Did Not Fit the Class Definition
2662	5000967	Deficient Proof of Claim Never Cured
2663	5000968	Deficient Proof of Claim Never Cured
2664	5000972	Proof of Claim Did Not Result in a Recognized Loss
2665	5000973	Proof of Claim Did Not Fit the Class Definition
2666	5000974	Deficient Proof of Claim Never Cured
2667	5000975	Proof of Claim Did Not Fit the Class Definition
2668	5000976	Proof of Claim Did Not Result in a Recognized Loss
2669	5000977	Proof of Claim Did Not Fit the Class Definition
2670	5000979	Proof of Claim Did Not Fit the Class Definition
2671	5000980	Proof of Claim Did Not Result in a Recognized Loss
2672	5000982	Proof of Claim Did Not Fit the Class Definition
2673	5000983	Proof of Claim Did Not Fit the Class Definition
2674	5000984	Proof of Claim Did Not Result in a Recognized Loss
2675	5000989	Proof of Claim Did Not Result in a Recognized Loss
2676	5000990	Proof of Claim Did Not Result in a Recognized Loss
2677	5000991	Proof of Claim Did Not Result in a Recognized Loss
2678	5000992	Proof of Claim Did Not Result in a Recognized Loss
2679	5000993	Proof of Claim Did Not Result in a Recognized Loss
2680	5000994	Deficient Proof of Claim Never Cured
2681	5000995	Deficient Proof of Claim Never Cured
2682	5000997	Proof of Claim Did Not Result in a Recognized Loss
2683	5000998	Proof of Claim Did Not Result in a Recognized Loss
2684	5000999	Proof of Claim Did Not Result in a Recognized Loss
2685	5001001	Proof of Claim Did Not Fit the Class Definition
2686	5001002	Proof of Claim Did Not Result in a Recognized Loss
2687	5001003	Proof of Claim Did Not Result in a Recognized Loss
2688	5001007	Proof of Claim Did Not Result in a Recognized Loss
2689	5001009	Proof of Claim Did Not Result in a Recognized Loss
2690	5001010	Proof of Claim Did Not Result in a Recognized Loss
2691	5001011	Proof of Claim Did Not Result in a Recognized Loss
2692	5001013	Deficient Proof of Claim Never Cured
2693	5001015	Proof of Claim Did Not Result in a Recognized Loss
2694	5001016	Proof of Claim Did Not Result in a Recognized Loss
2695	5001023	Proof of Claim Did Not Fit the Class Definition
2696	5001024	Proof of Claim Did Not Fit the Class Definition
2697	5001025	Proof of Claim Did Not Result in a Recognized Loss
2698	5001028	Proof of Claim Did Not Result in a Recognized Loss
2699	5001030	Proof of Claim Did Not Result in a Recognized Loss
2700	5001033	Proof of Claim Did Not Result in a Recognized Loss

*Arena Securities Litigation***Rejected or Ineligible Claims****Exhibit C-3**

#	Claim Number	Reason for Rejection
2701	5001035	Proof of Claim Did Not Result in a Recognized Loss
2702	5001036	Proof of Claim Did Not Result in a Recognized Loss
2703	5001041	Proof of Claim Did Not Result in a Recognized Loss
2704	5001042	Proof of Claim Did Not Result in a Recognized Loss
2705	5001045	Proof of Claim Did Not Result in a Recognized Loss
2706	5001048	Deficient Proof of Claim Never Cured
2707	5001051	Proof of Claim Did Not Result in a Recognized Loss
2708	5001052	Proof of Claim Did Not Result in a Recognized Loss
2709	5001054	Proof of Claim Did Not Result in a Recognized Loss
2710	5001055	Deficient Proof of Claim Never Cured
2711	5001058	Deficient Proof of Claim Never Cured
2712	5001059	Proof of Claim Did Not Result in a Recognized Loss
2713	5001062	Proof of Claim Did Not Fit the Class Definition
2714	5001063	Proof of Claim Did Not Fit the Class Definition
2715	5001064	Proof of Claim Did Not Result in a Recognized Loss
2716	5001065	Proof of Claim Did Not Result in a Recognized Loss
2717	5001067	Proof of Claim Did Not Result in a Recognized Loss
2718	5001068	Proof of Claim Did Not Result in a Recognized Loss
2719	5001069	Proof of Claim Did Not Result in a Recognized Loss
2720	5001071	Proof of Claim Did Not Result in a Recognized Loss
2721	5001073	Deficient Proof of Claim Never Cured
2722	5001075	Proof of Claim Did Not Fit the Class Definition
2723	5001076	Proof of Claim Did Not Result in a Recognized Loss
2724	5001077	Proof of Claim Did Not Result in a Recognized Loss
2725	5001078	Proof of Claim Did Not Result in a Recognized Loss
2726	5001079	Proof of Claim Did Not Result in a Recognized Loss
2727	5001080	Proof of Claim Did Not Result in a Recognized Loss
2728	5001081	Proof of Claim Did Not Result in a Recognized Loss
2729	5001082	Proof of Claim Did Not Result in a Recognized Loss
2730	5001083	Proof of Claim Did Not Result in a Recognized Loss
2731	5001084	Proof of Claim Did Not Result in a Recognized Loss
2732	5001085	Proof of Claim Did Not Result in a Recognized Loss
2733	5001086	Deficient Proof of Claim Never Cured
2734	5001088	Proof of Claim Did Not Result in a Recognized Loss
2735	5001089	Proof of Claim Did Not Result in a Recognized Loss
2736	5001092	Proof of Claim Did Not Result in a Recognized Loss
2737	5001093	Proof of Claim Did Not Result in a Recognized Loss
2738	5001094	Deficient Proof of Claim Never Cured
2739	5001095	Deficient Proof of Claim Never Cured
2740	5001096	Proof of Claim Did Not Result in a Recognized Loss
2741	5001099	Proof of Claim Did Not Result in a Recognized Loss
2742	5001105	Proof of Claim Did Not Result in a Recognized Loss
2743	5001106	Proof of Claim Did Not Result in a Recognized Loss
2744	5001108	Proof of Claim Did Not Result in a Recognized Loss
2745	5001109	Proof of Claim Did Not Result in a Recognized Loss
2746	5001110	Proof of Claim Did Not Result in a Recognized Loss
2747	5001111	Deficient Proof of Claim Never Cured
2748	5001113	Proof of Claim Did Not Result in a Recognized Loss
2749	5001115	Proof of Claim Did Not Result in a Recognized Loss
2750	5001117	Proof of Claim Did Not Result in a Recognized Loss

*Arena Securities Litigation***Rejected or Ineligible Claims****Exhibit C-3**

#	Claim Number	Reason for Rejection
2751	5001118	Proof of Claim Did Not Result in a Recognized Loss
2752	5001122	Deficient Proof of Claim Never Cured
2753	5001124	Proof of Claim Did Not Result in a Recognized Loss
2754	5001125	Proof of Claim Did Not Result in a Recognized Loss
2755	5001126	Proof of Claim Did Not Fit the Class Definition
2756	5001127	Proof of Claim Did Not Fit the Class Definition
2757	5001128	Proof of Claim Did Not Result in a Recognized Loss
2758	5001131	Proof of Claim Did Not Result in a Recognized Loss
2759	5001133	Proof of Claim Did Not Result in a Recognized Loss
2760	5001136	Proof of Claim Did Not Result in a Recognized Loss
2761	5001137	Proof of Claim Did Not Result in a Recognized Loss
2762	5001141	Deficient Proof of Claim Never Cured
2763	5001142	Duplicate Proof of Claim
2764	5001145	Proof of Claim Did Not Result in a Recognized Loss
2765	5001148	Duplicate Proof of Claim
2766	5001149	Proof of Claim Did Not Result in a Recognized Loss
2767	5001150	Proof of Claim Did Not Result in a Recognized Loss
2768	5001151	Proof of Claim Did Not Result in a Recognized Loss
2769	5001155	Proof of Claim Did Not Result in a Recognized Loss
2770	5001156	Proof of Claim Did Not Result in a Recognized Loss
2771	5001161	Proof of Claim Did Not Result in a Recognized Loss
2772	5001162	Proof of Claim Did Not Result in a Recognized Loss
2773	5001163	Proof of Claim Did Not Result in a Recognized Loss
2774	5001165	Proof of Claim Did Not Result in a Recognized Loss
2775	5001166	Proof of Claim Did Not Result in a Recognized Loss
2776	5001167	Proof of Claim Did Not Result in a Recognized Loss
2777	5001173	Proof of Claim Did Not Result in a Recognized Loss
2778	5001174	Proof of Claim Did Not Result in a Recognized Loss
2779	5001180	Proof of Claim Did Not Result in a Recognized Loss
2780	5001181	Proof of Claim Did Not Result in a Recognized Loss
2781	5001182	Proof of Claim Did Not Result in a Recognized Loss
2782	5001183	Proof of Claim Did Not Result in a Recognized Loss
2783	5001185	Proof of Claim Did Not Result in a Recognized Loss
2784	5001186	Proof of Claim Did Not Result in a Recognized Loss
2785	5001187	Proof of Claim Did Not Result in a Recognized Loss
2786	5001190	Proof of Claim Did Not Result in a Recognized Loss
2787	5001191	Deficient Proof of Claim Never Cured
2788	5001192	Proof of Claim Did Not Result in a Recognized Loss
2789	5001193	Proof of Claim Did Not Result in a Recognized Loss
2790	5001194	Proof of Claim Did Not Result in a Recognized Loss
2791	5001196	Proof of Claim Did Not Result in a Recognized Loss
2792	5001198	Deficient Proof of Claim Never Cured
2793	5001199	Proof of Claim Did Not Result in a Recognized Loss
2794	5001202	Proof of Claim Did Not Result in a Recognized Loss
2795	5001203	Proof of Claim Did Not Result in a Recognized Loss
2796	5001205	Proof of Claim Did Not Result in a Recognized Loss
2797	5001206	Proof of Claim Did Not Result in a Recognized Loss
2798	5001207	Proof of Claim Did Not Result in a Recognized Loss
2799	5001208	Proof of Claim Did Not Result in a Recognized Loss
2800	5001209	Proof of Claim Did Not Result in a Recognized Loss

*Arena Securities Litigation***Rejected or Ineligible Claims****Exhibit C-3**

#	Claim Number	Reason for Rejection
2801	5001210	Proof of Claim Did Not Result in a Recognized Loss
2802	5001211	Proof of Claim Did Not Result in a Recognized Loss
2803	5001212	Proof of Claim Did Not Result in a Recognized Loss
2804	5001214	Proof of Claim Did Not Result in a Recognized Loss
2805	5001215	Proof of Claim Did Not Result in a Recognized Loss
2806	5001216	Deficient Proof of Claim Never Cured
2807	5001217	Proof of Claim Did Not Result in a Recognized Loss
2808	5001218	Proof of Claim Did Not Fit the Class Definition
2809	5001219	Proof of Claim Did Not Fit the Class Definition
2810	5001221	Proof of Claim Did Not Result in a Recognized Loss
2811	5001222	Proof of Claim Did Not Result in a Recognized Loss
2812	5001223	Proof of Claim Did Not Result in a Recognized Loss
2813	5001224	Proof of Claim Did Not Result in a Recognized Loss
2814	5001226	Proof of Claim Did Not Result in a Recognized Loss
2815	5001228	Proof of Claim Did Not Result in a Recognized Loss
2816	5001230	Proof of Claim Did Not Result in a Recognized Loss
2817	5001231	Proof of Claim Did Not Result in a Recognized Loss
2818	5001236	Proof of Claim Did Not Result in a Recognized Loss
2819	5001239	Proof of Claim Did Not Result in a Recognized Loss
2820	5001240	Proof of Claim Did Not Result in a Recognized Loss
2821	5001243	Deficient Proof of Claim Never Cured
2822	5001244	Proof of Claim Did Not Result in a Recognized Loss
2823	5001245	Proof of Claim Did Not Result in a Recognized Loss
2824	5001250	Proof of Claim Did Not Result in a Recognized Loss
2825	5001251	Proof of Claim Did Not Result in a Recognized Loss
2826	5001252	Proof of Claim Did Not Result in a Recognized Loss
2827	5001253	Proof of Claim Did Not Result in a Recognized Loss
2828	5001254	Deficient Proof of Claim Never Cured
2829	5001256	Proof of Claim Did Not Result in a Recognized Loss
2830	5001257	Proof of Claim Did Not Result in a Recognized Loss
2831	5001258	Proof of Claim Did Not Result in a Recognized Loss
2832	5001259	Proof of Claim Did Not Result in a Recognized Loss
2833	5001260	Proof of Claim Did Not Result in a Recognized Loss
2834	5001261	Proof of Claim Did Not Result in a Recognized Loss
2835	5001262	Proof of Claim Did Not Result in a Recognized Loss
2836	5001264	Deficient Proof of Claim Never Cured
2837	5001265	Proof of Claim Did Not Result in a Recognized Loss
2838	5001266	Proof of Claim Did Not Result in a Recognized Loss
2839	5001268	Proof of Claim Did Not Result in a Recognized Loss
2840	5001269	Proof of Claim Did Not Fit the Class Definition
2841	5001270	Proof of Claim Did Not Fit the Class Definition
2842	5001271	Proof of Claim Did Not Result in a Recognized Loss
2843	5001272	Proof of Claim Did Not Result in a Recognized Loss
2844	5001273	Proof of Claim Did Not Fit the Class Definition
2845	5001274	Proof of Claim Did Not Fit the Class Definition
2846	5001280	Deficient Proof of Claim Never Cured
2847	5001282	Proof of Claim Did Not Result in a Recognized Loss
2848	5001287	Proof of Claim Did Not Result in a Recognized Loss
2849	5001288	Proof of Claim Did Not Result in a Recognized Loss
2850	5001291	Proof of Claim Did Not Result in a Recognized Loss

*Arena Securities Litigation***Rejected or Ineligible Claims****Exhibit C-3**

#	Claim Number	Reason for Rejection
2851	5001292	Deficient Proof of Claim Never Cured
2852	5001293	Proof of Claim Did Not Result in a Recognized Loss
2853	5001294	Deficient Proof of Claim Never Cured
2854	5001295	Proof of Claim Did Not Result in a Recognized Loss
2855	5001296	Proof of Claim Did Not Result in a Recognized Loss
2856	5001297	Proof of Claim Did Not Result in a Recognized Loss
2857	5001303	Deficient Proof of Claim Never Cured
2858	5001305	Proof of Claim Did Not Result in a Recognized Loss
2859	5001307	Proof of Claim Did Not Result in a Recognized Loss
2860	5001308	Proof of Claim Did Not Result in a Recognized Loss
2861	5001310	Proof of Claim Did Not Result in a Recognized Loss
2862	5001312	Proof of Claim Did Not Result in a Recognized Loss
2863	5001316	Proof of Claim Did Not Result in a Recognized Loss
2864	5001320	Proof of Claim Did Not Result in a Recognized Loss
2865	5001321	Proof of Claim Did Not Result in a Recognized Loss
2866	5001322	Proof of Claim Did Not Result in a Recognized Loss
2867	5001324	Proof of Claim Did Not Fit the Class Definition
2868	5001327	Proof of Claim Did Not Result in a Recognized Loss
2869	5001331	Proof of Claim Did Not Fit the Class Definition
2870	5001333	Proof of Claim Did Not Result in a Recognized Loss
2871	5001334	Proof of Claim Did Not Result in a Recognized Loss
2872	5001338	Proof of Claim Did Not Result in a Recognized Loss
2873	5001342	Proof of Claim Did Not Result in a Recognized Loss
2874	5001343	Proof of Claim Did Not Result in a Recognized Loss
2875	5001344	Proof of Claim Did Not Result in a Recognized Loss
2876	5001345	Proof of Claim Did Not Result in a Recognized Loss
2877	5001347	Proof of Claim Did Not Result in a Recognized Loss
2878	5001348	Deficient Proof of Claim Never Cured
2879	5001349	Proof of Claim Did Not Result in a Recognized Loss
2880	5001350	Proof of Claim Did Not Result in a Recognized Loss
2881	5001351	Deficient Proof of Claim Never Cured
2882	5001353	Proof of Claim Did Not Result in a Recognized Loss
2883	5001358	Proof of Claim Did Not Result in a Recognized Loss
2884	5001359	Proof of Claim Did Not Result in a Recognized Loss
2885	5001360	Proof of Claim Did Not Result in a Recognized Loss
2886	5001361	Proof of Claim Did Not Result in a Recognized Loss
2887	5001362	Proof of Claim Did Not Fit the Class Definition
2888	5001363	Proof of Claim Did Not Fit the Class Definition
2889	5001364	Proof of Claim Did Not Fit the Class Definition
2890	5001365	Proof of Claim Did Not Result in a Recognized Loss
2891	5001366	Proof of Claim Did Not Result in a Recognized Loss
2892	5001369	Proof of Claim Did Not Result in a Recognized Loss
2893	5001370	Proof of Claim Did Not Result in a Recognized Loss
2894	5001371	Proof of Claim Did Not Result in a Recognized Loss
2895	5001372	Proof of Claim Did Not Result in a Recognized Loss
2896	5001373	Proof of Claim Did Not Result in a Recognized Loss
2897	5001374	Deficient Proof of Claim Never Cured
2898	5001377	Proof of Claim Did Not Result in a Recognized Loss
2899	5001378	Proof of Claim Did Not Fit the Class Definition
2900	5001380	Proof of Claim Did Not Result in a Recognized Loss

*Arena Securities Litigation***Rejected or Ineligible Claims****Exhibit C-3**

#	Claim Number	Reason for Rejection
2901	5001381	Proof of Claim Did Not Result in a Recognized Loss
2902	5001382	Proof of Claim Did Not Result in a Recognized Loss
2903	5001383	Proof of Claim Did Not Result in a Recognized Loss
2904	5001384	Proof of Claim Did Not Result in a Recognized Loss
2905	5001387	Proof of Claim Did Not Result in a Recognized Loss
2906	5001388	Proof of Claim Did Not Result in a Recognized Loss
2907	5001392	Proof of Claim Did Not Result in a Recognized Loss
2908	5001393	Proof of Claim Did Not Result in a Recognized Loss
2909	5001395	Proof of Claim Did Not Result in a Recognized Loss
2910	5001398	Deficient Proof of Claim Never Cured
2911	5001403	Proof of Claim Did Not Fit the Class Definition
2912	5001404	Deficient Proof of Claim Never Cured
2913	5001405	Proof of Claim Did Not Result in a Recognized Loss
2914	5001406	Proof of Claim Did Not Result in a Recognized Loss
2915	5001410	Proof of Claim Did Not Result in a Recognized Loss
2916	5001412	Proof of Claim Did Not Result in a Recognized Loss
2917	5001413	Proof of Claim Did Not Result in a Recognized Loss
2918	5001418	Proof of Claim Did Not Result in a Recognized Loss
2919	5001422	Proof of Claim Did Not Result in a Recognized Loss
2920	5001423	Proof of Claim Did Not Result in a Recognized Loss
2921	5001425	Proof of Claim Did Not Result in a Recognized Loss
2922	5001428	Deficient Proof of Claim Never Cured
2923	5001429	Proof of Claim Did Not Result in a Recognized Loss
2924	5001430	Deficient Proof of Claim Never Cured
2925	5001431	Proof of Claim Did Not Result in a Recognized Loss
2926	5001435	Proof of Claim Did Not Result in a Recognized Loss
2927	5001436	Proof of Claim Did Not Result in a Recognized Loss
2928	5001438	Proof of Claim Did Not Result in a Recognized Loss
2929	5001439	Proof of Claim Did Not Result in a Recognized Loss
2930	5001440	Proof of Claim Did Not Result in a Recognized Loss
2931	5001443	Proof of Claim Did Not Fit the Class Definition
2932	5001444	Proof of Claim Did Not Fit the Class Definition
2933	5001445	Proof of Claim Did Not Fit the Class Definition
2934	5001446	Proof of Claim Did Not Fit the Class Definition
2935	5001447	Proof of Claim Did Not Fit the Class Definition
2936	5001448	Proof of Claim Did Not Fit the Class Definition
2937	5001449	Proof of Claim Did Not Fit the Class Definition
2938	5001453	Proof of Claim Did Not Result in a Recognized Loss
2939	5001454	Deficient Proof of Claim Never Cured
2940	5001455	Proof of Claim Did Not Result in a Recognized Loss
2941	5001458	Proof of Claim Did Not Result in a Recognized Loss
2942	5001460	Proof of Claim Did Not Result in a Recognized Loss
2943	5001461	Proof of Claim Did Not Result in a Recognized Loss
2944	5001462	Proof of Claim Did Not Result in a Recognized Loss
2945	5001463	Deficient Proof of Claim Never Cured
2946	5001464	Deficient Proof of Claim Never Cured
2947	5001466	Proof of Claim Did Not Fit the Class Definition
2948	5001476	Deficient Proof of Claim Never Cured
2949	5001477	Proof of Claim Did Not Result in a Recognized Loss
2950	5001480	Proof of Claim Did Not Result in a Recognized Loss

*Arena Securities Litigation***Rejected or Ineligible Claims****Exhibit C-3**

#	Claim Number	Reason for Rejection
2951	5001481	Deficient Proof of Claim Never Cured
2952	5001484	Proof of Claim Did Not Result in a Recognized Loss
2953	5001486	Proof of Claim Did Not Result in a Recognized Loss
2954	5001487	Proof of Claim Did Not Result in a Recognized Loss
2955	5001489	Proof of Claim Did Not Result in a Recognized Loss
2956	5001491	Proof of Claim Did Not Result in a Recognized Loss
2957	5001493	Proof of Claim Did Not Result in a Recognized Loss
2958	5001496	Proof of Claim Did Not Result in a Recognized Loss
2959	5001497	Proof of Claim Did Not Result in a Recognized Loss
2960	5001498	Proof of Claim Did Not Result in a Recognized Loss
2961	5001500	Proof of Claim Did Not Result in a Recognized Loss
2962	5001501	Proof of Claim Did Not Result in a Recognized Loss
2963	5001503	Proof of Claim Did Not Result in a Recognized Loss
2964	5001505	Proof of Claim Did Not Result in a Recognized Loss
2965	5001507	Proof of Claim Did Not Result in a Recognized Loss
2966	5001508	Deficient Proof of Claim Never Cured
2967	5001512	Deficient Proof of Claim Never Cured
2968	5001513	Proof of Claim Did Not Result in a Recognized Loss
2969	5001514	Proof of Claim Did Not Result in a Recognized Loss
2970	5001516	Proof of Claim Did Not Result in a Recognized Loss
2971	5001518	Proof of Claim Did Not Result in a Recognized Loss
2972	5001519	Proof of Claim Did Not Result in a Recognized Loss
2973	5001520	Proof of Claim Did Not Result in a Recognized Loss
2974	5001521	Proof of Claim Did Not Result in a Recognized Loss
2975	5001522	Proof of Claim Did Not Result in a Recognized Loss
2976	5001523	Proof of Claim Did Not Result in a Recognized Loss
2977	5001524	Proof of Claim Did Not Result in a Recognized Loss
2978	5001526	Proof of Claim Did Not Result in a Recognized Loss
2979	5001527	Proof of Claim Did Not Result in a Recognized Loss
2980	5001528	Proof of Claim Did Not Fit the Class Definition
2981	5001529	Proof of Claim Did Not Fit the Class Definition
2982	5001530	Proof of Claim Did Not Result in a Recognized Loss
2983	5001532	Deficient Proof of Claim Never Cured
2984	5001535	Proof of Claim Did Not Result in a Recognized Loss
2985	5001537	Proof of Claim Did Not Result in a Recognized Loss
2986	5001541	Proof of Claim Did Not Result in a Recognized Loss
2987	5001542	Proof of Claim Did Not Result in a Recognized Loss
2988	5001544	Proof of Claim Did Not Result in a Recognized Loss
2989	5001545	Proof of Claim Did Not Result in a Recognized Loss
2990	5001546	Proof of Claim Did Not Result in a Recognized Loss
2991	5001548	Proof of Claim Did Not Result in a Recognized Loss
2992	5001550	Proof of Claim Did Not Result in a Recognized Loss
2993	5001553	Proof of Claim Did Not Result in a Recognized Loss
2994	5001554	Proof of Claim Did Not Result in a Recognized Loss
2995	5001556	Proof of Claim Did Not Result in a Recognized Loss
2996	5001557	Proof of Claim Did Not Result in a Recognized Loss
2997	5001560	Proof of Claim Did Not Result in a Recognized Loss
2998	5001561	Proof of Claim Did Not Fit the Class Definition
2999	5001562	Proof of Claim Did Not Fit the Class Definition
3000	5001564	Proof of Claim Did Not Result in a Recognized Loss

*Arena Securities Litigation***Rejected or Ineligible Claims****Exhibit C-3**

#	Claim Number	Reason for Rejection
3001	5001565	Proof of Claim Did Not Result in a Recognized Loss
3002	5001567	Deficient Proof of Claim Never Cured
3003	5001568	Proof of Claim Did Not Result in a Recognized Loss
3004	5001570	Proof of Claim Did Not Result in a Recognized Loss
3005	5001571	Proof of Claim Did Not Result in a Recognized Loss
3006	5001572	Proof of Claim Did Not Result in a Recognized Loss
3007	5001573	Proof of Claim Did Not Result in a Recognized Loss
3008	5001576	Proof of Claim Did Not Result in a Recognized Loss
3009	5001581	Deficient Proof of Claim Never Cured
3010	5001582	Proof of Claim Did Not Result in a Recognized Loss
3011	5001583	Proof of Claim Did Not Result in a Recognized Loss
3012	5001584	Proof of Claim Did Not Result in a Recognized Loss
3013	5001585	Proof of Claim Did Not Result in a Recognized Loss
3014	5001588	Proof of Claim Did Not Result in a Recognized Loss
3015	5001590	Proof of Claim Did Not Result in a Recognized Loss
3016	5001591	Proof of Claim Did Not Result in a Recognized Loss
3017	5001592	Proof of Claim Did Not Result in a Recognized Loss
3018	5001593	Proof of Claim Did Not Result in a Recognized Loss
3019	5001594	Proof of Claim Did Not Result in a Recognized Loss
3020	5001595	Proof of Claim Did Not Result in a Recognized Loss
3021	5001596	Proof of Claim Did Not Result in a Recognized Loss
3022	5001597	Proof of Claim Did Not Result in a Recognized Loss
3023	5001599	Proof of Claim Did Not Result in a Recognized Loss
3024	5001600	Proof of Claim Did Not Result in a Recognized Loss
3025	5001601	Proof of Claim Did Not Result in a Recognized Loss
3026	5001602	Proof of Claim Did Not Result in a Recognized Loss
3027	5001603	Proof of Claim Did Not Result in a Recognized Loss
3028	5001604	Proof of Claim Did Not Result in a Recognized Loss
3029	5001605	Proof of Claim Did Not Result in a Recognized Loss
3030	5001606	Proof of Claim Did Not Result in a Recognized Loss
3031	5001607	Proof of Claim Did Not Result in a Recognized Loss
3032	5001608	Proof of Claim Did Not Result in a Recognized Loss
3033	5001609	Proof of Claim Did Not Result in a Recognized Loss
3034	5001610	Proof of Claim Did Not Fit the Class Definition
3035	5001611	Proof of Claim Did Not Result in a Recognized Loss
3036	5001612	Proof of Claim Did Not Result in a Recognized Loss
3037	5001613	Proof of Claim Did Not Result in a Recognized Loss
3038	5001616	Proof of Claim Did Not Result in a Recognized Loss
3039	5001617	Proof of Claim Did Not Fit the Class Definition
3040	5001619	Proof of Claim Did Not Result in a Recognized Loss
3041	5001620	Proof of Claim Did Not Result in a Recognized Loss
3042	5001621	Proof of Claim Did Not Result in a Recognized Loss
3043	5001622	Proof of Claim Did Not Result in a Recognized Loss
3044	5001623	Proof of Claim Did Not Fit the Class Definition
3045	5001624	Proof of Claim Did Not Fit the Class Definition
3046	5001625	Proof of Claim Did Not Result in a Recognized Loss
3047	5001626	Proof of Claim Did Not Result in a Recognized Loss
3048	5001627	Proof of Claim Did Not Result in a Recognized Loss
3049	5001628	Proof of Claim Did Not Fit the Class Definition
3050	5001629	Proof of Claim Did Not Result in a Recognized Loss

*Arena Securities Litigation***Rejected or Ineligible Claims****Exhibit C-3**

#	Claim Number	Reason for Rejection
3051	5001630	Proof of Claim Did Not Result in a Recognized Loss
3052	5001631	Proof of Claim Did Not Fit the Class Definition
3053	5001632	Proof of Claim Did Not Result in a Recognized Loss
3054	5001633	Proof of Claim Did Not Result in a Recognized Loss
3055	5001634	Proof of Claim Did Not Result in a Recognized Loss
3056	5001635	Proof of Claim Did Not Result in a Recognized Loss
3057	5001636	Proof of Claim Did Not Result in a Recognized Loss
3058	5001639	Proof of Claim Did Not Result in a Recognized Loss
3059	5001642	Proof of Claim Did Not Result in a Recognized Loss
3060	5001644	Proof of Claim Did Not Result in a Recognized Loss
3061	5001645	Proof of Claim Did Not Result in a Recognized Loss
3062	5001646	Proof of Claim Did Not Result in a Recognized Loss
3063	5001647	Proof of Claim Did Not Result in a Recognized Loss
3064	5001648	Proof of Claim Did Not Result in a Recognized Loss
3065	5001650	Proof of Claim Did Not Result in a Recognized Loss
3066	5001651	Deficient Proof of Claim Never Cured
3067	5001652	Deficient Proof of Claim Never Cured
3068	5001653	Deficient Proof of Claim Never Cured
3069	5001654	Deficient Proof of Claim Never Cured
3070	5001655	Deficient Proof of Claim Never Cured
3071	5001656	Proof of Claim Did Not Result in a Recognized Loss
3072	5001657	Proof of Claim Did Not Result in a Recognized Loss
3073	5001658	Proof of Claim Did Not Result in a Recognized Loss
3074	5001659	Proof of Claim Did Not Result in a Recognized Loss
3075	5001660	Proof of Claim Did Not Result in a Recognized Loss
3076	5001661	Proof of Claim Did Not Result in a Recognized Loss
3077	5001662	Proof of Claim Did Not Result in a Recognized Loss
3078	5001664	Proof of Claim Did Not Result in a Recognized Loss
3079	5001665	Proof of Claim Did Not Result in a Recognized Loss
3080	5001666	Proof of Claim Did Not Result in a Recognized Loss
3081	5001667	Proof of Claim Did Not Fit the Class Definition
3082	5001671	Proof of Claim Did Not Result in a Recognized Loss
3083	5001672	Proof of Claim Did Not Fit the Class Definition
3084	5001675	Proof of Claim Did Not Result in a Recognized Loss
3085	5001676	Proof of Claim Did Not Result in a Recognized Loss
3086	5001677	Proof of Claim Did Not Fit the Class Definition
3087	5001678	Proof of Claim Did Not Result in a Recognized Loss
3088	5001679	Proof of Claim Did Not Fit the Class Definition
3089	5001682	Proof of Claim Did Not Result in a Recognized Loss
3090	5001684	Proof of Claim Did Not Fit the Class Definition
3091	5001685	Proof of Claim Did Not Result in a Recognized Loss
3092	5001686	Proof of Claim Did Not Result in a Recognized Loss
3093	5001687	Proof of Claim Did Not Result in a Recognized Loss
3094	5001688	Proof of Claim Did Not Result in a Recognized Loss
3095	5001689	Proof of Claim Did Not Result in a Recognized Loss
3096	5001690	Proof of Claim Did Not Result in a Recognized Loss
3097	5001691	Proof of Claim Did Not Result in a Recognized Loss
3098	5001692	Proof of Claim Did Not Fit the Class Definition
3099	5001693	Proof of Claim Did Not Fit the Class Definition
3100	5001694	Proof of Claim Did Not Fit the Class Definition

*Arena Securities Litigation***Rejected or Ineligible Claims****Exhibit C-3**

#	Claim Number	Reason for Rejection
3101	5001695	Proof of Claim Did Not Result in a Recognized Loss
3102	5001696	Proof of Claim Did Not Result in a Recognized Loss
3103	5001697	Proof of Claim Did Not Result in a Recognized Loss
3104	5001698	Proof of Claim Did Not Fit the Class Definition
3105	5001699	Proof of Claim Did Not Fit the Class Definition
3106	5001700	Proof of Claim Did Not Fit the Class Definition
3107	5001701	Proof of Claim Did Not Fit the Class Definition
3108	5001702	Proof of Claim Did Not Result in a Recognized Loss
3109	5001704	Proof of Claim Did Not Result in a Recognized Loss
3110	5001705	Proof of Claim Did Not Result in a Recognized Loss
3111	5001706	Proof of Claim Did Not Result in a Recognized Loss
3112	5001707	Proof of Claim Did Not Result in a Recognized Loss
3113	5001708	Proof of Claim Did Not Result in a Recognized Loss
3114	5001709	Proof of Claim Did Not Result in a Recognized Loss
3115	5001710	Proof of Claim Did Not Result in a Recognized Loss
3116	5001711	Proof of Claim Did Not Result in a Recognized Loss
3117	5001712	Proof of Claim Did Not Result in a Recognized Loss
3118	5001713	Proof of Claim Did Not Result in a Recognized Loss
3119	5001714	Proof of Claim Did Not Fit the Class Definition
3120	5001715	Proof of Claim Did Not Result in a Recognized Loss
3121	5001716	Proof of Claim Did Not Fit the Class Definition
3122	5001718	Proof of Claim Did Not Result in a Recognized Loss
3123	5001719	Proof of Claim Did Not Result in a Recognized Loss
3124	5001720	Proof of Claim Did Not Result in a Recognized Loss
3125	5001721	Proof of Claim Did Not Result in a Recognized Loss
3126	5001722	Proof of Claim Did Not Result in a Recognized Loss
3127	5001723	Proof of Claim Did Not Result in a Recognized Loss
3128	5001724	Deficient Proof of Claim Never Cured
3129	5001725	Deficient Proof of Claim Never Cured
3130	5001726	Proof of Claim Did Not Result in a Recognized Loss
3131	5001727	Proof of Claim Did Not Result in a Recognized Loss
3132	5001728	Proof of Claim Did Not Fit the Class Definition
3133	5001729	Deficient Proof of Claim Never Cured
3134	5001730	Deficient Proof of Claim Never Cured
3135	5001731	Deficient Proof of Claim Never Cured
3136	5001732	Deficient Proof of Claim Never Cured
3137	5001733	Proof of Claim Did Not Result in a Recognized Loss
3138	5001734	Proof of Claim Did Not Result in a Recognized Loss
3139	5001735	Proof of Claim Did Not Result in a Recognized Loss
3140	5001736	Proof of Claim Did Not Result in a Recognized Loss
3141	5001737	Deficient Proof of Claim Never Cured
3142	5001738	Deficient Proof of Claim Never Cured
3143	5001741	Proof of Claim Did Not Result in a Recognized Loss
3144	5001742	Proof of Claim Did Not Result in a Recognized Loss
3145	5001744	Proof of Claim Did Not Result in a Recognized Loss
3146	5001745	Proof of Claim Did Not Result in a Recognized Loss
3147	5001746	Proof of Claim Did Not Fit the Class Definition
3148	5001747	Proof of Claim Did Not Fit the Class Definition
3149	5001748	Proof of Claim Did Not Fit the Class Definition
3150	5001749	Proof of Claim Did Not Result in a Recognized Loss

*Arena Securities Litigation***Rejected or Ineligible Claims****Exhibit C-3**

#	Claim Number	Reason for Rejection
3151	5001750	Proof of Claim Did Not Result in a Recognized Loss
3152	5001751	Proof of Claim Did Not Result in a Recognized Loss
3153	5001752	Proof of Claim Did Not Result in a Recognized Loss
3154	5001753	Proof of Claim Did Not Result in a Recognized Loss
3155	5001754	Proof of Claim Did Not Result in a Recognized Loss
3156	5001755	Proof of Claim Did Not Fit the Class Definition
3157	5001757	Proof of Claim Did Not Result in a Recognized Loss
3158	5001761	Proof of Claim Did Not Fit the Class Definition
3159	5001762	Proof of Claim Did Not Fit the Class Definition
3160	5001765	Proof of Claim Did Not Result in a Recognized Loss
3161	5001766	Proof of Claim Did Not Result in a Recognized Loss
3162	5001767	Proof of Claim Did Not Result in a Recognized Loss
3163	5001768	Proof of Claim Did Not Fit the Class Definition
3164	5001770	Proof of Claim Did Not Fit the Class Definition
3165	5001771	Proof of Claim Did Not Result in a Recognized Loss
3166	5001774	Duplicate Proof of Claim
3167	5001776	Proof of Claim Did Not Result in a Recognized Loss
3168	5001777	Proof of Claim Did Not Result in a Recognized Loss
3169	5001778	Proof of Claim Did Not Result in a Recognized Loss
3170	5001779	Proof of Claim Did Not Result in a Recognized Loss
3171	5001781	Proof of Claim Did Not Result in a Recognized Loss
3172	5001782	Proof of Claim Did Not Result in a Recognized Loss
3173	5001783	Proof of Claim Did Not Result in a Recognized Loss
3174	5001785	Proof of Claim Did Not Result in a Recognized Loss
3175	5001786	Proof of Claim Did Not Result in a Recognized Loss
3176	5001787	Proof of Claim Did Not Result in a Recognized Loss
3177	5001788	Proof of Claim Did Not Result in a Recognized Loss
3178	5001789	Proof of Claim Did Not Result in a Recognized Loss
3179	5001790	Proof of Claim Did Not Result in a Recognized Loss
3180	5001791	Proof of Claim Did Not Result in a Recognized Loss
3181	5001792	Proof of Claim Did Not Result in a Recognized Loss
3182	5001793	Proof of Claim Did Not Result in a Recognized Loss
3183	5001794	Proof of Claim Did Not Fit the Class Definition
3184	5001795	Proof of Claim Did Not Result in a Recognized Loss
3185	5001796	Proof of Claim Did Not Result in a Recognized Loss
3186	5001797	Proof of Claim Did Not Result in a Recognized Loss
3187	5001799	Proof of Claim Did Not Result in a Recognized Loss
3188	5001800	Proof of Claim Did Not Result in a Recognized Loss
3189	5001801	Proof of Claim Did Not Result in a Recognized Loss
3190	5001803	Proof of Claim Did Not Result in a Recognized Loss
3191	5001804	Proof of Claim Did Not Result in a Recognized Loss
3192	5001805	Proof of Claim Did Not Result in a Recognized Loss
3193	5001808	Proof of Claim Did Not Fit the Class Definition
3194	5001810	Proof of Claim Did Not Result in a Recognized Loss
3195	5001811	Proof of Claim Did Not Result in a Recognized Loss
3196	5001812	Proof of Claim Did Not Result in a Recognized Loss
3197	5001813	Proof of Claim Did Not Result in a Recognized Loss
3198	5001814	Proof of Claim Did Not Fit the Class Definition
3199	5001815	Proof of Claim Did Not Result in a Recognized Loss
3200	5001816	Proof of Claim Did Not Result in a Recognized Loss

*Arena Securities Litigation***Rejected or Ineligible Claims****Exhibit C-3**

#	Claim Number	Reason for Rejection
3201	5001817	Proof of Claim Did Not Result in a Recognized Loss
3202	5001818	Proof of Claim Did Not Fit the Class Definition
3203	5001821	Proof of Claim Did Not Fit the Class Definition
3204	5001823	Proof of Claim Did Not Result in a Recognized Loss
3205	5001825	Proof of Claim Did Not Result in a Recognized Loss
3206	5001826	Proof of Claim Did Not Result in a Recognized Loss
3207	5001827	Proof of Claim Did Not Result in a Recognized Loss
3208	5001828	Proof of Claim Did Not Result in a Recognized Loss
3209	5001829	Proof of Claim Did Not Result in a Recognized Loss
3210	5001830	Proof of Claim Did Not Result in a Recognized Loss
3211	5001832	Proof of Claim Did Not Result in a Recognized Loss
3212	5001833	Proof of Claim Did Not Result in a Recognized Loss
3213	5001834	Proof of Claim Did Not Result in a Recognized Loss
3214	5001835	Proof of Claim Did Not Result in a Recognized Loss
3215	5001836	Proof of Claim Did Not Result in a Recognized Loss
3216	5001837	Proof of Claim Did Not Result in a Recognized Loss
3217	5001838	Proof of Claim Did Not Result in a Recognized Loss
3218	5001839	Proof of Claim Did Not Result in a Recognized Loss
3219	5001840	Proof of Claim Did Not Fit the Class Definition
3220	5001842	Proof of Claim Did Not Fit the Class Definition
3221	5001843	Proof of Claim Did Not Result in a Recognized Loss
3222	5001844	Proof of Claim Did Not Result in a Recognized Loss
3223	5001845	Proof of Claim Did Not Result in a Recognized Loss
3224	5001846	Proof of Claim Did Not Result in a Recognized Loss
3225	5001847	Proof of Claim Did Not Fit the Class Definition
3226	5001848	Proof of Claim Did Not Result in a Recognized Loss
3227	5001849	Proof of Claim Did Not Result in a Recognized Loss
3228	5001851	Proof of Claim Did Not Result in a Recognized Loss
3229	5001853	Proof of Claim Did Not Result in a Recognized Loss
3230	5001854	Proof of Claim Did Not Result in a Recognized Loss
3231	5001856	Proof of Claim Did Not Result in a Recognized Loss
3232	5001857	Proof of Claim Did Not Result in a Recognized Loss
3233	5001858	Proof of Claim Did Not Fit the Class Definition
3234	5001859	Proof of Claim Did Not Fit the Class Definition
3235	5001860	Proof of Claim Did Not Fit the Class Definition
3236	5001861	Proof of Claim Did Not Fit the Class Definition
3237	5001863	Proof of Claim Did Not Result in a Recognized Loss
3238	5001865	Proof of Claim Did Not Fit the Class Definition
3239	5001866	Proof of Claim Did Not Result in a Recognized Loss
3240	5001867	Proof of Claim Did Not Result in a Recognized Loss
3241	5001868	Proof of Claim Did Not Result in a Recognized Loss
3242	5001869	Proof of Claim Did Not Result in a Recognized Loss
3243	5001870	Proof of Claim Did Not Result in a Recognized Loss
3244	5001871	Deficient Proof of Claim Never Cured
3245	5001872	Deficient Proof of Claim Never Cured
3246	5001873	Deficient Proof of Claim Never Cured
3247	5001874	Deficient Proof of Claim Never Cured
3248	5001875	Deficient Proof of Claim Never Cured
3249	5001876	Deficient Proof of Claim Never Cured
3250	5001877	Deficient Proof of Claim Never Cured

*Arena Securities Litigation***Rejected or Ineligible Claims****Exhibit C-3**

#	Claim Number	Reason for Rejection
3251	5001878	Deficient Proof of Claim Never Cured
3252	5001879	Deficient Proof of Claim Never Cured
3253	5001880	Proof of Claim Did Not Fit the Class Definition
3254	5001881	Deficient Proof of Claim Never Cured
3255	5001882	Deficient Proof of Claim Never Cured
3256	5001883	Deficient Proof of Claim Never Cured
3257	5001884	Proof of Claim Did Not Fit the Class Definition
3258	5001885	Deficient Proof of Claim Never Cured
3259	5001886	Deficient Proof of Claim Never Cured
3260	5001887	Deficient Proof of Claim Never Cured
3261	5001888	Deficient Proof of Claim Never Cured
3262	5001889	Deficient Proof of Claim Never Cured
3263	5001890	Deficient Proof of Claim Never Cured
3264	5001891	Proof of Claim Did Not Result in a Recognized Loss
3265	5001892	Proof of Claim Did Not Result in a Recognized Loss
3266	5001893	Proof of Claim Did Not Result in a Recognized Loss
3267	5001894	Proof of Claim Did Not Result in a Recognized Loss
3268	5001895	Proof of Claim Did Not Result in a Recognized Loss
3269	5001896	Proof of Claim Did Not Result in a Recognized Loss
3270	5001897	Proof of Claim Did Not Result in a Recognized Loss
3271	5001898	Proof of Claim Did Not Result in a Recognized Loss
3272	5001899	Proof of Claim Did Not Result in a Recognized Loss
3273	5001900	Proof of Claim Did Not Result in a Recognized Loss
3274	5001901	Proof of Claim Did Not Result in a Recognized Loss
3275	5001902	Proof of Claim Did Not Result in a Recognized Loss
3276	5001904	Proof of Claim Did Not Fit the Class Definition
3277	5001906	Proof of Claim Did Not Result in a Recognized Loss
3278	5001908	Proof of Claim Did Not Result in a Recognized Loss
3279	5001909	Proof of Claim Did Not Result in a Recognized Loss
3280	5001910	Proof of Claim Did Not Result in a Recognized Loss
3281	5001911	Proof of Claim Did Not Result in a Recognized Loss
3282	5001913	Proof of Claim Did Not Result in a Recognized Loss
3283	5001914	Proof of Claim Did Not Result in a Recognized Loss
3284	5001915	Proof of Claim Did Not Result in a Recognized Loss
3285	5001916	Proof of Claim Did Not Result in a Recognized Loss
3286	5001917	Proof of Claim Did Not Result in a Recognized Loss
3287	5001918	Proof of Claim Did Not Result in a Recognized Loss
3288	5001920	Proof of Claim Did Not Result in a Recognized Loss
3289	5001921	Proof of Claim Did Not Result in a Recognized Loss
3290	5001922	Proof of Claim Did Not Result in a Recognized Loss
3291	5001923	Proof of Claim Did Not Result in a Recognized Loss
3292	5001924	Proof of Claim Did Not Result in a Recognized Loss
3293	5001925	Proof of Claim Did Not Result in a Recognized Loss
3294	5001926	Proof of Claim Did Not Fit the Class Definition
3295	5001927	Proof of Claim Did Not Fit the Class Definition
3296	5001928	Proof of Claim Did Not Result in a Recognized Loss
3297	5001929	Proof of Claim Did Not Result in a Recognized Loss
3298	5001930	Proof of Claim Did Not Result in a Recognized Loss
3299	5001931	Proof of Claim Did Not Result in a Recognized Loss
3300	5001932	Proof of Claim Did Not Result in a Recognized Loss

*Arena Securities Litigation***Rejected or Ineligible Claims****Exhibit C-3**

#	Claim Number	Reason for Rejection
3301	5001933	Proof of Claim Did Not Result in a Recognized Loss
3302	5001934	Proof of Claim Did Not Result in a Recognized Loss
3303	5001935	Proof of Claim Did Not Fit the Class Definition
3304	5001938	Proof of Claim Did Not Result in a Recognized Loss
3305	5001939	Proof of Claim Did Not Result in a Recognized Loss
3306	5001940	Proof of Claim Did Not Result in a Recognized Loss
3307	5001941	Proof of Claim Did Not Fit the Class Definition
3308	5001942	Deficient Proof of Claim Never Cured
3309	5001943	Deficient Proof of Claim Never Cured
3310	5001944	Proof of Claim Did Not Fit the Class Definition
3311	5001945	Proof of Claim Did Not Result in a Recognized Loss
3312	5001946	Proof of Claim Did Not Fit the Class Definition
3313	5001947	Proof of Claim Did Not Fit the Class Definition
3314	5001949	Proof of Claim Did Not Result in a Recognized Loss
3315	5001950	Proof of Claim Did Not Result in a Recognized Loss
3316	5001951	Proof of Claim Did Not Result in a Recognized Loss
3317	5001952	Proof of Claim Did Not Fit the Class Definition
3318	5001953	Proof of Claim Did Not Fit the Class Definition
3319	5001954	Proof of Claim Did Not Fit the Class Definition
3320	5001956	Proof of Claim Did Not Result in a Recognized Loss
3321	5001957	Proof of Claim Did Not Result in a Recognized Loss
3322	5001960	Proof of Claim Did Not Result in a Recognized Loss
3323	5001961	Proof of Claim Did Not Result in a Recognized Loss
3324	5001962	Proof of Claim Did Not Result in a Recognized Loss
3325	5001963	Proof of Claim Did Not Result in a Recognized Loss
3326	5001964	Proof of Claim Did Not Result in a Recognized Loss
3327	5001966	Proof of Claim Did Not Result in a Recognized Loss
3328	5001967	Proof of Claim Did Not Result in a Recognized Loss
3329	5001968	Proof of Claim Did Not Result in a Recognized Loss
3330	5001972	Proof of Claim Did Not Result in a Recognized Loss
3331	5001973	Proof of Claim Did Not Result in a Recognized Loss
3332	5001974	Proof of Claim Did Not Result in a Recognized Loss
3333	5001975	Deficient Proof of Claim Never Cured
3334	5001976	Deficient Proof of Claim Never Cured
3335	5001977	Deficient Proof of Claim Never Cured
3336	5001978	Proof of Claim Did Not Result in a Recognized Loss
3337	5001979	Proof of Claim Did Not Result in a Recognized Loss
3338	5001980	Proof of Claim Did Not Result in a Recognized Loss
3339	5001981	Proof of Claim Did Not Result in a Recognized Loss
3340	5001982	Proof of Claim Did Not Result in a Recognized Loss
3341	5001983	Proof of Claim Did Not Result in a Recognized Loss
3342	5001984	Proof of Claim Did Not Result in a Recognized Loss
3343	5001985	Proof of Claim Did Not Result in a Recognized Loss
3344	5001987	Proof of Claim Did Not Fit the Class Definition
3345	5001988	Proof of Claim Did Not Result in a Recognized Loss
3346	5001989	Proof of Claim Did Not Result in a Recognized Loss
3347	5001990	Proof of Claim Did Not Result in a Recognized Loss
3348	5001991	Proof of Claim Did Not Result in a Recognized Loss
3349	5001992	Proof of Claim Did Not Result in a Recognized Loss
3350	5001993	Proof of Claim Did Not Fit the Class Definition

*Arena Securities Litigation***Rejected or Ineligible Claims****Exhibit C-3**

#	Claim Number	Reason for Rejection
3351	5001994	Proof of Claim Did Not Result in a Recognized Loss
3352	5001995	Proof of Claim Did Not Result in a Recognized Loss
3353	5001996	Proof of Claim Did Not Result in a Recognized Loss
3354	5001998	Proof of Claim Did Not Fit the Class Definition
3355	5001999	Proof of Claim Did Not Fit the Class Definition
3356	5002000	Proof of Claim Did Not Fit the Class Definition
3357	5002001	Proof of Claim Did Not Fit the Class Definition
3358	5002002	Proof of Claim Did Not Fit the Class Definition
3359	5002003	Proof of Claim Did Not Fit the Class Definition
3360	5002004	Proof of Claim Did Not Fit the Class Definition
3361	5002005	Proof of Claim Did Not Result in a Recognized Loss
3362	5002006	Proof of Claim Did Not Fit the Class Definition
3363	5002007	Proof of Claim Did Not Fit the Class Definition
3364	5002008	Proof of Claim Did Not Fit the Class Definition
3365	5002009	Proof of Claim Did Not Fit the Class Definition
3366	5002010	Proof of Claim Did Not Fit the Class Definition
3367	5002011	Proof of Claim Did Not Fit the Class Definition
3368	5002012	Proof of Claim Did Not Result in a Recognized Loss
3369	5002013	Proof of Claim Did Not Fit the Class Definition
3370	5002015	Proof of Claim Did Not Fit the Class Definition
3371	5002016	Proof of Claim Did Not Fit the Class Definition
3372	5002017	Proof of Claim Did Not Fit the Class Definition
3373	5002018	Proof of Claim Did Not Fit the Class Definition
3374	5002019	Proof of Claim Did Not Fit the Class Definition
3375	5002020	Proof of Claim Did Not Fit the Class Definition
3376	5002021	Proof of Claim Did Not Fit the Class Definition
3377	5002022	Proof of Claim Did Not Fit the Class Definition
3378	5002023	Proof of Claim Did Not Fit the Class Definition
3379	5002024	Proof of Claim Did Not Fit the Class Definition
3380	5002025	Proof of Claim Did Not Fit the Class Definition
3381	5002026	Proof of Claim Did Not Fit the Class Definition
3382	5002027	Proof of Claim Did Not Fit the Class Definition
3383	5002028	Proof of Claim Did Not Fit the Class Definition
3384	5002029	Proof of Claim Did Not Fit the Class Definition
3385	5002030	Proof of Claim Did Not Fit the Class Definition
3386	5002031	Proof of Claim Did Not Fit the Class Definition
3387	5002032	Proof of Claim Did Not Fit the Class Definition
3388	5002033	Proof of Claim Did Not Fit the Class Definition
3389	5002034	Proof of Claim Did Not Fit the Class Definition
3390	5002035	Proof of Claim Did Not Fit the Class Definition
3391	5002036	Proof of Claim Did Not Fit the Class Definition
3392	5002037	Proof of Claim Did Not Fit the Class Definition
3393	5002038	Proof of Claim Did Not Result in a Recognized Loss
3394	5002039	Proof of Claim Did Not Fit the Class Definition
3395	5002040	Proof of Claim Did Not Fit the Class Definition
3396	5002041	Proof of Claim Did Not Fit the Class Definition
3397	5002042	Proof of Claim Did Not Fit the Class Definition
3398	5002043	Proof of Claim Did Not Fit the Class Definition
3399	5002044	Proof of Claim Did Not Fit the Class Definition
3400	5002045	Proof of Claim Did Not Fit the Class Definition

*Arena Securities Litigation***Rejected or Ineligible Claims****Exhibit C-3**

#	Claim Number	Reason for Rejection
3401	5002046	Proof of Claim Did Not Fit the Class Definition
3402	5002047	Proof of Claim Did Not Fit the Class Definition
3403	5002048	Proof of Claim Did Not Fit the Class Definition
3404	5002049	Proof of Claim Did Not Fit the Class Definition
3405	5002050	Proof of Claim Did Not Fit the Class Definition
3406	5002051	Proof of Claim Did Not Fit the Class Definition
3407	5002052	Proof of Claim Did Not Fit the Class Definition
3408	5002053	Proof of Claim Did Not Fit the Class Definition
3409	5002054	Proof of Claim Did Not Fit the Class Definition
3410	5002055	Proof of Claim Did Not Fit the Class Definition
3411	5002056	Proof of Claim Did Not Fit the Class Definition
3412	5002057	Proof of Claim Did Not Fit the Class Definition
3413	5002058	Proof of Claim Did Not Fit the Class Definition
3414	5002059	Proof of Claim Did Not Fit the Class Definition
3415	5002060	Proof of Claim Did Not Fit the Class Definition
3416	5002061	Proof of Claim Did Not Fit the Class Definition
3417	5002062	Proof of Claim Did Not Fit the Class Definition
3418	5002063	Proof of Claim Did Not Fit the Class Definition
3419	5002064	Proof of Claim Did Not Fit the Class Definition
3420	5002065	Proof of Claim Did Not Fit the Class Definition
3421	5002066	Proof of Claim Did Not Fit the Class Definition
3422	5002067	Proof of Claim Did Not Fit the Class Definition
3423	5002068	Proof of Claim Did Not Fit the Class Definition
3424	5002069	Proof of Claim Did Not Fit the Class Definition
3425	5002070	Proof of Claim Did Not Fit the Class Definition
3426	5002071	Proof of Claim Did Not Fit the Class Definition
3427	5002072	Proof of Claim Did Not Fit the Class Definition
3428	5002073	Proof of Claim Did Not Fit the Class Definition
3429	5002074	Proof of Claim Did Not Fit the Class Definition
3430	5002075	Proof of Claim Did Not Fit the Class Definition
3431	5002076	Proof of Claim Did Not Fit the Class Definition
3432	5002077	Proof of Claim Did Not Fit the Class Definition
3433	5002078	Proof of Claim Did Not Fit the Class Definition
3434	5002079	Proof of Claim Did Not Fit the Class Definition
3435	5002080	Proof of Claim Did Not Fit the Class Definition
3436	5002081	Proof of Claim Did Not Fit the Class Definition
3437	5002082	Proof of Claim Did Not Fit the Class Definition
3438	5002083	Proof of Claim Did Not Fit the Class Definition
3439	5002084	Proof of Claim Did Not Fit the Class Definition
3440	5002085	Proof of Claim Did Not Result in a Recognized Loss
3441	5002086	Proof of Claim Did Not Fit the Class Definition
3442	5002087	Proof of Claim Did Not Fit the Class Definition
3443	5002088	Proof of Claim Did Not Fit the Class Definition
3444	5002089	Proof of Claim Did Not Fit the Class Definition
3445	5002090	Proof of Claim Did Not Fit the Class Definition
3446	5002091	Proof of Claim Did Not Fit the Class Definition
3447	5002092	Proof of Claim Did Not Fit the Class Definition
3448	5002093	Proof of Claim Did Not Fit the Class Definition
3449	5002094	Proof of Claim Did Not Fit the Class Definition
3450	5002095	Proof of Claim Did Not Fit the Class Definition

*Arena Securities Litigation***Rejected or Ineligible Claims****Exhibit C-3**

#	Claim Number	Reason for Rejection
3451	5002096	Proof of Claim Did Not Fit the Class Definition
3452	5002097	Proof of Claim Did Not Fit the Class Definition
3453	5002098	Proof of Claim Did Not Fit the Class Definition
3454	5002099	Proof of Claim Did Not Fit the Class Definition
3455	5002100	Proof of Claim Did Not Fit the Class Definition
3456	5002101	Proof of Claim Did Not Fit the Class Definition
3457	5002102	Proof of Claim Did Not Fit the Class Definition
3458	5002103	Proof of Claim Did Not Fit the Class Definition
3459	5002104	Proof of Claim Did Not Fit the Class Definition
3460	5002105	Proof of Claim Did Not Fit the Class Definition
3461	5002106	Proof of Claim Did Not Fit the Class Definition
3462	5002107	Proof of Claim Did Not Fit the Class Definition
3463	5002108	Proof of Claim Did Not Fit the Class Definition
3464	5002109	Proof of Claim Did Not Fit the Class Definition
3465	5002110	Proof of Claim Did Not Fit the Class Definition
3466	5002111	Proof of Claim Did Not Result in a Recognized Loss
3467	5002112	Proof of Claim Did Not Fit the Class Definition
3468	5002114	Proof of Claim Did Not Fit the Class Definition
3469	5002115	Proof of Claim Did Not Fit the Class Definition
3470	5002116	Proof of Claim Did Not Fit the Class Definition
3471	5002117	Proof of Claim Did Not Fit the Class Definition
3472	5002118	Proof of Claim Did Not Fit the Class Definition
3473	5002119	Proof of Claim Did Not Fit the Class Definition
3474	5002120	Proof of Claim Did Not Fit the Class Definition
3475	5002121	Proof of Claim Did Not Fit the Class Definition
3476	5002122	Proof of Claim Did Not Fit the Class Definition
3477	5002123	Proof of Claim Did Not Fit the Class Definition
3478	5002124	Proof of Claim Did Not Fit the Class Definition
3479	5002125	Proof of Claim Did Not Fit the Class Definition
3480	5002126	Proof of Claim Did Not Fit the Class Definition
3481	5002127	Proof of Claim Did Not Fit the Class Definition
3482	5002128	Proof of Claim Did Not Fit the Class Definition
3483	5002129	Proof of Claim Did Not Fit the Class Definition
3484	5002130	Proof of Claim Did Not Fit the Class Definition
3485	5002131	Proof of Claim Did Not Fit the Class Definition
3486	5002132	Proof of Claim Did Not Fit the Class Definition
3487	5002133	Proof of Claim Did Not Fit the Class Definition
3488	5002134	Proof of Claim Did Not Fit the Class Definition
3489	5002135	Proof of Claim Did Not Fit the Class Definition
3490	5002136	Proof of Claim Did Not Fit the Class Definition
3491	5002137	Proof of Claim Did Not Fit the Class Definition
3492	5002138	Proof of Claim Did Not Fit the Class Definition
3493	5002139	Proof of Claim Did Not Fit the Class Definition
3494	5002140	Proof of Claim Did Not Fit the Class Definition
3495	5002141	Proof of Claim Did Not Fit the Class Definition
3496	5002142	Proof of Claim Did Not Fit the Class Definition
3497	5002143	Proof of Claim Did Not Fit the Class Definition
3498	5002144	Proof of Claim Did Not Fit the Class Definition
3499	5002145	Proof of Claim Did Not Fit the Class Definition
3500	5002146	Proof of Claim Did Not Fit the Class Definition

*Arena Securities Litigation***Rejected or Ineligible Claims****Exhibit C-3**

#	Claim Number	Reason for Rejection
3501	5002147	Proof of Claim Did Not Result in a Recognized Loss
3502	5002148	Proof of Claim Did Not Result in a Recognized Loss
3503	5002149	Proof of Claim Did Not Result in a Recognized Loss
3504	5002150	Proof of Claim Did Not Fit the Class Definition
3505	5002151	Proof of Claim Did Not Fit the Class Definition
3506	5002152	Proof of Claim Did Not Fit the Class Definition
3507	5002153	Proof of Claim Did Not Fit the Class Definition
3508	5002154	Proof of Claim Did Not Fit the Class Definition
3509	5002155	Proof of Claim Did Not Fit the Class Definition
3510	5002156	Proof of Claim Did Not Fit the Class Definition
3511	5002157	Proof of Claim Did Not Fit the Class Definition
3512	5002158	Proof of Claim Did Not Fit the Class Definition
3513	5002159	Proof of Claim Did Not Fit the Class Definition
3514	5002160	Proof of Claim Did Not Fit the Class Definition
3515	5002161	Proof of Claim Did Not Fit the Class Definition
3516	5002162	Proof of Claim Did Not Fit the Class Definition
3517	5002163	Proof of Claim Did Not Fit the Class Definition
3518	5002164	Proof of Claim Did Not Fit the Class Definition
3519	5002165	Proof of Claim Did Not Fit the Class Definition
3520	5002166	Proof of Claim Did Not Fit the Class Definition
3521	5002167	Proof of Claim Did Not Fit the Class Definition
3522	5002168	Proof of Claim Did Not Fit the Class Definition
3523	5002169	Proof of Claim Did Not Fit the Class Definition
3524	5002170	Proof of Claim Did Not Fit the Class Definition
3525	5002171	Proof of Claim Did Not Fit the Class Definition
3526	5002172	Proof of Claim Did Not Fit the Class Definition
3527	5002173	Proof of Claim Did Not Fit the Class Definition
3528	5002174	Proof of Claim Did Not Fit the Class Definition
3529	5002175	Proof of Claim Did Not Fit the Class Definition
3530	5002176	Proof of Claim Did Not Fit the Class Definition
3531	5002177	Proof of Claim Did Not Fit the Class Definition
3532	5002178	Proof of Claim Did Not Fit the Class Definition
3533	5002179	Proof of Claim Did Not Fit the Class Definition
3534	5002180	Proof of Claim Did Not Fit the Class Definition
3535	5002181	Proof of Claim Did Not Fit the Class Definition
3536	5002182	Proof of Claim Did Not Fit the Class Definition
3537	5002183	Proof of Claim Did Not Fit the Class Definition
3538	5002184	Proof of Claim Did Not Fit the Class Definition
3539	5002185	Proof of Claim Did Not Fit the Class Definition
3540	5002186	Proof of Claim Did Not Fit the Class Definition
3541	5002187	Proof of Claim Did Not Fit the Class Definition
3542	5002188	Proof of Claim Did Not Fit the Class Definition
3543	5002189	Proof of Claim Did Not Fit the Class Definition
3544	5002190	Proof of Claim Did Not Fit the Class Definition
3545	5002191	Proof of Claim Did Not Fit the Class Definition
3546	5002192	Proof of Claim Did Not Fit the Class Definition
3547	5002193	Proof of Claim Did Not Fit the Class Definition
3548	5002194	Proof of Claim Did Not Fit the Class Definition
3549	5002195	Proof of Claim Did Not Fit the Class Definition
3550	5002196	Proof of Claim Did Not Fit the Class Definition

*Arena Securities Litigation***Rejected or Ineligible Claims****Exhibit C-3**

#	Claim Number	Reason for Rejection
3551	5002197	Proof of Claim Did Not Fit the Class Definition
3552	5002198	Proof of Claim Did Not Fit the Class Definition
3553	5002199	Proof of Claim Did Not Fit the Class Definition
3554	5002200	Proof of Claim Did Not Fit the Class Definition
3555	5002201	Proof of Claim Did Not Fit the Class Definition
3556	5002202	Proof of Claim Did Not Fit the Class Definition
3557	5002203	Proof of Claim Did Not Fit the Class Definition
3558	5002204	Proof of Claim Did Not Fit the Class Definition
3559	5002205	Proof of Claim Did Not Fit the Class Definition
3560	5002206	Proof of Claim Did Not Fit the Class Definition
3561	5002207	Proof of Claim Did Not Fit the Class Definition
3562	5002208	Proof of Claim Did Not Fit the Class Definition
3563	5002209	Proof of Claim Did Not Fit the Class Definition
3564	5002210	Proof of Claim Did Not Fit the Class Definition
3565	5002211	Proof of Claim Did Not Fit the Class Definition
3566	5002212	Proof of Claim Did Not Fit the Class Definition
3567	5002213	Proof of Claim Did Not Fit the Class Definition
3568	5002214	Proof of Claim Did Not Fit the Class Definition
3569	5002215	Proof of Claim Did Not Fit the Class Definition
3570	5002216	Proof of Claim Did Not Fit the Class Definition
3571	5002217	Proof of Claim Did Not Fit the Class Definition
3572	5002218	Proof of Claim Did Not Fit the Class Definition
3573	5002219	Proof of Claim Did Not Fit the Class Definition
3574	5002220	Proof of Claim Did Not Fit the Class Definition
3575	5002221	Proof of Claim Did Not Fit the Class Definition
3576	5002222	Proof of Claim Did Not Fit the Class Definition
3577	5002223	Proof of Claim Did Not Fit the Class Definition
3578	5002224	Proof of Claim Did Not Fit the Class Definition
3579	5002225	Proof of Claim Did Not Fit the Class Definition
3580	5002226	Proof of Claim Did Not Fit the Class Definition
3581	5002227	Proof of Claim Did Not Fit the Class Definition
3582	5002229	Proof of Claim Did Not Result in a Recognized Loss
3583	5002230	Deficient Proof of Claim Never Cured
3584	5002231	Proof of Claim Did Not Fit the Class Definition
3585	5002232	Proof of Claim Did Not Fit the Class Definition
3586	5002233	Proof of Claim Did Not Fit the Class Definition
3587	5002234	Proof of Claim Did Not Fit the Class Definition
3588	5002235	Proof of Claim Did Not Fit the Class Definition
3589	5002236	Proof of Claim Did Not Fit the Class Definition
3590	5002237	Proof of Claim Did Not Fit the Class Definition
3591	5002238	Proof of Claim Did Not Fit the Class Definition
3592	5002239	Proof of Claim Did Not Fit the Class Definition
3593	5002240	Proof of Claim Did Not Fit the Class Definition
3594	5002241	Proof of Claim Did Not Fit the Class Definition
3595	5002242	Proof of Claim Did Not Fit the Class Definition
3596	5002243	Proof of Claim Did Not Fit the Class Definition
3597	5002244	Proof of Claim Did Not Fit the Class Definition
3598	5002245	Proof of Claim Did Not Fit the Class Definition
3599	5002246	Proof of Claim Did Not Fit the Class Definition
3600	5002247	Proof of Claim Did Not Fit the Class Definition

*Arena Securities Litigation***Rejected or Ineligible Claims****Exhibit C-3**

#	Claim Number	Reason for Rejection
3601	5002248	Proof of Claim Did Not Fit the Class Definition
3602	5002249	Proof of Claim Did Not Fit the Class Definition
3603	5002250	Proof of Claim Did Not Fit the Class Definition
3604	5002251	Proof of Claim Did Not Fit the Class Definition
3605	5002252	Proof of Claim Did Not Fit the Class Definition
3606	5002253	Proof of Claim Did Not Fit the Class Definition
3607	5002254	Proof of Claim Did Not Fit the Class Definition
3608	5002255	Proof of Claim Did Not Fit the Class Definition
3609	5002256	Proof of Claim Did Not Fit the Class Definition
3610	5002257	Proof of Claim Did Not Fit the Class Definition
3611	5002258	Proof of Claim Did Not Fit the Class Definition
3612	5002259	Proof of Claim Did Not Fit the Class Definition
3613	5002260	Proof of Claim Did Not Fit the Class Definition
3614	5002261	Proof of Claim Did Not Fit the Class Definition
3615	5002262	Proof of Claim Did Not Fit the Class Definition
3616	5002263	Proof of Claim Did Not Fit the Class Definition
3617	5002264	Proof of Claim Did Not Fit the Class Definition
3618	5002265	Proof of Claim Did Not Fit the Class Definition
3619	5002266	Deficient Proof of Claim Never Cured
3620	5002267	Proof of Claim Did Not Result in a Recognized Loss
3621	5002268	Proof of Claim Did Not Fit the Class Definition
3622	5002269	Proof of Claim Did Not Fit the Class Definition
3623	5002270	Proof of Claim Did Not Fit the Class Definition
3624	5002271	Proof of Claim Did Not Fit the Class Definition
3625	5002272	Proof of Claim Did Not Fit the Class Definition
3626	5002273	Proof of Claim Did Not Fit the Class Definition
3627	5002274	Proof of Claim Did Not Fit the Class Definition
3628	5002275	Proof of Claim Did Not Fit the Class Definition
3629	5002276	Proof of Claim Did Not Fit the Class Definition
3630	5002277	Proof of Claim Did Not Fit the Class Definition
3631	5002278	Proof of Claim Did Not Fit the Class Definition
3632	5002279	Proof of Claim Did Not Fit the Class Definition
3633	5002280	Proof of Claim Did Not Fit the Class Definition
3634	5002281	Proof of Claim Did Not Fit the Class Definition
3635	5002282	Proof of Claim Did Not Fit the Class Definition
3636	5002283	Proof of Claim Did Not Fit the Class Definition
3637	5002284	Proof of Claim Did Not Fit the Class Definition
3638	5002285	Proof of Claim Did Not Fit the Class Definition
3639	5002286	Proof of Claim Did Not Fit the Class Definition
3640	5002287	Proof of Claim Did Not Fit the Class Definition
3641	5002288	Proof of Claim Did Not Fit the Class Definition
3642	5002289	Proof of Claim Did Not Fit the Class Definition
3643	5002290	Proof of Claim Did Not Fit the Class Definition
3644	5002291	Proof of Claim Did Not Fit the Class Definition
3645	5002292	Proof of Claim Did Not Fit the Class Definition
3646	5002293	Proof of Claim Did Not Fit the Class Definition
3647	5002294	Proof of Claim Did Not Fit the Class Definition
3648	5002295	Proof of Claim Did Not Fit the Class Definition
3649	5002296	Proof of Claim Did Not Fit the Class Definition
3650	5002297	Proof of Claim Did Not Fit the Class Definition

*Arena Securities Litigation***Rejected or Ineligible Claims****Exhibit C-3**

#	Claim Number	Reason for Rejection
3651	5002298	Proof of Claim Did Not Fit the Class Definition
3652	5002299	Proof of Claim Did Not Fit the Class Definition
3653	5002300	Proof of Claim Did Not Fit the Class Definition
3654	5002301	Proof of Claim Did Not Fit the Class Definition
3655	5002302	Proof of Claim Did Not Fit the Class Definition
3656	5002303	Proof of Claim Did Not Fit the Class Definition
3657	5002304	Proof of Claim Did Not Fit the Class Definition
3658	5002305	Deficient Proof of Claim Never Cured
3659	5002306	Proof of Claim Did Not Fit the Class Definition
3660	5002307	Proof of Claim Did Not Fit the Class Definition
3661	5002308	Proof of Claim Did Not Fit the Class Definition
3662	5002309	Proof of Claim Did Not Fit the Class Definition
3663	5002310	Proof of Claim Did Not Fit the Class Definition
3664	5002311	Proof of Claim Did Not Fit the Class Definition
3665	5002312	Proof of Claim Did Not Fit the Class Definition
3666	5002313	Proof of Claim Did Not Fit the Class Definition
3667	5002314	Proof of Claim Did Not Fit the Class Definition
3668	5002315	Proof of Claim Did Not Fit the Class Definition
3669	5002316	Proof of Claim Did Not Fit the Class Definition
3670	5002317	Proof of Claim Did Not Fit the Class Definition
3671	5002318	Proof of Claim Did Not Fit the Class Definition
3672	5002319	Proof of Claim Did Not Fit the Class Definition
3673	5002320	Proof of Claim Did Not Fit the Class Definition
3674	5002321	Proof of Claim Did Not Fit the Class Definition
3675	5002322	Proof of Claim Did Not Fit the Class Definition
3676	5002323	Proof of Claim Did Not Fit the Class Definition
3677	5002324	Proof of Claim Did Not Fit the Class Definition
3678	5002325	Proof of Claim Did Not Fit the Class Definition
3679	5002326	Proof of Claim Did Not Fit the Class Definition
3680	5002327	Proof of Claim Did Not Fit the Class Definition
3681	5002328	Proof of Claim Did Not Fit the Class Definition
3682	5002329	Proof of Claim Did Not Fit the Class Definition
3683	5002330	Proof of Claim Did Not Fit the Class Definition
3684	5002331	Proof of Claim Did Not Fit the Class Definition
3685	5002332	Proof of Claim Did Not Fit the Class Definition
3686	5002333	Proof of Claim Did Not Fit the Class Definition
3687	5002334	Proof of Claim Did Not Fit the Class Definition
3688	5002335	Proof of Claim Did Not Fit the Class Definition
3689	5002336	Proof of Claim Did Not Result in a Recognized Loss
3690	5002337	Proof of Claim Did Not Fit the Class Definition
3691	5002338	Proof of Claim Did Not Fit the Class Definition
3692	5002339	Proof of Claim Did Not Fit the Class Definition
3693	5002340	Proof of Claim Did Not Fit the Class Definition
3694	5002341	Proof of Claim Did Not Fit the Class Definition
3695	5002342	Proof of Claim Did Not Fit the Class Definition
3696	5002343	Proof of Claim Did Not Fit the Class Definition
3697	5002344	Proof of Claim Did Not Result in a Recognized Loss
3698	5002345	Proof of Claim Did Not Fit the Class Definition
3699	5002346	Proof of Claim Did Not Fit the Class Definition
3700	5002347	Proof of Claim Did Not Fit the Class Definition

*Arena Securities Litigation***Rejected or Ineligible Claims****Exhibit C-3**

#	Claim Number	Reason for Rejection
3701	5002348	Proof of Claim Did Not Fit the Class Definition
3702	5002349	Proof of Claim Did Not Fit the Class Definition
3703	5002350	Proof of Claim Did Not Fit the Class Definition
3704	5002351	Proof of Claim Did Not Result in a Recognized Loss
3705	5002352	Proof of Claim Did Not Fit the Class Definition
3706	5002353	Proof of Claim Did Not Fit the Class Definition
3707	5002354	Proof of Claim Did Not Fit the Class Definition
3708	5002355	Proof of Claim Did Not Fit the Class Definition
3709	5002356	Proof of Claim Did Not Fit the Class Definition
3710	5002357	Proof of Claim Did Not Fit the Class Definition
3711	5002358	Proof of Claim Did Not Fit the Class Definition
3712	5002359	Proof of Claim Did Not Fit the Class Definition
3713	5002360	Proof of Claim Did Not Fit the Class Definition
3714	5002361	Proof of Claim Did Not Fit the Class Definition
3715	5002362	Proof of Claim Did Not Fit the Class Definition
3716	5002363	Proof of Claim Did Not Fit the Class Definition
3717	5002364	Proof of Claim Did Not Fit the Class Definition
3718	5002365	Proof of Claim Did Not Fit the Class Definition
3719	5002366	Proof of Claim Did Not Fit the Class Definition
3720	5002367	Proof of Claim Did Not Fit the Class Definition
3721	5002368	Proof of Claim Did Not Fit the Class Definition
3722	5002369	Proof of Claim Did Not Fit the Class Definition
3723	5002370	Proof of Claim Did Not Result in a Recognized Loss
3724	5002371	Proof of Claim Did Not Fit the Class Definition
3725	5002372	Proof of Claim Did Not Fit the Class Definition
3726	5002373	Proof of Claim Did Not Fit the Class Definition
3727	5002374	Proof of Claim Did Not Fit the Class Definition
3728	5002375	Proof of Claim Did Not Fit the Class Definition
3729	5002376	Proof of Claim Did Not Fit the Class Definition
3730	5002377	Proof of Claim Did Not Fit the Class Definition
3731	5002378	Proof of Claim Did Not Fit the Class Definition
3732	5002379	Proof of Claim Did Not Fit the Class Definition
3733	5002380	Proof of Claim Did Not Fit the Class Definition
3734	5002381	Proof of Claim Did Not Fit the Class Definition
3735	5002382	Proof of Claim Did Not Fit the Class Definition
3736	5002383	Proof of Claim Did Not Fit the Class Definition
3737	5002384	Proof of Claim Did Not Fit the Class Definition
3738	5002385	Proof of Claim Did Not Fit the Class Definition
3739	5002386	Proof of Claim Did Not Fit the Class Definition
3740	5002387	Proof of Claim Did Not Fit the Class Definition
3741	5002388	Proof of Claim Did Not Fit the Class Definition
3742	5002389	Proof of Claim Did Not Fit the Class Definition
3743	5002390	Proof of Claim Did Not Fit the Class Definition
3744	5002391	Proof of Claim Did Not Fit the Class Definition
3745	5002392	Proof of Claim Did Not Fit the Class Definition
3746	5002393	Proof of Claim Did Not Result in a Recognized Loss
3747	5002394	Proof of Claim Did Not Fit the Class Definition
3748	5002395	Proof of Claim Did Not Fit the Class Definition
3749	5002396	Proof of Claim Did Not Fit the Class Definition
3750	5002397	Proof of Claim Did Not Fit the Class Definition

*Arena Securities Litigation***Rejected or Ineligible Claims****Exhibit C-3**

#	Claim Number	Reason for Rejection
3751	5002398	Proof of Claim Did Not Fit the Class Definition
3752	5002399	Proof of Claim Did Not Fit the Class Definition
3753	5002400	Proof of Claim Did Not Fit the Class Definition
3754	5002401	Proof of Claim Did Not Fit the Class Definition
3755	5002402	Proof of Claim Did Not Fit the Class Definition
3756	5002403	Proof of Claim Did Not Fit the Class Definition
3757	5002404	Proof of Claim Did Not Fit the Class Definition
3758	5002405	Proof of Claim Did Not Fit the Class Definition
3759	5002406	Proof of Claim Did Not Fit the Class Definition
3760	5002407	Proof of Claim Did Not Fit the Class Definition
3761	5002408	Proof of Claim Did Not Fit the Class Definition
3762	5002409	Proof of Claim Did Not Fit the Class Definition
3763	5002410	Proof of Claim Did Not Fit the Class Definition
3764	5002411	Proof of Claim Did Not Fit the Class Definition
3765	5002412	Proof of Claim Did Not Fit the Class Definition
3766	5002413	Proof of Claim Did Not Fit the Class Definition
3767	5002414	Proof of Claim Did Not Fit the Class Definition
3768	5002415	Proof of Claim Did Not Fit the Class Definition
3769	5002416	Proof of Claim Did Not Fit the Class Definition
3770	5002417	Proof of Claim Did Not Fit the Class Definition
3771	5002418	Proof of Claim Did Not Fit the Class Definition
3772	5002419	Proof of Claim Did Not Fit the Class Definition
3773	5002420	Proof of Claim Did Not Fit the Class Definition
3774	5002421	Proof of Claim Did Not Fit the Class Definition
3775	5002422	Proof of Claim Did Not Fit the Class Definition
3776	5002423	Proof of Claim Did Not Fit the Class Definition
3777	5002424	Proof of Claim Did Not Fit the Class Definition
3778	5002425	Proof of Claim Did Not Fit the Class Definition
3779	5002426	Proof of Claim Did Not Fit the Class Definition
3780	5002427	Proof of Claim Did Not Fit the Class Definition
3781	5002428	Proof of Claim Did Not Fit the Class Definition
3782	5002429	Proof of Claim Did Not Fit the Class Definition
3783	5002430	Proof of Claim Did Not Fit the Class Definition
3784	5002431	Proof of Claim Did Not Fit the Class Definition
3785	5002432	Proof of Claim Did Not Fit the Class Definition
3786	5002433	Proof of Claim Did Not Fit the Class Definition
3787	5002434	Proof of Claim Did Not Fit the Class Definition
3788	5002435	Proof of Claim Did Not Fit the Class Definition
3789	5002436	Proof of Claim Did Not Fit the Class Definition
3790	5002437	Proof of Claim Did Not Result in a Recognized Loss
3791	5002438	Proof of Claim Did Not Fit the Class Definition
3792	5002439	Proof of Claim Did Not Fit the Class Definition
3793	5002440	Proof of Claim Did Not Fit the Class Definition
3794	5002441	Proof of Claim Did Not Fit the Class Definition
3795	5002442	Proof of Claim Did Not Fit the Class Definition
3796	5002443	Proof of Claim Did Not Fit the Class Definition
3797	5002444	Proof of Claim Did Not Fit the Class Definition
3798	5002445	Proof of Claim Did Not Fit the Class Definition
3799	5002446	Proof of Claim Did Not Fit the Class Definition
3800	5002447	Proof of Claim Did Not Fit the Class Definition

*Arena Securities Litigation***Rejected or Ineligible Claims****Exhibit C-3**

#	Claim Number	Reason for Rejection
3801	5002448	Proof of Claim Did Not Fit the Class Definition
3802	5002449	Proof of Claim Did Not Fit the Class Definition
3803	5002450	Proof of Claim Did Not Fit the Class Definition
3804	5002451	Proof of Claim Did Not Fit the Class Definition
3805	5002452	Proof of Claim Did Not Fit the Class Definition
3806	5002453	Proof of Claim Did Not Fit the Class Definition
3807	5002454	Proof of Claim Did Not Fit the Class Definition
3808	5002455	Proof of Claim Did Not Result in a Recognized Loss
3809	5002456	Proof of Claim Did Not Fit the Class Definition
3810	5002457	Proof of Claim Did Not Fit the Class Definition
3811	5002458	Proof of Claim Did Not Fit the Class Definition
3812	5002459	Proof of Claim Did Not Fit the Class Definition
3813	5002460	Proof of Claim Did Not Fit the Class Definition
3814	5002461	Proof of Claim Did Not Fit the Class Definition
3815	5002462	Proof of Claim Did Not Fit the Class Definition
3816	5002463	Proof of Claim Did Not Fit the Class Definition
3817	5002464	Proof of Claim Did Not Fit the Class Definition
3818	5002465	Proof of Claim Did Not Fit the Class Definition
3819	5002466	Proof of Claim Did Not Fit the Class Definition
3820	5002467	Proof of Claim Did Not Fit the Class Definition
3821	5002468	Proof of Claim Did Not Fit the Class Definition
3822	5002469	Proof of Claim Did Not Fit the Class Definition
3823	5002470	Proof of Claim Did Not Fit the Class Definition
3824	5002471	Proof of Claim Did Not Fit the Class Definition
3825	5002472	Proof of Claim Did Not Fit the Class Definition
3826	5002473	Proof of Claim Did Not Fit the Class Definition
3827	5002474	Proof of Claim Did Not Fit the Class Definition
3828	5002475	Proof of Claim Did Not Fit the Class Definition
3829	5002476	Proof of Claim Did Not Fit the Class Definition
3830	5002477	Proof of Claim Did Not Fit the Class Definition
3831	5002478	Proof of Claim Did Not Fit the Class Definition
3832	5002479	Proof of Claim Did Not Fit the Class Definition
3833	5002480	Proof of Claim Did Not Fit the Class Definition
3834	5002481	Proof of Claim Did Not Fit the Class Definition
3835	5002482	Proof of Claim Did Not Fit the Class Definition
3836	5002483	Proof of Claim Did Not Fit the Class Definition
3837	5002484	Proof of Claim Did Not Fit the Class Definition
3838	5002485	Proof of Claim Did Not Fit the Class Definition
3839	5002486	Proof of Claim Did Not Fit the Class Definition
3840	5002487	Proof of Claim Did Not Fit the Class Definition
3841	5002488	Proof of Claim Did Not Fit the Class Definition
3842	5002489	Proof of Claim Did Not Fit the Class Definition
3843	5002490	Proof of Claim Did Not Fit the Class Definition
3844	5002491	Proof of Claim Did Not Fit the Class Definition
3845	5002492	Proof of Claim Did Not Fit the Class Definition
3846	5002493	Proof of Claim Did Not Fit the Class Definition
3847	5002494	Proof of Claim Did Not Fit the Class Definition
3848	5002495	Proof of Claim Did Not Fit the Class Definition
3849	5002496	Proof of Claim Did Not Fit the Class Definition
3850	5002497	Proof of Claim Did Not Fit the Class Definition

*Arena Securities Litigation***Rejected or Ineligible Claims****Exhibit C-3**

#	Claim Number	Reason for Rejection
3851	5002498	Proof of Claim Did Not Fit the Class Definition
3852	5002499	Proof of Claim Did Not Fit the Class Definition
3853	5002500	Proof of Claim Did Not Result in a Recognized Loss
3854	5002501	Proof of Claim Did Not Fit the Class Definition
3855	5002502	Proof of Claim Did Not Fit the Class Definition
3856	5002503	Proof of Claim Did Not Fit the Class Definition
3857	5002504	Proof of Claim Did Not Fit the Class Definition
3858	5002505	Proof of Claim Did Not Fit the Class Definition
3859	5002506	Proof of Claim Did Not Fit the Class Definition
3860	5002507	Proof of Claim Did Not Fit the Class Definition
3861	5002508	Proof of Claim Did Not Fit the Class Definition
3862	5002509	Proof of Claim Did Not Fit the Class Definition
3863	5002510	Proof of Claim Did Not Fit the Class Definition
3864	5002511	Proof of Claim Did Not Fit the Class Definition
3865	5002512	Proof of Claim Did Not Fit the Class Definition
3866	5002513	Proof of Claim Did Not Fit the Class Definition
3867	5002514	Proof of Claim Did Not Fit the Class Definition
3868	5002515	Proof of Claim Did Not Fit the Class Definition
3869	5002516	Proof of Claim Did Not Fit the Class Definition
3870	5002517	Proof of Claim Did Not Fit the Class Definition
3871	5002518	Proof of Claim Did Not Fit the Class Definition
3872	5002519	Proof of Claim Did Not Fit the Class Definition
3873	5002520	Proof of Claim Did Not Fit the Class Definition
3874	5002521	Proof of Claim Did Not Fit the Class Definition
3875	5002522	Proof of Claim Did Not Fit the Class Definition
3876	5002523	Proof of Claim Did Not Fit the Class Definition
3877	5002524	Proof of Claim Did Not Fit the Class Definition
3878	5002525	Deficient Proof of Claim Never Cured
3879	5002526	Proof of Claim Did Not Fit the Class Definition
3880	5002527	Proof of Claim Did Not Fit the Class Definition
3881	5002528	Proof of Claim Did Not Fit the Class Definition
3882	5002529	Proof of Claim Did Not Fit the Class Definition
3883	5002530	Proof of Claim Did Not Fit the Class Definition
3884	5002531	Proof of Claim Did Not Result in a Recognized Loss
3885	5002532	Proof of Claim Did Not Fit the Class Definition
3886	5002533	Proof of Claim Did Not Fit the Class Definition
3887	5002535	Proof of Claim Did Not Fit the Class Definition
3888	5002536	Proof of Claim Did Not Fit the Class Definition
3889	5002537	Proof of Claim Did Not Fit the Class Definition
3890	5002538	Proof of Claim Did Not Fit the Class Definition
3891	5002539	Proof of Claim Did Not Fit the Class Definition
3892	5002540	Proof of Claim Did Not Fit the Class Definition
3893	5002541	Proof of Claim Did Not Fit the Class Definition
3894	5002542	Proof of Claim Did Not Fit the Class Definition
3895	5002543	Proof of Claim Did Not Fit the Class Definition
3896	5002544	Proof of Claim Did Not Fit the Class Definition
3897	5002545	Proof of Claim Did Not Fit the Class Definition
3898	5002546	Proof of Claim Did Not Fit the Class Definition
3899	5002547	Proof of Claim Did Not Result in a Recognized Loss
3900	5002548	Proof of Claim Did Not Fit the Class Definition

*Arena Securities Litigation***Rejected or Ineligible Claims****Exhibit C-3**

#	Claim Number	Reason for Rejection
3901	5002549	Proof of Claim Did Not Result in a Recognized Loss
3902	5002550	Proof of Claim Did Not Fit the Class Definition
3903	5002551	Proof of Claim Did Not Fit the Class Definition
3904	5002552	Proof of Claim Did Not Fit the Class Definition
3905	5002553	Proof of Claim Did Not Fit the Class Definition
3906	5002554	Proof of Claim Did Not Fit the Class Definition
3907	5002555	Proof of Claim Did Not Fit the Class Definition
3908	5002556	Proof of Claim Did Not Fit the Class Definition
3909	5002557	Proof of Claim Did Not Fit the Class Definition
3910	5002558	Proof of Claim Did Not Fit the Class Definition
3911	5002559	Proof of Claim Did Not Fit the Class Definition
3912	5002560	Proof of Claim Did Not Fit the Class Definition
3913	5002561	Proof of Claim Did Not Fit the Class Definition
3914	5002562	Proof of Claim Did Not Fit the Class Definition
3915	5002563	Proof of Claim Did Not Fit the Class Definition
3916	5002564	Proof of Claim Did Not Fit the Class Definition
3917	5002565	Proof of Claim Did Not Fit the Class Definition
3918	5002566	Proof of Claim Did Not Fit the Class Definition
3919	5002567	Proof of Claim Did Not Fit the Class Definition
3920	5002568	Proof of Claim Did Not Fit the Class Definition
3921	5002569	Proof of Claim Did Not Fit the Class Definition
3922	5002570	Proof of Claim Did Not Fit the Class Definition
3923	5002571	Proof of Claim Did Not Fit the Class Definition
3924	5002572	Proof of Claim Did Not Fit the Class Definition
3925	5002573	Proof of Claim Did Not Fit the Class Definition
3926	5002574	Proof of Claim Did Not Fit the Class Definition
3927	5002575	Proof of Claim Did Not Fit the Class Definition
3928	5002576	Proof of Claim Did Not Fit the Class Definition
3929	5002577	Proof of Claim Did Not Fit the Class Definition
3930	5002578	Proof of Claim Did Not Fit the Class Definition
3931	5002579	Proof of Claim Did Not Fit the Class Definition
3932	5002580	Proof of Claim Did Not Fit the Class Definition
3933	5002581	Proof of Claim Did Not Fit the Class Definition
3934	5002582	Proof of Claim Did Not Fit the Class Definition
3935	5002583	Proof of Claim Did Not Fit the Class Definition
3936	5002584	Proof of Claim Did Not Fit the Class Definition
3937	5002585	Proof of Claim Did Not Fit the Class Definition
3938	5002586	Proof of Claim Did Not Fit the Class Definition
3939	5002587	Proof of Claim Did Not Fit the Class Definition
3940	5002588	Proof of Claim Did Not Fit the Class Definition
3941	5002589	Proof of Claim Did Not Fit the Class Definition
3942	5002590	Proof of Claim Did Not Fit the Class Definition
3943	5002591	Proof of Claim Did Not Fit the Class Definition
3944	5002592	Proof of Claim Did Not Fit the Class Definition
3945	5002593	Proof of Claim Did Not Fit the Class Definition
3946	5002594	Proof of Claim Did Not Fit the Class Definition
3947	5002595	Proof of Claim Did Not Fit the Class Definition
3948	5002596	Proof of Claim Did Not Fit the Class Definition
3949	5002597	Proof of Claim Did Not Fit the Class Definition
3950	5002598	Proof of Claim Did Not Fit the Class Definition

*Arena Securities Litigation***Rejected or Ineligible Claims****Exhibit C-3**

#	Claim Number	Reason for Rejection
3951	5002599	Proof of Claim Did Not Fit the Class Definition
3952	5002600	Proof of Claim Did Not Fit the Class Definition
3953	5002601	Proof of Claim Did Not Fit the Class Definition
3954	5002602	Proof of Claim Did Not Fit the Class Definition
3955	5002603	Proof of Claim Did Not Fit the Class Definition
3956	5002604	Proof of Claim Did Not Fit the Class Definition
3957	5002605	Proof of Claim Did Not Result in a Recognized Loss
3958	5002606	Proof of Claim Did Not Fit the Class Definition
3959	5002607	Proof of Claim Did Not Fit the Class Definition
3960	5002608	Proof of Claim Did Not Fit the Class Definition
3961	5002609	Proof of Claim Did Not Fit the Class Definition
3962	5002610	Proof of Claim Did Not Fit the Class Definition
3963	5002611	Proof of Claim Did Not Fit the Class Definition
3964	5002612	Proof of Claim Did Not Fit the Class Definition
3965	5002613	Proof of Claim Did Not Fit the Class Definition
3966	5002614	Proof of Claim Did Not Fit the Class Definition
3967	5002615	Proof of Claim Did Not Fit the Class Definition
3968	5002616	Proof of Claim Did Not Fit the Class Definition
3969	5002617	Proof of Claim Did Not Fit the Class Definition
3970	5002618	Proof of Claim Did Not Fit the Class Definition
3971	5002619	Proof of Claim Did Not Fit the Class Definition
3972	5002620	Proof of Claim Did Not Fit the Class Definition
3973	5002621	Proof of Claim Did Not Fit the Class Definition
3974	5002622	Proof of Claim Did Not Fit the Class Definition
3975	5002623	Proof of Claim Did Not Fit the Class Definition
3976	5002624	Proof of Claim Did Not Fit the Class Definition
3977	5002625	Proof of Claim Did Not Fit the Class Definition
3978	5002626	Proof of Claim Did Not Fit the Class Definition
3979	5002627	Proof of Claim Did Not Fit the Class Definition
3980	5002628	Proof of Claim Did Not Fit the Class Definition
3981	5002629	Proof of Claim Did Not Fit the Class Definition
3982	5002630	Proof of Claim Did Not Fit the Class Definition
3983	5002631	Proof of Claim Did Not Fit the Class Definition
3984	5002632	Proof of Claim Did Not Fit the Class Definition
3985	5002633	Proof of Claim Did Not Fit the Class Definition
3986	5002634	Proof of Claim Did Not Fit the Class Definition
3987	5002635	Proof of Claim Did Not Fit the Class Definition
3988	5002636	Proof of Claim Did Not Fit the Class Definition
3989	5002637	Proof of Claim Did Not Fit the Class Definition
3990	5002638	Proof of Claim Did Not Fit the Class Definition
3991	5002639	Proof of Claim Did Not Fit the Class Definition
3992	5002640	Proof of Claim Did Not Fit the Class Definition
3993	5002641	Proof of Claim Did Not Fit the Class Definition
3994	5002642	Proof of Claim Did Not Fit the Class Definition
3995	5002643	Proof of Claim Did Not Fit the Class Definition
3996	5002644	Proof of Claim Did Not Fit the Class Definition
3997	5002645	Proof of Claim Did Not Fit the Class Definition
3998	5002646	Proof of Claim Did Not Fit the Class Definition
3999	5002647	Proof of Claim Did Not Fit the Class Definition
4000	5002648	Proof of Claim Did Not Fit the Class Definition

*Arena Securities Litigation***Rejected or Ineligible Claims****Exhibit C-3**

#	Claim Number	Reason for Rejection
4001	5002649	Proof of Claim Did Not Fit the Class Definition
4002	5002650	Proof of Claim Did Not Fit the Class Definition
4003	5002651	Proof of Claim Did Not Fit the Class Definition
4004	5002652	Proof of Claim Did Not Fit the Class Definition
4005	5002653	Proof of Claim Did Not Fit the Class Definition
4006	5002654	Proof of Claim Did Not Fit the Class Definition
4007	5002655	Proof of Claim Did Not Fit the Class Definition
4008	5002656	Proof of Claim Did Not Fit the Class Definition
4009	5002657	Proof of Claim Did Not Fit the Class Definition
4010	5002658	Proof of Claim Did Not Fit the Class Definition
4011	5002659	Proof of Claim Did Not Fit the Class Definition
4012	5002660	Proof of Claim Did Not Fit the Class Definition
4013	5002661	Proof of Claim Did Not Fit the Class Definition
4014	5002662	Proof of Claim Did Not Fit the Class Definition
4015	5002663	Proof of Claim Did Not Fit the Class Definition
4016	5002664	Proof of Claim Did Not Fit the Class Definition
4017	5002665	Proof of Claim Did Not Result in a Recognized Loss
4018	5002666	Proof of Claim Did Not Fit the Class Definition
4019	5002667	Proof of Claim Did Not Fit the Class Definition
4020	5002668	Proof of Claim Did Not Fit the Class Definition
4021	5002669	Proof of Claim Did Not Fit the Class Definition
4022	5002670	Proof of Claim Did Not Fit the Class Definition
4023	5002671	Proof of Claim Did Not Fit the Class Definition
4024	5002672	Proof of Claim Did Not Fit the Class Definition
4025	5002673	Proof of Claim Did Not Fit the Class Definition
4026	5002674	Proof of Claim Did Not Fit the Class Definition
4027	5002675	Proof of Claim Did Not Fit the Class Definition
4028	5002676	Proof of Claim Did Not Fit the Class Definition
4029	5002677	Proof of Claim Did Not Fit the Class Definition
4030	5002678	Proof of Claim Did Not Fit the Class Definition
4031	5002679	Proof of Claim Did Not Fit the Class Definition
4032	5002680	Proof of Claim Did Not Fit the Class Definition
4033	5002681	Proof of Claim Did Not Fit the Class Definition
4034	5002682	Proof of Claim Did Not Fit the Class Definition
4035	5002683	Proof of Claim Did Not Fit the Class Definition
4036	5002684	Proof of Claim Did Not Fit the Class Definition
4037	5002685	Proof of Claim Did Not Fit the Class Definition
4038	5002686	Proof of Claim Did Not Fit the Class Definition
4039	5002687	Proof of Claim Did Not Fit the Class Definition
4040	5002688	Proof of Claim Did Not Fit the Class Definition
4041	5002689	Proof of Claim Did Not Fit the Class Definition
4042	5002690	Proof of Claim Did Not Fit the Class Definition
4043	5002691	Proof of Claim Did Not Fit the Class Definition
4044	5002692	Proof of Claim Did Not Fit the Class Definition
4045	5002693	Proof of Claim Did Not Fit the Class Definition
4046	5002694	Proof of Claim Did Not Result in a Recognized Loss
4047	5002695	Proof of Claim Did Not Result in a Recognized Loss
4048	5002696	Proof of Claim Did Not Result in a Recognized Loss
4049	5002697	Proof of Claim Did Not Fit the Class Definition
4050	5002698	Proof of Claim Did Not Result in a Recognized Loss

*Arena Securities Litigation***Rejected or Ineligible Claims****Exhibit C-3**

#	Claim Number	Reason for Rejection
4051	5002699	Proof of Claim Did Not Fit the Class Definition
4052	5002700	Proof of Claim Did Not Fit the Class Definition
4053	5002701	Proof of Claim Did Not Fit the Class Definition
4054	5002702	Proof of Claim Did Not Fit the Class Definition
4055	5002703	Proof of Claim Did Not Fit the Class Definition
4056	5002704	Proof of Claim Did Not Fit the Class Definition
4057	5002705	Proof of Claim Did Not Fit the Class Definition
4058	5002706	Proof of Claim Did Not Fit the Class Definition
4059	5002707	Proof of Claim Did Not Fit the Class Definition
4060	5002708	Proof of Claim Did Not Fit the Class Definition
4061	5002709	Proof of Claim Did Not Fit the Class Definition
4062	5002710	Proof of Claim Did Not Fit the Class Definition
4063	5002711	Proof of Claim Did Not Fit the Class Definition
4064	5002712	Proof of Claim Did Not Fit the Class Definition
4065	5002713	Proof of Claim Did Not Fit the Class Definition
4066	5002714	Proof of Claim Did Not Fit the Class Definition
4067	5002715	Proof of Claim Did Not Fit the Class Definition
4068	5002716	Proof of Claim Did Not Fit the Class Definition
4069	5002717	Proof of Claim Did Not Fit the Class Definition
4070	5002718	Proof of Claim Did Not Fit the Class Definition
4071	5002719	Proof of Claim Did Not Fit the Class Definition
4072	5002720	Proof of Claim Did Not Fit the Class Definition
4073	5002721	Proof of Claim Did Not Fit the Class Definition
4074	5002722	Proof of Claim Did Not Fit the Class Definition
4075	5002723	Proof of Claim Did Not Fit the Class Definition
4076	5002724	Proof of Claim Did Not Fit the Class Definition
4077	5002725	Proof of Claim Did Not Fit the Class Definition
4078	5002726	Proof of Claim Did Not Fit the Class Definition
4079	5002727	Proof of Claim Did Not Fit the Class Definition
4080	5002728	Proof of Claim Did Not Fit the Class Definition
4081	5002729	Proof of Claim Did Not Fit the Class Definition
4082	5002730	Proof of Claim Did Not Fit the Class Definition
4083	5002731	Proof of Claim Did Not Fit the Class Definition
4084	5002732	Proof of Claim Did Not Fit the Class Definition
4085	5002733	Proof of Claim Did Not Fit the Class Definition
4086	5002734	Proof of Claim Did Not Fit the Class Definition
4087	5002735	Proof of Claim Did Not Fit the Class Definition
4088	5002736	Proof of Claim Did Not Fit the Class Definition
4089	5002737	Proof of Claim Did Not Fit the Class Definition
4090	5002738	Proof of Claim Did Not Fit the Class Definition
4091	5002739	Proof of Claim Did Not Fit the Class Definition
4092	5002740	Proof of Claim Did Not Fit the Class Definition
4093	5002741	Proof of Claim Did Not Fit the Class Definition
4094	5002742	Proof of Claim Did Not Fit the Class Definition
4095	5002743	Proof of Claim Did Not Fit the Class Definition
4096	5002744	Proof of Claim Did Not Fit the Class Definition
4097	5002745	Proof of Claim Did Not Fit the Class Definition
4098	5002746	Proof of Claim Did Not Fit the Class Definition
4099	5002747	Proof of Claim Did Not Fit the Class Definition
4100	5002748	Proof of Claim Did Not Fit the Class Definition

*Arena Securities Litigation***Rejected or Ineligible Claims****Exhibit C-3**

#	Claim Number	Reason for Rejection
4101	5002749	Deficient Proof of Claim Never Cured
4102	5002750	Proof of Claim Did Not Fit the Class Definition
4103	5002751	Proof of Claim Did Not Fit the Class Definition
4104	5002752	Proof of Claim Did Not Fit the Class Definition
4105	5002753	Proof of Claim Did Not Fit the Class Definition
4106	5002754	Proof of Claim Did Not Fit the Class Definition
4107	5002755	Proof of Claim Did Not Fit the Class Definition
4108	5002756	Proof of Claim Did Not Fit the Class Definition
4109	5002757	Proof of Claim Did Not Fit the Class Definition
4110	5002758	Proof of Claim Did Not Fit the Class Definition
4111	5002759	Proof of Claim Did Not Fit the Class Definition
4112	5002760	Proof of Claim Did Not Fit the Class Definition
4113	5002761	Proof of Claim Did Not Fit the Class Definition
4114	5002762	Proof of Claim Did Not Fit the Class Definition
4115	5002763	Proof of Claim Did Not Fit the Class Definition
4116	5002764	Proof of Claim Did Not Fit the Class Definition
4117	5002765	Proof of Claim Did Not Fit the Class Definition
4118	5002766	Proof of Claim Did Not Fit the Class Definition
4119	5002767	Proof of Claim Did Not Fit the Class Definition
4120	5002768	Proof of Claim Did Not Fit the Class Definition
4121	5002769	Proof of Claim Did Not Fit the Class Definition
4122	5002770	Proof of Claim Did Not Fit the Class Definition
4123	5002771	Proof of Claim Did Not Fit the Class Definition
4124	5002772	Proof of Claim Did Not Fit the Class Definition
4125	5002773	Proof of Claim Did Not Fit the Class Definition
4126	5002774	Proof of Claim Did Not Fit the Class Definition
4127	5002775	Proof of Claim Did Not Fit the Class Definition
4128	5002776	Proof of Claim Did Not Fit the Class Definition
4129	5002777	Proof of Claim Did Not Fit the Class Definition
4130	5002778	Proof of Claim Did Not Fit the Class Definition
4131	5002779	Proof of Claim Did Not Fit the Class Definition
4132	5002780	Proof of Claim Did Not Fit the Class Definition
4133	5002781	Proof of Claim Did Not Fit the Class Definition
4134	5002782	Proof of Claim Did Not Fit the Class Definition
4135	5002783	Proof of Claim Did Not Fit the Class Definition
4136	5002784	Proof of Claim Did Not Fit the Class Definition
4137	5002785	Proof of Claim Did Not Fit the Class Definition
4138	5002786	Proof of Claim Did Not Fit the Class Definition
4139	5002787	Proof of Claim Did Not Fit the Class Definition
4140	5002788	Proof of Claim Did Not Fit the Class Definition
4141	5002789	Proof of Claim Did Not Fit the Class Definition
4142	5002790	Proof of Claim Did Not Fit the Class Definition
4143	5002791	Proof of Claim Did Not Fit the Class Definition
4144	5002792	Proof of Claim Did Not Fit the Class Definition
4145	5002793	Proof of Claim Did Not Fit the Class Definition
4146	5002794	Proof of Claim Did Not Fit the Class Definition
4147	5002795	Proof of Claim Did Not Fit the Class Definition
4148	5002796	Proof of Claim Did Not Fit the Class Definition
4149	5002797	Proof of Claim Did Not Fit the Class Definition
4150	5002798	Proof of Claim Did Not Fit the Class Definition

*Arena Securities Litigation***Rejected or Ineligible Claims****Exhibit C-3**

#	Claim Number	Reason for Rejection
4151	5002799	Proof of Claim Did Not Fit the Class Definition
4152	5002800	Proof of Claim Did Not Fit the Class Definition
4153	5002801	Proof of Claim Did Not Fit the Class Definition
4154	5002802	Proof of Claim Did Not Fit the Class Definition
4155	5002803	Proof of Claim Did Not Fit the Class Definition
4156	5002804	Proof of Claim Did Not Fit the Class Definition
4157	5002805	Proof of Claim Did Not Fit the Class Definition
4158	5002806	Proof of Claim Did Not Fit the Class Definition
4159	5002807	Proof of Claim Did Not Fit the Class Definition
4160	5002808	Proof of Claim Did Not Fit the Class Definition
4161	5002809	Proof of Claim Did Not Fit the Class Definition
4162	5002810	Proof of Claim Did Not Fit the Class Definition
4163	5002811	Proof of Claim Did Not Fit the Class Definition
4164	5002812	Proof of Claim Did Not Fit the Class Definition
4165	5002813	Proof of Claim Did Not Fit the Class Definition
4166	5002814	Proof of Claim Did Not Fit the Class Definition
4167	5002815	Proof of Claim Did Not Fit the Class Definition
4168	5002816	Proof of Claim Did Not Fit the Class Definition
4169	5002817	Proof of Claim Did Not Fit the Class Definition
4170	5002818	Proof of Claim Did Not Fit the Class Definition
4171	5002819	Proof of Claim Did Not Fit the Class Definition
4172	5002820	Proof of Claim Did Not Fit the Class Definition
4173	5002821	Proof of Claim Did Not Fit the Class Definition
4174	5002822	Proof of Claim Did Not Fit the Class Definition
4175	5002823	Proof of Claim Did Not Fit the Class Definition
4176	5002824	Proof of Claim Did Not Fit the Class Definition
4177	5002825	Proof of Claim Did Not Fit the Class Definition
4178	5002826	Proof of Claim Did Not Fit the Class Definition
4179	5002827	Proof of Claim Did Not Fit the Class Definition
4180	5002828	Proof of Claim Did Not Fit the Class Definition
4181	5002829	Proof of Claim Did Not Fit the Class Definition
4182	5002830	Proof of Claim Did Not Fit the Class Definition
4183	5002831	Proof of Claim Did Not Fit the Class Definition
4184	5002832	Proof of Claim Did Not Fit the Class Definition
4185	5002833	Proof of Claim Did Not Fit the Class Definition
4186	5002834	Proof of Claim Did Not Fit the Class Definition
4187	5002835	Proof of Claim Did Not Fit the Class Definition
4188	5002836	Proof of Claim Did Not Fit the Class Definition
4189	5002837	Proof of Claim Did Not Fit the Class Definition
4190	5002838	Proof of Claim Did Not Fit the Class Definition
4191	5002839	Proof of Claim Did Not Fit the Class Definition
4192	5002840	Proof of Claim Did Not Fit the Class Definition
4193	5002841	Proof of Claim Did Not Fit the Class Definition
4194	5002842	Proof of Claim Did Not Fit the Class Definition
4195	5002843	Proof of Claim Did Not Fit the Class Definition
4196	5002844	Proof of Claim Did Not Fit the Class Definition
4197	5002845	Proof of Claim Did Not Fit the Class Definition
4198	5002846	Proof of Claim Did Not Fit the Class Definition
4199	5002847	Proof of Claim Did Not Fit the Class Definition
4200	5002848	Proof of Claim Did Not Fit the Class Definition

*Arena Securities Litigation***Rejected or Ineligible Claims****Exhibit C-3**

#	Claim Number	Reason for Rejection
4201	5002850	Proof of Claim Did Not Fit the Class Definition
4202	5002851	Proof of Claim Did Not Fit the Class Definition
4203	5002852	Proof of Claim Did Not Fit the Class Definition
4204	5002853	Proof of Claim Did Not Fit the Class Definition
4205	5002854	Proof of Claim Did Not Fit the Class Definition
4206	5002855	Proof of Claim Did Not Result in a Recognized Loss
4207	5002856	Proof of Claim Did Not Fit the Class Definition
4208	5002857	Proof of Claim Did Not Fit the Class Definition
4209	5002858	Proof of Claim Did Not Fit the Class Definition
4210	5002859	Proof of Claim Did Not Fit the Class Definition
4211	5002860	Proof of Claim Did Not Fit the Class Definition
4212	5002861	Proof of Claim Did Not Fit the Class Definition
4213	5002862	Proof of Claim Did Not Fit the Class Definition
4214	5002863	Proof of Claim Did Not Fit the Class Definition
4215	5002864	Proof of Claim Did Not Fit the Class Definition
4216	5002865	Proof of Claim Did Not Fit the Class Definition
4217	5002866	Proof of Claim Did Not Fit the Class Definition
4218	5002867	Proof of Claim Did Not Fit the Class Definition
4219	5002868	Proof of Claim Did Not Fit the Class Definition
4220	5002869	Proof of Claim Did Not Fit the Class Definition
4221	5002870	Proof of Claim Did Not Fit the Class Definition
4222	5002871	Proof of Claim Did Not Fit the Class Definition
4223	5002872	Proof of Claim Did Not Fit the Class Definition
4224	5002873	Proof of Claim Did Not Fit the Class Definition
4225	5002874	Proof of Claim Did Not Fit the Class Definition
4226	5002875	Proof of Claim Did Not Fit the Class Definition
4227	5002876	Proof of Claim Did Not Fit the Class Definition
4228	5002877	Proof of Claim Did Not Fit the Class Definition
4229	5002878	Proof of Claim Did Not Fit the Class Definition
4230	5002879	Proof of Claim Did Not Fit the Class Definition
4231	5002880	Proof of Claim Did Not Fit the Class Definition
4232	5002881	Proof of Claim Did Not Fit the Class Definition
4233	5002882	Proof of Claim Did Not Fit the Class Definition
4234	5002883	Proof of Claim Did Not Fit the Class Definition
4235	5002884	Proof of Claim Did Not Fit the Class Definition
4236	5002885	Proof of Claim Did Not Fit the Class Definition
4237	5002886	Proof of Claim Did Not Fit the Class Definition
4238	5002887	Proof of Claim Did Not Fit the Class Definition
4239	5002888	Proof of Claim Did Not Fit the Class Definition
4240	5002889	Proof of Claim Did Not Fit the Class Definition
4241	5002890	Deficient Proof of Claim Never Cured
4242	5002891	Proof of Claim Did Not Fit the Class Definition
4243	5002892	Proof of Claim Did Not Fit the Class Definition
4244	5002893	Proof of Claim Did Not Fit the Class Definition
4245	5002894	Proof of Claim Did Not Fit the Class Definition
4246	5002895	Proof of Claim Did Not Fit the Class Definition
4247	5002896	Proof of Claim Did Not Fit the Class Definition
4248	5002897	Proof of Claim Did Not Fit the Class Definition
4249	5002898	Proof of Claim Did Not Fit the Class Definition
4250	5002899	Proof of Claim Did Not Result in a Recognized Loss

*Arena Securities Litigation***Rejected or Ineligible Claims****Exhibit C-3**

#	Claim Number	Reason for Rejection
4251	5002900	Proof of Claim Did Not Result in a Recognized Loss
4252	5002901	Proof of Claim Did Not Fit the Class Definition
4253	5002902	Proof of Claim Did Not Fit the Class Definition
4254	5002903	Proof of Claim Did Not Result in a Recognized Loss
4255	5002904	Proof of Claim Did Not Fit the Class Definition
4256	5002905	Proof of Claim Did Not Fit the Class Definition
4257	5002906	Proof of Claim Did Not Fit the Class Definition
4258	5002907	Proof of Claim Did Not Fit the Class Definition
4259	5002908	Proof of Claim Did Not Fit the Class Definition
4260	5002909	Proof of Claim Did Not Fit the Class Definition
4261	5002910	Proof of Claim Did Not Fit the Class Definition
4262	5002911	Proof of Claim Did Not Fit the Class Definition
4263	5002912	Proof of Claim Did Not Fit the Class Definition
4264	5002913	Proof of Claim Did Not Fit the Class Definition
4265	5002914	Proof of Claim Did Not Fit the Class Definition
4266	5002915	Proof of Claim Did Not Fit the Class Definition
4267	5002916	Proof of Claim Did Not Fit the Class Definition
4268	5002917	Proof of Claim Did Not Fit the Class Definition
4269	5002918	Proof of Claim Did Not Fit the Class Definition
4270	5002919	Proof of Claim Did Not Fit the Class Definition
4271	5002920	Proof of Claim Did Not Fit the Class Definition
4272	5002921	Proof of Claim Did Not Fit the Class Definition
4273	5002922	Proof of Claim Did Not Fit the Class Definition
4274	5002923	Proof of Claim Did Not Fit the Class Definition
4275	5002924	Proof of Claim Did Not Result in a Recognized Loss
4276	5002925	Proof of Claim Did Not Fit the Class Definition
4277	5002926	Proof of Claim Did Not Fit the Class Definition
4278	5002927	Proof of Claim Did Not Fit the Class Definition
4279	5002928	Proof of Claim Did Not Fit the Class Definition
4280	5002929	Proof of Claim Did Not Fit the Class Definition
4281	5002930	Proof of Claim Did Not Fit the Class Definition
4282	5002931	Proof of Claim Did Not Fit the Class Definition
4283	5002932	Proof of Claim Did Not Fit the Class Definition
4284	5002933	Proof of Claim Did Not Fit the Class Definition
4285	5002934	Proof of Claim Did Not Fit the Class Definition
4286	5002935	Proof of Claim Did Not Fit the Class Definition
4287	5002936	Proof of Claim Did Not Fit the Class Definition
4288	5002937	Proof of Claim Did Not Fit the Class Definition
4289	5002938	Proof of Claim Did Not Fit the Class Definition
4290	5002939	Proof of Claim Did Not Fit the Class Definition
4291	5002940	Proof of Claim Did Not Fit the Class Definition
4292	5002941	Proof of Claim Did Not Fit the Class Definition
4293	5002942	Proof of Claim Did Not Fit the Class Definition
4294	5002943	Proof of Claim Did Not Fit the Class Definition
4295	5002944	Proof of Claim Did Not Fit the Class Definition
4296	5002945	Proof of Claim Did Not Fit the Class Definition
4297	5002946	Proof of Claim Did Not Fit the Class Definition
4298	5002947	Proof of Claim Did Not Fit the Class Definition
4299	5002948	Proof of Claim Did Not Fit the Class Definition
4300	5002949	Proof of Claim Did Not Fit the Class Definition

*Arena Securities Litigation***Rejected or Ineligible Claims****Exhibit C-3**

#	Claim Number	Reason for Rejection
4301	5002950	Proof of Claim Did Not Fit the Class Definition
4302	5002951	Proof of Claim Did Not Fit the Class Definition
4303	5002952	Proof of Claim Did Not Fit the Class Definition
4304	5002953	Proof of Claim Did Not Fit the Class Definition
4305	5002954	Proof of Claim Did Not Fit the Class Definition
4306	5002955	Proof of Claim Did Not Result in a Recognized Loss
4307	5002956	Proof of Claim Did Not Fit the Class Definition
4308	5002957	Proof of Claim Did Not Fit the Class Definition
4309	5002958	Proof of Claim Did Not Fit the Class Definition
4310	5002959	Proof of Claim Did Not Fit the Class Definition
4311	5002960	Proof of Claim Did Not Fit the Class Definition
4312	5002961	Proof of Claim Did Not Fit the Class Definition
4313	5002962	Proof of Claim Did Not Fit the Class Definition
4314	5002963	Proof of Claim Did Not Fit the Class Definition
4315	5002964	Proof of Claim Did Not Fit the Class Definition
4316	5002965	Proof of Claim Did Not Fit the Class Definition
4317	5002966	Proof of Claim Did Not Fit the Class Definition
4318	5002967	Proof of Claim Did Not Fit the Class Definition
4319	5002968	Proof of Claim Did Not Fit the Class Definition
4320	5002969	Proof of Claim Did Not Fit the Class Definition
4321	5002970	Proof of Claim Did Not Fit the Class Definition
4322	5002971	Proof of Claim Did Not Fit the Class Definition
4323	5002972	Proof of Claim Did Not Fit the Class Definition
4324	5002973	Proof of Claim Did Not Fit the Class Definition
4325	5002975	Proof of Claim Did Not Fit the Class Definition
4326	5002976	Proof of Claim Did Not Fit the Class Definition
4327	5002977	Proof of Claim Did Not Fit the Class Definition
4328	5002978	Proof of Claim Did Not Fit the Class Definition
4329	5002979	Proof of Claim Did Not Fit the Class Definition
4330	5002980	Proof of Claim Did Not Fit the Class Definition
4331	5002981	Proof of Claim Did Not Result in a Recognized Loss
4332	5002982	Proof of Claim Did Not Result in a Recognized Loss
4333	5002983	Proof of Claim Did Not Fit the Class Definition
4334	5002984	Proof of Claim Did Not Fit the Class Definition
4335	5002985	Proof of Claim Did Not Fit the Class Definition
4336	5002986	Proof of Claim Did Not Fit the Class Definition
4337	5002987	Proof of Claim Did Not Fit the Class Definition
4338	5002988	Proof of Claim Did Not Fit the Class Definition
4339	5002989	Proof of Claim Did Not Fit the Class Definition
4340	5002990	Proof of Claim Did Not Fit the Class Definition
4341	5002991	Proof of Claim Did Not Fit the Class Definition
4342	5002992	Proof of Claim Did Not Fit the Class Definition
4343	5002993	Proof of Claim Did Not Fit the Class Definition
4344	5002994	Proof of Claim Did Not Fit the Class Definition
4345	5002995	Proof of Claim Did Not Fit the Class Definition
4346	5002996	Proof of Claim Did Not Fit the Class Definition
4347	5002997	Proof of Claim Did Not Fit the Class Definition
4348	5002998	Proof of Claim Did Not Fit the Class Definition
4349	5002999	Proof of Claim Did Not Fit the Class Definition
4350	5003000	Proof of Claim Did Not Fit the Class Definition

*Arena Securities Litigation***Rejected or Ineligible Claims****Exhibit C-3**

#	Claim Number	Reason for Rejection
4351	5003001	Proof of Claim Did Not Result in a Recognized Loss
4352	5003002	Proof of Claim Did Not Result in a Recognized Loss
4353	5003003	Proof of Claim Did Not Fit the Class Definition
4354	5003004	Proof of Claim Did Not Fit the Class Definition
4355	5003005	Proof of Claim Did Not Fit the Class Definition
4356	5003006	Proof of Claim Did Not Fit the Class Definition
4357	5003007	Proof of Claim Did Not Fit the Class Definition
4358	5003008	Proof of Claim Did Not Fit the Class Definition
4359	5003009	Proof of Claim Did Not Fit the Class Definition
4360	5003010	Proof of Claim Did Not Fit the Class Definition
4361	5003011	Proof of Claim Did Not Fit the Class Definition
4362	5003012	Proof of Claim Did Not Fit the Class Definition
4363	5003013	Proof of Claim Did Not Fit the Class Definition
4364	5003014	Proof of Claim Did Not Fit the Class Definition
4365	5003015	Proof of Claim Did Not Fit the Class Definition
4366	5003016	Proof of Claim Did Not Fit the Class Definition
4367	5003017	Proof of Claim Did Not Fit the Class Definition
4368	5003018	Proof of Claim Did Not Fit the Class Definition
4369	5003019	Proof of Claim Did Not Fit the Class Definition
4370	5003020	Proof of Claim Did Not Fit the Class Definition
4371	5003021	Proof of Claim Did Not Fit the Class Definition
4372	5003022	Proof of Claim Did Not Fit the Class Definition
4373	5003023	Proof of Claim Did Not Fit the Class Definition
4374	5003024	Proof of Claim Did Not Fit the Class Definition
4375	5003025	Proof of Claim Did Not Fit the Class Definition
4376	5003026	Proof of Claim Did Not Fit the Class Definition
4377	5003027	Proof of Claim Did Not Fit the Class Definition
4378	5003028	Proof of Claim Did Not Fit the Class Definition
4379	5003029	Proof of Claim Did Not Fit the Class Definition
4380	5003030	Proof of Claim Did Not Fit the Class Definition
4381	5003031	Proof of Claim Did Not Fit the Class Definition
4382	5003032	Proof of Claim Did Not Result in a Recognized Loss
4383	5003033	Proof of Claim Did Not Result in a Recognized Loss
4384	5003034	Proof of Claim Did Not Fit the Class Definition
4385	5003035	Proof of Claim Did Not Fit the Class Definition
4386	5003036	Proof of Claim Did Not Fit the Class Definition
4387	5003037	Proof of Claim Did Not Fit the Class Definition
4388	5003038	Proof of Claim Did Not Fit the Class Definition
4389	5003039	Proof of Claim Did Not Fit the Class Definition
4390	5003040	Proof of Claim Did Not Fit the Class Definition
4391	5003041	Proof of Claim Did Not Fit the Class Definition
4392	5003042	Proof of Claim Did Not Fit the Class Definition
4393	5003043	Proof of Claim Did Not Fit the Class Definition
4394	5003044	Proof of Claim Did Not Fit the Class Definition
4395	5003045	Proof of Claim Did Not Fit the Class Definition
4396	5003046	Proof of Claim Did Not Fit the Class Definition
4397	5003047	Proof of Claim Did Not Fit the Class Definition
4398	5003048	Proof of Claim Did Not Fit the Class Definition
4399	5003049	Proof of Claim Did Not Fit the Class Definition
4400	5003050	Proof of Claim Did Not Fit the Class Definition

*Arena Securities Litigation***Rejected or Ineligible Claims****Exhibit C-3**

#	Claim Number	Reason for Rejection
4401	5003051	Proof of Claim Did Not Fit the Class Definition
4402	5003052	Proof of Claim Did Not Fit the Class Definition
4403	5003053	Proof of Claim Did Not Fit the Class Definition
4404	5003054	Proof of Claim Did Not Fit the Class Definition
4405	5003055	Proof of Claim Did Not Fit the Class Definition
4406	5003056	Proof of Claim Did Not Fit the Class Definition
4407	5003057	Proof of Claim Did Not Fit the Class Definition
4408	5003058	Proof of Claim Did Not Fit the Class Definition
4409	5003059	Proof of Claim Did Not Fit the Class Definition
4410	5003060	Proof of Claim Did Not Fit the Class Definition
4411	5003061	Proof of Claim Did Not Fit the Class Definition
4412	5003062	Proof of Claim Did Not Fit the Class Definition
4413	5003063	Proof of Claim Did Not Fit the Class Definition
4414	5003064	Proof of Claim Did Not Fit the Class Definition
4415	5003065	Proof of Claim Did Not Fit the Class Definition
4416	5003066	Proof of Claim Did Not Fit the Class Definition
4417	5003067	Proof of Claim Did Not Fit the Class Definition
4418	5003068	Proof of Claim Did Not Fit the Class Definition
4419	5003069	Proof of Claim Did Not Fit the Class Definition
4420	5003070	Proof of Claim Did Not Fit the Class Definition
4421	5003071	Proof of Claim Did Not Fit the Class Definition
4422	5003072	Proof of Claim Did Not Fit the Class Definition
4423	5003073	Proof of Claim Did Not Fit the Class Definition
4424	5003074	Proof of Claim Did Not Fit the Class Definition
4425	5003075	Proof of Claim Did Not Fit the Class Definition
4426	5003076	Proof of Claim Did Not Fit the Class Definition
4427	5003077	Proof of Claim Did Not Fit the Class Definition
4428	5003078	Proof of Claim Did Not Fit the Class Definition
4429	5003079	Proof of Claim Did Not Fit the Class Definition
4430	5003080	Proof of Claim Did Not Fit the Class Definition
4431	5003081	Proof of Claim Did Not Fit the Class Definition
4432	5003082	Proof of Claim Did Not Fit the Class Definition
4433	5003083	Proof of Claim Did Not Fit the Class Definition
4434	5003084	Proof of Claim Did Not Fit the Class Definition
4435	5003085	Proof of Claim Did Not Fit the Class Definition
4436	5003086	Proof of Claim Did Not Fit the Class Definition
4437	5003087	Proof of Claim Did Not Fit the Class Definition
4438	5003088	Proof of Claim Did Not Fit the Class Definition
4439	5003089	Proof of Claim Did Not Fit the Class Definition
4440	5003090	Proof of Claim Did Not Fit the Class Definition
4441	5003091	Proof of Claim Did Not Fit the Class Definition
4442	5003092	Proof of Claim Did Not Fit the Class Definition
4443	5003093	Proof of Claim Did Not Fit the Class Definition
4444	5003094	Proof of Claim Did Not Fit the Class Definition
4445	5003095	Proof of Claim Did Not Fit the Class Definition
4446	5003097	Proof of Claim Did Not Fit the Class Definition
4447	5003098	Proof of Claim Did Not Fit the Class Definition
4448	5003099	Proof of Claim Did Not Fit the Class Definition
4449	5003100	Proof of Claim Did Not Fit the Class Definition
4450	5003101	Proof of Claim Did Not Fit the Class Definition

*Arena Securities Litigation***Rejected or Ineligible Claims****Exhibit C-3**

#	Claim Number	Reason for Rejection
4451	5003102	Proof of Claim Did Not Fit the Class Definition
4452	5003103	Proof of Claim Did Not Fit the Class Definition
4453	5003104	Proof of Claim Did Not Fit the Class Definition
4454	5003105	Proof of Claim Did Not Fit the Class Definition
4455	5003106	Proof of Claim Did Not Fit the Class Definition
4456	5003107	Proof of Claim Did Not Fit the Class Definition
4457	5003108	Proof of Claim Did Not Fit the Class Definition
4458	5003109	Proof of Claim Did Not Fit the Class Definition
4459	5003110	Proof of Claim Did Not Fit the Class Definition
4460	5003111	Proof of Claim Did Not Fit the Class Definition
4461	5003112	Proof of Claim Did Not Fit the Class Definition
4462	5003113	Proof of Claim Did Not Fit the Class Definition
4463	5003114	Proof of Claim Did Not Fit the Class Definition
4464	5003115	Proof of Claim Did Not Fit the Class Definition
4465	5003116	Proof of Claim Did Not Fit the Class Definition
4466	5003117	Proof of Claim Did Not Fit the Class Definition
4467	5003118	Proof of Claim Did Not Fit the Class Definition
4468	5003119	Proof of Claim Did Not Fit the Class Definition
4469	5003120	Proof of Claim Did Not Fit the Class Definition
4470	5003121	Proof of Claim Did Not Fit the Class Definition
4471	5003122	Proof of Claim Did Not Fit the Class Definition
4472	5003123	Proof of Claim Did Not Fit the Class Definition
4473	5003124	Proof of Claim Did Not Fit the Class Definition
4474	5003125	Proof of Claim Did Not Fit the Class Definition
4475	5003126	Proof of Claim Did Not Fit the Class Definition
4476	5003127	Proof of Claim Did Not Fit the Class Definition
4477	5003128	Proof of Claim Did Not Fit the Class Definition
4478	5003129	Proof of Claim Did Not Fit the Class Definition
4479	5003130	Proof of Claim Did Not Fit the Class Definition
4480	5003131	Proof of Claim Did Not Fit the Class Definition
4481	5003132	Proof of Claim Did Not Fit the Class Definition
4482	5003133	Proof of Claim Did Not Fit the Class Definition
4483	5003134	Proof of Claim Did Not Fit the Class Definition
4484	5003135	Proof of Claim Did Not Fit the Class Definition
4485	5003136	Proof of Claim Did Not Fit the Class Definition
4486	5003137	Proof of Claim Did Not Fit the Class Definition
4487	5003138	Proof of Claim Did Not Fit the Class Definition
4488	5003139	Proof of Claim Did Not Fit the Class Definition
4489	5003140	Proof of Claim Did Not Fit the Class Definition
4490	5003141	Proof of Claim Did Not Fit the Class Definition
4491	5003142	Proof of Claim Did Not Fit the Class Definition
4492	5003143	Proof of Claim Did Not Fit the Class Definition
4493	5003144	Proof of Claim Did Not Fit the Class Definition
4494	5003145	Proof of Claim Did Not Fit the Class Definition
4495	5003146	Proof of Claim Did Not Fit the Class Definition
4496	5003147	Proof of Claim Did Not Fit the Class Definition
4497	5003148	Proof of Claim Did Not Fit the Class Definition
4498	5003149	Proof of Claim Did Not Fit the Class Definition
4499	5003150	Proof of Claim Did Not Fit the Class Definition
4500	5003151	Proof of Claim Did Not Fit the Class Definition

*Arena Securities Litigation***Rejected or Ineligible Claims****Exhibit C-3**

#	Claim Number	Reason for Rejection
4501	5003152	Proof of Claim Did Not Fit the Class Definition
4502	5003153	Proof of Claim Did Not Fit the Class Definition
4503	5003154	Proof of Claim Did Not Fit the Class Definition
4504	5003155	Proof of Claim Did Not Fit the Class Definition
4505	5003156	Proof of Claim Did Not Fit the Class Definition
4506	5003157	Proof of Claim Did Not Fit the Class Definition
4507	5003158	Proof of Claim Did Not Fit the Class Definition
4508	5003159	Proof of Claim Did Not Fit the Class Definition
4509	5003160	Proof of Claim Did Not Fit the Class Definition
4510	5003161	Deficient Proof of Claim Never Cured
4511	5003163	Proof of Claim Did Not Fit the Class Definition
4512	5003164	Proof of Claim Did Not Fit the Class Definition
4513	5003165	Proof of Claim Did Not Fit the Class Definition
4514	5003166	Proof of Claim Did Not Fit the Class Definition
4515	5003167	Proof of Claim Did Not Fit the Class Definition
4516	5003168	Proof of Claim Did Not Fit the Class Definition
4517	5003169	Proof of Claim Did Not Fit the Class Definition
4518	5003170	Proof of Claim Did Not Fit the Class Definition
4519	5003171	Deficient Proof of Claim Never Cured
4520	5003172	Proof of Claim Did Not Fit the Class Definition
4521	5003173	Proof of Claim Did Not Fit the Class Definition
4522	5003174	Proof of Claim Did Not Fit the Class Definition
4523	5003175	Proof of Claim Did Not Fit the Class Definition
4524	5003176	Proof of Claim Did Not Fit the Class Definition
4525	5003177	Proof of Claim Did Not Fit the Class Definition
4526	5003178	Proof of Claim Did Not Fit the Class Definition
4527	5003179	Proof of Claim Did Not Fit the Class Definition
4528	5003180	Proof of Claim Did Not Fit the Class Definition
4529	5003181	Proof of Claim Did Not Fit the Class Definition
4530	5003182	Proof of Claim Did Not Fit the Class Definition
4531	5003183	Proof of Claim Did Not Fit the Class Definition
4532	5003184	Proof of Claim Did Not Fit the Class Definition
4533	5003185	Proof of Claim Did Not Fit the Class Definition
4534	5003186	Proof of Claim Did Not Fit the Class Definition
4535	5003187	Proof of Claim Did Not Fit the Class Definition
4536	5003188	Proof of Claim Did Not Fit the Class Definition
4537	5003189	Proof of Claim Did Not Fit the Class Definition
4538	5003190	Proof of Claim Did Not Fit the Class Definition
4539	5003191	Proof of Claim Did Not Fit the Class Definition
4540	5003192	Proof of Claim Did Not Fit the Class Definition
4541	5003193	Proof of Claim Did Not Fit the Class Definition
4542	5003194	Proof of Claim Did Not Fit the Class Definition
4543	5003195	Proof of Claim Did Not Fit the Class Definition
4544	5003196	Proof of Claim Did Not Fit the Class Definition
4545	5003197	Proof of Claim Did Not Fit the Class Definition
4546	5003198	Proof of Claim Did Not Fit the Class Definition
4547	5003199	Proof of Claim Did Not Fit the Class Definition
4548	5003200	Proof of Claim Did Not Fit the Class Definition
4549	5003202	Proof of Claim Did Not Fit the Class Definition
4550	5003203	Proof of Claim Did Not Fit the Class Definition

*Arena Securities Litigation***Rejected or Ineligible Claims****Exhibit C-3**

#	Claim Number	Reason for Rejection
4551	5003204	Proof of Claim Did Not Fit the Class Definition
4552	5003205	Proof of Claim Did Not Fit the Class Definition
4553	5003206	Proof of Claim Did Not Fit the Class Definition
4554	5003207	Proof of Claim Did Not Fit the Class Definition
4555	5003208	Proof of Claim Did Not Fit the Class Definition
4556	5003209	Proof of Claim Did Not Fit the Class Definition
4557	5003210	Proof of Claim Did Not Fit the Class Definition
4558	5003211	Proof of Claim Did Not Fit the Class Definition
4559	5003212	Proof of Claim Did Not Fit the Class Definition
4560	5003213	Proof of Claim Did Not Fit the Class Definition
4561	5003214	Proof of Claim Did Not Fit the Class Definition
4562	5003215	Proof of Claim Did Not Fit the Class Definition
4563	5003216	Proof of Claim Did Not Fit the Class Definition
4564	5003217	Proof of Claim Did Not Fit the Class Definition
4565	5003218	Proof of Claim Did Not Fit the Class Definition
4566	5003219	Proof of Claim Did Not Fit the Class Definition
4567	5003220	Proof of Claim Did Not Fit the Class Definition
4568	5003221	Proof of Claim Did Not Fit the Class Definition
4569	5003222	Proof of Claim Did Not Fit the Class Definition
4570	5003223	Proof of Claim Did Not Fit the Class Definition
4571	5003224	Proof of Claim Did Not Fit the Class Definition
4572	5003225	Proof of Claim Did Not Fit the Class Definition
4573	5003226	Proof of Claim Did Not Fit the Class Definition
4574	5003227	Proof of Claim Did Not Fit the Class Definition
4575	5003228	Proof of Claim Did Not Fit the Class Definition
4576	5003229	Proof of Claim Did Not Fit the Class Definition
4577	5003230	Proof of Claim Did Not Fit the Class Definition
4578	5003231	Proof of Claim Did Not Fit the Class Definition
4579	5003232	Proof of Claim Did Not Fit the Class Definition
4580	5003233	Proof of Claim Did Not Fit the Class Definition
4581	5003234	Proof of Claim Did Not Fit the Class Definition
4582	5003235	Proof of Claim Did Not Fit the Class Definition
4583	5003236	Proof of Claim Did Not Fit the Class Definition
4584	5003237	Proof of Claim Did Not Fit the Class Definition
4585	5003238	Proof of Claim Did Not Fit the Class Definition
4586	5003239	Proof of Claim Did Not Fit the Class Definition
4587	5003240	Proof of Claim Did Not Fit the Class Definition
4588	5003241	Proof of Claim Did Not Fit the Class Definition
4589	5003242	Proof of Claim Did Not Fit the Class Definition
4590	5003243	Proof of Claim Did Not Fit the Class Definition
4591	5003244	Proof of Claim Did Not Fit the Class Definition
4592	5003245	Proof of Claim Did Not Fit the Class Definition
4593	5003246	Proof of Claim Did Not Fit the Class Definition
4594	5003247	Proof of Claim Did Not Fit the Class Definition
4595	5003248	Proof of Claim Did Not Fit the Class Definition
4596	5003249	Proof of Claim Did Not Fit the Class Definition
4597	5003250	Proof of Claim Did Not Fit the Class Definition
4598	5003251	Proof of Claim Did Not Fit the Class Definition
4599	5003252	Proof of Claim Did Not Fit the Class Definition
4600	5003253	Proof of Claim Did Not Fit the Class Definition

*Arena Securities Litigation***Rejected or Ineligible Claims****Exhibit C-3**

#	Claim Number	Reason for Rejection
4601	5003254	Proof of Claim Did Not Fit the Class Definition
4602	5003255	Proof of Claim Did Not Fit the Class Definition
4603	5003256	Proof of Claim Did Not Fit the Class Definition
4604	5003257	Proof of Claim Did Not Fit the Class Definition
4605	5003258	Proof of Claim Did Not Fit the Class Definition
4606	5003259	Proof of Claim Did Not Fit the Class Definition
4607	5003260	Proof of Claim Did Not Fit the Class Definition
4608	5003261	Proof of Claim Did Not Fit the Class Definition
4609	5003262	Proof of Claim Did Not Fit the Class Definition
4610	5003263	Proof of Claim Did Not Fit the Class Definition
4611	5003264	Proof of Claim Did Not Fit the Class Definition
4612	5003265	Proof of Claim Did Not Fit the Class Definition
4613	5003266	Proof of Claim Did Not Fit the Class Definition
4614	5003267	Proof of Claim Did Not Fit the Class Definition
4615	5003268	Proof of Claim Did Not Fit the Class Definition
4616	5003269	Proof of Claim Did Not Fit the Class Definition
4617	5003270	Proof of Claim Did Not Fit the Class Definition
4618	5003271	Proof of Claim Did Not Fit the Class Definition
4619	5003272	Proof of Claim Did Not Fit the Class Definition
4620	5003273	Proof of Claim Did Not Fit the Class Definition
4621	5003274	Proof of Claim Did Not Fit the Class Definition
4622	5003275	Proof of Claim Did Not Fit the Class Definition
4623	5003276	Proof of Claim Did Not Fit the Class Definition
4624	5003277	Proof of Claim Did Not Fit the Class Definition
4625	5003278	Proof of Claim Did Not Fit the Class Definition
4626	5003279	Proof of Claim Did Not Fit the Class Definition
4627	5003280	Proof of Claim Did Not Fit the Class Definition
4628	5003281	Proof of Claim Did Not Fit the Class Definition
4629	5003282	Proof of Claim Did Not Fit the Class Definition
4630	5003283	Proof of Claim Did Not Fit the Class Definition
4631	5003284	Proof of Claim Did Not Fit the Class Definition
4632	5003285	Proof of Claim Did Not Fit the Class Definition
4633	5003286	Proof of Claim Did Not Fit the Class Definition
4634	5003287	Proof of Claim Did Not Fit the Class Definition
4635	5003288	Proof of Claim Did Not Fit the Class Definition
4636	5003289	Proof of Claim Did Not Fit the Class Definition
4637	5003290	Proof of Claim Did Not Fit the Class Definition
4638	5003291	Proof of Claim Did Not Fit the Class Definition
4639	5003292	Proof of Claim Did Not Fit the Class Definition
4640	5003293	Proof of Claim Did Not Fit the Class Definition
4641	5003294	Proof of Claim Did Not Fit the Class Definition
4642	5003295	Proof of Claim Did Not Fit the Class Definition
4643	5003296	Proof of Claim Did Not Fit the Class Definition
4644	5003297	Proof of Claim Did Not Fit the Class Definition
4645	5003298	Proof of Claim Did Not Fit the Class Definition
4646	5003299	Proof of Claim Did Not Fit the Class Definition
4647	5003300	Proof of Claim Did Not Fit the Class Definition
4648	5003301	Proof of Claim Did Not Fit the Class Definition
4649	5003302	Proof of Claim Did Not Fit the Class Definition
4650	5003303	Proof of Claim Did Not Fit the Class Definition

*Arena Securities Litigation***Rejected or Ineligible Claims****Exhibit C-3**

#	Claim Number	Reason for Rejection
4651	5003304	Proof of Claim Did Not Fit the Class Definition
4652	5003305	Proof of Claim Did Not Fit the Class Definition
4653	5003306	Proof of Claim Did Not Fit the Class Definition
4654	5003307	Proof of Claim Did Not Fit the Class Definition
4655	5003308	Proof of Claim Did Not Fit the Class Definition
4656	5003309	Proof of Claim Did Not Fit the Class Definition
4657	5003310	Proof of Claim Did Not Fit the Class Definition
4658	5003311	Proof of Claim Did Not Fit the Class Definition
4659	5003312	Proof of Claim Did Not Fit the Class Definition
4660	5003313	Proof of Claim Did Not Fit the Class Definition
4661	5003314	Proof of Claim Did Not Fit the Class Definition
4662	5003315	Proof of Claim Did Not Fit the Class Definition
4663	5003316	Proof of Claim Did Not Fit the Class Definition
4664	5003317	Proof of Claim Did Not Fit the Class Definition
4665	5003318	Proof of Claim Did Not Fit the Class Definition
4666	5003319	Proof of Claim Did Not Fit the Class Definition
4667	5003320	Proof of Claim Did Not Fit the Class Definition
4668	5003321	Proof of Claim Did Not Fit the Class Definition
4669	5003322	Proof of Claim Did Not Fit the Class Definition
4670	5003323	Proof of Claim Did Not Fit the Class Definition
4671	5003324	Proof of Claim Did Not Fit the Class Definition
4672	5003325	Proof of Claim Did Not Fit the Class Definition
4673	5003326	Proof of Claim Did Not Fit the Class Definition
4674	5003327	Proof of Claim Did Not Fit the Class Definition
4675	5003328	Proof of Claim Did Not Fit the Class Definition
4676	5003329	Proof of Claim Did Not Fit the Class Definition
4677	5003330	Proof of Claim Did Not Fit the Class Definition
4678	5003331	Proof of Claim Did Not Fit the Class Definition
4679	5003332	Proof of Claim Did Not Fit the Class Definition
4680	5003333	Proof of Claim Did Not Fit the Class Definition
4681	5003334	Proof of Claim Did Not Fit the Class Definition
4682	5003335	Proof of Claim Did Not Fit the Class Definition
4683	5003336	Proof of Claim Did Not Fit the Class Definition
4684	5003337	Proof of Claim Did Not Fit the Class Definition
4685	5003338	Proof of Claim Did Not Fit the Class Definition
4686	5003339	Proof of Claim Did Not Fit the Class Definition
4687	5003340	Proof of Claim Did Not Fit the Class Definition
4688	5003341	Proof of Claim Did Not Fit the Class Definition
4689	5003342	Proof of Claim Did Not Fit the Class Definition
4690	5003343	Proof of Claim Did Not Fit the Class Definition
4691	5003344	Proof of Claim Did Not Fit the Class Definition
4692	5003345	Proof of Claim Did Not Fit the Class Definition
4693	5003346	Proof of Claim Did Not Fit the Class Definition
4694	5003347	Proof of Claim Did Not Fit the Class Definition
4695	5003348	Proof of Claim Did Not Fit the Class Definition
4696	5003349	Proof of Claim Did Not Fit the Class Definition
4697	5003350	Proof of Claim Did Not Fit the Class Definition
4698	5003351	Proof of Claim Did Not Fit the Class Definition
4699	5003352	Proof of Claim Did Not Fit the Class Definition
4700	5003353	Proof of Claim Did Not Fit the Class Definition

*Arena Securities Litigation***Rejected or Ineligible Claims****Exhibit C-3**

#	Claim Number	Reason for Rejection
4701	5003354	Proof of Claim Did Not Fit the Class Definition
4702	5003355	Proof of Claim Did Not Fit the Class Definition
4703	5003356	Proof of Claim Did Not Fit the Class Definition
4704	5003357	Proof of Claim Did Not Fit the Class Definition
4705	5003358	Proof of Claim Did Not Fit the Class Definition
4706	5003359	Proof of Claim Did Not Fit the Class Definition
4707	5003360	Proof of Claim Did Not Fit the Class Definition
4708	5003361	Proof of Claim Did Not Fit the Class Definition
4709	5003362	Proof of Claim Did Not Fit the Class Definition
4710	5003363	Proof of Claim Did Not Fit the Class Definition
4711	5003364	Proof of Claim Did Not Fit the Class Definition
4712	5003365	Proof of Claim Did Not Fit the Class Definition
4713	5003366	Proof of Claim Did Not Fit the Class Definition
4714	5003367	Proof of Claim Did Not Result in a Recognized Loss
4715	5003368	Proof of Claim Did Not Fit the Class Definition
4716	5003369	Proof of Claim Did Not Fit the Class Definition
4717	5003370	Proof of Claim Did Not Fit the Class Definition
4718	5003371	Proof of Claim Did Not Fit the Class Definition
4719	5003372	Proof of Claim Did Not Fit the Class Definition
4720	5003373	Proof of Claim Did Not Fit the Class Definition
4721	5003374	Proof of Claim Did Not Fit the Class Definition
4722	5003375	Proof of Claim Did Not Fit the Class Definition
4723	5003376	Proof of Claim Did Not Fit the Class Definition
4724	5003377	Proof of Claim Did Not Fit the Class Definition
4725	5003378	Proof of Claim Did Not Fit the Class Definition
4726	5003379	Proof of Claim Did Not Fit the Class Definition
4727	5003380	Proof of Claim Did Not Fit the Class Definition
4728	5003381	Proof of Claim Did Not Fit the Class Definition
4729	5003382	Proof of Claim Did Not Fit the Class Definition
4730	5003383	Proof of Claim Did Not Fit the Class Definition
4731	5003384	Proof of Claim Did Not Fit the Class Definition
4732	5003385	Proof of Claim Did Not Fit the Class Definition
4733	5003386	Proof of Claim Did Not Fit the Class Definition
4734	5003387	Proof of Claim Did Not Fit the Class Definition
4735	5003388	Proof of Claim Did Not Fit the Class Definition
4736	5003389	Proof of Claim Did Not Fit the Class Definition
4737	5003390	Proof of Claim Did Not Fit the Class Definition
4738	5003391	Proof of Claim Did Not Fit the Class Definition
4739	5003392	Proof of Claim Did Not Fit the Class Definition
4740	5003393	Proof of Claim Did Not Fit the Class Definition
4741	5003394	Proof of Claim Did Not Fit the Class Definition
4742	5003395	Proof of Claim Did Not Fit the Class Definition
4743	5003396	Proof of Claim Did Not Fit the Class Definition
4744	5003397	Proof of Claim Did Not Fit the Class Definition
4745	5003398	Proof of Claim Did Not Fit the Class Definition
4746	5003399	Proof of Claim Did Not Fit the Class Definition
4747	5003400	Proof of Claim Did Not Fit the Class Definition
4748	5003401	Proof of Claim Did Not Fit the Class Definition
4749	5003402	Proof of Claim Did Not Fit the Class Definition
4750	5003403	Proof of Claim Did Not Fit the Class Definition

*Arena Securities Litigation***Rejected or Ineligible Claims****Exhibit C-3**

#	Claim Number	Reason for Rejection
4751	5003404	Proof of Claim Did Not Fit the Class Definition
4752	5003405	Proof of Claim Did Not Fit the Class Definition
4753	5003406	Proof of Claim Did Not Fit the Class Definition
4754	5003407	Proof of Claim Did Not Fit the Class Definition
4755	5003408	Proof of Claim Did Not Fit the Class Definition
4756	5003409	Proof of Claim Did Not Fit the Class Definition
4757	5003410	Proof of Claim Did Not Fit the Class Definition
4758	5003411	Proof of Claim Did Not Fit the Class Definition
4759	5003412	Proof of Claim Did Not Fit the Class Definition
4760	5003413	Proof of Claim Did Not Fit the Class Definition
4761	5003414	Proof of Claim Did Not Fit the Class Definition
4762	5003415	Proof of Claim Did Not Fit the Class Definition
4763	5003416	Proof of Claim Did Not Fit the Class Definition
4764	5003417	Proof of Claim Did Not Fit the Class Definition
4765	5003418	Proof of Claim Did Not Fit the Class Definition
4766	5003419	Proof of Claim Did Not Fit the Class Definition
4767	5003420	Proof of Claim Did Not Fit the Class Definition
4768	5003421	Proof of Claim Did Not Fit the Class Definition
4769	5003422	Proof of Claim Did Not Fit the Class Definition
4770	5003423	Proof of Claim Did Not Fit the Class Definition
4771	5003424	Proof of Claim Did Not Fit the Class Definition
4772	5003425	Proof of Claim Did Not Fit the Class Definition
4773	5003426	Proof of Claim Did Not Fit the Class Definition
4774	5003427	Proof of Claim Did Not Fit the Class Definition
4775	5003428	Proof of Claim Did Not Fit the Class Definition
4776	5003429	Proof of Claim Did Not Fit the Class Definition
4777	5003430	Proof of Claim Did Not Fit the Class Definition
4778	5003431	Proof of Claim Did Not Fit the Class Definition
4779	5003432	Proof of Claim Did Not Fit the Class Definition
4780	5003433	Proof of Claim Did Not Fit the Class Definition
4781	5003434	Proof of Claim Did Not Fit the Class Definition
4782	5003435	Proof of Claim Did Not Fit the Class Definition
4783	5003436	Proof of Claim Did Not Fit the Class Definition
4784	5003437	Proof of Claim Did Not Fit the Class Definition
4785	5003438	Proof of Claim Did Not Fit the Class Definition
4786	5003439	Proof of Claim Did Not Fit the Class Definition
4787	5003440	Proof of Claim Did Not Fit the Class Definition
4788	5003441	Proof of Claim Did Not Fit the Class Definition
4789	5003442	Proof of Claim Did Not Fit the Class Definition
4790	5003443	Proof of Claim Did Not Fit the Class Definition
4791	5003444	Proof of Claim Did Not Fit the Class Definition
4792	5003445	Proof of Claim Did Not Fit the Class Definition
4793	5003446	Proof of Claim Did Not Fit the Class Definition
4794	5003447	Proof of Claim Did Not Fit the Class Definition
4795	5003448	Proof of Claim Did Not Fit the Class Definition
4796	5003449	Proof of Claim Did Not Fit the Class Definition
4797	5003450	Proof of Claim Did Not Fit the Class Definition
4798	5003451	Proof of Claim Did Not Fit the Class Definition
4799	5003452	Proof of Claim Did Not Fit the Class Definition
4800	5003453	Proof of Claim Did Not Fit the Class Definition

*Arena Securities Litigation***Rejected or Ineligible Claims****Exhibit C-3**

#	Claim Number	Reason for Rejection
4801	5003454	Proof of Claim Did Not Fit the Class Definition
4802	5003455	Proof of Claim Did Not Fit the Class Definition
4803	5003456	Proof of Claim Did Not Fit the Class Definition
4804	5003457	Proof of Claim Did Not Fit the Class Definition
4805	5003458	Proof of Claim Did Not Fit the Class Definition
4806	5003459	Proof of Claim Did Not Fit the Class Definition
4807	5003460	Proof of Claim Did Not Fit the Class Definition
4808	5003461	Proof of Claim Did Not Fit the Class Definition
4809	5003462	Proof of Claim Did Not Fit the Class Definition
4810	5003463	Proof of Claim Did Not Fit the Class Definition
4811	5003464	Proof of Claim Did Not Fit the Class Definition
4812	5003465	Proof of Claim Did Not Fit the Class Definition
4813	5003466	Proof of Claim Did Not Fit the Class Definition
4814	5003467	Proof of Claim Did Not Fit the Class Definition
4815	5003468	Proof of Claim Did Not Fit the Class Definition
4816	5003469	Proof of Claim Did Not Fit the Class Definition
4817	5003470	Proof of Claim Did Not Fit the Class Definition
4818	5003471	Proof of Claim Did Not Fit the Class Definition
4819	5003472	Proof of Claim Did Not Fit the Class Definition
4820	5003473	Proof of Claim Did Not Fit the Class Definition
4821	5003474	Proof of Claim Did Not Fit the Class Definition
4822	5003475	Proof of Claim Did Not Fit the Class Definition
4823	5003476	Proof of Claim Did Not Fit the Class Definition
4824	5003477	Proof of Claim Did Not Fit the Class Definition
4825	5003478	Proof of Claim Did Not Fit the Class Definition
4826	5003479	Proof of Claim Did Not Fit the Class Definition
4827	5003480	Proof of Claim Did Not Fit the Class Definition
4828	5003481	Proof of Claim Did Not Fit the Class Definition
4829	5003482	Proof of Claim Did Not Fit the Class Definition
4830	5003483	Proof of Claim Did Not Fit the Class Definition
4831	5003484	Proof of Claim Did Not Fit the Class Definition
4832	5003485	Proof of Claim Did Not Fit the Class Definition
4833	5003486	Proof of Claim Did Not Fit the Class Definition
4834	5003487	Proof of Claim Did Not Fit the Class Definition
4835	5003488	Proof of Claim Did Not Fit the Class Definition
4836	5003489	Proof of Claim Did Not Fit the Class Definition
4837	5003490	Proof of Claim Did Not Fit the Class Definition
4838	5003491	Proof of Claim Did Not Fit the Class Definition
4839	5003492	Proof of Claim Did Not Fit the Class Definition
4840	5003493	Proof of Claim Did Not Fit the Class Definition
4841	5003494	Proof of Claim Did Not Fit the Class Definition
4842	5003495	Proof of Claim Did Not Fit the Class Definition
4843	5003496	Proof of Claim Did Not Fit the Class Definition
4844	5003497	Proof of Claim Did Not Fit the Class Definition
4845	5003498	Proof of Claim Did Not Fit the Class Definition
4846	5003499	Proof of Claim Did Not Fit the Class Definition
4847	5003500	Proof of Claim Did Not Fit the Class Definition
4848	5003501	Proof of Claim Did Not Fit the Class Definition
4849	5003502	Proof of Claim Did Not Fit the Class Definition
4850	5003503	Proof of Claim Did Not Fit the Class Definition

*Arena Securities Litigation***Rejected or Ineligible Claims****Exhibit C-3**

#	Claim Number	Reason for Rejection
4851	5003504	Proof of Claim Did Not Fit the Class Definition
4852	5003505	Proof of Claim Did Not Fit the Class Definition
4853	5003506	Proof of Claim Did Not Fit the Class Definition
4854	5003507	Proof of Claim Did Not Fit the Class Definition
4855	5003508	Proof of Claim Did Not Fit the Class Definition
4856	5003509	Proof of Claim Did Not Fit the Class Definition
4857	5003510	Proof of Claim Did Not Fit the Class Definition
4858	5003511	Proof of Claim Did Not Fit the Class Definition
4859	5003512	Proof of Claim Did Not Fit the Class Definition
4860	5003513	Proof of Claim Did Not Fit the Class Definition
4861	5003514	Proof of Claim Did Not Fit the Class Definition
4862	5003515	Proof of Claim Did Not Fit the Class Definition
4863	5003516	Proof of Claim Did Not Fit the Class Definition
4864	5003517	Proof of Claim Did Not Fit the Class Definition
4865	5003518	Proof of Claim Did Not Fit the Class Definition
4866	5003519	Proof of Claim Did Not Fit the Class Definition
4867	5003520	Proof of Claim Did Not Fit the Class Definition
4868	5003521	Proof of Claim Did Not Fit the Class Definition
4869	5003522	Proof of Claim Did Not Fit the Class Definition
4870	5003523	Proof of Claim Did Not Fit the Class Definition
4871	5003524	Proof of Claim Did Not Fit the Class Definition
4872	5003525	Proof of Claim Did Not Fit the Class Definition
4873	5003526	Proof of Claim Did Not Fit the Class Definition
4874	5003527	Proof of Claim Did Not Fit the Class Definition
4875	5003528	Proof of Claim Did Not Fit the Class Definition
4876	5003529	Proof of Claim Did Not Fit the Class Definition
4877	5003530	Proof of Claim Did Not Fit the Class Definition
4878	5003531	Proof of Claim Did Not Fit the Class Definition
4879	5003532	Proof of Claim Did Not Fit the Class Definition
4880	5003533	Proof of Claim Did Not Fit the Class Definition
4881	5003534	Proof of Claim Did Not Fit the Class Definition
4882	5003535	Proof of Claim Did Not Fit the Class Definition
4883	5003536	Proof of Claim Did Not Fit the Class Definition
4884	5003537	Proof of Claim Did Not Fit the Class Definition
4885	5003538	Proof of Claim Did Not Fit the Class Definition
4886	5003539	Proof of Claim Did Not Fit the Class Definition
4887	5003540	Proof of Claim Did Not Fit the Class Definition
4888	5003541	Proof of Claim Did Not Fit the Class Definition
4889	5003542	Proof of Claim Did Not Fit the Class Definition
4890	5003543	Proof of Claim Did Not Fit the Class Definition
4891	5003544	Proof of Claim Did Not Fit the Class Definition
4892	5003545	Proof of Claim Did Not Fit the Class Definition
4893	5003546	Proof of Claim Did Not Fit the Class Definition
4894	5003547	Proof of Claim Did Not Fit the Class Definition
4895	5003548	Proof of Claim Did Not Fit the Class Definition
4896	5003549	Proof of Claim Did Not Fit the Class Definition
4897	5003550	Proof of Claim Did Not Fit the Class Definition
4898	5003551	Proof of Claim Did Not Fit the Class Definition
4899	5003552	Proof of Claim Did Not Fit the Class Definition
4900	5003553	Proof of Claim Did Not Fit the Class Definition

*Arena Securities Litigation***Rejected or Ineligible Claims****Exhibit C-3**

#	Claim Number	Reason for Rejection
4901	5003554	Proof of Claim Did Not Fit the Class Definition
4902	5003555	Proof of Claim Did Not Fit the Class Definition
4903	5003556	Proof of Claim Did Not Fit the Class Definition
4904	5003557	Proof of Claim Did Not Fit the Class Definition
4905	5003558	Proof of Claim Did Not Fit the Class Definition
4906	5003559	Proof of Claim Did Not Fit the Class Definition
4907	5003560	Proof of Claim Did Not Fit the Class Definition
4908	5003561	Proof of Claim Did Not Fit the Class Definition
4909	5003562	Proof of Claim Did Not Fit the Class Definition
4910	5003563	Proof of Claim Did Not Fit the Class Definition
4911	5003564	Proof of Claim Did Not Fit the Class Definition
4912	5003565	Proof of Claim Did Not Fit the Class Definition
4913	5003566	Proof of Claim Did Not Fit the Class Definition
4914	5003567	Proof of Claim Did Not Fit the Class Definition
4915	5003568	Proof of Claim Did Not Fit the Class Definition
4916	5003569	Proof of Claim Did Not Fit the Class Definition
4917	5003570	Proof of Claim Did Not Fit the Class Definition
4918	5003571	Proof of Claim Did Not Fit the Class Definition
4919	5003572	Proof of Claim Did Not Fit the Class Definition
4920	5003573	Proof of Claim Did Not Fit the Class Definition
4921	5003574	Proof of Claim Did Not Fit the Class Definition
4922	5003575	Proof of Claim Did Not Fit the Class Definition
4923	5003576	Proof of Claim Did Not Fit the Class Definition
4924	5003577	Proof of Claim Did Not Fit the Class Definition
4925	5003578	Proof of Claim Did Not Fit the Class Definition
4926	5003579	Proof of Claim Did Not Fit the Class Definition
4927	5003580	Proof of Claim Did Not Fit the Class Definition
4928	5003581	Proof of Claim Did Not Fit the Class Definition
4929	5003582	Proof of Claim Did Not Fit the Class Definition
4930	5003583	Proof of Claim Did Not Fit the Class Definition
4931	5003584	Proof of Claim Did Not Fit the Class Definition
4932	5003585	Proof of Claim Did Not Fit the Class Definition
4933	5003586	Proof of Claim Did Not Fit the Class Definition
4934	5003587	Proof of Claim Did Not Fit the Class Definition
4935	5003588	Proof of Claim Did Not Fit the Class Definition
4936	5003589	Proof of Claim Did Not Fit the Class Definition
4937	5003590	Proof of Claim Did Not Result in a Recognized Loss
4938	5003591	Proof of Claim Did Not Fit the Class Definition
4939	5003592	Proof of Claim Did Not Fit the Class Definition
4940	5003593	Proof of Claim Did Not Fit the Class Definition
4941	5003594	Proof of Claim Did Not Fit the Class Definition
4942	5003595	Proof of Claim Did Not Fit the Class Definition
4943	5003596	Proof of Claim Did Not Fit the Class Definition
4944	5003597	Proof of Claim Did Not Fit the Class Definition
4945	5003598	Proof of Claim Did Not Fit the Class Definition
4946	5003599	Proof of Claim Did Not Fit the Class Definition
4947	5003600	Proof of Claim Did Not Fit the Class Definition
4948	5003601	Proof of Claim Did Not Fit the Class Definition
4949	5003602	Proof of Claim Did Not Fit the Class Definition
4950	5003603	Proof of Claim Did Not Fit the Class Definition

*Arena Securities Litigation***Rejected or Ineligible Claims****Exhibit C-3**

#	Claim Number	Reason for Rejection
4951	5003604	Proof of Claim Did Not Fit the Class Definition
4952	5003605	Proof of Claim Did Not Fit the Class Definition
4953	5003606	Proof of Claim Did Not Fit the Class Definition
4954	5003607	Proof of Claim Did Not Fit the Class Definition
4955	5003608	Proof of Claim Did Not Fit the Class Definition
4956	5003609	Proof of Claim Did Not Fit the Class Definition
4957	5003610	Proof of Claim Did Not Fit the Class Definition
4958	5003611	Proof of Claim Did Not Fit the Class Definition
4959	5003612	Proof of Claim Did Not Fit the Class Definition
4960	5003613	Proof of Claim Did Not Fit the Class Definition
4961	5003614	Proof of Claim Did Not Fit the Class Definition
4962	5003615	Proof of Claim Did Not Fit the Class Definition
4963	5003616	Proof of Claim Did Not Fit the Class Definition
4964	5003617	Proof of Claim Did Not Fit the Class Definition
4965	5003618	Proof of Claim Did Not Fit the Class Definition
4966	5003619	Proof of Claim Did Not Fit the Class Definition
4967	5003620	Proof of Claim Did Not Fit the Class Definition
4968	5003621	Proof of Claim Did Not Fit the Class Definition
4969	5003622	Proof of Claim Did Not Fit the Class Definition
4970	5003623	Proof of Claim Did Not Fit the Class Definition
4971	5003624	Proof of Claim Did Not Fit the Class Definition
4972	5003625	Proof of Claim Did Not Fit the Class Definition
4973	5003626	Proof of Claim Did Not Fit the Class Definition
4974	5003627	Proof of Claim Did Not Fit the Class Definition
4975	5003628	Proof of Claim Did Not Fit the Class Definition
4976	5003629	Proof of Claim Did Not Fit the Class Definition
4977	5003630	Proof of Claim Did Not Fit the Class Definition
4978	5003631	Proof of Claim Did Not Fit the Class Definition
4979	5003632	Proof of Claim Did Not Fit the Class Definition
4980	5003633	Proof of Claim Did Not Fit the Class Definition
4981	5003634	Proof of Claim Did Not Fit the Class Definition
4982	5003635	Proof of Claim Did Not Fit the Class Definition
4983	5003636	Proof of Claim Did Not Fit the Class Definition
4984	5003637	Proof of Claim Did Not Fit the Class Definition
4985	5003638	Proof of Claim Did Not Fit the Class Definition
4986	5003639	Proof of Claim Did Not Fit the Class Definition
4987	5003640	Proof of Claim Did Not Fit the Class Definition
4988	5003641	Proof of Claim Did Not Fit the Class Definition
4989	5003642	Proof of Claim Did Not Fit the Class Definition
4990	5003643	Proof of Claim Did Not Fit the Class Definition
4991	5003644	Proof of Claim Did Not Fit the Class Definition
4992	5003645	Proof of Claim Did Not Fit the Class Definition
4993	5003646	Proof of Claim Did Not Fit the Class Definition
4994	5003647	Proof of Claim Did Not Fit the Class Definition
4995	5003648	Proof of Claim Did Not Fit the Class Definition
4996	5003649	Proof of Claim Did Not Fit the Class Definition
4997	5003650	Proof of Claim Did Not Fit the Class Definition
4998	5003651	Proof of Claim Did Not Fit the Class Definition
4999	5003652	Proof of Claim Did Not Fit the Class Definition
5000	5003653	Proof of Claim Did Not Fit the Class Definition

*Arena Securities Litigation***Rejected or Ineligible Claims****Exhibit C-3**

#	Claim Number	Reason for Rejection
5001	5003654	Proof of Claim Did Not Fit the Class Definition
5002	5003655	Proof of Claim Did Not Fit the Class Definition
5003	5003656	Proof of Claim Did Not Fit the Class Definition
5004	5003657	Proof of Claim Did Not Fit the Class Definition
5005	5003658	Proof of Claim Did Not Fit the Class Definition
5006	5003659	Proof of Claim Did Not Fit the Class Definition
5007	5003660	Proof of Claim Did Not Fit the Class Definition
5008	5003661	Proof of Claim Did Not Fit the Class Definition
5009	5003662	Proof of Claim Did Not Fit the Class Definition
5010	5003663	Proof of Claim Did Not Fit the Class Definition
5011	5003664	Proof of Claim Did Not Fit the Class Definition
5012	5003665	Proof of Claim Did Not Fit the Class Definition
5013	5003666	Proof of Claim Did Not Fit the Class Definition
5014	5003667	Proof of Claim Did Not Fit the Class Definition
5015	5003668	Proof of Claim Did Not Fit the Class Definition
5016	5003669	Proof of Claim Did Not Fit the Class Definition
5017	5003670	Proof of Claim Did Not Fit the Class Definition
5018	5003671	Proof of Claim Did Not Fit the Class Definition
5019	5003672	Proof of Claim Did Not Fit the Class Definition
5020	5003673	Proof of Claim Did Not Fit the Class Definition
5021	5003674	Proof of Claim Did Not Fit the Class Definition
5022	5003675	Proof of Claim Did Not Fit the Class Definition
5023	5003676	Proof of Claim Did Not Fit the Class Definition
5024	5003677	Proof of Claim Did Not Fit the Class Definition
5025	5003678	Proof of Claim Did Not Fit the Class Definition
5026	5003679	Proof of Claim Did Not Fit the Class Definition
5027	5003680	Proof of Claim Did Not Fit the Class Definition
5028	5003681	Proof of Claim Did Not Fit the Class Definition
5029	5003682	Proof of Claim Did Not Fit the Class Definition
5030	5003683	Proof of Claim Did Not Fit the Class Definition
5031	5003684	Proof of Claim Did Not Fit the Class Definition
5032	5003685	Proof of Claim Did Not Fit the Class Definition
5033	5003686	Proof of Claim Did Not Fit the Class Definition
5034	5003687	Proof of Claim Did Not Fit the Class Definition
5035	5003688	Proof of Claim Did Not Fit the Class Definition
5036	5003689	Proof of Claim Did Not Fit the Class Definition
5037	5003690	Proof of Claim Did Not Fit the Class Definition
5038	5003691	Proof of Claim Did Not Fit the Class Definition
5039	5003692	Proof of Claim Did Not Fit the Class Definition
5040	5003693	Proof of Claim Did Not Fit the Class Definition
5041	5003694	Proof of Claim Did Not Fit the Class Definition
5042	5003695	Proof of Claim Did Not Fit the Class Definition
5043	5003696	Proof of Claim Did Not Fit the Class Definition
5044	5003697	Proof of Claim Did Not Fit the Class Definition
5045	5003698	Proof of Claim Did Not Fit the Class Definition
5046	5003699	Proof of Claim Did Not Fit the Class Definition
5047	5003700	Proof of Claim Did Not Fit the Class Definition
5048	5003701	Proof of Claim Did Not Fit the Class Definition
5049	5003702	Proof of Claim Did Not Fit the Class Definition
5050	5003703	Proof of Claim Did Not Fit the Class Definition

*Arena Securities Litigation***Rejected or Ineligible Claims****Exhibit C-3**

#	Claim Number	Reason for Rejection
5051	5003704	Proof of Claim Did Not Fit the Class Definition
5052	5003705	Proof of Claim Did Not Fit the Class Definition
5053	5003706	Proof of Claim Did Not Fit the Class Definition
5054	5003707	Proof of Claim Did Not Fit the Class Definition
5055	5003708	Proof of Claim Did Not Fit the Class Definition
5056	5003709	Proof of Claim Did Not Fit the Class Definition
5057	5003710	Proof of Claim Did Not Fit the Class Definition
5058	5003711	Proof of Claim Did Not Fit the Class Definition
5059	5003712	Proof of Claim Did Not Fit the Class Definition
5060	5003713	Proof of Claim Did Not Fit the Class Definition
5061	5003714	Proof of Claim Did Not Fit the Class Definition
5062	5003715	Proof of Claim Did Not Fit the Class Definition
5063	5003716	Proof of Claim Did Not Fit the Class Definition
5064	5003717	Proof of Claim Did Not Fit the Class Definition
5065	5003718	Proof of Claim Did Not Fit the Class Definition
5066	5003719	Proof of Claim Did Not Fit the Class Definition
5067	5003720	Proof of Claim Did Not Fit the Class Definition
5068	5003721	Proof of Claim Did Not Fit the Class Definition
5069	5003722	Proof of Claim Did Not Fit the Class Definition
5070	5003723	Proof of Claim Did Not Fit the Class Definition
5071	5003724	Proof of Claim Did Not Fit the Class Definition
5072	5003725	Proof of Claim Did Not Fit the Class Definition
5073	5003726	Proof of Claim Did Not Fit the Class Definition
5074	5003727	Proof of Claim Did Not Fit the Class Definition
5075	5003728	Proof of Claim Did Not Fit the Class Definition
5076	5003729	Proof of Claim Did Not Fit the Class Definition
5077	5003730	Proof of Claim Did Not Fit the Class Definition
5078	5003731	Proof of Claim Did Not Fit the Class Definition
5079	5003732	Proof of Claim Did Not Fit the Class Definition
5080	5003733	Proof of Claim Did Not Fit the Class Definition
5081	5003734	Proof of Claim Did Not Fit the Class Definition
5082	5003735	Proof of Claim Did Not Fit the Class Definition
5083	5003736	Proof of Claim Did Not Fit the Class Definition
5084	5003737	Proof of Claim Did Not Fit the Class Definition
5085	5003738	Proof of Claim Did Not Fit the Class Definition
5086	5003739	Proof of Claim Did Not Fit the Class Definition
5087	5003740	Proof of Claim Did Not Fit the Class Definition
5088	5003741	Proof of Claim Did Not Fit the Class Definition
5089	5003742	Proof of Claim Did Not Fit the Class Definition
5090	5003743	Proof of Claim Did Not Fit the Class Definition
5091	5003744	Proof of Claim Did Not Fit the Class Definition
5092	5003745	Proof of Claim Did Not Fit the Class Definition
5093	5003746	Proof of Claim Did Not Fit the Class Definition
5094	5003747	Proof of Claim Did Not Fit the Class Definition
5095	5003748	Proof of Claim Did Not Fit the Class Definition
5096	5003749	Proof of Claim Did Not Fit the Class Definition
5097	5003750	Proof of Claim Did Not Fit the Class Definition
5098	5003751	Proof of Claim Did Not Fit the Class Definition
5099	5003752	Proof of Claim Did Not Fit the Class Definition
5100	5003753	Proof of Claim Did Not Fit the Class Definition

*Arena Securities Litigation***Rejected or Ineligible Claims****Exhibit C-3**

#	Claim Number	Reason for Rejection
5101	5003754	Proof of Claim Did Not Fit the Class Definition
5102	5003755	Proof of Claim Did Not Fit the Class Definition
5103	5003756	Proof of Claim Did Not Fit the Class Definition
5104	5003757	Proof of Claim Did Not Fit the Class Definition
5105	5003758	Proof of Claim Did Not Fit the Class Definition
5106	5003759	Proof of Claim Did Not Fit the Class Definition
5107	5003760	Proof of Claim Did Not Fit the Class Definition
5108	5003761	Proof of Claim Did Not Fit the Class Definition
5109	5003762	Proof of Claim Did Not Fit the Class Definition
5110	5003763	Proof of Claim Did Not Fit the Class Definition
5111	5003764	Proof of Claim Did Not Fit the Class Definition
5112	5003765	Proof of Claim Did Not Fit the Class Definition
5113	5003766	Proof of Claim Did Not Fit the Class Definition
5114	5003767	Proof of Claim Did Not Fit the Class Definition
5115	5003768	Proof of Claim Did Not Fit the Class Definition
5116	5003769	Proof of Claim Did Not Fit the Class Definition
5117	5003770	Proof of Claim Did Not Fit the Class Definition
5118	5003771	Proof of Claim Did Not Fit the Class Definition
5119	5003772	Proof of Claim Did Not Fit the Class Definition
5120	5003773	Proof of Claim Did Not Fit the Class Definition
5121	5003774	Proof of Claim Did Not Fit the Class Definition
5122	5003775	Proof of Claim Did Not Fit the Class Definition
5123	5003776	Proof of Claim Did Not Fit the Class Definition
5124	5003777	Proof of Claim Did Not Fit the Class Definition
5125	5003778	Proof of Claim Did Not Fit the Class Definition
5126	5003779	Proof of Claim Did Not Fit the Class Definition
5127	5003780	Proof of Claim Did Not Fit the Class Definition
5128	5003781	Proof of Claim Did Not Fit the Class Definition
5129	5003782	Proof of Claim Did Not Fit the Class Definition
5130	5003783	Proof of Claim Did Not Fit the Class Definition
5131	5003784	Proof of Claim Did Not Fit the Class Definition
5132	5003785	Proof of Claim Did Not Fit the Class Definition
5133	5003786	Proof of Claim Did Not Fit the Class Definition
5134	5003787	Proof of Claim Did Not Fit the Class Definition
5135	5003788	Proof of Claim Did Not Fit the Class Definition
5136	5003789	Proof of Claim Did Not Fit the Class Definition
5137	5003790	Proof of Claim Did Not Fit the Class Definition
5138	5003791	Proof of Claim Did Not Fit the Class Definition
5139	5003792	Proof of Claim Did Not Fit the Class Definition
5140	5003793	Proof of Claim Did Not Fit the Class Definition
5141	5003794	Proof of Claim Did Not Fit the Class Definition
5142	5003795	Proof of Claim Did Not Fit the Class Definition
5143	5003796	Proof of Claim Did Not Fit the Class Definition
5144	5003797	Proof of Claim Did Not Fit the Class Definition
5145	5003798	Proof of Claim Did Not Fit the Class Definition
5146	5003799	Proof of Claim Did Not Fit the Class Definition
5147	5003800	Proof of Claim Did Not Fit the Class Definition
5148	5003801	Proof of Claim Did Not Fit the Class Definition
5149	5003802	Proof of Claim Did Not Fit the Class Definition
5150	5003803	Proof of Claim Did Not Fit the Class Definition

*Arena Securities Litigation***Rejected or Ineligible Claims****Exhibit C-3**

#	Claim Number	Reason for Rejection
5151	5003804	Proof of Claim Did Not Fit the Class Definition
5152	5003805	Proof of Claim Did Not Fit the Class Definition
5153	5003806	Proof of Claim Did Not Fit the Class Definition
5154	5003807	Proof of Claim Did Not Fit the Class Definition
5155	5003808	Proof of Claim Did Not Fit the Class Definition
5156	5003809	Proof of Claim Did Not Fit the Class Definition
5157	5003810	Proof of Claim Did Not Fit the Class Definition
5158	5003811	Proof of Claim Did Not Fit the Class Definition
5159	5003812	Proof of Claim Did Not Fit the Class Definition
5160	5003813	Proof of Claim Did Not Fit the Class Definition
5161	5003814	Proof of Claim Did Not Fit the Class Definition
5162	5003815	Proof of Claim Did Not Fit the Class Definition
5163	5003816	Proof of Claim Did Not Fit the Class Definition
5164	5003817	Proof of Claim Did Not Fit the Class Definition
5165	5003818	Proof of Claim Did Not Fit the Class Definition
5166	5003819	Proof of Claim Did Not Fit the Class Definition
5167	5003820	Proof of Claim Did Not Fit the Class Definition
5168	5003821	Proof of Claim Did Not Fit the Class Definition
5169	5003822	Proof of Claim Did Not Fit the Class Definition
5170	5003823	Proof of Claim Did Not Fit the Class Definition
5171	5003824	Proof of Claim Did Not Fit the Class Definition
5172	5003825	Proof of Claim Did Not Fit the Class Definition
5173	5003826	Proof of Claim Did Not Fit the Class Definition
5174	5003827	Proof of Claim Did Not Fit the Class Definition
5175	5003828	Proof of Claim Did Not Fit the Class Definition
5176	5003829	Proof of Claim Did Not Fit the Class Definition
5177	5003830	Proof of Claim Did Not Fit the Class Definition
5178	5003831	Proof of Claim Did Not Fit the Class Definition
5179	5003832	Proof of Claim Did Not Fit the Class Definition
5180	5003833	Proof of Claim Did Not Fit the Class Definition
5181	5003834	Proof of Claim Did Not Fit the Class Definition
5182	5003835	Proof of Claim Did Not Fit the Class Definition
5183	5003836	Proof of Claim Did Not Fit the Class Definition
5184	5003837	Proof of Claim Did Not Fit the Class Definition
5185	5003838	Proof of Claim Did Not Fit the Class Definition
5186	5003839	Proof of Claim Did Not Fit the Class Definition
5187	5003840	Proof of Claim Did Not Fit the Class Definition
5188	5003841	Proof of Claim Did Not Fit the Class Definition
5189	5003842	Proof of Claim Did Not Fit the Class Definition
5190	5003843	Proof of Claim Did Not Fit the Class Definition
5191	5003844	Proof of Claim Did Not Fit the Class Definition
5192	5003845	Proof of Claim Did Not Fit the Class Definition
5193	5003846	Proof of Claim Did Not Fit the Class Definition
5194	5003847	Proof of Claim Did Not Fit the Class Definition
5195	5003848	Proof of Claim Did Not Fit the Class Definition
5196	5003849	Proof of Claim Did Not Fit the Class Definition
5197	5003850	Proof of Claim Did Not Fit the Class Definition
5198	5003851	Proof of Claim Did Not Fit the Class Definition
5199	5003852	Proof of Claim Did Not Fit the Class Definition
5200	5003853	Proof of Claim Did Not Fit the Class Definition

*Arena Securities Litigation***Rejected or Ineligible Claims****Exhibit C-3**

#	Claim Number	Reason for Rejection
5201	5003854	Proof of Claim Did Not Fit the Class Definition
5202	5003855	Proof of Claim Did Not Fit the Class Definition
5203	5003856	Proof of Claim Did Not Fit the Class Definition
5204	5003857	Proof of Claim Did Not Fit the Class Definition
5205	5003858	Proof of Claim Did Not Fit the Class Definition
5206	5003859	Proof of Claim Did Not Fit the Class Definition
5207	5003860	Proof of Claim Did Not Fit the Class Definition
5208	5003861	Proof of Claim Did Not Fit the Class Definition
5209	5003862	Proof of Claim Did Not Fit the Class Definition
5210	5003863	Proof of Claim Did Not Fit the Class Definition
5211	5003864	Proof of Claim Did Not Fit the Class Definition
5212	5003865	Proof of Claim Did Not Fit the Class Definition
5213	5003866	Proof of Claim Did Not Fit the Class Definition
5214	5003867	Proof of Claim Did Not Fit the Class Definition
5215	5003868	Proof of Claim Did Not Fit the Class Definition
5216	5003869	Proof of Claim Did Not Fit the Class Definition
5217	5003870	Proof of Claim Did Not Fit the Class Definition
5218	5003871	Proof of Claim Did Not Fit the Class Definition
5219	5003872	Proof of Claim Did Not Fit the Class Definition
5220	5003873	Proof of Claim Did Not Fit the Class Definition
5221	5003874	Proof of Claim Did Not Fit the Class Definition
5222	5003875	Proof of Claim Did Not Fit the Class Definition
5223	5003876	Proof of Claim Did Not Fit the Class Definition
5224	5003877	Proof of Claim Did Not Fit the Class Definition
5225	5003878	Proof of Claim Did Not Fit the Class Definition
5226	5003879	Proof of Claim Did Not Fit the Class Definition
5227	5003880	Proof of Claim Did Not Fit the Class Definition
5228	5003881	Proof of Claim Did Not Fit the Class Definition
5229	5003882	Proof of Claim Did Not Fit the Class Definition
5230	5003883	Proof of Claim Did Not Fit the Class Definition
5231	5003884	Proof of Claim Did Not Fit the Class Definition
5232	5003885	Proof of Claim Did Not Fit the Class Definition
5233	5003886	Proof of Claim Did Not Fit the Class Definition
5234	5003887	Proof of Claim Did Not Fit the Class Definition
5235	5003888	Proof of Claim Did Not Fit the Class Definition
5236	5003889	Proof of Claim Did Not Fit the Class Definition
5237	5003890	Proof of Claim Did Not Fit the Class Definition
5238	5003891	Proof of Claim Did Not Fit the Class Definition
5239	5003892	Proof of Claim Did Not Fit the Class Definition
5240	5003893	Proof of Claim Did Not Fit the Class Definition
5241	5003894	Proof of Claim Did Not Fit the Class Definition
5242	5003895	Proof of Claim Did Not Fit the Class Definition
5243	5003896	Proof of Claim Did Not Fit the Class Definition
5244	5003897	Proof of Claim Did Not Fit the Class Definition
5245	5003898	Proof of Claim Did Not Fit the Class Definition
5246	5003899	Proof of Claim Did Not Fit the Class Definition
5247	5003900	Proof of Claim Did Not Fit the Class Definition
5248	5003901	Proof of Claim Did Not Fit the Class Definition
5249	5003902	Proof of Claim Did Not Fit the Class Definition
5250	5003903	Proof of Claim Did Not Fit the Class Definition

*Arena Securities Litigation***Rejected or Ineligible Claims****Exhibit C-3**

#	Claim Number	Reason for Rejection
5251	5003904	Proof of Claim Did Not Fit the Class Definition
5252	5003905	Proof of Claim Did Not Fit the Class Definition
5253	5003906	Proof of Claim Did Not Fit the Class Definition
5254	5003907	Proof of Claim Did Not Fit the Class Definition
5255	5003908	Proof of Claim Did Not Fit the Class Definition
5256	5003909	Proof of Claim Did Not Fit the Class Definition
5257	5003910	Proof of Claim Did Not Fit the Class Definition
5258	5003911	Proof of Claim Did Not Fit the Class Definition
5259	5003912	Proof of Claim Did Not Fit the Class Definition
5260	5003913	Proof of Claim Did Not Fit the Class Definition
5261	5003914	Proof of Claim Did Not Fit the Class Definition
5262	5003915	Proof of Claim Did Not Fit the Class Definition
5263	5003916	Proof of Claim Did Not Fit the Class Definition
5264	5003917	Proof of Claim Did Not Fit the Class Definition
5265	5003918	Proof of Claim Did Not Fit the Class Definition
5266	5003919	Proof of Claim Did Not Fit the Class Definition
5267	5003920	Proof of Claim Did Not Fit the Class Definition
5268	5003921	Proof of Claim Did Not Fit the Class Definition
5269	5003922	Proof of Claim Did Not Fit the Class Definition
5270	5003923	Proof of Claim Did Not Fit the Class Definition
5271	5003924	Proof of Claim Did Not Fit the Class Definition
5272	5003925	Proof of Claim Did Not Fit the Class Definition
5273	5003926	Proof of Claim Did Not Fit the Class Definition
5274	5003927	Proof of Claim Did Not Fit the Class Definition
5275	5003928	Proof of Claim Did Not Fit the Class Definition
5276	5003929	Proof of Claim Did Not Fit the Class Definition
5277	5003930	Proof of Claim Did Not Fit the Class Definition
5278	5003931	Proof of Claim Did Not Fit the Class Definition
5279	5003932	Proof of Claim Did Not Fit the Class Definition
5280	5003933	Proof of Claim Did Not Fit the Class Definition
5281	5003934	Proof of Claim Did Not Fit the Class Definition
5282	5003935	Proof of Claim Did Not Fit the Class Definition
5283	5003936	Proof of Claim Did Not Fit the Class Definition
5284	5003937	Proof of Claim Did Not Fit the Class Definition
5285	5003938	Proof of Claim Did Not Fit the Class Definition
5286	5003939	Proof of Claim Did Not Fit the Class Definition
5287	5003940	Proof of Claim Did Not Fit the Class Definition
5288	5003941	Proof of Claim Did Not Fit the Class Definition
5289	5003942	Proof of Claim Did Not Fit the Class Definition
5290	5003943	Proof of Claim Did Not Fit the Class Definition
5291	5003944	Proof of Claim Did Not Fit the Class Definition
5292	5003945	Proof of Claim Did Not Fit the Class Definition
5293	5003946	Proof of Claim Did Not Fit the Class Definition
5294	5003947	Proof of Claim Did Not Fit the Class Definition
5295	5003948	Proof of Claim Did Not Fit the Class Definition
5296	5003949	Proof of Claim Did Not Fit the Class Definition
5297	5003950	Proof of Claim Did Not Fit the Class Definition
5298	5003951	Proof of Claim Did Not Fit the Class Definition
5299	5003952	Proof of Claim Did Not Fit the Class Definition
5300	5003953	Proof of Claim Did Not Fit the Class Definition

*Arena Securities Litigation***Rejected or Ineligible Claims****Exhibit C-3**

#	Claim Number	Reason for Rejection
5301	5003954	Proof of Claim Did Not Fit the Class Definition
5302	5003955	Proof of Claim Did Not Fit the Class Definition
5303	5003956	Proof of Claim Did Not Fit the Class Definition
5304	5003957	Proof of Claim Did Not Fit the Class Definition
5305	5003958	Proof of Claim Did Not Fit the Class Definition
5306	5003959	Proof of Claim Did Not Fit the Class Definition
5307	5003960	Proof of Claim Did Not Fit the Class Definition
5308	5003961	Proof of Claim Did Not Fit the Class Definition
5309	5003962	Proof of Claim Did Not Fit the Class Definition
5310	5003963	Proof of Claim Did Not Fit the Class Definition
5311	5003964	Proof of Claim Did Not Fit the Class Definition
5312	5003965	Proof of Claim Did Not Fit the Class Definition
5313	5003966	Proof of Claim Did Not Fit the Class Definition
5314	5003967	Proof of Claim Did Not Fit the Class Definition
5315	5003968	Proof of Claim Did Not Fit the Class Definition
5316	5003969	Proof of Claim Did Not Fit the Class Definition
5317	5003970	Proof of Claim Did Not Fit the Class Definition
5318	5003971	Proof of Claim Did Not Fit the Class Definition
5319	5003972	Proof of Claim Did Not Fit the Class Definition
5320	5003973	Proof of Claim Did Not Fit the Class Definition
5321	5003974	Proof of Claim Did Not Fit the Class Definition
5322	5003975	Proof of Claim Did Not Fit the Class Definition
5323	5003976	Proof of Claim Did Not Fit the Class Definition
5324	5003977	Proof of Claim Did Not Fit the Class Definition
5325	5003978	Proof of Claim Did Not Fit the Class Definition
5326	5003979	Proof of Claim Did Not Fit the Class Definition
5327	5003980	Proof of Claim Did Not Fit the Class Definition
5328	5003981	Proof of Claim Did Not Fit the Class Definition
5329	5003982	Proof of Claim Did Not Fit the Class Definition
5330	5003983	Proof of Claim Did Not Fit the Class Definition
5331	5003984	Proof of Claim Did Not Fit the Class Definition
5332	5003985	Proof of Claim Did Not Fit the Class Definition
5333	5003986	Proof of Claim Did Not Fit the Class Definition
5334	5003987	Proof of Claim Did Not Fit the Class Definition
5335	5003988	Proof of Claim Did Not Fit the Class Definition
5336	5003989	Proof of Claim Did Not Fit the Class Definition
5337	5003990	Proof of Claim Did Not Fit the Class Definition
5338	5003991	Proof of Claim Did Not Fit the Class Definition
5339	5003992	Proof of Claim Did Not Fit the Class Definition
5340	5003993	Proof of Claim Did Not Fit the Class Definition
5341	5003994	Proof of Claim Did Not Fit the Class Definition
5342	5003995	Proof of Claim Did Not Fit the Class Definition
5343	5003996	Proof of Claim Did Not Fit the Class Definition
5344	5003997	Proof of Claim Did Not Fit the Class Definition
5345	5003998	Proof of Claim Did Not Fit the Class Definition
5346	5003999	Proof of Claim Did Not Fit the Class Definition
5347	5004000	Proof of Claim Did Not Fit the Class Definition
5348	5004001	Proof of Claim Did Not Fit the Class Definition
5349	5004002	Proof of Claim Did Not Fit the Class Definition
5350	5004003	Proof of Claim Did Not Fit the Class Definition

*Arena Securities Litigation***Rejected or Ineligible Claims****Exhibit C-3**

#	Claim Number	Reason for Rejection
5351	5004004	Proof of Claim Did Not Fit the Class Definition
5352	5004005	Proof of Claim Did Not Fit the Class Definition
5353	5004006	Proof of Claim Did Not Fit the Class Definition
5354	5004007	Proof of Claim Did Not Fit the Class Definition
5355	5004008	Proof of Claim Did Not Fit the Class Definition
5356	5004009	Proof of Claim Did Not Fit the Class Definition
5357	5004010	Proof of Claim Did Not Fit the Class Definition
5358	5004011	Proof of Claim Did Not Fit the Class Definition
5359	5004012	Proof of Claim Did Not Fit the Class Definition
5360	5004013	Proof of Claim Did Not Fit the Class Definition
5361	5004014	Proof of Claim Did Not Fit the Class Definition
5362	5004015	Proof of Claim Did Not Fit the Class Definition
5363	5004016	Proof of Claim Did Not Fit the Class Definition
5364	5004017	Proof of Claim Did Not Fit the Class Definition
5365	5004018	Proof of Claim Did Not Fit the Class Definition
5366	5004019	Proof of Claim Did Not Fit the Class Definition
5367	5004020	Proof of Claim Did Not Fit the Class Definition
5368	5004021	Proof of Claim Did Not Fit the Class Definition
5369	5004022	Proof of Claim Did Not Fit the Class Definition
5370	5004023	Proof of Claim Did Not Fit the Class Definition
5371	5004024	Proof of Claim Did Not Fit the Class Definition
5372	5004025	Proof of Claim Did Not Fit the Class Definition
5373	5004026	Proof of Claim Did Not Fit the Class Definition
5374	5004027	Proof of Claim Did Not Fit the Class Definition
5375	5004028	Proof of Claim Did Not Fit the Class Definition
5376	5004029	Proof of Claim Did Not Fit the Class Definition
5377	5004030	Proof of Claim Did Not Fit the Class Definition
5378	5004031	Proof of Claim Did Not Fit the Class Definition
5379	5004032	Proof of Claim Did Not Fit the Class Definition
5380	5004033	Proof of Claim Did Not Fit the Class Definition
5381	5004034	Proof of Claim Did Not Fit the Class Definition
5382	5004035	Proof of Claim Did Not Fit the Class Definition
5383	5004036	Proof of Claim Did Not Fit the Class Definition
5384	5004037	Proof of Claim Did Not Fit the Class Definition
5385	5004038	Proof of Claim Did Not Fit the Class Definition
5386	5004039	Proof of Claim Did Not Fit the Class Definition
5387	5004040	Proof of Claim Did Not Fit the Class Definition
5388	5004041	Proof of Claim Did Not Fit the Class Definition
5389	5004042	Proof of Claim Did Not Fit the Class Definition
5390	5004043	Proof of Claim Did Not Fit the Class Definition
5391	5004044	Proof of Claim Did Not Fit the Class Definition
5392	5004045	Proof of Claim Did Not Fit the Class Definition
5393	5004046	Proof of Claim Did Not Fit the Class Definition
5394	5004047	Proof of Claim Did Not Fit the Class Definition
5395	5004048	Proof of Claim Did Not Fit the Class Definition
5396	5004049	Proof of Claim Did Not Fit the Class Definition
5397	5004050	Proof of Claim Did Not Fit the Class Definition
5398	5004051	Proof of Claim Did Not Fit the Class Definition
5399	5004052	Proof of Claim Did Not Fit the Class Definition
5400	5004053	Proof of Claim Did Not Fit the Class Definition

*Arena Securities Litigation***Rejected or Ineligible Claims****Exhibit C-3**

#	Claim Number	Reason for Rejection
5401	5004054	Proof of Claim Did Not Fit the Class Definition
5402	5004055	Proof of Claim Did Not Fit the Class Definition
5403	5004056	Proof of Claim Did Not Fit the Class Definition
5404	5004057	Proof of Claim Did Not Fit the Class Definition
5405	5004058	Proof of Claim Did Not Fit the Class Definition
5406	5004059	Proof of Claim Did Not Fit the Class Definition
5407	5004060	Proof of Claim Did Not Fit the Class Definition
5408	5004061	Proof of Claim Did Not Fit the Class Definition
5409	5004062	Proof of Claim Did Not Fit the Class Definition
5410	5004063	Proof of Claim Did Not Fit the Class Definition
5411	5004064	Proof of Claim Did Not Fit the Class Definition
5412	5004065	Proof of Claim Did Not Fit the Class Definition
5413	5004066	Proof of Claim Did Not Fit the Class Definition
5414	5004067	Proof of Claim Did Not Fit the Class Definition
5415	5004068	Proof of Claim Did Not Fit the Class Definition
5416	5004069	Proof of Claim Did Not Fit the Class Definition
5417	5004070	Proof of Claim Did Not Fit the Class Definition
5418	5004071	Proof of Claim Did Not Fit the Class Definition
5419	5004072	Proof of Claim Did Not Fit the Class Definition
5420	5004073	Proof of Claim Did Not Fit the Class Definition
5421	5004074	Proof of Claim Did Not Fit the Class Definition
5422	5004075	Proof of Claim Did Not Fit the Class Definition
5423	5004076	Proof of Claim Did Not Fit the Class Definition
5424	5004077	Proof of Claim Did Not Fit the Class Definition
5425	5004078	Proof of Claim Did Not Fit the Class Definition
5426	5004079	Proof of Claim Did Not Fit the Class Definition
5427	5004080	Proof of Claim Did Not Fit the Class Definition
5428	5004081	Proof of Claim Did Not Fit the Class Definition
5429	5004082	Proof of Claim Did Not Fit the Class Definition
5430	5004083	Proof of Claim Did Not Fit the Class Definition
5431	5004084	Proof of Claim Did Not Fit the Class Definition
5432	5004085	Proof of Claim Did Not Fit the Class Definition
5433	5004086	Proof of Claim Did Not Fit the Class Definition
5434	5004087	Proof of Claim Did Not Fit the Class Definition
5435	5004088	Proof of Claim Did Not Fit the Class Definition
5436	5004089	Proof of Claim Did Not Fit the Class Definition
5437	5004090	Proof of Claim Did Not Fit the Class Definition
5438	5004091	Proof of Claim Did Not Fit the Class Definition
5439	5004092	Proof of Claim Did Not Fit the Class Definition
5440	5004093	Proof of Claim Did Not Fit the Class Definition
5441	5004094	Proof of Claim Did Not Fit the Class Definition
5442	5004095	Proof of Claim Did Not Fit the Class Definition
5443	5004096	Proof of Claim Did Not Fit the Class Definition
5444	5004097	Proof of Claim Did Not Fit the Class Definition
5445	5004098	Proof of Claim Did Not Fit the Class Definition
5446	5004099	Proof of Claim Did Not Fit the Class Definition
5447	5004100	Proof of Claim Did Not Fit the Class Definition
5448	5004101	Proof of Claim Did Not Fit the Class Definition
5449	5004102	Proof of Claim Did Not Fit the Class Definition
5450	5004103	Proof of Claim Did Not Fit the Class Definition

*Arena Securities Litigation***Rejected or Ineligible Claims****Exhibit C-3**

#	Claim Number	Reason for Rejection
5451	5004104	Proof of Claim Did Not Fit the Class Definition
5452	5004105	Proof of Claim Did Not Fit the Class Definition
5453	5004106	Proof of Claim Did Not Fit the Class Definition
5454	5004107	Proof of Claim Did Not Fit the Class Definition
5455	5004108	Proof of Claim Did Not Fit the Class Definition
5456	5004109	Proof of Claim Did Not Fit the Class Definition
5457	5004110	Proof of Claim Did Not Fit the Class Definition
5458	5004111	Proof of Claim Did Not Fit the Class Definition
5459	5004112	Proof of Claim Did Not Fit the Class Definition
5460	5004113	Proof of Claim Did Not Fit the Class Definition
5461	5004114	Proof of Claim Did Not Fit the Class Definition
5462	5004115	Proof of Claim Did Not Fit the Class Definition
5463	5004116	Proof of Claim Did Not Fit the Class Definition
5464	5004117	Proof of Claim Did Not Fit the Class Definition
5465	5004118	Proof of Claim Did Not Fit the Class Definition
5466	5004119	Proof of Claim Did Not Fit the Class Definition
5467	5004120	Proof of Claim Did Not Fit the Class Definition
5468	5004121	Proof of Claim Did Not Fit the Class Definition
5469	5004122	Proof of Claim Did Not Fit the Class Definition
5470	5004123	Proof of Claim Did Not Fit the Class Definition
5471	5004124	Proof of Claim Did Not Fit the Class Definition
5472	5004125	Proof of Claim Did Not Fit the Class Definition
5473	5004126	Proof of Claim Did Not Fit the Class Definition
5474	5004127	Proof of Claim Did Not Fit the Class Definition
5475	5004128	Proof of Claim Did Not Fit the Class Definition
5476	5004129	Proof of Claim Did Not Fit the Class Definition
5477	5004130	Proof of Claim Did Not Fit the Class Definition
5478	5004131	Proof of Claim Did Not Fit the Class Definition
5479	5004132	Proof of Claim Did Not Fit the Class Definition
5480	5004133	Proof of Claim Did Not Fit the Class Definition
5481	5004134	Proof of Claim Did Not Fit the Class Definition
5482	5004135	Proof of Claim Did Not Fit the Class Definition
5483	5004136	Proof of Claim Did Not Fit the Class Definition
5484	5004137	Proof of Claim Did Not Fit the Class Definition
5485	5004138	Proof of Claim Did Not Fit the Class Definition
5486	5004139	Proof of Claim Did Not Fit the Class Definition
5487	5004140	Proof of Claim Did Not Fit the Class Definition
5488	5004141	Proof of Claim Did Not Fit the Class Definition
5489	5004142	Proof of Claim Did Not Fit the Class Definition
5490	5004143	Proof of Claim Did Not Fit the Class Definition
5491	5004144	Proof of Claim Did Not Fit the Class Definition
5492	5004145	Proof of Claim Did Not Fit the Class Definition
5493	5004146	Proof of Claim Did Not Fit the Class Definition
5494	5004147	Proof of Claim Did Not Fit the Class Definition
5495	5004148	Proof of Claim Did Not Fit the Class Definition
5496	5004149	Proof of Claim Did Not Fit the Class Definition
5497	5004150	Proof of Claim Did Not Fit the Class Definition
5498	5004151	Proof of Claim Did Not Fit the Class Definition
5499	5004152	Proof of Claim Did Not Fit the Class Definition
5500	5004153	Proof of Claim Did Not Fit the Class Definition

*Arena Securities Litigation***Rejected or Ineligible Claims****Exhibit C-3**

#	Claim Number	Reason for Rejection
5501	5004154	Proof of Claim Did Not Fit the Class Definition
5502	5004155	Proof of Claim Did Not Fit the Class Definition
5503	5004156	Proof of Claim Did Not Fit the Class Definition
5504	5004157	Proof of Claim Did Not Fit the Class Definition
5505	5004158	Proof of Claim Did Not Fit the Class Definition
5506	5004159	Proof of Claim Did Not Fit the Class Definition
5507	5004160	Proof of Claim Did Not Fit the Class Definition
5508	5004161	Proof of Claim Did Not Fit the Class Definition
5509	5004162	Proof of Claim Did Not Fit the Class Definition
5510	5004163	Proof of Claim Did Not Fit the Class Definition
5511	5004164	Proof of Claim Did Not Fit the Class Definition
5512	5004165	Proof of Claim Did Not Fit the Class Definition
5513	5004166	Proof of Claim Did Not Fit the Class Definition
5514	5004167	Proof of Claim Did Not Fit the Class Definition
5515	5004168	Proof of Claim Did Not Fit the Class Definition
5516	5004169	Proof of Claim Did Not Fit the Class Definition
5517	5004170	Proof of Claim Did Not Fit the Class Definition
5518	5004171	Proof of Claim Did Not Fit the Class Definition
5519	5004172	Proof of Claim Did Not Fit the Class Definition
5520	5004173	Proof of Claim Did Not Fit the Class Definition
5521	5004174	Proof of Claim Did Not Fit the Class Definition
5522	5004175	Proof of Claim Did Not Fit the Class Definition
5523	5004176	Proof of Claim Did Not Fit the Class Definition
5524	5004177	Proof of Claim Did Not Fit the Class Definition
5525	5004178	Proof of Claim Did Not Fit the Class Definition
5526	5004179	Proof of Claim Did Not Fit the Class Definition
5527	5004180	Proof of Claim Did Not Fit the Class Definition
5528	5004181	Proof of Claim Did Not Fit the Class Definition
5529	5004182	Proof of Claim Did Not Fit the Class Definition
5530	5004183	Proof of Claim Did Not Fit the Class Definition
5531	5004184	Proof of Claim Did Not Fit the Class Definition
5532	5004185	Proof of Claim Did Not Fit the Class Definition
5533	5004186	Proof of Claim Did Not Fit the Class Definition
5534	5004187	Proof of Claim Did Not Fit the Class Definition
5535	5004188	Proof of Claim Did Not Fit the Class Definition
5536	5004189	Proof of Claim Did Not Fit the Class Definition
5537	5004190	Proof of Claim Did Not Fit the Class Definition
5538	5004191	Proof of Claim Did Not Fit the Class Definition
5539	5004192	Proof of Claim Did Not Fit the Class Definition
5540	5004193	Proof of Claim Did Not Fit the Class Definition
5541	5004194	Proof of Claim Did Not Fit the Class Definition
5542	5004195	Proof of Claim Did Not Fit the Class Definition
5543	5004196	Proof of Claim Did Not Fit the Class Definition
5544	5004197	Proof of Claim Did Not Fit the Class Definition
5545	5004198	Proof of Claim Did Not Fit the Class Definition
5546	5004199	Proof of Claim Did Not Fit the Class Definition
5547	5004200	Proof of Claim Did Not Fit the Class Definition
5548	5004201	Proof of Claim Did Not Fit the Class Definition
5549	5004202	Proof of Claim Did Not Fit the Class Definition
5550	5004203	Proof of Claim Did Not Fit the Class Definition

*Arena Securities Litigation***Rejected or Ineligible Claims****Exhibit C-3**

#	Claim Number	Reason for Rejection
5551	5004204	Proof of Claim Did Not Fit the Class Definition
5552	5004205	Proof of Claim Did Not Fit the Class Definition
5553	5004206	Proof of Claim Did Not Fit the Class Definition
5554	5004207	Proof of Claim Did Not Fit the Class Definition
5555	5004208	Proof of Claim Did Not Fit the Class Definition
5556	5004209	Proof of Claim Did Not Fit the Class Definition
5557	5004210	Proof of Claim Did Not Fit the Class Definition
5558	5004211	Proof of Claim Did Not Fit the Class Definition
5559	5004212	Proof of Claim Did Not Fit the Class Definition
5560	5004213	Proof of Claim Did Not Fit the Class Definition
5561	5004214	Proof of Claim Did Not Fit the Class Definition
5562	5004215	Proof of Claim Did Not Fit the Class Definition
5563	5004216	Proof of Claim Did Not Fit the Class Definition
5564	5004217	Proof of Claim Did Not Fit the Class Definition
5565	5004218	Proof of Claim Did Not Fit the Class Definition
5566	5004219	Proof of Claim Did Not Fit the Class Definition
5567	5004220	Proof of Claim Did Not Fit the Class Definition
5568	5004221	Proof of Claim Did Not Fit the Class Definition
5569	5004222	Proof of Claim Did Not Fit the Class Definition
5570	5004223	Proof of Claim Did Not Fit the Class Definition
5571	5004224	Proof of Claim Did Not Fit the Class Definition
5572	5004225	Proof of Claim Did Not Fit the Class Definition
5573	5004226	Proof of Claim Did Not Fit the Class Definition
5574	5004227	Proof of Claim Did Not Fit the Class Definition
5575	5004228	Proof of Claim Did Not Fit the Class Definition
5576	5004229	Proof of Claim Did Not Fit the Class Definition
5577	5004230	Proof of Claim Did Not Fit the Class Definition
5578	5004231	Proof of Claim Did Not Fit the Class Definition
5579	5004232	Proof of Claim Did Not Fit the Class Definition
5580	5004233	Proof of Claim Did Not Fit the Class Definition
5581	5004234	Proof of Claim Did Not Fit the Class Definition
5582	5004235	Proof of Claim Did Not Fit the Class Definition
5583	5004236	Proof of Claim Did Not Fit the Class Definition
5584	5004237	Proof of Claim Did Not Fit the Class Definition
5585	5004238	Proof of Claim Did Not Fit the Class Definition
5586	5004239	Proof of Claim Did Not Fit the Class Definition
5587	5004240	Proof of Claim Did Not Fit the Class Definition
5588	5004241	Proof of Claim Did Not Fit the Class Definition
5589	5004242	Proof of Claim Did Not Fit the Class Definition
5590	5004243	Proof of Claim Did Not Fit the Class Definition
5591	5004244	Proof of Claim Did Not Fit the Class Definition
5592	5004245	Proof of Claim Did Not Fit the Class Definition
5593	5004246	Proof of Claim Did Not Fit the Class Definition
5594	5004247	Proof of Claim Did Not Fit the Class Definition
5595	5004248	Proof of Claim Did Not Fit the Class Definition
5596	5004249	Proof of Claim Did Not Fit the Class Definition
5597	5004250	Proof of Claim Did Not Fit the Class Definition
5598	5004251	Proof of Claim Did Not Fit the Class Definition
5599	5004252	Proof of Claim Did Not Fit the Class Definition
5600	5004253	Proof of Claim Did Not Fit the Class Definition

*Arena Securities Litigation***Rejected or Ineligible Claims****Exhibit C-3**

#	Claim Number	Reason for Rejection
5601	5004254	Proof of Claim Did Not Fit the Class Definition
5602	5004255	Proof of Claim Did Not Fit the Class Definition
5603	5004256	Proof of Claim Did Not Fit the Class Definition
5604	5004257	Proof of Claim Did Not Fit the Class Definition
5605	5004258	Proof of Claim Did Not Fit the Class Definition
5606	5004259	Proof of Claim Did Not Fit the Class Definition
5607	5004260	Proof of Claim Did Not Fit the Class Definition
5608	5004261	Proof of Claim Did Not Fit the Class Definition
5609	5004262	Proof of Claim Did Not Fit the Class Definition
5610	5004263	Proof of Claim Did Not Fit the Class Definition
5611	5004264	Proof of Claim Did Not Fit the Class Definition
5612	5004265	Proof of Claim Did Not Fit the Class Definition
5613	5004266	Proof of Claim Did Not Fit the Class Definition
5614	5004267	Proof of Claim Did Not Fit the Class Definition
5615	5004268	Proof of Claim Did Not Fit the Class Definition
5616	5004269	Proof of Claim Did Not Fit the Class Definition
5617	5004270	Proof of Claim Did Not Fit the Class Definition
5618	5004271	Proof of Claim Did Not Fit the Class Definition
5619	5004272	Proof of Claim Did Not Fit the Class Definition
5620	5004273	Proof of Claim Did Not Fit the Class Definition
5621	5004274	Proof of Claim Did Not Fit the Class Definition
5622	5004275	Proof of Claim Did Not Fit the Class Definition
5623	5004276	Proof of Claim Did Not Fit the Class Definition
5624	5004277	Proof of Claim Did Not Fit the Class Definition
5625	5004278	Proof of Claim Did Not Fit the Class Definition
5626	5004279	Proof of Claim Did Not Fit the Class Definition
5627	5004280	Proof of Claim Did Not Fit the Class Definition
5628	5004281	Proof of Claim Did Not Fit the Class Definition
5629	5004282	Proof of Claim Did Not Fit the Class Definition
5630	5004283	Proof of Claim Did Not Fit the Class Definition
5631	5004284	Proof of Claim Did Not Fit the Class Definition
5632	5004285	Proof of Claim Did Not Fit the Class Definition
5633	5004286	Proof of Claim Did Not Fit the Class Definition
5634	5004287	Proof of Claim Did Not Fit the Class Definition
5635	5004288	Proof of Claim Did Not Fit the Class Definition
5636	5004289	Proof of Claim Did Not Fit the Class Definition
5637	5004290	Proof of Claim Did Not Fit the Class Definition
5638	5004291	Proof of Claim Did Not Fit the Class Definition
5639	5004292	Proof of Claim Did Not Fit the Class Definition
5640	5004293	Proof of Claim Did Not Fit the Class Definition
5641	5004294	Proof of Claim Did Not Fit the Class Definition
5642	5004295	Proof of Claim Did Not Fit the Class Definition
5643	5004296	Proof of Claim Did Not Fit the Class Definition
5644	5004297	Proof of Claim Did Not Fit the Class Definition
5645	5004298	Proof of Claim Did Not Fit the Class Definition
5646	5004299	Proof of Claim Did Not Fit the Class Definition
5647	5004300	Proof of Claim Did Not Fit the Class Definition
5648	5004301	Proof of Claim Did Not Fit the Class Definition
5649	5004302	Proof of Claim Did Not Fit the Class Definition
5650	5004303	Proof of Claim Did Not Fit the Class Definition

*Arena Securities Litigation***Rejected or Ineligible Claims****Exhibit C-3**

#	Claim Number	Reason for Rejection
5651	5004304	Proof of Claim Did Not Fit the Class Definition
5652	5004305	Proof of Claim Did Not Fit the Class Definition
5653	5004306	Proof of Claim Did Not Fit the Class Definition
5654	5004307	Proof of Claim Did Not Fit the Class Definition
5655	5004308	Proof of Claim Did Not Fit the Class Definition
5656	5004309	Proof of Claim Did Not Fit the Class Definition
5657	5004310	Proof of Claim Did Not Fit the Class Definition
5658	5004311	Proof of Claim Did Not Fit the Class Definition
5659	5004312	Proof of Claim Did Not Fit the Class Definition
5660	5004313	Proof of Claim Did Not Fit the Class Definition
5661	5004314	Proof of Claim Did Not Fit the Class Definition
5662	5004315	Proof of Claim Did Not Fit the Class Definition
5663	5004316	Proof of Claim Did Not Fit the Class Definition
5664	5004317	Proof of Claim Did Not Fit the Class Definition
5665	5004318	Proof of Claim Did Not Fit the Class Definition
5666	5004319	Proof of Claim Did Not Fit the Class Definition
5667	5004320	Proof of Claim Did Not Fit the Class Definition
5668	5004321	Proof of Claim Did Not Fit the Class Definition
5669	5004322	Proof of Claim Did Not Fit the Class Definition
5670	5004323	Proof of Claim Did Not Fit the Class Definition
5671	5004324	Proof of Claim Did Not Fit the Class Definition
5672	5004325	Proof of Claim Did Not Fit the Class Definition
5673	5004326	Proof of Claim Did Not Fit the Class Definition
5674	5004327	Proof of Claim Did Not Fit the Class Definition
5675	5004328	Proof of Claim Did Not Fit the Class Definition
5676	5004329	Proof of Claim Did Not Fit the Class Definition
5677	5004330	Proof of Claim Did Not Fit the Class Definition
5678	5004331	Proof of Claim Did Not Fit the Class Definition
5679	5004332	Proof of Claim Did Not Fit the Class Definition
5680	5004333	Proof of Claim Did Not Fit the Class Definition
5681	5004334	Proof of Claim Did Not Fit the Class Definition
5682	5004335	Proof of Claim Did Not Fit the Class Definition
5683	5004336	Proof of Claim Did Not Fit the Class Definition
5684	5004337	Proof of Claim Did Not Fit the Class Definition
5685	5004338	Proof of Claim Did Not Fit the Class Definition
5686	5004339	Proof of Claim Did Not Fit the Class Definition
5687	5004340	Proof of Claim Did Not Fit the Class Definition
5688	5004341	Proof of Claim Did Not Fit the Class Definition
5689	5004342	Proof of Claim Did Not Fit the Class Definition
5690	5004343	Proof of Claim Did Not Fit the Class Definition
5691	5004344	Proof of Claim Did Not Fit the Class Definition
5692	5004345	Proof of Claim Did Not Fit the Class Definition
5693	5004346	Proof of Claim Did Not Fit the Class Definition
5694	5004347	Proof of Claim Did Not Fit the Class Definition
5695	5004348	Proof of Claim Did Not Fit the Class Definition
5696	5004349	Proof of Claim Did Not Fit the Class Definition
5697	5004350	Proof of Claim Did Not Fit the Class Definition
5698	5004351	Proof of Claim Did Not Fit the Class Definition
5699	5004352	Proof of Claim Did Not Fit the Class Definition
5700	5004353	Proof of Claim Did Not Fit the Class Definition

*Arena Securities Litigation***Rejected or Ineligible Claims****Exhibit C-3**

#	Claim Number	Reason for Rejection
5701	5004354	Proof of Claim Did Not Fit the Class Definition
5702	5004355	Proof of Claim Did Not Fit the Class Definition
5703	5004356	Proof of Claim Did Not Fit the Class Definition
5704	5004357	Proof of Claim Did Not Fit the Class Definition
5705	5004358	Proof of Claim Did Not Fit the Class Definition
5706	5004359	Proof of Claim Did Not Fit the Class Definition
5707	5004360	Proof of Claim Did Not Fit the Class Definition
5708	5004361	Proof of Claim Did Not Fit the Class Definition
5709	5004362	Proof of Claim Did Not Fit the Class Definition
5710	5004363	Proof of Claim Did Not Fit the Class Definition
5711	5004364	Proof of Claim Did Not Fit the Class Definition
5712	5004365	Proof of Claim Did Not Fit the Class Definition
5713	5004366	Proof of Claim Did Not Fit the Class Definition
5714	5004367	Proof of Claim Did Not Fit the Class Definition
5715	5004368	Proof of Claim Did Not Fit the Class Definition
5716	5004369	Proof of Claim Did Not Fit the Class Definition
5717	5004370	Proof of Claim Did Not Fit the Class Definition
5718	5004371	Proof of Claim Did Not Fit the Class Definition
5719	5004372	Proof of Claim Did Not Fit the Class Definition
5720	5004373	Proof of Claim Did Not Fit the Class Definition
5721	5004374	Proof of Claim Did Not Fit the Class Definition
5722	5004375	Proof of Claim Did Not Fit the Class Definition
5723	5004376	Proof of Claim Did Not Fit the Class Definition
5724	5004377	Proof of Claim Did Not Result in a Recognized Loss
5725	5004378	Proof of Claim Did Not Result in a Recognized Loss
5726	5004379	Proof of Claim Did Not Result in a Recognized Loss
5727	5004380	Proof of Claim Did Not Result in a Recognized Loss
5728	5004381	Deficient Proof of Claim Never Cured
5729	5004382	Proof of Claim Did Not Result in a Recognized Loss
5730	5004385	Proof of Claim Did Not Result in a Recognized Loss
5731	5004387	Proof of Claim Did Not Fit the Class Definition
5732	5004388	Proof of Claim Did Not Fit the Class Definition
5733	5004390	Deficient Proof of Claim Never Cured
5734	5004392	Proof of Claim Did Not Result in a Recognized Loss
5735	5004393	Proof of Claim Did Not Fit the Class Definition
5736	5004395	Deficient Proof of Claim Never Cured
5737	5004396	Proof of Claim Did Not Result in a Recognized Loss
5738	5004397	Proof of Claim Did Not Result in a Recognized Loss
5739	5004398	Deficient Proof of Claim Never Cured
5740	5004399	Proof of Claim Did Not Result in a Recognized Loss
5741	5004400	Proof of Claim Did Not Result in a Recognized Loss
5742	5004401	Proof of Claim Did Not Result in a Recognized Loss
5743	5004402	Proof of Claim Did Not Result in a Recognized Loss
5744	5004405	Proof of Claim Did Not Result in a Recognized Loss
5745	5004406	Proof of Claim Did Not Result in a Recognized Loss
5746	5004407	Proof of Claim Did Not Result in a Recognized Loss
5747	5004408	Proof of Claim Did Not Result in a Recognized Loss
5748	5004410	Deficient Proof of Claim Never Cured
5749	5004412	Proof of Claim Did Not Fit the Class Definition
5750	5004413	Proof of Claim Did Not Result in a Recognized Loss

*Arena Securities Litigation***Rejected or Ineligible Claims****Exhibit C-3**

#	Claim Number	Reason for Rejection
5751	5004414	Proof of Claim Did Not Fit the Class Definition
5752	5004415	Proof of Claim Did Not Fit the Class Definition
5753	5004416	Proof of Claim Did Not Fit the Class Definition
5754	5004417	Proof of Claim Did Not Fit the Class Definition
5755	5004418	Proof of Claim Did Not Fit the Class Definition
5756	5004419	Proof of Claim Did Not Fit the Class Definition
5757	5004420	Proof of Claim Did Not Fit the Class Definition
5758	5004421	Proof of Claim Did Not Result in a Recognized Loss
5759	5004422	Proof of Claim Did Not Result in a Recognized Loss
5760	5004423	Proof of Claim Did Not Result in a Recognized Loss
5761	5004424	Proof of Claim Did Not Fit the Class Definition
5762	5004425	Proof of Claim Did Not Fit the Class Definition
5763	5004426	Proof of Claim Did Not Result in a Recognized Loss
5764	5004427	Proof of Claim Did Not Result in a Recognized Loss
5765	5004428	Deficient Proof of Claim Never Cured
5766	5004429	Proof of Claim Did Not Result in a Recognized Loss
5767	5004431	Proof of Claim Did Not Result in a Recognized Loss
5768	5004432	Proof of Claim Did Not Result in a Recognized Loss
5769	5004433	Proof of Claim Did Not Result in a Recognized Loss
5770	5004434	Proof of Claim Did Not Result in a Recognized Loss
5771	5004435	Proof of Claim Did Not Result in a Recognized Loss
5772	5004436	Proof of Claim Did Not Result in a Recognized Loss
5773	5004437	Proof of Claim Did Not Fit the Class Definition
5774	5004438	Proof of Claim Did Not Fit the Class Definition
5775	5004439	Proof of Claim Did Not Fit the Class Definition
5776	5004440	Proof of Claim Did Not Fit the Class Definition
5777	5004442	Proof of Claim Did Not Fit the Class Definition
5778	5004443	Proof of Claim Did Not Fit the Class Definition
5779	5004444	Proof of Claim Did Not Fit the Class Definition
5780	5004445	Proof of Claim Did Not Fit the Class Definition
5781	5004446	Proof of Claim Did Not Fit the Class Definition
5782	5004447	Proof of Claim Did Not Fit the Class Definition
5783	5004448	Proof of Claim Did Not Result in a Recognized Loss
5784	5004449	Proof of Claim Did Not Result in a Recognized Loss
5785	5004450	Deficient Proof of Claim Never Cured
5786	5004451	Proof of Claim Did Not Result in a Recognized Loss
5787	5004452	Proof of Claim Did Not Result in a Recognized Loss
5788	5004453	Proof of Claim Did Not Result in a Recognized Loss
5789	5004454	Proof of Claim Did Not Result in a Recognized Loss
5790	5004455	Proof of Claim Did Not Result in a Recognized Loss
5791	5004457	Proof of Claim Did Not Result in a Recognized Loss
5792	5004458	Proof of Claim Did Not Result in a Recognized Loss
5793	5004459	Proof of Claim Did Not Result in a Recognized Loss
5794	5004461	Proof of Claim Did Not Fit the Class Definition
5795	5004462	Proof of Claim Did Not Fit the Class Definition
5796	5004463	Proof of Claim Did Not Fit the Class Definition
5797	5004464	Proof of Claim Did Not Fit the Class Definition
5798	5004465	Proof of Claim Did Not Fit the Class Definition
5799	5004466	Proof of Claim Did Not Fit the Class Definition
5800	5004468	Proof of Claim Did Not Fit the Class Definition

*Arena Securities Litigation***Rejected or Ineligible Claims****Exhibit C-3**

#	Claim Number	Reason for Rejection
5801	5004470	Proof of Claim Did Not Fit the Class Definition
5802	5004472	Proof of Claim Did Not Fit the Class Definition
5803	5004473	Proof of Claim Did Not Fit the Class Definition
5804	5004474	Proof of Claim Did Not Fit the Class Definition
5805	5004477	Proof of Claim Did Not Result in a Recognized Loss
5806	5004479	Proof of Claim Did Not Result in a Recognized Loss
5807	5004481	Deficient Proof of Claim Never Cured
5808	5004482	Proof of Claim Did Not Result in a Recognized Loss
5809	5004484	Proof of Claim Did Not Result in a Recognized Loss
5810	5004485	Proof of Claim Did Not Result in a Recognized Loss
5811	5004486	Proof of Claim Did Not Fit the Class Definition
5812	5004487	Proof of Claim Did Not Fit the Class Definition
5813	5004488	Proof of Claim Did Not Fit the Class Definition
5814	5004489	Proof of Claim Did Not Fit the Class Definition
5815	5004491	Proof of Claim Did Not Result in a Recognized Loss
5816	5004492	Proof of Claim Did Not Result in a Recognized Loss
5817	5004494	Proof of Claim Did Not Fit the Class Definition
5818	5004495	Proof of Claim Did Not Fit the Class Definition
5819	5004496	Proof of Claim Did Not Fit the Class Definition
5820	5004497	Proof of Claim Did Not Result in a Recognized Loss
5821	5004498	Deficient Proof of Claim Never Cured
5822	5004499	Proof of Claim Did Not Result in a Recognized Loss
5823	5004500	Proof of Claim Did Not Result in a Recognized Loss
5824	5004501	Proof of Claim Did Not Result in a Recognized Loss
5825	5004502	Proof of Claim Did Not Result in a Recognized Loss
5826	5004503	Proof of Claim Did Not Fit the Class Definition
5827	5004504	Proof of Claim Did Not Result in a Recognized Loss
5828	5004505	Proof of Claim Did Not Result in a Recognized Loss
5829	5004506	Proof of Claim Did Not Fit the Class Definition
5830	5004507	Proof of Claim Did Not Result in a Recognized Loss
5831	5004508	Proof of Claim Did Not Result in a Recognized Loss
5832	5004509	Proof of Claim Did Not Fit the Class Definition
5833	5004510	Proof of Claim Did Not Fit the Class Definition
5834	5004511	Deficient Proof of Claim Never Cured
5835	5004513	Proof of Claim Did Not Result in a Recognized Loss
5836	5004514	Proof of Claim Did Not Result in a Recognized Loss
5837	5004516	Proof of Claim Did Not Fit the Class Definition
5838	5004517	Proof of Claim Did Not Fit the Class Definition
5839	5004518	Proof of Claim Did Not Fit the Class Definition
5840	5004519	Proof of Claim Did Not Fit the Class Definition
5841	5004520	Proof of Claim Did Not Fit the Class Definition
5842	5004521	Proof of Claim Did Not Fit the Class Definition
5843	5004522	Proof of Claim Did Not Fit the Class Definition
5844	5004523	Proof of Claim Did Not Fit the Class Definition
5845	5004524	Proof of Claim Did Not Fit the Class Definition
5846	5004525	Proof of Claim Did Not Fit the Class Definition
5847	5004526	Proof of Claim Did Not Fit the Class Definition
5848	5004527	Proof of Claim Did Not Result in a Recognized Loss
5849	5004528	Proof of Claim Did Not Result in a Recognized Loss
5850	5004529	Proof of Claim Did Not Result in a Recognized Loss

*Arena Securities Litigation***Rejected or Ineligible Claims****Exhibit C-3**

#	Claim Number	Reason for Rejection
5851	5004530	Proof of Claim Did Not Fit the Class Definition
5852	5004531	Proof of Claim Did Not Fit the Class Definition
5853	5004532	Proof of Claim Did Not Fit the Class Definition
5854	5004533	Proof of Claim Did Not Result in a Recognized Loss
5855	5004534	Proof of Claim Did Not Result in a Recognized Loss
5856	5004535	Deficient Proof of Claim Never Cured
5857	5004536	Proof of Claim Did Not Result in a Recognized Loss
5858	5004538	Proof of Claim Did Not Result in a Recognized Loss
5859	5004539	Proof of Claim Did Not Result in a Recognized Loss
5860	5004540	Proof of Claim Did Not Result in a Recognized Loss
5861	5004541	Proof of Claim Did Not Fit the Class Definition
5862	5004542	Proof of Claim Did Not Result in a Recognized Loss
5863	5004543	Proof of Claim Did Not Result in a Recognized Loss
5864	5004544	Proof of Claim Did Not Result in a Recognized Loss
5865	5004545	Proof of Claim Did Not Fit the Class Definition
5866	5004546	Proof of Claim Did Not Result in a Recognized Loss
5867	5004547	Proof of Claim Did Not Fit the Class Definition
5868	5004548	Proof of Claim Did Not Fit the Class Definition
5869	5004549	Proof of Claim Did Not Fit the Class Definition
5870	5004550	Proof of Claim Did Not Fit the Class Definition
5871	5004551	Proof of Claim Did Not Fit the Class Definition
5872	5004552	Proof of Claim Did Not Fit the Class Definition
5873	5004553	Proof of Claim Did Not Fit the Class Definition
5874	5004554	Proof of Claim Did Not Fit the Class Definition
5875	5004555	Proof of Claim Did Not Fit the Class Definition
5876	5004556	Proof of Claim Did Not Fit the Class Definition
5877	5004557	Proof of Claim Did Not Fit the Class Definition
5878	5004558	Proof of Claim Did Not Result in a Recognized Loss
5879	5004559	Proof of Claim Did Not Result in a Recognized Loss
5880	5004560	Proof of Claim Did Not Fit the Class Definition
5881	5004561	Proof of Claim Did Not Fit the Class Definition
5882	5004562	Proof of Claim Did Not Result in a Recognized Loss
5883	5004563	Proof of Claim Did Not Fit the Class Definition
5884	5004564	Proof of Claim Did Not Result in a Recognized Loss
5885	5004566	Proof of Claim Did Not Fit the Class Definition
5886	5004567	Deficient Proof of Claim Never Cured
5887	5004568	Proof of Claim Did Not Result in a Recognized Loss
5888	5004569	Proof of Claim Did Not Fit the Class Definition
5889	5004571	Proof of Claim Did Not Fit the Class Definition
5890	5004572	Proof of Claim Did Not Fit the Class Definition
5891	5004573	Proof of Claim Did Not Fit the Class Definition
5892	5004574	Proof of Claim Did Not Fit the Class Definition
5893	5004575	Proof of Claim Did Not Fit the Class Definition
5894	5004576	Proof of Claim Did Not Fit the Class Definition
5895	5004577	Proof of Claim Did Not Fit the Class Definition
5896	5004579	Proof of Claim Did Not Result in a Recognized Loss
5897	5004582	Proof of Claim Did Not Fit the Class Definition
5898	5004583	Proof of Claim Did Not Fit the Class Definition
5899	5004584	Proof of Claim Did Not Fit the Class Definition
5900	5004585	Proof of Claim Did Not Result in a Recognized Loss

*Arena Securities Litigation***Rejected or Ineligible Claims****Exhibit C-3**

#	Claim Number	Reason for Rejection
5901	5004586	Proof of Claim Did Not Result in a Recognized Loss
5902	5004587	Proof of Claim Did Not Result in a Recognized Loss
5903	5004588	Proof of Claim Did Not Result in a Recognized Loss
5904	5004589	Proof of Claim Did Not Result in a Recognized Loss
5905	5004590	Proof of Claim Did Not Result in a Recognized Loss
5906	5004591	Proof of Claim Did Not Fit the Class Definition
5907	5004592	Proof of Claim Did Not Result in a Recognized Loss
5908	5004593	Proof of Claim Did Not Result in a Recognized Loss
5909	5004594	Proof of Claim Did Not Result in a Recognized Loss
5910	5004595	Proof of Claim Did Not Result in a Recognized Loss
5911	5004597	Proof of Claim Did Not Fit the Class Definition
5912	5004598	Proof of Claim Did Not Fit the Class Definition
5913	5004599	Proof of Claim Did Not Fit the Class Definition
5914	5004600	Proof of Claim Did Not Fit the Class Definition
5915	5004601	Deficient Proof of Claim Never Cured
5916	5004602	Deficient Proof of Claim Never Cured
5917	5004603	Deficient Proof of Claim Never Cured
5918	5004604	Deficient Proof of Claim Never Cured
5919	5004605	Deficient Proof of Claim Never Cured
5920	5004606	Deficient Proof of Claim Never Cured
5921	5004607	Deficient Proof of Claim Never Cured
5922	5004608	Deficient Proof of Claim Never Cured
5923	5004609	Deficient Proof of Claim Never Cured
5924	5004610	Deficient Proof of Claim Never Cured
5925	5004611	Deficient Proof of Claim Never Cured
5926	5004613	Proof of Claim Did Not Result in a Recognized Loss
5927	5004618	Duplicate Proof of Claim
5928	5004620	Proof of Claim Did Not Result in a Recognized Loss
5929	5004621	Proof of Claim Did Not Result in a Recognized Loss
5930	5004622	Proof of Claim Did Not Result in a Recognized Loss
5931	5004623	Proof of Claim Did Not Result in a Recognized Loss
5932	5004624	Proof of Claim Did Not Result in a Recognized Loss
5933	5004626	Proof of Claim Did Not Result in a Recognized Loss
5934	5004627	Proof of Claim Did Not Fit the Class Definition
5935	5004635	Deficient Proof of Claim Never Cured
5936	5004636	Deficient Proof of Claim Never Cured
5937	5004637	Proof of Claim Did Not Result in a Recognized Loss
5938	5004638	Proof of Claim Did Not Fit the Class Definition
5939	5004639	Proof of Claim Did Not Fit the Class Definition
5940	5004640	Proof of Claim Did Not Result in a Recognized Loss
5941	5004642	Proof of Claim Did Not Result in a Recognized Loss
5942	5004644	Proof of Claim Did Not Fit the Class Definition
5943	5004645	Proof of Claim Did Not Fit the Class Definition
5944	5004646	Proof of Claim Did Not Result in a Recognized Loss
5945	5004647	Deficient Proof of Claim Never Cured
5946	5004648	Proof of Claim Did Not Result in a Recognized Loss
5947	5004649	Proof of Claim Did Not Result in a Recognized Loss
5948	5004651	Proof of Claim Did Not Fit the Class Definition
5949	5004652	Proof of Claim Did Not Result in a Recognized Loss
5950	5004654	Proof of Claim Did Not Result in a Recognized Loss

*Arena Securities Litigation***Rejected or Ineligible Claims****Exhibit C-3**

#	Claim Number	Reason for Rejection
5951	5004655	Proof of Claim Did Not Result in a Recognized Loss
5952	5004658	Proof of Claim Did Not Fit the Class Definition
5953	5004659	Proof of Claim Did Not Fit the Class Definition
5954	5004661	Proof of Claim Did Not Fit the Class Definition
5955	5004662	Proof of Claim Did Not Fit the Class Definition
5956	5004663	Proof of Claim Did Not Fit the Class Definition
5957	5004664	Deficient Proof of Claim Never Cured
5958	5004665	Proof of Claim Did Not Result in a Recognized Loss
5959	5004666	Proof of Claim Did Not Fit the Class Definition
5960	5004667	Proof of Claim Did Not Result in a Recognized Loss
5961	5004668	Proof of Claim Did Not Result in a Recognized Loss
5962	5004669	Proof of Claim Did Not Fit the Class Definition
5963	5004670	Proof of Claim Did Not Fit the Class Definition
5964	5004671	Proof of Claim Did Not Result in a Recognized Loss
5965	5004673	Proof of Claim Did Not Fit the Class Definition
5966	5004674	Proof of Claim Did Not Result in a Recognized Loss
5967	5004677	Duplicate Proof of Claim
5968	5004678	Proof of Claim Did Not Result in a Recognized Loss
5969	5004679	Proof of Claim Did Not Result in a Recognized Loss
5970	5004680	Proof of Claim Did Not Result in a Recognized Loss
5971	5004681	Proof of Claim Did Not Result in a Recognized Loss
5972	5004683	Proof of Claim Did Not Result in a Recognized Loss
5973	5004684	Proof of Claim Did Not Result in a Recognized Loss
5974	5004685	Proof of Claim Did Not Fit the Class Definition
5975	5004686	Duplicate Proof of Claim
5976	5004688	Proof of Claim Did Not Fit the Class Definition
5977	5004689	Proof of Claim Did Not Fit the Class Definition
5978	5004690	Proof of Claim Did Not Fit the Class Definition
5979	5004692	Proof of Claim Did Not Result in a Recognized Loss
5980	5004693	Proof of Claim Did Not Result in a Recognized Loss
5981	5004694	Deficient Proof of Claim Never Cured
5982	5004695	Deficient Proof of Claim Never Cured
5983	5004696	Deficient Proof of Claim Never Cured
5984	5004697	Proof of Claim Did Not Result in a Recognized Loss
5985	5004698	Proof of Claim Did Not Result in a Recognized Loss
5986	5004699	Deficient Proof of Claim Never Cured
5987	5004700	Proof of Claim Did Not Fit the Class Definition
5988	5004701	Proof of Claim Did Not Result in a Recognized Loss
5989	5004702	Proof of Claim Did Not Result in a Recognized Loss
5990	5004703	Duplicate Proof of Claim
5991	5004704	Proof of Claim Did Not Result in a Recognized Loss
5992	5004706	Deficient Proof of Claim Never Cured
5993	5004707	Deficient Proof of Claim Never Cured
5994	5004708	Deficient Proof of Claim Never Cured
5995	5004709	Deficient Proof of Claim Never Cured
5996	5004710	Proof of Claim Did Not Fit the Class Definition
5997	5004711	Proof of Claim Did Not Result in a Recognized Loss
5998	5004713	Duplicate Proof of Claim
5999	5004715	Duplicate Proof of Claim
6000	5004716	Deficient Proof of Claim Never Cured

*Arena Securities Litigation***Rejected or Ineligible Claims****Exhibit C-3**

#	Claim Number	Reason for Rejection
6001	5004718	Proof of Claim Did Not Result in a Recognized Loss
6002	5004719	Proof of Claim Did Not Fit the Class Definition
6003	5004721	Proof of Claim Did Not Result in a Recognized Loss
6004	5004722	Proof of Claim Did Not Result in a Recognized Loss
6005	5004723	Proof of Claim Did Not Result in a Recognized Loss
6006	5004724	Duplicate Proof of Claim
6007	5004725	Deficient Proof of Claim Never Cured
6008	5004726	Proof of Claim Did Not Fit the Class Definition
6009	5004729	Proof of Claim Did Not Result in a Recognized Loss
6010	5004730	Proof of Claim Did Not Fit the Class Definition
6011	5004731	Deficient Proof of Claim Never Cured
6012	5004732	Deficient Proof of Claim Never Cured
6013	5004733	Deficient Proof of Claim Never Cured
6014	5004734	Deficient Proof of Claim Never Cured
6015	5004735	Proof of Claim Did Not Result in a Recognized Loss
6016	5004736	Proof of Claim Did Not Result in a Recognized Loss
6017	5004743	Deficient Proof of Claim Never Cured
6018	5004744	Proof of Claim Did Not Fit the Class Definition
6019	5004745	Deficient Proof of Claim Never Cured
6020	5004746	Proof of Claim Did Not Fit the Class Definition
6021	5004752	Proof of Claim Did Not Result in a Recognized Loss
6022	5004753	Proof of Claim Did Not Result in a Recognized Loss
6023	5004754	Proof of Claim Did Not Result in a Recognized Loss
6024	5004756	Proof of Claim Did Not Result in a Recognized Loss
6025	5004758	Proof of Claim Did Not Result in a Recognized Loss
6026	5004760	Proof of Claim Did Not Result in a Recognized Loss
6027	5004761	Proof of Claim Did Not Result in a Recognized Loss
6028	5004762	Proof of Claim Did Not Result in a Recognized Loss
6029	5004763	Proof of Claim Did Not Result in a Recognized Loss
6030	5004764	Proof of Claim Did Not Result in a Recognized Loss
6031	5004767	Proof of Claim Did Not Result in a Recognized Loss
6032	5004768	Proof of Claim Did Not Result in a Recognized Loss
6033	5004770	Proof of Claim Did Not Result in a Recognized Loss
6034	5004774	Proof of Claim Did Not Fit the Class Definition
6035	5004775	Proof of Claim Did Not Fit the Class Definition
6036	5004777	Deficient Proof of Claim Never Cured
6037	5004778	Deficient Proof of Claim Never Cured
6038	5004779	Deficient Proof of Claim Never Cured
6039	5004780	Deficient Proof of Claim Never Cured
6040	5004781	Proof of Claim Did Not Result in a Recognized Loss
6041	5004784	Proof of Claim Did Not Fit the Class Definition
6042	5004786	Proof of Claim Did Not Result in a Recognized Loss
6043	5004787	Proof of Claim Did Not Fit the Class Definition
6044	5004788	Deficient Proof of Claim Never Cured
6045	5004789	Proof of Claim Did Not Fit the Class Definition
6046	5004790	Proof of Claim Did Not Fit the Class Definition
6047	5004791	Proof of Claim Did Not Result in a Recognized Loss
6048	5004792	Proof of Claim Did Not Result in a Recognized Loss
6049	5004793	Proof of Claim Did Not Result in a Recognized Loss
6050	5004796	Proof of Claim Did Not Fit the Class Definition

*Arena Securities Litigation***Rejected or Ineligible Claims****Exhibit C-3**

#	Claim Number	Reason for Rejection
6051	5004797	Deficient Proof of Claim Never Cured
6052	5004798	Proof of Claim Did Not Fit the Class Definition
6053	5004799	Proof of Claim Did Not Fit the Class Definition
6054	5004800	Proof of Claim Did Not Fit the Class Definition
6055	5004801	Proof of Claim Did Not Fit the Class Definition
6056	5004802	Proof of Claim Did Not Fit the Class Definition
6057	5004803	Proof of Claim Did Not Result in a Recognized Loss
6058	5004804	Deficient Proof of Claim Never Cured
6059	5004805	Proof of Claim Did Not Fit the Class Definition
6060	5004806	Deficient Proof of Claim Never Cured
6061	5004807	Deficient Proof of Claim Never Cured
6062	5004808	Deficient Proof of Claim Never Cured
6063	5004809	Deficient Proof of Claim Never Cured
6064	5004810	Deficient Proof of Claim Never Cured
6065	5004811	Proof of Claim Did Not Fit the Class Definition
6066	5004812	Deficient Proof of Claim Never Cured
6067	5004813	Deficient Proof of Claim Never Cured
6068	5004814	Deficient Proof of Claim Never Cured
6069	5004815	Deficient Proof of Claim Never Cured
6070	5004816	Deficient Proof of Claim Never Cured
6071	5004817	Deficient Proof of Claim Never Cured
6072	5004818	Deficient Proof of Claim Never Cured
6073	5004819	Deficient Proof of Claim Never Cured
6074	5004820	Proof of Claim Did Not Fit the Class Definition
6075	5004821	Proof of Claim Did Not Fit the Class Definition
6076	5004822	Proof of Claim Did Not Fit the Class Definition
6077	5004823	Proof of Claim Did Not Fit the Class Definition
6078	5004824	Proof of Claim Did Not Fit the Class Definition
6079	5004825	Proof of Claim Did Not Fit the Class Definition
6080	5004826	Proof of Claim Did Not Fit the Class Definition
6081	5004828	Proof of Claim Did Not Result in a Recognized Loss
6082	5004829	Proof of Claim Did Not Result in a Recognized Loss
6083	5004830	Proof of Claim Did Not Fit the Class Definition
6084	5004831	Deficient Proof of Claim Never Cured
6085	5004832	Deficient Proof of Claim Never Cured
6086	5004833	Deficient Proof of Claim Never Cured
6087	5004834	Deficient Proof of Claim Never Cured
6088	5004835	Deficient Proof of Claim Never Cured
6089	5004836	Deficient Proof of Claim Never Cured
6090	5004837	Deficient Proof of Claim Never Cured
6091	5004838	Deficient Proof of Claim Never Cured
6092	5004839	Deficient Proof of Claim Never Cured
6093	5004840	Deficient Proof of Claim Never Cured
6094	5004841	Deficient Proof of Claim Never Cured
6095	5004842	Deficient Proof of Claim Never Cured
6096	5004843	Deficient Proof of Claim Never Cured
6097	5004844	Deficient Proof of Claim Never Cured
6098	5004845	Deficient Proof of Claim Never Cured
6099	5004846	Deficient Proof of Claim Never Cured
6100	5004847	Deficient Proof of Claim Never Cured

*Arena Securities Litigation***Rejected or Ineligible Claims****Exhibit C-3**

#	Claim Number	Reason for Rejection
6101	5004848	Deficient Proof of Claim Never Cured
6102	5004849	Deficient Proof of Claim Never Cured
6103	5004850	Deficient Proof of Claim Never Cured
6104	5004851	Deficient Proof of Claim Never Cured
6105	5004852	Deficient Proof of Claim Never Cured
6106	5004853	Deficient Proof of Claim Never Cured
6107	5004854	Deficient Proof of Claim Never Cured
6108	5004855	Deficient Proof of Claim Never Cured
6109	5004856	Deficient Proof of Claim Never Cured
6110	5004857	Deficient Proof of Claim Never Cured
6111	5004858	Deficient Proof of Claim Never Cured
6112	5004859	Deficient Proof of Claim Never Cured
6113	5004860	Deficient Proof of Claim Never Cured
6114	5004861	Deficient Proof of Claim Never Cured
6115	5004862	Deficient Proof of Claim Never Cured
6116	5004863	Deficient Proof of Claim Never Cured
6117	5004864	Deficient Proof of Claim Never Cured
6118	5004865	Deficient Proof of Claim Never Cured
6119	5004866	Deficient Proof of Claim Never Cured
6120	5004867	Deficient Proof of Claim Never Cured
6121	5004868	Deficient Proof of Claim Never Cured
6122	5004869	Deficient Proof of Claim Never Cured
6123	5004870	Deficient Proof of Claim Never Cured
6124	5004871	Deficient Proof of Claim Never Cured
6125	5004872	Deficient Proof of Claim Never Cured
6126	5004873	Deficient Proof of Claim Never Cured
6127	5004874	Deficient Proof of Claim Never Cured
6128	5004875	Deficient Proof of Claim Never Cured
6129	5004876	Deficient Proof of Claim Never Cured
6130	5004877	Deficient Proof of Claim Never Cured
6131	5004878	Deficient Proof of Claim Never Cured
6132	5004879	Deficient Proof of Claim Never Cured
6133	5004880	Deficient Proof of Claim Never Cured
6134	5004881	Deficient Proof of Claim Never Cured
6135	5004882	Deficient Proof of Claim Never Cured
6136	5004883	Deficient Proof of Claim Never Cured
6137	5004884	Deficient Proof of Claim Never Cured
6138	5004885	Deficient Proof of Claim Never Cured
6139	5004886	Deficient Proof of Claim Never Cured
6140	5004887	Deficient Proof of Claim Never Cured
6141	5004888	Deficient Proof of Claim Never Cured
6142	5004889	Deficient Proof of Claim Never Cured
6143	5004890	Deficient Proof of Claim Never Cured
6144	5004891	Deficient Proof of Claim Never Cured
6145	5004892	Deficient Proof of Claim Never Cured
6146	5004893	Deficient Proof of Claim Never Cured
6147	5004894	Deficient Proof of Claim Never Cured
6148	5004895	Deficient Proof of Claim Never Cured
6149	5004896	Deficient Proof of Claim Never Cured
6150	5004897	Deficient Proof of Claim Never Cured

*Arena Securities Litigation***Rejected or Ineligible Claims****Exhibit C-3**

#	Claim Number	Reason for Rejection
6151	5004898	Deficient Proof of Claim Never Cured
6152	5004899	Deficient Proof of Claim Never Cured
6153	5004900	Deficient Proof of Claim Never Cured
6154	5004901	Deficient Proof of Claim Never Cured
6155	5004902	Deficient Proof of Claim Never Cured
6156	5004903	Deficient Proof of Claim Never Cured
6157	5004904	Deficient Proof of Claim Never Cured
6158	5004905	Deficient Proof of Claim Never Cured
6159	5004906	Deficient Proof of Claim Never Cured
6160	5004907	Deficient Proof of Claim Never Cured
6161	5004908	Deficient Proof of Claim Never Cured
6162	5004909	Deficient Proof of Claim Never Cured
6163	5004910	Deficient Proof of Claim Never Cured
6164	5004911	Deficient Proof of Claim Never Cured
6165	5004912	Deficient Proof of Claim Never Cured
6166	5004913	Deficient Proof of Claim Never Cured
6167	5004914	Deficient Proof of Claim Never Cured
6168	5004915	Deficient Proof of Claim Never Cured
6169	5004916	Deficient Proof of Claim Never Cured
6170	5004917	Deficient Proof of Claim Never Cured
6171	5004918	Deficient Proof of Claim Never Cured
6172	5004919	Deficient Proof of Claim Never Cured
6173	5004920	Deficient Proof of Claim Never Cured
6174	5004921	Deficient Proof of Claim Never Cured
6175	5004922	Deficient Proof of Claim Never Cured
6176	5004923	Deficient Proof of Claim Never Cured
6177	5004924	Deficient Proof of Claim Never Cured
6178	5004925	Deficient Proof of Claim Never Cured
6179	5004926	Deficient Proof of Claim Never Cured
6180	5004927	Deficient Proof of Claim Never Cured
6181	5004928	Deficient Proof of Claim Never Cured
6182	5004929	Deficient Proof of Claim Never Cured
6183	5004930	Deficient Proof of Claim Never Cured
6184	5004931	Deficient Proof of Claim Never Cured
6185	5004932	Deficient Proof of Claim Never Cured
6186	5004933	Deficient Proof of Claim Never Cured
6187	5004934	Deficient Proof of Claim Never Cured
6188	5004935	Deficient Proof of Claim Never Cured
6189	5004936	Deficient Proof of Claim Never Cured
6190	5004937	Deficient Proof of Claim Never Cured
6191	5004938	Deficient Proof of Claim Never Cured
6192	5004939	Deficient Proof of Claim Never Cured
6193	5004940	Deficient Proof of Claim Never Cured
6194	5004941	Deficient Proof of Claim Never Cured
6195	5004942	Deficient Proof of Claim Never Cured
6196	5004943	Deficient Proof of Claim Never Cured
6197	5004944	Deficient Proof of Claim Never Cured
6198	5004945	Deficient Proof of Claim Never Cured
6199	5004946	Deficient Proof of Claim Never Cured
6200	5004947	Deficient Proof of Claim Never Cured

*Arena Securities Litigation***Rejected or Ineligible Claims****Exhibit C-3**

#	Claim Number	Reason for Rejection
6201	5004948	Deficient Proof of Claim Never Cured
6202	5004949	Deficient Proof of Claim Never Cured
6203	5004950	Deficient Proof of Claim Never Cured
6204	5004951	Deficient Proof of Claim Never Cured
6205	5004952	Deficient Proof of Claim Never Cured
6206	5004953	Deficient Proof of Claim Never Cured
6207	5004954	Deficient Proof of Claim Never Cured
6208	5004955	Deficient Proof of Claim Never Cured
6209	5004956	Deficient Proof of Claim Never Cured
6210	5004957	Deficient Proof of Claim Never Cured
6211	5004958	Deficient Proof of Claim Never Cured
6212	5004959	Deficient Proof of Claim Never Cured
6213	5004960	Deficient Proof of Claim Never Cured
6214	5004961	Deficient Proof of Claim Never Cured
6215	5004962	Deficient Proof of Claim Never Cured
6216	5004963	Deficient Proof of Claim Never Cured
6217	5004964	Deficient Proof of Claim Never Cured
6218	5004965	Deficient Proof of Claim Never Cured
6219	5004966	Proof of Claim Did Not Fit the Class Definition
6220	5004967	Deficient Proof of Claim Never Cured
6221	5004968	Deficient Proof of Claim Never Cured
6222	5004969	Deficient Proof of Claim Never Cured
6223	5004970	Proof of Claim Did Not Fit the Class Definition
6224	5004971	Proof of Claim Did Not Fit the Class Definition
6225	5004972	Deficient Proof of Claim Never Cured
6226	5004973	Deficient Proof of Claim Never Cured
6227	5004974	Deficient Proof of Claim Never Cured
6228	5004975	Deficient Proof of Claim Never Cured
6229	5004976	Deficient Proof of Claim Never Cured
6230	5004977	Deficient Proof of Claim Never Cured
6231	5004978	Deficient Proof of Claim Never Cured
6232	5004979	Deficient Proof of Claim Never Cured
6233	5004982	Deficient Proof of Claim Never Cured
6234	5004984	Deficient Proof of Claim Never Cured
6235	5004987	Deficient Proof of Claim Never Cured
6236	5004988	Deficient Proof of Claim Never Cured
6237	5004989	Duplicate Proof of Claim
6238	5004993	Deficient Proof of Claim Never Cured
6239	5004994	Deficient Proof of Claim Never Cured
6240	5004995	Deficient Proof of Claim Never Cured
6241	5004996	Deficient Proof of Claim Never Cured
6242	5004997	Deficient Proof of Claim Never Cured
6243	5004998	Deficient Proof of Claim Never Cured
6244	5004999	Proof of Claim Did Not Result in a Recognized Loss
6245	5005001	Proof of Claim Did Not Result in a Recognized Loss
6246	5005002	Deficient Proof of Claim Never Cured
6247	5005007	Deficient Proof of Claim Never Cured
6248	5005008	Deficient Proof of Claim Never Cured
6249	5005014	Deficient Proof of Claim Never Cured
6250	5005019	Deficient Proof of Claim Never Cured

*Arena Securities Litigation***Rejected or Ineligible Claims****Exhibit C-3**

#	Claim Number	Reason for Rejection
6251	5005020	Deficient Proof of Claim Never Cured
6252	5005022	Deficient Proof of Claim Never Cured
6253	5005023	Deficient Proof of Claim Never Cured
6254	5005024	Deficient Proof of Claim Never Cured
6255	5005028	Deficient Proof of Claim Never Cured
6256	5005031	Proof of Claim Did Not Result in a Recognized Loss
6257	5005033	Deficient Proof of Claim Never Cured
6258	5005034	Proof of Claim Did Not Result in a Recognized Loss
6259	5005035	Proof of Claim Did Not Result in a Recognized Loss
6260	5005038	Deficient Proof of Claim Never Cured
6261	5005040	Deficient Proof of Claim Never Cured
6262	5005041	Proof of Claim Did Not Fit the Class Definition
6263	5005042	Proof of Claim Did Not Fit the Class Definition
6264	5005043	Proof of Claim Did Not Fit the Class Definition
6265	5005044	Proof of Claim Did Not Fit the Class Definition
6266	5005045	Proof of Claim Did Not Fit the Class Definition
6267	5005046	Proof of Claim Did Not Fit the Class Definition
6268	5005047	Proof of Claim Did Not Fit the Class Definition
6269	5005048	Proof of Claim Did Not Fit the Class Definition
6270	5005049	Proof of Claim Did Not Fit the Class Definition
6271	5005050	Proof of Claim Did Not Fit the Class Definition
6272	5005051	Proof of Claim Did Not Fit the Class Definition
6273	5005052	Proof of Claim Did Not Fit the Class Definition
6274	5005059	Deficient Proof of Claim Never Cured
6275	5005066	Deficient Proof of Claim Never Cured
6276	5005068	Proof of Claim Did Not Result in a Recognized Loss
6277	5005069	Duplicate Proof of Claim
6278	5005071	Duplicate Proof of Claim
6279	5005073	Proof of Claim Did Not Result in a Recognized Loss
6280	5005076	Proof of Claim Did Not Result in a Recognized Loss
6281	5005078	Deficient Proof of Claim Never Cured
6282	5005079	Deficient Proof of Claim Never Cured
6283	5005080	Duplicate Proof of Claim
6284	5005081	Deficient Proof of Claim Never Cured
6285	5005082	Deficient Proof of Claim Never Cured
6286	5005084	Deficient Proof of Claim Never Cured
6287	5005093	Deficient Proof of Claim Never Cured
6288	5005097	Deficient Proof of Claim Never Cured
6289	5005098	Deficient Proof of Claim Never Cured
6290	5005099	Deficient Proof of Claim Never Cured
6291	5005100	Proof of Claim Did Not Result in a Recognized Loss
6292	5005101	Proof of Claim Did Not Result in a Recognized Loss
6293	5005102	Deficient Proof of Claim Never Cured
6294	5005103	Deficient Proof of Claim Never Cured
6295	5005104	Proof of Claim Did Not Result in a Recognized Loss
6296	5005105	Proof of Claim Did Not Fit the Class Definition
6297	5005106	Proof of Claim Did Not Fit the Class Definition
6298	5005107	Proof of Claim Did Not Fit the Class Definition
6299	5005108	Proof of Claim Did Not Fit the Class Definition
6300	5005109	Proof of Claim Did Not Result in a Recognized Loss

*Arena Securities Litigation***Rejected or Ineligible Claims****Exhibit C-3**

#	Claim Number	Reason for Rejection
6301	5005110	Proof of Claim Did Not Result in a Recognized Loss
6302	5005111	Proof of Claim Did Not Result in a Recognized Loss
6303	5005112	Proof of Claim Did Not Result in a Recognized Loss
6304	5005113	Proof of Claim Did Not Result in a Recognized Loss
6305	5005114	Proof of Claim Did Not Result in a Recognized Loss
6306	5005115	Proof of Claim Did Not Result in a Recognized Loss
6307	5005116	Proof of Claim Did Not Result in a Recognized Loss
6308	5005117	Proof of Claim Did Not Result in a Recognized Loss
6309	5005118	Proof of Claim Did Not Result in a Recognized Loss
6310	5005119	Proof of Claim Did Not Result in a Recognized Loss
6311	5005120	Proof of Claim Did Not Result in a Recognized Loss
6312	5005121	Deficient Proof of Claim Never Cured
6313	5005124	Proof of Claim Did Not Result in a Recognized Loss
6314	5005125	Proof of Claim Did Not Result in a Recognized Loss
6315	5005131	Proof of Claim Did Not Result in a Recognized Loss
6316	5005132	Proof of Claim Did Not Result in a Recognized Loss
6317	5005134	Deficient Proof of Claim Never Cured
6318	5005137	Proof of Claim Did Not Result in a Recognized Loss
6319	5005138	Proof of Claim Did Not Result in a Recognized Loss
6320	5005139	Proof of Claim Did Not Fit the Class Definition
6321	5005140	Deficient Proof of Claim Never Cured
6322	5005141	Deficient Proof of Claim Never Cured
6323	5005142	Proof of Claim Did Not Result in a Recognized Loss
6324	5005147	Proof of Claim Did Not Result in a Recognized Loss
6325	5005148	Proof of Claim Did Not Result in a Recognized Loss
6326	5005149	Proof of Claim Did Not Result in a Recognized Loss
6327	5005150	Proof of Claim Did Not Result in a Recognized Loss
6328	5005152	Deficient Proof of Claim Never Cured
6329	5005153	Deficient Proof of Claim Never Cured
6330	5005157	Proof of Claim Did Not Fit the Class Definition
6331	5005164	Proof of Claim Did Not Result in a Recognized Loss
6332	5005169	Proof of Claim Did Not Result in a Recognized Loss
6333	5005170	Proof of Claim Did Not Fit the Class Definition
6334	5005172	Proof of Claim Did Not Result in a Recognized Loss
6335	5005173	Proof of Claim Did Not Result in a Recognized Loss
6336	5005174	Proof of Claim Did Not Result in a Recognized Loss
6337	5005176	Proof of Claim Did Not Fit the Class Definition
6338	5005177	Proof of Claim Did Not Fit the Class Definition
6339	5005178	Proof of Claim Did Not Result in a Recognized Loss
6340	5005179	Proof of Claim Did Not Result in a Recognized Loss
6341	5005180	Proof of Claim Did Not Result in a Recognized Loss
6342	5005181	Proof of Claim Did Not Fit the Class Definition
6343	5005182	Proof of Claim Did Not Fit the Class Definition
6344	5005183	Proof of Claim Did Not Fit the Class Definition
6345	5005184	Proof of Claim Did Not Fit the Class Definition
6346	5005185	Proof of Claim Did Not Result in a Recognized Loss
6347	5005186	Deficient Proof of Claim Never Cured
6348	5005189	Proof of Claim Did Not Fit the Class Definition
6349	5005190	Proof of Claim Did Not Fit the Class Definition
6350	5005191	Proof of Claim Did Not Fit the Class Definition

*Arena Securities Litigation***Rejected or Ineligible Claims****Exhibit C-3**

#	Claim Number	Reason for Rejection
6351	5005192	Proof of Claim Did Not Fit the Class Definition
6352	5005193	Proof of Claim Did Not Fit the Class Definition
6353	5005194	Proof of Claim Did Not Fit the Class Definition
6354	5005195	Proof of Claim Did Not Result in a Recognized Loss
6355	5005196	Proof of Claim Did Not Result in a Recognized Loss
6356	5005197	Proof of Claim Did Not Fit the Class Definition
6357	5005198	Proof of Claim Did Not Fit the Class Definition
6358	5005199	Proof of Claim Did Not Result in a Recognized Loss
6359	5005200	Proof of Claim Did Not Fit the Class Definition
6360	5005201	Proof of Claim Did Not Fit the Class Definition
6361	5005202	Proof of Claim Did Not Result in a Recognized Loss
6362	5005203	Proof of Claim Did Not Result in a Recognized Loss
6363	5005204	Deficient Proof of Claim Never Cured
6364	5005206	Proof of Claim Did Not Result in a Recognized Loss
6365	5005207	Proof of Claim Did Not Fit the Class Definition
6366	5005208	Proof of Claim Did Not Fit the Class Definition
6367	5005209	Proof of Claim Did Not Fit the Class Definition
6368	5005210	Proof of Claim Did Not Fit the Class Definition
6369	5005211	Proof of Claim Did Not Fit the Class Definition
6370	5005212	Proof of Claim Did Not Fit the Class Definition
6371	5005213	Proof of Claim Did Not Fit the Class Definition
6372	5005214	Proof of Claim Did Not Fit the Class Definition
6373	5005215	Proof of Claim Did Not Fit the Class Definition
6374	5005216	Proof of Claim Did Not Fit the Class Definition
6375	5005217	Proof of Claim Did Not Fit the Class Definition
6376	5005218	Proof of Claim Did Not Fit the Class Definition
6377	5005219	Proof of Claim Did Not Fit the Class Definition
6378	5005220	Proof of Claim Did Not Fit the Class Definition
6379	5005221	Proof of Claim Did Not Fit the Class Definition
6380	5005222	Proof of Claim Did Not Fit the Class Definition
6381	5005223	Proof of Claim Did Not Fit the Class Definition
6382	5005224	Proof of Claim Did Not Fit the Class Definition
6383	5005225	Proof of Claim Did Not Fit the Class Definition
6384	5005226	Proof of Claim Did Not Fit the Class Definition
6385	5005227	Proof of Claim Did Not Fit the Class Definition
6386	5005228	Proof of Claim Did Not Fit the Class Definition
6387	5005229	Proof of Claim Did Not Fit the Class Definition
6388	5005230	Proof of Claim Did Not Fit the Class Definition
6389	5005231	Proof of Claim Did Not Result in a Recognized Loss
6390	5005232	Proof of Claim Did Not Fit the Class Definition
6391	5005233	Proof of Claim Did Not Fit the Class Definition
6392	5005234	Proof of Claim Did Not Fit the Class Definition
6393	5005235	Proof of Claim Did Not Fit the Class Definition
6394	5005236	Proof of Claim Did Not Fit the Class Definition
6395	5005237	Proof of Claim Did Not Fit the Class Definition
6396	5005238	Proof of Claim Did Not Fit the Class Definition
6397	5005239	Proof of Claim Did Not Fit the Class Definition
6398	5005240	Proof of Claim Did Not Fit the Class Definition
6399	5005241	Proof of Claim Did Not Fit the Class Definition
6400	5005242	Proof of Claim Did Not Fit the Class Definition

*Arena Securities Litigation***Rejected or Ineligible Claims****Exhibit C-3**

#	Claim Number	Reason for Rejection
6401	5005243	Proof of Claim Did Not Fit the Class Definition
6402	5005244	Proof of Claim Did Not Fit the Class Definition
6403	5005245	Proof of Claim Did Not Fit the Class Definition
6404	5005246	Proof of Claim Did Not Fit the Class Definition
6405	5005247	Proof of Claim Did Not Fit the Class Definition
6406	5005248	Proof of Claim Did Not Fit the Class Definition
6407	5005249	Proof of Claim Did Not Fit the Class Definition
6408	5005250	Proof of Claim Did Not Fit the Class Definition
6409	5005251	Proof of Claim Did Not Fit the Class Definition
6410	5005252	Proof of Claim Did Not Fit the Class Definition
6411	5005253	Proof of Claim Did Not Fit the Class Definition
6412	5005254	Proof of Claim Did Not Fit the Class Definition
6413	5005255	Proof of Claim Did Not Fit the Class Definition
6414	5005256	Proof of Claim Did Not Fit the Class Definition
6415	5005257	Proof of Claim Did Not Fit the Class Definition
6416	5005258	Proof of Claim Did Not Fit the Class Definition
6417	5005259	Proof of Claim Did Not Fit the Class Definition
6418	5005260	Proof of Claim Did Not Fit the Class Definition
6419	5005261	Proof of Claim Did Not Fit the Class Definition
6420	5005262	Proof of Claim Did Not Fit the Class Definition
6421	5005263	Proof of Claim Did Not Fit the Class Definition
6422	5005264	Proof of Claim Did Not Fit the Class Definition
6423	5005265	Proof of Claim Did Not Fit the Class Definition
6424	5005266	Proof of Claim Did Not Fit the Class Definition
6425	5005267	Proof of Claim Did Not Fit the Class Definition
6426	5005268	Proof of Claim Did Not Fit the Class Definition
6427	5005269	Proof of Claim Did Not Fit the Class Definition
6428	5005270	Proof of Claim Did Not Fit the Class Definition
6429	5005271	Proof of Claim Did Not Fit the Class Definition
6430	5005272	Proof of Claim Did Not Fit the Class Definition
6431	5005276	Proof of Claim Did Not Result in a Recognized Loss
6432	5005277	Proof of Claim Did Not Result in a Recognized Loss
6433	5005278	Proof of Claim Did Not Result in a Recognized Loss
6434	5005279	Proof of Claim Did Not Fit the Class Definition
6435	5005280	Proof of Claim Did Not Fit the Class Definition
6436	5005281	Proof of Claim Did Not Fit the Class Definition
6437	5005282	Proof of Claim Did Not Fit the Class Definition
6438	5005283	Proof of Claim Did Not Fit the Class Definition
6439	5005284	Proof of Claim Did Not Fit the Class Definition
6440	5005285	Proof of Claim Did Not Fit the Class Definition
6441	5005286	Proof of Claim Did Not Fit the Class Definition
6442	5005287	Proof of Claim Did Not Fit the Class Definition
6443	5005288	Proof of Claim Did Not Fit the Class Definition
6444	5005289	Proof of Claim Did Not Fit the Class Definition
6445	5005290	Proof of Claim Did Not Fit the Class Definition
6446	5005291	Proof of Claim Did Not Fit the Class Definition
6447	5005292	Proof of Claim Did Not Fit the Class Definition
6448	5005293	Proof of Claim Did Not Fit the Class Definition
6449	5005294	Proof of Claim Did Not Fit the Class Definition
6450	5005295	Proof of Claim Did Not Fit the Class Definition

*Arena Securities Litigation***Rejected or Ineligible Claims****Exhibit C-3**

#	Claim Number	Reason for Rejection
6451	5005296	Proof of Claim Did Not Fit the Class Definition
6452	5005297	Proof of Claim Did Not Fit the Class Definition
6453	5005298	Deficient Proof of Claim Never Cured
6454	5005299	Deficient Proof of Claim Never Cured
6455	5005300	Deficient Proof of Claim Never Cured
6456	5005301	Proof of Claim Did Not Fit the Class Definition
6457	5005302	Deficient Proof of Claim Never Cured
6458	5005303	Deficient Proof of Claim Never Cured
6459	5005304	Deficient Proof of Claim Never Cured
6460	5005305	Deficient Proof of Claim Never Cured
6461	5005306	Deficient Proof of Claim Never Cured
6462	5005307	Deficient Proof of Claim Never Cured
6463	5005308	Deficient Proof of Claim Never Cured
6464	5005309	Proof of Claim Did Not Fit the Class Definition
6465	5005310	Deficient Proof of Claim Never Cured
6466	5005311	Deficient Proof of Claim Never Cured
6467	5005312	Deficient Proof of Claim Never Cured
6468	5005313	Deficient Proof of Claim Never Cured
6469	5005314	Deficient Proof of Claim Never Cured
6470	5005315	Deficient Proof of Claim Never Cured
6471	5005316	Deficient Proof of Claim Never Cured
6472	5005317	Deficient Proof of Claim Never Cured
6473	5005318	Deficient Proof of Claim Never Cured
6474	5005319	Deficient Proof of Claim Never Cured
6475	5005320	Proof of Claim Did Not Fit the Class Definition
6476	5005321	Proof of Claim Did Not Fit the Class Definition
6477	5005322	Deficient Proof of Claim Never Cured
6478	5005323	Deficient Proof of Claim Never Cured
6479	5005324	Deficient Proof of Claim Never Cured
6480	5005325	Proof of Claim Did Not Fit the Class Definition
6481	5005326	Deficient Proof of Claim Never Cured
6482	5005327	Proof of Claim Did Not Fit the Class Definition
6483	5005328	Deficient Proof of Claim Never Cured
6484	5005329	Proof of Claim Did Not Fit the Class Definition
6485	5005330	Deficient Proof of Claim Never Cured
6486	5005331	Deficient Proof of Claim Never Cured
6487	5005332	Deficient Proof of Claim Never Cured
6488	5005333	Deficient Proof of Claim Never Cured
6489	5005334	Deficient Proof of Claim Never Cured
6490	5005335	Deficient Proof of Claim Never Cured
6491	5005336	Proof of Claim Did Not Fit the Class Definition
6492	5005337	Deficient Proof of Claim Never Cured
6493	5005338	Proof of Claim Did Not Fit the Class Definition
6494	5005339	Deficient Proof of Claim Never Cured
6495	5005340	Deficient Proof of Claim Never Cured
6496	5005341	Deficient Proof of Claim Never Cured
6497	5005342	Proof of Claim Did Not Fit the Class Definition
6498	5005343	Deficient Proof of Claim Never Cured
6499	5005344	Proof of Claim Did Not Fit the Class Definition
6500	5005345	Deficient Proof of Claim Never Cured

*Arena Securities Litigation***Rejected or Ineligible Claims****Exhibit C-3**

#	Claim Number	Reason for Rejection
6501	5005346	Deficient Proof of Claim Never Cured
6502	5005347	Proof of Claim Did Not Fit the Class Definition
6503	5005348	Deficient Proof of Claim Never Cured
6504	5005349	Deficient Proof of Claim Never Cured
6505	5005350	Proof of Claim Did Not Fit the Class Definition
6506	5005351	Proof of Claim Did Not Fit the Class Definition
6507	5005352	Deficient Proof of Claim Never Cured
6508	5005353	Deficient Proof of Claim Never Cured
6509	5005354	Deficient Proof of Claim Never Cured
6510	5005355	Deficient Proof of Claim Never Cured
6511	5005356	Proof of Claim Did Not Fit the Class Definition
6512	5005357	Deficient Proof of Claim Never Cured
6513	5005358	Deficient Proof of Claim Never Cured
6514	5005359	Deficient Proof of Claim Never Cured
6515	5005360	Deficient Proof of Claim Never Cured
6516	5005361	Deficient Proof of Claim Never Cured
6517	5005362	Deficient Proof of Claim Never Cured
6518	5005363	Proof of Claim Did Not Fit the Class Definition
6519	5005364	Deficient Proof of Claim Never Cured
6520	5005365	Deficient Proof of Claim Never Cured
6521	5005366	Deficient Proof of Claim Never Cured
6522	5005367	Deficient Proof of Claim Never Cured
6523	5005368	Proof of Claim Did Not Fit the Class Definition
6524	5005369	Proof of Claim Did Not Fit the Class Definition
6525	5005370	Deficient Proof of Claim Never Cured
6526	5005371	Deficient Proof of Claim Never Cured
6527	5005372	Deficient Proof of Claim Never Cured
6528	5005373	Deficient Proof of Claim Never Cured
6529	5005374	Deficient Proof of Claim Never Cured
6530	5005375	Deficient Proof of Claim Never Cured
6531	5005376	Deficient Proof of Claim Never Cured
6532	5005377	Deficient Proof of Claim Never Cured
6533	5005378	Deficient Proof of Claim Never Cured
6534	5005379	Deficient Proof of Claim Never Cured
6535	5005380	Proof of Claim Did Not Fit the Class Definition
6536	5005381	Deficient Proof of Claim Never Cured
6537	5005382	Deficient Proof of Claim Never Cured
6538	5005383	Proof of Claim Did Not Fit the Class Definition
6539	5005384	Deficient Proof of Claim Never Cured
6540	5005385	Deficient Proof of Claim Never Cured
6541	5005386	Proof of Claim Did Not Fit the Class Definition
6542	5005387	Deficient Proof of Claim Never Cured
6543	5005388	Deficient Proof of Claim Never Cured
6544	5005389	Deficient Proof of Claim Never Cured
6545	5005390	Proof of Claim Did Not Fit the Class Definition
6546	5005391	Deficient Proof of Claim Never Cured
6547	5005392	Proof of Claim Did Not Fit the Class Definition
6548	5005393	Deficient Proof of Claim Never Cured
6549	5005394	Proof of Claim Did Not Fit the Class Definition
6550	5005395	Proof of Claim Did Not Fit the Class Definition

*Arena Securities Litigation***Rejected or Ineligible Claims****Exhibit C-3**

#	Claim Number	Reason for Rejection
6551	5005396	Deficient Proof of Claim Never Cured
6552	5005397	Proof of Claim Did Not Fit the Class Definition
6553	5005398	Deficient Proof of Claim Never Cured
6554	5005399	Deficient Proof of Claim Never Cured
6555	5005400	Deficient Proof of Claim Never Cured
6556	5005401	Deficient Proof of Claim Never Cured
6557	5005402	Deficient Proof of Claim Never Cured
6558	5005403	Proof of Claim Did Not Result in a Recognized Loss
6559	5005404	Proof of Claim Did Not Result in a Recognized Loss
6560	5005405	Proof of Claim Did Not Result in a Recognized Loss
6561	5005406	Proof of Claim Did Not Result in a Recognized Loss
6562	5005407	Proof of Claim Did Not Result in a Recognized Loss
6563	5005409	Proof of Claim Did Not Result in a Recognized Loss
6564	5005412	Proof of Claim Did Not Result in a Recognized Loss
6565	5005413	Proof of Claim Did Not Result in a Recognized Loss
6566	5005414	Proof of Claim Did Not Result in a Recognized Loss
6567	5005416	Proof of Claim Did Not Result in a Recognized Loss
6568	5005418	Proof of Claim Did Not Result in a Recognized Loss
6569	5005420	Proof of Claim Did Not Result in a Recognized Loss
6570	5005422	Deficient Proof of Claim Never Cured
6571	5005424	Deficient Proof of Claim Never Cured
6572	5005427	Proof of Claim Did Not Result in a Recognized Loss
6573	5005428	Proof of Claim Did Not Result in a Recognized Loss
6574	5005429	Proof of Claim Did Not Result in a Recognized Loss
6575	5005431	Proof of Claim Did Not Result in a Recognized Loss
6576	5005432	Proof of Claim Did Not Result in a Recognized Loss
6577	5005434	Proof of Claim Did Not Result in a Recognized Loss
6578	5005439	Proof of Claim Did Not Result in a Recognized Loss
6579	5005441	Proof of Claim Did Not Result in a Recognized Loss
6580	5005442	Proof of Claim Did Not Result in a Recognized Loss
6581	5005445	Proof of Claim Did Not Result in a Recognized Loss
6582	5005446	Proof of Claim Did Not Result in a Recognized Loss
6583	5005447	Proof of Claim Did Not Result in a Recognized Loss
6584	5005448	Proof of Claim Did Not Result in a Recognized Loss
6585	5005449	Proof of Claim Did Not Result in a Recognized Loss
6586	5005451	Proof of Claim Did Not Fit the Class Definition
6587	5005452	Proof of Claim Did Not Fit the Class Definition
6588	5005453	Proof of Claim Did Not Result in a Recognized Loss
6589	5005454	Proof of Claim Did Not Result in a Recognized Loss
6590	5005455	Proof of Claim Did Not Result in a Recognized Loss
6591	5005456	Deficient Proof of Claim Never Cured
6592	5005457	Proof of Claim Did Not Result in a Recognized Loss
6593	5005458	Proof of Claim Did Not Result in a Recognized Loss
6594	5005459	Proof of Claim Did Not Result in a Recognized Loss
6595	5005460	Proof of Claim Did Not Result in a Recognized Loss
6596	5005461	Proof of Claim Did Not Result in a Recognized Loss
6597	5005462	Proof of Claim Did Not Fit the Class Definition
6598	5005463	Proof of Claim Did Not Fit the Class Definition
6599	5005464	Proof of Claim Did Not Result in a Recognized Loss
6600	5005465	Proof of Claim Did Not Result in a Recognized Loss

*Arena Securities Litigation***Rejected or Ineligible Claims****Exhibit C-3**

#	Claim Number	Reason for Rejection
6601	5005466	Proof of Claim Did Not Result in a Recognized Loss
6602	5005467	Proof of Claim Did Not Result in a Recognized Loss
6603	5005468	Proof of Claim Did Not Result in a Recognized Loss
6604	5005469	Proof of Claim Did Not Fit the Class Definition
6605	5005470	Proof of Claim Did Not Result in a Recognized Loss
6606	5005473	Proof of Claim Did Not Result in a Recognized Loss
6607	5005475	Proof of Claim Did Not Fit the Class Definition
6608	5005476	Proof of Claim Did Not Fit the Class Definition
6609	5005477	Proof of Claim Did Not Result in a Recognized Loss
6610	5005478	Proof of Claim Did Not Result in a Recognized Loss
6611	5005479	Proof of Claim Did Not Result in a Recognized Loss
6612	5005483	Proof of Claim Did Not Fit the Class Definition
6613	5005484	Proof of Claim Did Not Fit the Class Definition
6614	5005485	Proof of Claim Did Not Fit the Class Definition
6615	5005492	Proof of Claim Did Not Result in a Recognized Loss
6616	5005495	Proof of Claim Did Not Result in a Recognized Loss
6617	5005498	Proof of Claim Did Not Result in a Recognized Loss
6618	5005500	Deficient Proof of Claim Never Cured
6619	5005501	Proof of Claim Did Not Fit the Class Definition
6620	5005502	Proof of Claim Did Not Result in a Recognized Loss
6621	5005503	Proof of Claim Did Not Result in a Recognized Loss
6622	5005504	Proof of Claim Did Not Result in a Recognized Loss
6623	5005505	Deficient Proof of Claim Never Cured
6624	5005507	Proof of Claim Did Not Result in a Recognized Loss
6625	5005508	Proof of Claim Did Not Result in a Recognized Loss
6626	5005509	Proof of Claim Did Not Result in a Recognized Loss
6627	5005510	Proof of Claim Did Not Result in a Recognized Loss
6628	5005512	Proof of Claim Did Not Result in a Recognized Loss
6629	5005513	Proof of Claim Did Not Result in a Recognized Loss
6630	5005514	Proof of Claim Did Not Result in a Recognized Loss
6631	5005515	Proof of Claim Did Not Result in a Recognized Loss
6632	5005516	Proof of Claim Did Not Result in a Recognized Loss
6633	5005517	Proof of Claim Did Not Result in a Recognized Loss
6634	5005518	Proof of Claim Did Not Result in a Recognized Loss
6635	5005519	Proof of Claim Did Not Result in a Recognized Loss
6636	5005520	Proof of Claim Did Not Result in a Recognized Loss
6637	5005521	Proof of Claim Did Not Result in a Recognized Loss
6638	5005522	Proof of Claim Did Not Result in a Recognized Loss
6639	5005524	Proof of Claim Did Not Fit the Class Definition
6640	5005525	Proof of Claim Did Not Result in a Recognized Loss
6641	5005526	Proof of Claim Did Not Result in a Recognized Loss
6642	5005527	Proof of Claim Did Not Result in a Recognized Loss
6643	5005528	Proof of Claim Did Not Result in a Recognized Loss
6644	5005529	Proof of Claim Did Not Result in a Recognized Loss
6645	5005530	Proof of Claim Did Not Result in a Recognized Loss
6646	5005531	Proof of Claim Did Not Result in a Recognized Loss
6647	5005532	Proof of Claim Did Not Result in a Recognized Loss
6648	5005533	Proof of Claim Did Not Result in a Recognized Loss
6649	5005534	Proof of Claim Did Not Result in a Recognized Loss
6650	5005535	Proof of Claim Did Not Result in a Recognized Loss

*Arena Securities Litigation***Rejected or Ineligible Claims****Exhibit C-3**

#	Claim Number	Reason for Rejection
6651	5005536	Proof of Claim Did Not Result in a Recognized Loss
6652	5005537	Proof of Claim Did Not Result in a Recognized Loss
6653	5005538	Proof of Claim Did Not Result in a Recognized Loss
6654	5005539	Proof of Claim Did Not Result in a Recognized Loss
6655	5005540	Proof of Claim Did Not Result in a Recognized Loss
6656	5005542	Proof of Claim Did Not Result in a Recognized Loss
6657	5005544	Proof of Claim Did Not Result in a Recognized Loss
6658	5005546	Proof of Claim Did Not Result in a Recognized Loss
6659	5005547	Proof of Claim Did Not Result in a Recognized Loss
6660	5005549	Deficient Proof of Claim Never Cured
6661	5005550	Deficient Proof of Claim Never Cured
6662	5005551	Deficient Proof of Claim Never Cured
6663	5005552	Deficient Proof of Claim Never Cured
6664	5005553	Proof of Claim Did Not Fit the Class Definition
6665	5005554	Deficient Proof of Claim Never Cured
6666	5005555	Deficient Proof of Claim Never Cured
6667	5005556	Deficient Proof of Claim Never Cured
6668	5005557	Deficient Proof of Claim Never Cured
6669	5005558	Deficient Proof of Claim Never Cured
6670	5005559	Deficient Proof of Claim Never Cured
6671	5005560	Proof of Claim Did Not Fit the Class Definition
6672	5005561	Deficient Proof of Claim Never Cured
6673	5005562	Deficient Proof of Claim Never Cured
6674	5005563	Proof of Claim Did Not Fit the Class Definition
6675	5005564	Deficient Proof of Claim Never Cured
6676	5005565	Deficient Proof of Claim Never Cured
6677	5005566	Proof of Claim Did Not Fit the Class Definition
6678	5005567	Proof of Claim Did Not Fit the Class Definition
6679	5005568	Deficient Proof of Claim Never Cured
6680	5005569	Deficient Proof of Claim Never Cured
6681	5005570	Deficient Proof of Claim Never Cured
6682	5005571	Deficient Proof of Claim Never Cured
6683	5005572	Deficient Proof of Claim Never Cured
6684	5005573	Deficient Proof of Claim Never Cured
6685	5005575	Proof of Claim Did Not Result in a Recognized Loss
6686	5005576	Proof of Claim Did Not Result in a Recognized Loss
6687	5005577	Proof of Claim Did Not Result in a Recognized Loss
6688	5005578	Proof of Claim Did Not Result in a Recognized Loss
6689	5005579	Proof of Claim Did Not Result in a Recognized Loss
6690	5005582	Proof of Claim Did Not Result in a Recognized Loss
6691	5005583	Proof of Claim Did Not Result in a Recognized Loss
6692	5005584	Proof of Claim Did Not Result in a Recognized Loss
6693	5005585	Proof of Claim Did Not Result in a Recognized Loss
6694	5005586	Proof of Claim Did Not Fit the Class Definition
6695	5005587	Proof of Claim Did Not Fit the Class Definition
6696	5005588	Proof of Claim Did Not Fit the Class Definition
6697	5005589	Proof of Claim Did Not Fit the Class Definition
6698	5005590	Proof of Claim Did Not Fit the Class Definition
6699	5005591	Proof of Claim Did Not Fit the Class Definition
6700	5005592	Proof of Claim Did Not Fit the Class Definition

*Arena Securities Litigation***Rejected or Ineligible Claims****Exhibit C-3**

#	Claim Number	Reason for Rejection
6701	5005593	Proof of Claim Did Not Fit the Class Definition
6702	5005594	Proof of Claim Did Not Fit the Class Definition
6703	5005595	Proof of Claim Did Not Fit the Class Definition
6704	5005596	Proof of Claim Did Not Fit the Class Definition
6705	5005597	Proof of Claim Did Not Fit the Class Definition
6706	5005598	Proof of Claim Did Not Fit the Class Definition
6707	5005599	Proof of Claim Did Not Fit the Class Definition
6708	5005600	Proof of Claim Did Not Fit the Class Definition
6709	5005601	Proof of Claim Did Not Fit the Class Definition
6710	5005602	Proof of Claim Did Not Fit the Class Definition
6711	5005603	Proof of Claim Did Not Fit the Class Definition
6712	5005604	Proof of Claim Did Not Fit the Class Definition
6713	5005605	Proof of Claim Did Not Fit the Class Definition
6714	5005606	Proof of Claim Did Not Fit the Class Definition
6715	5005607	Proof of Claim Did Not Fit the Class Definition
6716	5005608	Proof of Claim Did Not Fit the Class Definition
6717	5005609	Proof of Claim Did Not Fit the Class Definition
6718	5005610	Proof of Claim Did Not Fit the Class Definition
6719	5005611	Proof of Claim Did Not Result in a Recognized Loss
6720	5005614	Proof of Claim Did Not Result in a Recognized Loss
6721	5005615	Proof of Claim Did Not Fit the Class Definition
6722	5005616	Proof of Claim Did Not Fit the Class Definition
6723	5005617	Proof of Claim Did Not Fit the Class Definition
6724	5005619	Deficient Proof of Claim Never Cured
6725	5005620	Proof of Claim Did Not Fit the Class Definition
6726	5005621	Proof of Claim Did Not Fit the Class Definition
6727	5005622	Proof of Claim Did Not Result in a Recognized Loss
6728	5005623	Proof of Claim Did Not Result in a Recognized Loss
6729	5005626	Proof of Claim Did Not Fit the Class Definition
6730	5005627	Proof of Claim Did Not Fit the Class Definition
6731	5005628	Proof of Claim Did Not Fit the Class Definition
6732	5005629	Proof of Claim Did Not Fit the Class Definition
6733	5005630	Proof of Claim Did Not Fit the Class Definition
6734	5005631	Proof of Claim Did Not Fit the Class Definition
6735	5005632	Proof of Claim Did Not Fit the Class Definition
6736	5005633	Proof of Claim Did Not Fit the Class Definition
6737	5005634	Proof of Claim Did Not Fit the Class Definition
6738	5005635	Proof of Claim Did Not Fit the Class Definition
6739	5005636	Proof of Claim Did Not Fit the Class Definition
6740	5005637	Proof of Claim Did Not Fit the Class Definition
6741	5005638	Proof of Claim Did Not Fit the Class Definition
6742	5005639	Proof of Claim Did Not Fit the Class Definition
6743	5005640	Proof of Claim Did Not Fit the Class Definition
6744	5005641	Proof of Claim Did Not Fit the Class Definition
6745	5005642	Proof of Claim Did Not Fit the Class Definition
6746	5005643	Proof of Claim Did Not Fit the Class Definition
6747	5005644	Proof of Claim Did Not Fit the Class Definition
6748	5005645	Proof of Claim Did Not Fit the Class Definition
6749	5005646	Proof of Claim Did Not Fit the Class Definition
6750	5005647	Proof of Claim Did Not Fit the Class Definition

*Arena Securities Litigation***Rejected or Ineligible Claims****Exhibit C-3**

#	Claim Number	Reason for Rejection
6751	5005648	Proof of Claim Did Not Fit the Class Definition
6752	5005649	Proof of Claim Did Not Fit the Class Definition
6753	5005650	Proof of Claim Did Not Fit the Class Definition
6754	5005651	Proof of Claim Did Not Fit the Class Definition
6755	5005652	Proof of Claim Did Not Fit the Class Definition
6756	5005653	Proof of Claim Did Not Fit the Class Definition
6757	5005654	Proof of Claim Did Not Fit the Class Definition
6758	5005655	Proof of Claim Did Not Fit the Class Definition
6759	5005656	Proof of Claim Did Not Fit the Class Definition
6760	5005657	Proof of Claim Did Not Fit the Class Definition
6761	5005658	Proof of Claim Did Not Fit the Class Definition
6762	5005659	Proof of Claim Did Not Fit the Class Definition
6763	5005660	Proof of Claim Did Not Fit the Class Definition
6764	5005661	Proof of Claim Did Not Fit the Class Definition
6765	5005663	Proof of Claim Did Not Fit the Class Definition
6766	5005664	Proof of Claim Did Not Result in a Recognized Loss
6767	5005667	Proof of Claim Did Not Result in a Recognized Loss
6768	5005669	Proof of Claim Did Not Fit the Class Definition
6769	5005672	Proof of Claim Did Not Fit the Class Definition
6770	5005673	Proof of Claim Did Not Fit the Class Definition
6771	5005674	Proof of Claim Did Not Fit the Class Definition
6772	5005675	Proof of Claim Did Not Fit the Class Definition
6773	5005676	Proof of Claim Did Not Fit the Class Definition
6774	5005677	Proof of Claim Did Not Fit the Class Definition
6775	5005678	Proof of Claim Did Not Fit the Class Definition
6776	5005679	Proof of Claim Did Not Fit the Class Definition
6777	5005680	Proof of Claim Did Not Fit the Class Definition
6778	5005681	Proof of Claim Did Not Fit the Class Definition
6779	5005682	Proof of Claim Did Not Fit the Class Definition
6780	5005683	Proof of Claim Did Not Fit the Class Definition
6781	5005684	Proof of Claim Did Not Fit the Class Definition
6782	5005685	Proof of Claim Did Not Fit the Class Definition
6783	5005686	Proof of Claim Did Not Fit the Class Definition
6784	5005687	Proof of Claim Did Not Fit the Class Definition
6785	5005688	Proof of Claim Did Not Fit the Class Definition
6786	5005689	Proof of Claim Did Not Fit the Class Definition
6787	5005690	Proof of Claim Did Not Fit the Class Definition
6788	5005691	Proof of Claim Did Not Fit the Class Definition
6789	5005692	Proof of Claim Did Not Fit the Class Definition
6790	5005693	Proof of Claim Did Not Fit the Class Definition
6791	5005694	Proof of Claim Did Not Fit the Class Definition
6792	5005695	Proof of Claim Did Not Fit the Class Definition
6793	5005696	Proof of Claim Did Not Fit the Class Definition
6794	5005697	Proof of Claim Did Not Fit the Class Definition
6795	5005698	Proof of Claim Did Not Fit the Class Definition
6796	5005699	Proof of Claim Did Not Fit the Class Definition
6797	5005700	Proof of Claim Did Not Fit the Class Definition
6798	5005701	Proof of Claim Did Not Fit the Class Definition
6799	5005702	Proof of Claim Did Not Fit the Class Definition
6800	5005703	Proof of Claim Did Not Fit the Class Definition

*Arena Securities Litigation***Rejected or Ineligible Claims****Exhibit C-3**

#	Claim Number	Reason for Rejection
6801	5005704	Proof of Claim Did Not Fit the Class Definition
6802	5005705	Proof of Claim Did Not Fit the Class Definition
6803	5005706	Proof of Claim Did Not Fit the Class Definition
6804	5005707	Proof of Claim Did Not Fit the Class Definition
6805	5005708	Proof of Claim Did Not Fit the Class Definition
6806	5005709	Proof of Claim Did Not Fit the Class Definition
6807	5005710	Proof of Claim Did Not Fit the Class Definition
6808	5005711	Proof of Claim Did Not Fit the Class Definition
6809	5005712	Proof of Claim Did Not Fit the Class Definition
6810	5005713	Proof of Claim Did Not Fit the Class Definition
6811	5005714	Proof of Claim Did Not Fit the Class Definition
6812	5005715	Proof of Claim Did Not Fit the Class Definition
6813	5005716	Proof of Claim Did Not Fit the Class Definition
6814	5005717	Proof of Claim Did Not Fit the Class Definition
6815	5005718	Proof of Claim Did Not Fit the Class Definition
6816	5005719	Proof of Claim Did Not Fit the Class Definition
6817	5005720	Proof of Claim Did Not Fit the Class Definition
6818	5005721	Proof of Claim Did Not Fit the Class Definition
6819	5005722	Proof of Claim Did Not Fit the Class Definition
6820	5005723	Proof of Claim Did Not Fit the Class Definition
6821	5005724	Proof of Claim Did Not Fit the Class Definition
6822	5005725	Proof of Claim Did Not Fit the Class Definition
6823	5005726	Proof of Claim Did Not Fit the Class Definition
6824	5005727	Proof of Claim Did Not Fit the Class Definition
6825	5005728	Proof of Claim Did Not Fit the Class Definition
6826	5005729	Proof of Claim Did Not Fit the Class Definition
6827	5005730	Proof of Claim Did Not Fit the Class Definition
6828	5005731	Proof of Claim Did Not Fit the Class Definition
6829	5005732	Proof of Claim Did Not Fit the Class Definition
6830	5005733	Proof of Claim Did Not Fit the Class Definition
6831	5005734	Proof of Claim Did Not Fit the Class Definition
6832	5005735	Deficient Proof of Claim Never Cured
6833	5005736	Deficient Proof of Claim Never Cured
6834	5005737	Deficient Proof of Claim Never Cured
6835	5005738	Deficient Proof of Claim Never Cured
6836	5005739	Deficient Proof of Claim Never Cured
6837	5005740	Deficient Proof of Claim Never Cured
6838	5005741	Deficient Proof of Claim Never Cured
6839	5005742	Deficient Proof of Claim Never Cured
6840	5005743	Deficient Proof of Claim Never Cured
6841	5005744	Deficient Proof of Claim Never Cured
6842	5005745	Deficient Proof of Claim Never Cured
6843	5005746	Deficient Proof of Claim Never Cured
6844	5005747	Deficient Proof of Claim Never Cured
6845	5005748	Deficient Proof of Claim Never Cured
6846	5005749	Deficient Proof of Claim Never Cured
6847	5005750	Deficient Proof of Claim Never Cured
6848	5005751	Deficient Proof of Claim Never Cured
6849	5005752	Deficient Proof of Claim Never Cured
6850	5005753	Deficient Proof of Claim Never Cured

*Arena Securities Litigation***Rejected or Ineligible Claims****Exhibit C-3**

#	Claim Number	Reason for Rejection
6851	5005754	Deficient Proof of Claim Never Cured
6852	5005755	Deficient Proof of Claim Never Cured
6853	5005756	Proof of Claim Did Not Fit the Class Definition
6854	5005757	Proof of Claim Did Not Fit the Class Definition
6855	5005758	Proof of Claim Did Not Fit the Class Definition
6856	5005759	Proof of Claim Did Not Fit the Class Definition
6857	5005760	Proof of Claim Did Not Fit the Class Definition
6858	5005761	Proof of Claim Did Not Fit the Class Definition
6859	5005762	Proof of Claim Did Not Fit the Class Definition
6860	5005763	Proof of Claim Did Not Fit the Class Definition
6861	5005764	Proof of Claim Did Not Fit the Class Definition
6862	5005765	Proof of Claim Did Not Fit the Class Definition
6863	5005766	Proof of Claim Did Not Fit the Class Definition
6864	5005767	Proof of Claim Did Not Fit the Class Definition
6865	5005768	Proof of Claim Did Not Fit the Class Definition
6866	5005769	Proof of Claim Did Not Fit the Class Definition
6867	5005770	Proof of Claim Did Not Fit the Class Definition
6868	5005771	Proof of Claim Did Not Fit the Class Definition
6869	5005772	Proof of Claim Did Not Fit the Class Definition
6870	5005773	Proof of Claim Did Not Fit the Class Definition
6871	5005774	Proof of Claim Did Not Fit the Class Definition
6872	5005775	Proof of Claim Did Not Fit the Class Definition
6873	5005776	Proof of Claim Did Not Fit the Class Definition
6874	5005777	Proof of Claim Did Not Fit the Class Definition
6875	5005778	Proof of Claim Did Not Fit the Class Definition
6876	5005779	Proof of Claim Did Not Fit the Class Definition
6877	5005780	Proof of Claim Did Not Fit the Class Definition
6878	5005781	Proof of Claim Did Not Fit the Class Definition
6879	5005782	Proof of Claim Did Not Fit the Class Definition
6880	5005783	Proof of Claim Did Not Fit the Class Definition
6881	5005784	Proof of Claim Did Not Fit the Class Definition
6882	5005785	Proof of Claim Did Not Fit the Class Definition
6883	5005786	Proof of Claim Did Not Fit the Class Definition
6884	5005787	Proof of Claim Did Not Fit the Class Definition
6885	5005788	Deficient Proof of Claim Never Cured
6886	5005789	Deficient Proof of Claim Never Cured
6887	5005790	Deficient Proof of Claim Never Cured
6888	5005791	Deficient Proof of Claim Never Cured
6889	5005792	Deficient Proof of Claim Never Cured
6890	5005793	Deficient Proof of Claim Never Cured
6891	5005794	Deficient Proof of Claim Never Cured
6892	5005795	Deficient Proof of Claim Never Cured
6893	5005796	Deficient Proof of Claim Never Cured
6894	5005797	Deficient Proof of Claim Never Cured
6895	5005798	Deficient Proof of Claim Never Cured
6896	5005799	Deficient Proof of Claim Never Cured
6897	5005800	Deficient Proof of Claim Never Cured
6898	5005801	Deficient Proof of Claim Never Cured
6899	5005802	Deficient Proof of Claim Never Cured
6900	5005803	Deficient Proof of Claim Never Cured

*Arena Securities Litigation***Rejected or Ineligible Claims****Exhibit C-3**

#	Claim Number	Reason for Rejection
6901	5005804	Deficient Proof of Claim Never Cured
6902	5005805	Deficient Proof of Claim Never Cured
6903	5005806	Deficient Proof of Claim Never Cured
6904	5005807	Deficient Proof of Claim Never Cured
6905	5005808	Deficient Proof of Claim Never Cured
6906	5005809	Deficient Proof of Claim Never Cured
6907	5005810	Deficient Proof of Claim Never Cured
6908	5005811	Deficient Proof of Claim Never Cured
6909	5005812	Deficient Proof of Claim Never Cured
6910	5005813	Deficient Proof of Claim Never Cured
6911	5005814	Deficient Proof of Claim Never Cured
6912	5005815	Deficient Proof of Claim Never Cured
6913	5005816	Deficient Proof of Claim Never Cured
6914	5005817	Deficient Proof of Claim Never Cured
6915	5005818	Deficient Proof of Claim Never Cured
6916	5005819	Deficient Proof of Claim Never Cured
6917	5005820	Deficient Proof of Claim Never Cured
6918	5005821	Deficient Proof of Claim Never Cured
6919	5005822	Deficient Proof of Claim Never Cured
6920	5005823	Deficient Proof of Claim Never Cured
6921	5005824	Deficient Proof of Claim Never Cured
6922	5005825	Deficient Proof of Claim Never Cured
6923	5005826	Deficient Proof of Claim Never Cured
6924	5005827	Deficient Proof of Claim Never Cured
6925	5005828	Deficient Proof of Claim Never Cured
6926	5005829	Deficient Proof of Claim Never Cured
6927	5005830	Deficient Proof of Claim Never Cured
6928	5005831	Deficient Proof of Claim Never Cured
6929	5005833	Proof of Claim Did Not Result in a Recognized Loss
6930	5005834	Deficient Proof of Claim Never Cured
6931	5005835	Proof of Claim Did Not Fit the Class Definition
6932	5005836	Proof of Claim Did Not Fit the Class Definition
6933	5005837	Proof of Claim Did Not Fit the Class Definition
6934	5005838	Proof of Claim Did Not Fit the Class Definition
6935	5005839	Proof of Claim Did Not Result in a Recognized Loss
6936	5005840	Proof of Claim Did Not Result in a Recognized Loss
6937	5005841	Proof of Claim Did Not Result in a Recognized Loss
6938	5005842	Proof of Claim Did Not Result in a Recognized Loss
6939	5005843	Proof of Claim Did Not Result in a Recognized Loss
6940	5005844	Proof of Claim Did Not Result in a Recognized Loss
6941	5005845	Proof of Claim Did Not Result in a Recognized Loss
6942	5005846	Proof of Claim Did Not Result in a Recognized Loss
6943	5005847	Proof of Claim Did Not Result in a Recognized Loss
6944	5005848	Proof of Claim Did Not Fit the Class Definition
6945	5005849	Proof of Claim Did Not Result in a Recognized Loss
6946	5005850	Proof of Claim Did Not Result in a Recognized Loss
6947	5005855	Deficient Proof of Claim Never Cured
6948	5005856	Proof of Claim Did Not Fit the Class Definition
6949	5005858	Proof of Claim Did Not Result in a Recognized Loss
6950	5005859	Proof of Claim Did Not Result in a Recognized Loss

*Arena Securities Litigation***Rejected or Ineligible Claims****Exhibit C-3**

#	Claim Number	Reason for Rejection
6951	5005860	Proof of Claim Did Not Result in a Recognized Loss
6952	5005861	Proof of Claim Did Not Result in a Recognized Loss
6953	5005862	Proof of Claim Did Not Result in a Recognized Loss
6954	5005863	Proof of Claim Did Not Result in a Recognized Loss
6955	5005864	Proof of Claim Did Not Result in a Recognized Loss
6956	5005865	Proof of Claim Did Not Result in a Recognized Loss
6957	5005866	Proof of Claim Did Not Result in a Recognized Loss
6958	5005867	Proof of Claim Did Not Result in a Recognized Loss
6959	5005868	Proof of Claim Did Not Result in a Recognized Loss
6960	5005869	Proof of Claim Did Not Result in a Recognized Loss
6961	5005870	Proof of Claim Did Not Result in a Recognized Loss
6962	5005871	Proof of Claim Did Not Result in a Recognized Loss
6963	5005872	Proof of Claim Did Not Result in a Recognized Loss
6964	5005873	Proof of Claim Did Not Result in a Recognized Loss
6965	5005874	Proof of Claim Did Not Result in a Recognized Loss
6966	5005875	Proof of Claim Did Not Result in a Recognized Loss
6967	5005876	Proof of Claim Did Not Result in a Recognized Loss
6968	5005877	Proof of Claim Did Not Result in a Recognized Loss
6969	5005878	Proof of Claim Did Not Result in a Recognized Loss
6970	5005879	Proof of Claim Did Not Result in a Recognized Loss
6971	5005880	Proof of Claim Did Not Result in a Recognized Loss
6972	5005881	Proof of Claim Did Not Result in a Recognized Loss
6973	5005882	Proof of Claim Did Not Result in a Recognized Loss
6974	5005883	Proof of Claim Did Not Result in a Recognized Loss
6975	5005884	Proof of Claim Did Not Result in a Recognized Loss
6976	5005885	Proof of Claim Did Not Result in a Recognized Loss
6977	5005886	Proof of Claim Did Not Result in a Recognized Loss
6978	5005887	Proof of Claim Did Not Result in a Recognized Loss
6979	5005888	Proof of Claim Did Not Result in a Recognized Loss
6980	5005889	Proof of Claim Did Not Result in a Recognized Loss
6981	5005890	Proof of Claim Did Not Result in a Recognized Loss
6982	5005891	Proof of Claim Did Not Result in a Recognized Loss
6983	5005892	Proof of Claim Did Not Result in a Recognized Loss
6984	5005893	Proof of Claim Did Not Result in a Recognized Loss
6985	5005894	Proof of Claim Did Not Result in a Recognized Loss
6986	5005895	Proof of Claim Did Not Result in a Recognized Loss
6987	5005896	Proof of Claim Did Not Result in a Recognized Loss
6988	5005897	Proof of Claim Did Not Result in a Recognized Loss
6989	5005898	Proof of Claim Did Not Result in a Recognized Loss
6990	5005899	Proof of Claim Did Not Result in a Recognized Loss
6991	5005900	Proof of Claim Did Not Result in a Recognized Loss
6992	5005901	Proof of Claim Did Not Result in a Recognized Loss
6993	5005902	Proof of Claim Did Not Result in a Recognized Loss
6994	5005903	Proof of Claim Did Not Result in a Recognized Loss
6995	5005904	Proof of Claim Did Not Result in a Recognized Loss
6996	5005905	Proof of Claim Did Not Result in a Recognized Loss
6997	5005906	Proof of Claim Did Not Result in a Recognized Loss
6998	5005907	Proof of Claim Did Not Result in a Recognized Loss
6999	5005908	Proof of Claim Did Not Result in a Recognized Loss
7000	5005909	Proof of Claim Did Not Result in a Recognized Loss

*Arena Securities Litigation***Rejected or Ineligible Claims****Exhibit C-3**

#	Claim Number	Reason for Rejection
7001	5005910	Proof of Claim Did Not Result in a Recognized Loss
7002	5005911	Proof of Claim Did Not Result in a Recognized Loss
7003	5005912	Proof of Claim Did Not Result in a Recognized Loss
7004	5005913	Proof of Claim Did Not Result in a Recognized Loss
7005	5005914	Proof of Claim Did Not Result in a Recognized Loss
7006	5005916	Proof of Claim Did Not Result in a Recognized Loss
7007	5005917	Proof of Claim Did Not Result in a Recognized Loss
7008	5005918	Proof of Claim Did Not Result in a Recognized Loss
7009	5005920	Proof of Claim Did Not Result in a Recognized Loss
7010	5005922	Proof of Claim Did Not Result in a Recognized Loss
7011	5005923	Proof of Claim Did Not Result in a Recognized Loss
7012	5005924	Proof of Claim Did Not Result in a Recognized Loss
7013	5005925	Proof of Claim Did Not Result in a Recognized Loss
7014	5005926	Deficient Proof of Claim Never Cured
7015	5005928	Proof of Claim Did Not Result in a Recognized Loss
7016	5005929	Proof of Claim Did Not Result in a Recognized Loss
7017	5005930	Proof of Claim Did Not Result in a Recognized Loss
7018	5005931	Proof of Claim Did Not Result in a Recognized Loss
7019	5005932	Proof of Claim Did Not Result in a Recognized Loss
7020	5005933	Proof of Claim Did Not Result in a Recognized Loss
7021	5005934	Proof of Claim Did Not Result in a Recognized Loss
7022	5005935	Proof of Claim Did Not Result in a Recognized Loss
7023	5005936	Proof of Claim Did Not Result in a Recognized Loss
7024	5005938	Proof of Claim Did Not Result in a Recognized Loss
7025	5005939	Proof of Claim Did Not Result in a Recognized Loss
7026	5005940	Proof of Claim Did Not Result in a Recognized Loss
7027	5005941	Proof of Claim Did Not Result in a Recognized Loss
7028	5005942	Proof of Claim Did Not Result in a Recognized Loss
7029	5005943	Proof of Claim Did Not Result in a Recognized Loss
7030	5005944	Proof of Claim Did Not Result in a Recognized Loss
7031	5005945	Proof of Claim Did Not Result in a Recognized Loss
7032	5005946	Proof of Claim Did Not Result in a Recognized Loss
7033	5005947	Proof of Claim Did Not Result in a Recognized Loss
7034	5005948	Proof of Claim Did Not Result in a Recognized Loss
7035	5005949	Deficient Proof of Claim Never Cured
7036	5005950	Proof of Claim Did Not Result in a Recognized Loss
7037	5005953	Proof of Claim Did Not Result in a Recognized Loss
7038	5005954	Proof of Claim Did Not Result in a Recognized Loss
7039	5005955	Proof of Claim Did Not Result in a Recognized Loss
7040	5005956	Proof of Claim Did Not Result in a Recognized Loss
7041	5005957	Proof of Claim Did Not Result in a Recognized Loss
7042	5005958	Proof of Claim Did Not Result in a Recognized Loss
7043	5005959	Proof of Claim Did Not Result in a Recognized Loss
7044	5005961	Proof of Claim Did Not Result in a Recognized Loss
7045	5005962	Deficient Proof of Claim Never Cured
7046	5005963	Proof of Claim Did Not Fit the Class Definition
7047	5005965	Proof of Claim Did Not Result in a Recognized Loss
7048	5005966	Proof of Claim Did Not Result in a Recognized Loss
7049	5005967	Proof of Claim Did Not Result in a Recognized Loss
7050	5005968	Proof of Claim Did Not Result in a Recognized Loss

*Arena Securities Litigation***Rejected or Ineligible Claims****Exhibit C-3**

#	Claim Number	Reason for Rejection
7051	5005969	Proof of Claim Did Not Result in a Recognized Loss
7052	5005970	Proof of Claim Did Not Result in a Recognized Loss
7053	5005971	Proof of Claim Did Not Result in a Recognized Loss
7054	5005972	Proof of Claim Did Not Result in a Recognized Loss
7055	5005973	Proof of Claim Did Not Result in a Recognized Loss
7056	5005974	Proof of Claim Did Not Result in a Recognized Loss
7057	5005975	Proof of Claim Did Not Fit the Class Definition
7058	5005976	Proof of Claim Did Not Result in a Recognized Loss
7059	5005977	Proof of Claim Did Not Result in a Recognized Loss
7060	5005978	Proof of Claim Did Not Result in a Recognized Loss
7061	5005979	Proof of Claim Did Not Result in a Recognized Loss
7062	5005980	Deficient Proof of Claim Never Cured
7063	5005981	Deficient Proof of Claim Never Cured
7064	5005982	Proof of Claim Did Not Result in a Recognized Loss
7065	5005983	Proof of Claim Did Not Result in a Recognized Loss
7066	5005984	Proof of Claim Did Not Result in a Recognized Loss
7067	5005985	Proof of Claim Did Not Result in a Recognized Loss
7068	5005986	Duplicate Proof of Claim
7069	5005987	Duplicate Proof of Claim
7070	5005988	Proof of Claim Did Not Result in a Recognized Loss
7071	5005989	Proof of Claim Did Not Result in a Recognized Loss
7072	10000015	Deficient Proof of Claim Never Cured
7073	10000020	Proof of Claim Did Not Result in a Recognized Loss
7074	10000021	Proof of Claim Did Not Result in a Recognized Loss
7075	10000022	Proof of Claim Did Not Result in a Recognized Loss
7076	10000032	Deficient Proof of Claim Never Cured
7077	10000037	Proof of Claim Did Not Fit the Class Definition
7078	10000038	Deficient Proof of Claim Never Cured
7079	10000045	Proof of Claim Did Not Fit the Class Definition
7080	10000047	Proof of Claim Did Not Result in a Recognized Loss
7081	10000061	Proof of Claim Did Not Fit the Class Definition
7082	10000063	Proof of Claim Did Not Result in a Recognized Loss
7083	10000066	Proof of Claim Did Not Fit the Class Definition
7084	10000067	Proof of Claim Did Not Fit the Class Definition
7085	10000072	Proof of Claim Did Not Result in a Recognized Loss
7086	10000074	Proof of Claim Did Not Result in a Recognized Loss
7087	10000077	Proof of Claim Did Not Result in a Recognized Loss
7088	10000084	Proof of Claim Did Not Result in a Recognized Loss
7089	10000092	Proof of Claim Did Not Result in a Recognized Loss
7090	10000093	Proof of Claim Did Not Fit the Class Definition
7091	10000094	Proof of Claim Did Not Fit the Class Definition
7092	10000095	Deficient Proof of Claim Never Cured
7093	10000100	Deficient Proof of Claim Never Cured
7094	10000104	Deficient Proof of Claim Never Cured
7095	10000110	Deficient Proof of Claim Never Cured
7096	10000111	Deficient Proof of Claim Never Cured
7097	10000116	Proof of Claim Did Not Result in a Recognized Loss
7098	10000117	Deficient Proof of Claim Never Cured
7099	10000118	Proof of Claim Did Not Result in a Recognized Loss
7100	10000119	Deficient Proof of Claim Never Cured

*Arena Securities Litigation***Rejected or Ineligible Claims****Exhibit C-3**

#	Claim Number	Reason for Rejection
7101	10000120	Deficient Proof of Claim Never Cured
7102	10000121	Deficient Proof of Claim Never Cured
7103	10000123	Deficient Proof of Claim Never Cured
7104	10000124	Proof of Claim Did Not Result in a Recognized Loss
7105	10000128	Proof of Claim Did Not Result in a Recognized Loss
7106	10000130	Proof of Claim Did Not Result in a Recognized Loss
7107	10000131	Deficient Proof of Claim Never Cured
7108	10000136	Deficient Proof of Claim Never Cured
7109	10000142	Proof of Claim Did Not Result in a Recognized Loss
7110	10000145	Proof of Claim Did Not Fit the Class Definition
7111	10000148	Proof of Claim Did Not Result in a Recognized Loss
7112	10000151	Proof of Claim Did Not Fit the Class Definition
7113	10000156	Duplicate Proof of Claim
7114	10000164	Duplicate Proof of Claim
7115	10000175	Proof of Claim Did Not Result in a Recognized Loss
7116	10000178	Duplicate Proof of Claim
7117	10000190	Deficient Proof of Claim Never Cured
7118	10000197	Deficient Proof of Claim Never Cured
7119	10000205	Proof of Claim Did Not Result in a Recognized Loss
7120	10000206	Deficient Proof of Claim Never Cured
7121	10000209	Proof of Claim Did Not Fit the Class Definition
7122	10000213	Proof of Claim Did Not Result in a Recognized Loss
7123	10000214	Deficient Proof of Claim Never Cured
7124	10000217	Proof of Claim Did Not Result in a Recognized Loss
7125	10000218	Proof of Claim Did Not Result in a Recognized Loss
7126	10000219	Deficient Proof of Claim Never Cured
7127	10000220	Proof of Claim Did Not Result in a Recognized Loss
7128	10000221	Proof of Claim Did Not Result in a Recognized Loss
7129	10000230	Proof of Claim Did Not Result in a Recognized Loss
7130	10000233	Deficient Proof of Claim Never Cured
7131	10000239	Deficient Proof of Claim Never Cured
7132	10000242	Proof of Claim Did Not Result in a Recognized Loss
7133	10000254	Proof of Claim Did Not Result in a Recognized Loss
7134	10000257	Deficient Proof of Claim Never Cured
7135	10000260	Deficient Proof of Claim Never Cured
7136	10000261	Proof of Claim Did Not Result in a Recognized Loss
7137	10000263	Proof of Claim Did Not Result in a Recognized Loss
7138	10000264	Deficient Proof of Claim Never Cured
7139	10000265	Proof of Claim Did Not Result in a Recognized Loss
7140	10000267	Proof of Claim Did Not Result in a Recognized Loss
7141	10000268	Proof of Claim Did Not Result in a Recognized Loss
7142	10000269	Proof of Claim Did Not Result in a Recognized Loss
7143	10000271	Proof of Claim Did Not Result in a Recognized Loss
7144	10000273	Proof of Claim Did Not Result in a Recognized Loss
7145	10000274	Proof of Claim Did Not Result in a Recognized Loss
7146	10000276	Proof of Claim Did Not Result in a Recognized Loss
7147	10000280	Proof of Claim Did Not Result in a Recognized Loss
7148	10000282	Proof of Claim Did Not Fit the Class Definition
7149	10000283	Proof of Claim Did Not Fit the Class Definition
7150	10000285	Proof of Claim Did Not Result in a Recognized Loss

*Arena Securities Litigation***Rejected or Ineligible Claims****Exhibit C-3**

#	Claim Number	Reason for Rejection
7151	10000286	Proof of Claim Did Not Result in a Recognized Loss
7152	10000287	Proof of Claim Did Not Result in a Recognized Loss
7153	10000288	Proof of Claim Did Not Result in a Recognized Loss
7154	10000289	Proof of Claim Did Not Result in a Recognized Loss
7155	10000290	Proof of Claim Did Not Result in a Recognized Loss
7156	10000291	Proof of Claim Did Not Result in a Recognized Loss
7157	10000292	Deficient Proof of Claim Never Cured
7158	10000294	Proof of Claim Did Not Result in a Recognized Loss
7159	10000296	Proof of Claim Did Not Result in a Recognized Loss
7160	10000297	Proof of Claim Did Not Result in a Recognized Loss
7161	10000298	Deficient Proof of Claim Never Cured
7162	10000299	Deficient Proof of Claim Never Cured
7163	10000300	Proof of Claim Did Not Result in a Recognized Loss
7164	10000301	Deficient Proof of Claim Never Cured
7165	10000302	Deficient Proof of Claim Never Cured
7166	10000304	Proof of Claim Did Not Result in a Recognized Loss
7167	10000305	Proof of Claim Did Not Result in a Recognized Loss
7168	10000306	Deficient Proof of Claim Never Cured
7169	10000307	Proof of Claim Did Not Result in a Recognized Loss
7170	10000308	Deficient Proof of Claim Never Cured
7171	10000309	Proof of Claim Did Not Result in a Recognized Loss
7172	10000310	Deficient Proof of Claim Never Cured
7173	10000311	Proof of Claim Did Not Result in a Recognized Loss
7174	10000312	Deficient Proof of Claim Never Cured
7175	10000313	Proof of Claim Did Not Result in a Recognized Loss
7176	10000314	Deficient Proof of Claim Never Cured
7177	10000315	Proof of Claim Did Not Result in a Recognized Loss
7178	10000317	Deficient Proof of Claim Never Cured
7179	10000318	Proof of Claim Did Not Result in a Recognized Loss
7180	10000320	Proof of Claim Did Not Result in a Recognized Loss
7181	10000322	Proof of Claim Did Not Result in a Recognized Loss
7182	10000323	Proof of Claim Did Not Result in a Recognized Loss
7183	10000324	Deficient Proof of Claim Never Cured
7184	10000325	Deficient Proof of Claim Never Cured
7185	10000326	Proof of Claim Did Not Result in a Recognized Loss
7186	10000327	Proof of Claim Did Not Result in a Recognized Loss
7187	10000328	Proof of Claim Did Not Result in a Recognized Loss
7188	10000329	Deficient Proof of Claim Never Cured
7189	10000331	Deficient Proof of Claim Never Cured
7190	10000335	Proof of Claim Did Not Result in a Recognized Loss
7191	10000336	Deficient Proof of Claim Never Cured
7192	10000337	Proof of Claim Did Not Result in a Recognized Loss
7193	10000338	Deficient Proof of Claim Never Cured
7194	10000341	Deficient Proof of Claim Never Cured
7195	10000344	Proof of Claim Did Not Result in a Recognized Loss

Exhibit D

**INVOICE**

INVOICE DATE	INVOICE NUMBER
3/9/2018	23661
PERIOD START	THROUGH DATE
11/3/2017	1/31/2018

Jeffrey P. Campisi, Esq.
Kaplan Fox & Kilsheimer LLP
850 Third Avenue
New York, NY 10022

Project Name: Schueneman v. Arena Pharmaceuticals, Inc.

Description	Quantity	Rate	Amount
Fees			
Notice Dissemination			
Printing of 20 pg. Notice and 8 pg. Proof of Claim form	139,000	\$0.66	\$91,740.00
Remails	194	\$0.65	\$126.10
Imaging, Document Management & Storage			
Scan Mail (per img.)	11,118	\$0.12	\$1,334.16
Document Storage - Paper (per box/per month)	47	\$1.50	\$70.50
Document Storage - Electronic (per img./record per month)	153,095	\$0.008	\$1,224.76
Claim Validation			
Individual Paper Claims - up to 5 transactions	10	\$3.50	\$35.00
Individual Paper Claims - over 5 transactions	6	\$4.00	\$24.00
Online Claims	115	\$2.25	\$258.75
Contact Services			
IVR (per minute)	2,373	\$0.39	\$925.47
CSR/Live Operator including transcriptions of recorded messages (per minute)	2,304	\$0.95	\$2,188.80
Handling of class member communications	146.3 Hrs.		\$8,339.10
Website Services			
Website Setup & Design (incl. electronic claim filing capacity)			\$7,500.00
Monthly maintenance charge	2	\$100.00	\$200.00
Website updates	0.2 Hrs.		\$25.00
Total Fees			\$113,991.64
Total Project Expenses (See Exhibit A)			\$41,543.90
Sub Total			\$155,535.54
Less Fee Discount			(\$4,450.01)
Grand Total			\$151,085.53

**EXHIBIT A**

Project Name: Schueneman v. Arena Pharmaceuticals, Inc.	
Description	Amount
<u>Project Expenses</u>	
For the period: Nov 03, 2017 through Jan 31, 2018	
Broker Fees	\$1,819.82
Postage	\$35,833.91
FedEx, Messenger & Shipping	\$2,349.14
P. O. Box Rental/Renewal	\$1,300.00
Transportation	\$3.75
Domain Registration	\$237.28
Total	\$41,543.90

Please Remit To :	
Garden City Group, LLC 1985 Marcus Avenue, Suite 200 Lake Success, NY 11042	<p>-Or-</p> <p>Garden City Group, LLC Operating A/C Signature Bank 900 Stewart Ave., 3rd Floor Garden City, NY 11530</p> <p>ABA # - 026013576 A /C # - 1501168781 Tax ID # - 58-0506554 Swift Code - SIGNUS33</p>

Report - SAP - Schueneman v. Arena Pharmaceuticals, Inc.
(by Task, Title, Date)

Period from 11/3/2017 to 1/31/2018

SAP

SAP - Schueneman v. Arena Pharmaceuticals, Inc.

Title	Rate	Date	Hours	Amount
CSR/Live Operator including transcriptions of recorded messages (per minute)				
Call Center Agent	\$57.00	12/26/2017	0.2	\$11.40
Call Center Agent	\$57.00	01/04/2018	0.6	\$34.20
Call Center Agent	\$57.00	01/05/2018	0.2	\$11.40
Call Center Agent	\$57.00	01/10/2018	0.4	\$22.80
Call Center Agent	\$57.00	01/17/2018	0.1	\$5.70
Call Center Agent	\$57.00	01/19/2018	0.1	\$5.70
Call Center Agent	\$57.00	01/22/2018	0.1	\$5.70
Call Center Agent	\$57.00	01/23/2018	0.1	\$5.70
Call Center Agent	\$57.00	01/24/2018	0.4	\$22.80
Call Center Agent	\$57.00	01/29/2018	0.7	\$39.90
Call Center Agent	\$57.00	01/31/2018	0.5	\$28.50
Call Center Agent	\$57.00	01/22/2018	0.3	\$17.10
Call Center Agent	\$57.00	12/12/2017	1.3	\$74.10
Call Center Agent	\$57.00	01/10/2018	0.8	\$45.60
Call Center Agent	\$57.00	01/11/2018	0.1	\$5.70
Call Center Agent	\$57.00	01/16/2018	0.3	\$17.10
Call Center Agent	\$57.00	01/17/2018	0.1	\$5.70
Call Center Agent	\$57.00	01/22/2018	0.2	\$11.40
Call Center Agent	\$57.00	01/30/2018	0.5	\$28.50
Call Center Agent	\$57.00	01/31/2018	0.1	\$5.70
Call Center Agent	\$57.00	12/13/2017	0.1	\$5.70
Call Center Agent	\$57.00	01/09/2018	0.1	\$5.70
Call Center Agent	\$57.00	01/23/2018	0.1	\$5.70
Call Center Agent	\$57.00	01/24/2018	0.1	\$5.70
Call Center Agent	\$57.00	01/25/2018	0.1	\$5.70
Call Center Agent	\$57.00	01/26/2018	0.3	\$17.10
Call Center Agent	\$57.00	11/14/2017	0.1	\$5.70
Call Center Agent	\$57.00	01/03/2018	0.2	\$11.40
Call Center Agent	\$57.00	01/06/2018	0.1	\$5.70
Call Center Agent	\$57.00	01/09/2018	0.1	\$5.70
Call Center Agent	\$57.00	01/10/2018	0.1	\$5.70
Call Center Agent	\$57.00	01/11/2018	0.1	\$5.70
Call Center Agent	\$57.00	01/15/2018	0.1	\$5.70
Call Center Agent	\$57.00	01/19/2018	0.6	\$34.20
Call Center Agent	\$57.00	01/25/2018	0.3	\$17.10
Call Center Agent	\$57.00	01/26/2018	0.1	\$5.70
Call Center Agent	\$57.00	01/30/2018	0.3	\$17.10
Call Center Agent	\$57.00	01/31/2018	0.4	\$22.80
Call Center Agent	\$57.00	01/03/2018	0.1	\$5.70
Call Center Agent	\$57.00	01/17/2018	0.4	\$22.80
Call Center Agent	\$57.00	01/22/2018	0.4	\$22.80
Call Center Agent	\$57.00	01/23/2018	0.7	\$39.90
Call Center Agent	\$57.00	01/24/2018	0.1	\$5.70
Call Center Agent	\$57.00	01/03/2018	0.8	\$45.60

Report - SAP - Schueneman v. Arena Pharmaceuticals, Inc.
(by Task, Title, Date)

Period from 11/3/2017 to 1/31/2018

SAP

SAP - Schueneman v. Arena Pharmaceuticals, Inc.

Title	Rate	Date	Hours	Amount
Call Center Agent	\$57.00	01/04/2018	0.2	\$11.40
Call Center Agent	\$57.00	01/05/2018	0.3	\$17.10
Call Center Agent	\$57.00	01/10/2018	0.6	\$34.20
Call Center Agent	\$57.00	01/15/2018	0.2	\$11.40
Call Center Agent	\$57.00	01/17/2018	0.6	\$34.20
Call Center Agent	\$57.00	01/18/2018	0.1	\$5.70
Call Center Agent	\$57.00	01/19/2018	0.4	\$22.80
Call Center Agent	\$57.00	01/22/2018	0.6	\$34.20
Call Center Agent	\$57.00	01/04/2018	0.3	\$17.10
Call Center Agent	\$57.00	01/05/2018	0.1	\$5.70
Call Center Agent	\$57.00	01/16/2018	0.1	\$5.70
Call Center Agent	\$57.00	01/17/2018	0.3	\$17.10
Call Center Agent	\$57.00	01/19/2018	0.3	\$17.10
Call Center Agent	\$57.00	01/22/2018	0.6	\$34.20
Call Center Agent	\$57.00	01/24/2018	0.1	\$5.70
Call Center Agent	\$57.00	01/26/2018	0.1	\$5.70
Call Center Agent	\$57.00	01/29/2018	0.1	\$5.70
Call Center Agent	\$57.00	01/31/2018	0.4	\$22.80
Call Center Agent	\$57.00	12/13/2017	0.7	\$39.90
Call Center Agent	\$57.00	01/09/2018	0.1	\$5.70
Call Center Agent	\$57.00	01/16/2018	0.2	\$11.40
Call Center Agent	\$57.00	01/17/2018	0.2	\$11.40
Call Center Agent	\$57.00	01/19/2018	0.3	\$17.10
Call Center Agent	\$57.00	01/22/2018	0.1	\$5.70
Call Center Agent	\$57.00	01/23/2018	0.2	\$11.40
Call Center Agent	\$57.00	01/25/2018	0.3	\$17.10
Call Center Agent	\$57.00	01/26/2018	0.2	\$11.40
Call Center Agent	\$57.00	01/30/2018	0.2	\$11.40
Call Center Agent	\$57.00	01/31/2018	0.2	\$11.40
Call Center Agent	\$57.00	01/11/2018	0.6	\$34.20
Call Center Agent	\$57.00	01/16/2018	0.1	\$5.70
Call Center Agent	\$57.00	01/17/2018	0.5	\$28.50
Call Center Agent	\$57.00	01/19/2018	0.5	\$28.50
Call Center Agent	\$57.00	01/22/2018	0.5	\$28.50
Call Center Agent	\$57.00	01/23/2018	0.3	\$17.10
Call Center Agent	\$57.00	01/24/2018	0.8	\$45.60
Call Center Agent	\$57.00	01/25/2018	0.2	\$11.40
Call Center Agent	\$57.00	01/26/2018	0.3	\$17.10
Call Center Agent	\$57.00	01/29/2018	0.2	\$11.40
Call Center Agent	\$57.00	01/30/2018	0.2	\$11.40
Call Center Agent	\$57.00	12/18/2017	0.2	\$11.40
Call Center Agent	\$57.00	01/05/2018	0.3	\$17.10
Call Center Agent	\$57.00	01/12/2018	0.2	\$11.40
Call Center Agent	\$57.00	01/15/2018	0.1	\$5.70
Call Center Agent	\$57.00	01/17/2018	0.1	\$5.70
Call Center Agent	\$57.00	01/02/2018	1.0	\$57.00

Report - SAP - Schueneman v. Arena Pharmaceuticals, Inc.
(by Task, Title, Date)

Period from 11/3/2017 to 1/31/2018

SAP

SAP - Schueneman v. Arena Pharmaceuticals, Inc.

Title	Rate	Date	Hours	Amount
Call Center Agent	\$57.00	01/12/2018	0.3	\$17.10
Call Center Agent	\$57.00	01/17/2018	0.1	\$5.70
Call Center Agent	\$57.00	01/18/2018	0.4	\$22.80
Call Center Agent	\$57.00	01/22/2018	0.5	\$28.50
Call Center Agent	\$57.00	01/24/2018	0.1	\$5.70
Call Center Agent	\$57.00	01/29/2018	0.1	\$5.70
Call Center Agent	\$57.00	01/30/2018	0.2	\$11.40
Call Center Agent	\$57.00	12/15/2017	0.1	\$5.70
Call Center Supervisor	\$57.00	01/22/2018	0.1	\$5.70
Call Center Supervisor	\$57.00	01/24/2018	0.2	\$11.40
Call Center Supervisor	\$57.00	01/25/2018	0.1	\$5.70
Call Center Agent	\$57.00	12/14/2017	3.6	\$205.20
Call Center Agent	\$57.00	01/05/2018	0.3	\$17.10
Call Center Agent	\$57.00	01/08/2018	0.3	\$17.10
Call Center Agent	\$57.00	01/09/2018	0.2	\$11.40
Call Center Agent	\$57.00	01/10/2018	0.1	\$5.70
Call Center Agent	\$57.00	01/23/2018	0.2	\$11.40
Call Center Agent	\$57.00	01/31/2018	0.2	\$11.40
Call Center Agent	\$57.00	01/24/2018	0.3	\$17.10
Call Center Agent	\$57.00	01/30/2018	0.1	\$5.70
Call Center Agent	\$57.00	01/31/2018	0.2	\$11.40
Call Center Agent	\$57.00	01/10/2018	0.3	\$17.10
Call Center Agent	\$57.00	01/11/2018	0.3	\$17.10
Call Center Agent	\$57.00	01/17/2018	0.2	\$11.40
Call Center Agent	\$57.00	01/19/2018	0.7	\$39.90
Call Center Agent	\$57.00	01/22/2018	0.2	\$11.40
Call Center Agent	\$57.00	01/30/2018	0.2	\$11.40
Call Center Agent	\$57.00	01/03/2018	0.1	\$5.70
Call Center Agent	\$57.00	01/16/2018	0.1	\$5.70
Call Center Agent	\$57.00	01/18/2018	0.1	\$5.70
Call Center Agent	\$57.00	01/22/2018	0.3	\$17.10
Call Center Agent	\$57.00	01/25/2018	0.8	\$45.60
Call Center Agent	\$57.00	01/29/2018	0.2	\$11.40
Call Center Agent	\$57.00	01/30/2018	0.1	\$5.70
Sub Total:			38.4	\$2,188.80

Handling of class member communications

Director, Operations	\$57.00	12/13/2017	0.2	\$11.40
Director, Operations	\$57.00	12/26/2017	0.5	\$28.50
Director, Operations	\$57.00	12/28/2017	0.5	\$28.50
Director, Operations	\$57.00	01/22/2018	0.3	\$17.10
Director, Operations	\$57.00	01/29/2018	0.4	\$22.80
Sr. Claims Control Clerk	\$57.00	12/19/2017	0.2	\$11.40
Sr. Claims Control Clerk	\$57.00	12/20/2017	0.2	\$11.40
Sr. Claims Control Clerk	\$57.00	01/12/2018	0.1	\$5.70
Project Administrator	\$57.00	01/29/2018	5.0	\$285.00

Report - SAP - Schueneman v. Arena Pharmaceuticals, Inc.
(by Task, Title, Date)

Period from 11/3/2017 to 1/31/2018

SAP

SAP - Schueneman v. Arena Pharmaceuticals, Inc.

Title	Rate	Date	Hours	Amount
Project Administrator	\$57.00	01/30/2018	5.0	\$285.00
Project Administrator	\$57.00	01/31/2018	5.0	\$285.00
Project Manager I	\$57.00	01/03/2018	0.3	\$17.10
Project Manager I	\$57.00	01/04/2018	0.3	\$17.10
Project Manager I	\$57.00	01/09/2018	0.6	\$34.20
Project Manager I	\$57.00	01/17/2018	0.5	\$28.50
Project Manager I	\$57.00	01/19/2018	0.4	\$22.80
Project Manager I	\$57.00	01/22/2018	1.0	\$57.00
Project Manager I	\$57.00	01/26/2018	0.6	\$34.20
Project Manager I	\$57.00	01/29/2018	0.3	\$17.10
Project Manager I	\$57.00	01/30/2018	0.4	\$22.80
Director, Operations	\$57.00	01/31/2018	0.2	\$11.40
Project Supervisor	\$57.00	01/02/2018	0.2	\$11.40
Project Supervisor	\$57.00	01/12/2018	0.3	\$17.10
Project Supervisor	\$57.00	01/19/2018	1.6	\$91.20
Project Supervisor	\$57.00	01/22/2018	0.4	\$22.80
Project Supervisor	\$57.00	01/23/2018	1.1	\$62.70
Project Supervisor	\$57.00	01/24/2018	2.2	\$125.40
Project Supervisor	\$57.00	01/25/2018	1.5	\$85.50
Project Supervisor	\$57.00	01/26/2018	0.6	\$34.20
Project Supervisor	\$57.00	01/31/2018	0.1	\$5.70
Sr. Director, Operations	\$57.00	01/24/2018	0.4	\$22.80
Sr. Director, Operations	\$57.00	01/25/2018	0.6	\$34.20
Sr. Director, Operations	\$57.00	01/26/2018	0.3	\$17.10
Sr. Director, Operations	\$57.00	01/31/2018	0.1	\$5.70
Data Analyst III	\$57.00	12/19/2017	1.3	\$74.10
Data Analyst III	\$57.00	12/20/2017	0.2	\$11.40
Data Analyst III	\$57.00	12/21/2017	0.9	\$51.30
Claims Control Clerk	\$57.00	12/19/2017	0.1	\$5.70
Claims Control Clerk	\$57.00	12/20/2017	0.1	\$5.70
Project Manager I	\$57.00	01/02/2018	0.8	\$45.60
Project Manager I	\$57.00	01/04/2018	1.2	\$68.40
Project Manager I	\$57.00	01/09/2018	0.6	\$34.20
Project Manager I	\$57.00	01/10/2018	0.4	\$22.80
Project Manager I	\$57.00	01/11/2018	0.6	\$34.20
Ass't Project Supervisor	\$57.00	12/19/2017	0.8	\$45.60
Ass't Project Supervisor	\$57.00	12/20/2017	0.4	\$22.80
Ass't Project Supervisor	\$57.00	12/21/2017	0.6	\$34.20
Ass't Project Supervisor	\$57.00	12/22/2017	1.4	\$79.80
Ass't Project Supervisor	\$57.00	12/26/2017	2.7	\$153.90
Ass't Project Supervisor	\$57.00	12/27/2017	4.3	\$245.10
Ass't Project Supervisor	\$57.00	12/28/2017	0.7	\$39.90
Ass't Project Supervisor	\$57.00	12/29/2017	1.4	\$79.80
Ass't Project Supervisor	\$57.00	01/02/2018	1.5	\$85.50
Ass't Project Supervisor	\$57.00	01/03/2018	1.4	\$79.80
Ass't Project Supervisor	\$57.00	01/04/2018	2.7	\$153.90

Report - SAP - Schueneman v. Arena Pharmaceuticals, Inc.
(by Task, Title, Date)

Period from 11/3/2017 to 1/31/2018

SAP

SAP - Schueneman v. Arena Pharmaceuticals, Inc.

Title	Rate	Date	Hours	Amount
Ass't Project Supervisor	\$57.00	01/12/2018	0.2	\$11.40
Project Administrator	\$57.00	01/18/2018	0.2	\$11.40
Project Administrator	\$57.00	01/25/2018	0.1	\$5.70
Project Supervisor	\$57.00	12/29/2017	0.7	\$39.90
Project Supervisor	\$57.00	01/02/2018	0.2	\$11.40
Project Supervisor	\$57.00	01/03/2018	0.3	\$17.10
Sr. Project Manager	\$57.00	01/04/2018	0.2	\$11.40
Sr. Project Manager	\$57.00	01/05/2018	0.2	\$11.40
Sr. Project Manager	\$57.00	01/12/2018	0.1	\$5.70
Sr. Project Manager	\$57.00	01/29/2018	0.1	\$5.70
Project Supervisor	\$57.00	12/26/2017	0.4	\$22.80
Project Supervisor	\$57.00	12/27/2017	0.9	\$51.30
Project Supervisor	\$57.00	12/28/2017	0.3	\$17.10
Project Supervisor	\$57.00	01/02/2018	2.6	\$148.20
Project Supervisor	\$57.00	01/03/2018	0.4	\$22.80
Project Supervisor	\$57.00	01/05/2018	0.9	\$51.30
Project Supervisor	\$57.00	01/08/2018	0.9	\$51.30
Project Supervisor	\$57.00	01/09/2018	0.6	\$34.20
Project Supervisor	\$57.00	01/10/2018	0.2	\$11.40
Project Supervisor	\$57.00	01/11/2018	0.8	\$45.60
Project Supervisor	\$57.00	01/12/2018	1.2	\$68.40
Project Supervisor	\$57.00	01/15/2018	0.5	\$28.50
Project Supervisor	\$57.00	01/17/2018	0.8	\$45.60
Project Supervisor	\$57.00	01/18/2018	0.7	\$39.90
Project Supervisor	\$57.00	01/22/2018	0.7	\$39.90
Project Supervisor	\$57.00	01/24/2018	0.8	\$45.60
Project Supervisor	\$57.00	01/25/2018	1.1	\$62.70
Project Supervisor	\$57.00	01/26/2018	0.6	\$34.20
Project Supervisor	\$57.00	01/29/2018	0.5	\$28.50
Project Supervisor	\$57.00	01/30/2018	0.7	\$39.90
Sr. Project Administrator	\$57.00	01/04/2018	1.3	\$74.10
Sr. Project Administrator	\$57.00	01/05/2018	1.2	\$68.40
Sr. Project Administrator	\$57.00	01/12/2018	1.0	\$57.00
Sr. Project Administrator	\$57.00	01/15/2018	0.4	\$22.80
Sr. Project Administrator	\$57.00	01/16/2018	2.5	\$142.50
Sr. Project Administrator	\$57.00	01/17/2018	2.0	\$114.00
Sr. Project Administrator	\$57.00	01/18/2018	1.9	\$108.30
Sr. Project Administrator	\$57.00	01/19/2018	3.3	\$188.10
Sr. Project Administrator	\$57.00	01/20/2018	2.0	\$114.00
Sr. Project Administrator	\$57.00	01/22/2018	0.9	\$51.30
Sr. Project Administrator	\$57.00	01/23/2018	3.4	\$193.80
Sr. Project Administrator	\$57.00	01/24/2018	1.9	\$108.30
Sr. Project Administrator	\$57.00	01/25/2018	0.5	\$28.50
Sr. Project Administrator	\$57.00	01/26/2018	2.0	\$114.00
Sr. Project Administrator	\$57.00	01/29/2018	2.5	\$142.50
Sr. Project Administrator	\$57.00	01/30/2018	2.0	\$114.00

<p align="center">Report - SAP - Schueneman v. Arena Pharmaceuticals, Inc. (by Task, Title, Date)</p>
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Period from 11/3/2017 to 1/31/2018

SAP

SAP - Schueneman v. Arena Pharmaceuticals, Inc.

<u>Title</u>	<u>Rate</u>	<u>Date</u>	<u>Hours</u>	<u>Amount</u>
Sr. Project Administrator	\$57.00	01/31/2018	1.6	\$91.20
Project Administrator	\$57.00	01/23/2018	0.8	\$45.60
Project Administrator	\$57.00	01/24/2018	7.5	\$427.50
Project Administrator	\$57.00	01/25/2018	7.5	\$427.50
Project Administrator	\$57.00	01/10/2018	0.2	\$11.40
Project Administrator	\$57.00	01/04/2018	1.4	\$79.80
Call Center Supervisor	\$57.00	01/25/2018	0.7	\$39.90
Call Center Supervisor	\$57.00	01/26/2018	0.5	\$28.50
Project Administrator	\$57.00	11/10/2017	2.4	\$136.80
Claims Control Clerk	\$57.00	12/18/2017	0.5	\$28.50
Project Administrator	\$57.00	12/28/2017	6.8	\$387.60
Project Administrator	\$57.00	12/29/2017	4.9	\$279.30
Project Administrator	\$57.00	01/02/2018	6.3	\$359.10
Project Administrator	\$57.00	01/03/2018	3.0	\$171.00
Sub Total:			146.3	\$8,339.10

Website updates

Web and Graphics Designer	\$125.00	12/26/2017	0.2	\$25.00
Sub Total:			0.2	\$25.00



INVOICE

INVOICE DATE	INVOICE NUMBER
4/26/2018	23911
PERIOD START	THROUGH DATE
2/1/2018	2/28/2018

Jeffrey P. Campisi, Esq.
Kaplan Fox & Kilsheimer LLP
850 Third Avenue
New York, NY 10022

Project Name: Schueneman v. Arena Pharmaceuticals, Inc.

Description	Quantity	Rate	Amount
Fees			
Notice Dissemination			
Printing of 20 pg. Notice and 8 pg. Proof of Claim form	30,000	\$0.66	\$19,800.00
Remails	437	\$0.65	\$284.05
Imaging, Document Management & Storage			
Scan Mail (per img.)	13,952	\$0.12	\$1,674.24
Document Storage - Paper (per box/per month)	20	\$1.50	\$30.00
Document Storage - Electronic (per img./record per month)	120,772	\$0.008	\$966.18
Claim Validation			
Individual Paper Claims - up to 5 transactions	28	\$3.50	\$98.00
Individual Paper Claims - over 5 transactions	12	\$4.00	\$48.00
Online Claims	100	\$2.25	\$225.00
Handle & process exclusions	1.2 Hrs.		\$150.00
Contact Services			
IVR (per minute)	1,546	\$0.39	\$602.94
CSR/Live Operator including transcriptions of recorded messages (per minute)	1,476	\$0.95	\$1,402.20
Handling of class member communications	78.3 Hrs.		\$4,463.10
Website Services			
Monthly maintenance charge	1	\$100.00	\$100.00
Website updates	0.1 Hrs.		\$12.50
Total Fees			\$29,856.21
Total Project Expenses (See Exhibit A)			\$106,446.88
Grand Total			\$136,303.09

**EXHIBIT A**

Project Name: Schueneman v. Arena Pharmaceuticals, Inc.	
Description	Amount
<u>Project Expenses</u>	
For the period: Feb 01, 2018 through Feb 28, 2018	
Broker Fees	\$96,479.51
Media Production	\$1,606.50
Postage	\$8,315.26
FedEx, Messenger & Shipping	\$41.21
PACER Charges	\$4.40
Total	\$106,446.88

Please Remit To :	
Garden City Group, LLC 1985 Marcus Avenue, Suite 200 Lake Success, NY 11042	-Or- Garden City Group, LLC Operating A/C Signature Bank 900 Stewart Ave., 3rd Floor Garden City, NY 11530 ABA # - 026013576 A /C # - 1501168781 Tax ID # - 58-0506554 Swift Code - SIGNUS33

<p align="center">Report - SAP - Schueneman v. Arena Pharmaceuticals, Inc. (by Task, Title, Date)</p>
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Period from 2/1/2018 to 2/28/2018

SAP

SAP - Schueneman v. Arena Pharmaceuticals, Inc.

	Title	Rate	Date	Hours	Amount
Handle & process exclusions					
	Project Manager I	\$125.00	02/13/2018	0.7	\$87.50
	Project Manager I	\$125.00	02/14/2018	0.5	\$62.50
			Sub Total:	1.2	\$150.00

CSR/Live Operator including transcriptions of recorded messages (per minute)

Call Center Agent	\$57.00	02/01/2018	0.3	\$17.10
Call Center Agent	\$57.00	02/05/2018	0.1	\$5.70
Call Center Agent	\$57.00	02/08/2018	0.7	\$39.90
Call Center Agent	\$57.00	02/15/2018	0.7	\$39.90
Call Center Agent	\$57.00	02/12/2018	0.3	\$17.10
Call Center Agent	\$57.00	02/05/2018	0.1	\$5.70
Call Center Agent	\$57.00	02/02/2018	0.4	\$22.80
Call Center Agent	\$57.00	02/05/2018	0.2	\$11.40
Call Center Agent	\$57.00	02/06/2018	0.1	\$5.70
Call Center Agent	\$57.00	02/12/2018	0.1	\$5.70
Call Center Agent	\$57.00	02/15/2018	0.2	\$11.40
Call Center Agent	\$57.00	02/20/2018	0.1	\$5.70
Call Center Agent	\$57.00	02/06/2018	0.3	\$17.10
Call Center Agent	\$57.00	02/07/2018	0.4	\$22.80
Call Center Agent	\$57.00	02/09/2018	0.2	\$11.40
Call Center Agent	\$57.00	02/27/2018	0.2	\$11.40
Call Center Agent	\$57.00	02/02/2018	0.3	\$17.10
Call Center Agent	\$57.00	02/05/2018	0.1	\$5.70
Call Center Agent	\$57.00	02/06/2018	0.1	\$5.70
Call Center Agent	\$57.00	02/07/2018	0.6	\$34.20
Call Center Agent	\$57.00	02/15/2018	0.4	\$22.80
Call Center Agent	\$57.00	02/16/2018	0.7	\$39.90
Call Center Agent	\$57.00	02/19/2018	0.4	\$22.80
Call Center Agent	\$57.00	02/01/2018	0.1	\$5.70
Call Center Agent	\$57.00	02/05/2018	0.6	\$34.20
Call Center Agent	\$57.00	02/06/2018	0.3	\$17.10
Call Center Agent	\$57.00	02/07/2018	0.1	\$5.70
Call Center Agent	\$57.00	02/12/2018	0.1	\$5.70
Call Center Agent	\$57.00	02/14/2018	0.2	\$11.40
Call Center Agent	\$57.00	02/15/2018	0.2	\$11.40
Call Center Agent	\$57.00	02/16/2018	0.5	\$28.50
Call Center Agent	\$57.00	02/27/2018	0.1	\$5.70
Call Center Agent	\$57.00	02/01/2018	0.2	\$11.40
Call Center Agent	\$57.00	02/02/2018	0.3	\$17.10
Call Center Agent	\$57.00	02/07/2018	0.1	\$5.70
Call Center Agent	\$57.00	02/12/2018	0.1	\$5.70
Call Center Agent	\$57.00	02/13/2018	0.1	\$5.70
Call Center Agent	\$57.00	02/16/2018	0.2	\$11.40
Call Center Agent	\$57.00	02/19/2018	0.1	\$5.70

Report - SAP - Schueneman v. Arena Pharmaceuticals, Inc.
(by Task, Title, Date)

Period from 2/1/2018 to 2/28/2018

SAP

SAP - Schueneman v. Arena Pharmaceuticals, Inc.

Title	Rate	Date	Hours	Amount
Call Center Agent	\$57.00	02/20/2018	0.1	\$5.70
Call Center Agent	\$57.00	02/26/2018	0.1	\$5.70
Call Center Agent	\$57.00	02/27/2018	0.2	\$11.40
Call Center Agent	\$57.00	02/28/2018	0.2	\$11.40
Call Center Agent	\$57.00	02/12/2018	0.6	\$34.20
Call Center Agent	\$57.00	02/13/2018	0.1	\$5.70
Call Center Agent	\$57.00	02/16/2018	0.2	\$11.40
Call Center Agent	\$57.00	02/20/2018	0.1	\$5.70
Call Center Agent	\$57.00	02/21/2018	0.1	\$5.70
Call Center Agent	\$57.00	02/01/2018	0.1	\$5.70
Call Center Agent	\$57.00	02/02/2018	0.1	\$5.70
Call Center Agent	\$57.00	02/13/2018	0.2	\$11.40
Call Center Agent	\$57.00	02/14/2018	0.3	\$17.10
Call Center Agent	\$57.00	02/15/2018	0.1	\$5.70
Call Center Agent	\$57.00	02/19/2018	1.4	\$79.80
Call Center Agent	\$57.00	02/20/2018	0.1	\$5.70
Call Center Agent	\$57.00	02/28/2018	1.2	\$68.40
Call Center Agent	\$57.00	02/13/2018	0.3	\$17.10
Call Center Agent	\$57.00	02/15/2018	0.3	\$17.10
Call Center Agent	\$57.00	02/19/2018	0.2	\$11.40
Call Center Agent	\$57.00	02/22/2018	0.4	\$22.80
Call Center Agent	\$57.00	02/12/2018	0.4	\$22.80
Call Center Agent	\$57.00	02/26/2018	0.2	\$11.40
Call Center Agent	\$57.00	02/27/2018	0.2	\$11.40
Call Center Agent	\$57.00	02/28/2018	0.2	\$11.40
Call Center Supervisor	\$57.00	02/19/2018	0.1	\$5.70
Call Center Agent	\$57.00	02/02/2018	0.1	\$5.70
Call Center Agent	\$57.00	02/08/2018	0.2	\$11.40
Call Center Agent	\$57.00	02/12/2018	0.3	\$17.10
Call Center Agent	\$57.00	02/14/2018	0.4	\$22.80
Call Center Agent	\$57.00	02/16/2018	0.7	\$39.90
Call Center Agent	\$57.00	02/26/2018	0.3	\$17.10
Call Center Agent	\$57.00	02/28/2018	0.2	\$11.40
Call Center Agent	\$57.00	02/07/2018	0.1	\$5.70
Call Center Agent	\$57.00	02/09/2018	0.3	\$17.10
Call Center Agent	\$57.00	02/12/2018	0.5	\$28.50
Call Center Agent	\$57.00	02/15/2018	0.2	\$11.40
Call Center Agent	\$57.00	02/19/2018	1.2	\$68.40
Call Center Agent	\$57.00	02/20/2018	0.1	\$5.70
Call Center Agent	\$57.00	02/26/2018	0.1	\$5.70
Call Center Agent	\$57.00	02/08/2018	0.2	\$11.40
Call Center Agent	\$57.00	02/16/2018	0.5	\$28.50
Call Center Agent	\$57.00	02/19/2018	0.1	\$5.70
Call Center Agent	\$57.00	02/26/2018	0.4	\$22.80
Call Center Agent	\$57.00	02/16/2018	0.1	\$5.70
Call Center Agent	\$57.00	02/19/2018	0.8	\$45.60

<p align="center">Report - SAP - Schueneman v. Arena Pharmaceuticals, Inc.</p> <p align="center">(by Task, Title, Date)</p>

Period from 2/1/2018 to 2/28/2018

SAP

SAP - Schueneman v. Arena Pharmaceuticals, Inc.

Title	Rate	Date	Hours	Amount
Sub Total:			24.6	\$1,402.20
ber communications				
Director, Operations	\$57.00	02/07/2018	0.2	\$11.40
Director, Operations	\$57.00	02/09/2018	0.2	\$11.40
Director, Operations	\$57.00	02/14/2018	0.3	\$17.10
Director, Operations	\$57.00	02/23/2018	0.4	\$22.80
Director, Operations	\$57.00	02/26/2018	0.2	\$11.40
Director, Operations	\$57.00	02/28/2018	0.3	\$17.10
Project Administrator	\$57.00	02/06/2018	0.4	\$22.80
Project Administrator	\$57.00	02/08/2018	0.3	\$17.10
Project Administrator	\$57.00	02/09/2018	0.3	\$17.10
Project Administrator	\$57.00	02/27/2018	0.3	\$17.10
Project Administrator	\$57.00	02/28/2018	0.1	\$5.70
Project Manager I	\$57.00	02/08/2018	0.4	\$22.80
Project Manager I	\$57.00	02/09/2018	0.3	\$17.10
Project Manager I	\$57.00	02/12/2018	0.3	\$17.10
Project Manager I	\$57.00	02/13/2018	0.3	\$17.10
Project Manager I	\$57.00	02/27/2018	0.1	\$5.70
Director, Operations	\$57.00	02/26/2018	0.1	\$5.70
Project Supervisor	\$57.00	02/01/2018	0.6	\$34.20
Project Supervisor	\$57.00	02/02/2018	0.3	\$17.10
Project Supervisor	\$57.00	02/08/2018	0.5	\$28.50
Project Supervisor	\$57.00	02/13/2018	0.7	\$39.90
Project Supervisor	\$57.00	02/16/2018	0.4	\$22.80
Project Supervisor	\$57.00	02/28/2018	0.4	\$22.80
Project Administrator	\$57.00	02/08/2018	1.3	\$74.10
Sr. Director, Operations	\$57.00	02/01/2018	0.1	\$5.70
Sr. Director, Operations	\$57.00	02/02/2018	0.3	\$17.10
Project Manager I	\$57.00	02/16/2018	1.2	\$68.40
Project Manager I	\$57.00	02/23/2018	0.2	\$11.40
Project Administrator	\$57.00	02/22/2018	0.9	\$51.30
Project Administrator	\$57.00	02/23/2018	0.6	\$34.20
Project Administrator	\$57.00	02/26/2018	0.6	\$34.20
Project Supervisor	\$57.00	02/01/2018	0.3	\$17.10
Project Supervisor	\$57.00	02/02/2018	0.4	\$22.80
Project Supervisor	\$57.00	02/12/2018	0.7	\$39.90
Project Supervisor	\$57.00	02/13/2018	1.2	\$68.40
Project Supervisor	\$57.00	02/14/2018	1.5	\$85.50
Project Supervisor	\$57.00	02/15/2018	0.8	\$45.60
Project Supervisor	\$57.00	02/16/2018	0.3	\$17.10
Project Supervisor	\$57.00	02/19/2018	0.7	\$39.90
Project Supervisor	\$57.00	02/20/2018	0.3	\$17.10
Project Supervisor	\$57.00	02/22/2018	0.2	\$11.40
Project Supervisor	\$57.00	02/23/2018	0.5	\$28.50
Project Supervisor	\$57.00	02/27/2018	0.1	\$5.70

Report - SAP - Schueneman v. Arena Pharmaceuticals, Inc.
(by Task, Title, Date)

Period from 2/1/2018 to 2/28/2018

SAP

SAP - Schueneman v. Arena Pharmaceuticals, Inc.

Title	Rate	Date	Hours	Amount
Project Supervisor	\$57.00	02/28/2018	0.6	\$34.20
Sr. Project Manager	\$57.00	02/19/2018	0.2	\$11.40
Sr. Project Manager	\$57.00	02/20/2018	0.2	\$11.40
Sr. Project Manager	\$57.00	02/22/2018	0.2	\$11.40
Sr. Project Manager	\$57.00	02/27/2018	0.2	\$11.40
Project Supervisor	\$57.00	02/01/2018	0.3	\$17.10
Project Supervisor	\$57.00	02/15/2018	0.8	\$45.60
Project Supervisor	\$57.00	02/16/2018	0.9	\$51.30
Project Supervisor	\$57.00	02/22/2018	1.0	\$57.00
Project Supervisor	\$57.00	02/23/2018	0.7	\$39.90
Sr. Project Administrator	\$57.00	02/01/2018	1.0	\$57.00
Sr. Project Administrator	\$57.00	02/06/2018	2.2	\$125.40
Sr. Project Administrator	\$57.00	02/07/2018	0.5	\$28.50
Sr. Project Administrator	\$57.00	02/08/2018	1.0	\$57.00
Sr. Project Administrator	\$57.00	02/12/2018	1.0	\$57.00
Sr. Project Administrator	\$57.00	02/13/2018	1.2	\$68.40
Sr. Project Administrator	\$57.00	02/14/2018	1.0	\$57.00
Sr. Project Administrator	\$57.00	02/15/2018	1.5	\$85.50
Sr. Project Administrator	\$57.00	02/19/2018	1.0	\$57.00
Sr. Project Administrator	\$57.00	02/20/2018	1.1	\$62.70
Sr. Project Administrator	\$57.00	02/21/2018	0.2	\$11.40
Sr. Project Administrator	\$57.00	02/22/2018	4.5	\$256.50
Sr. Project Administrator	\$57.00	02/23/2018	5.4	\$307.80
Sr. Project Administrator	\$57.00	02/26/2018	0.5	\$28.50
Sr. Project Administrator	\$57.00	02/28/2018	0.5	\$28.50
Project Administrator	\$57.00	02/02/2018	4.0	\$228.00
Project Administrator	\$57.00	02/06/2018	4.5	\$256.50
Project Administrator	\$57.00	02/07/2018	1.0	\$57.00
Project Administrator	\$57.00	02/22/2018	4.6	\$262.20
Project Administrator	\$57.00	02/28/2018	1.0	\$57.00
Project Administrator	\$57.00	02/01/2018	0.6	\$34.20
Project Administrator	\$57.00	02/02/2018	0.1	\$5.70
Project Administrator	\$57.00	02/07/2018	0.2	\$11.40
Project Administrator	\$57.00	02/09/2018	0.3	\$17.10
Project Administrator	\$57.00	02/12/2018	0.3	\$17.10
Project Administrator	\$57.00	02/14/2018	0.1	\$5.70
Project Administrator	\$57.00	02/15/2018	0.4	\$22.80
Project Administrator	\$57.00	02/16/2018	0.1	\$5.70
Ass't Director, Operations	\$57.00	02/16/2018	1.1	\$62.70
Ass't Director, Operations	\$57.00	02/19/2018	0.6	\$34.20
Project Administrator	\$57.00	02/13/2018	2.4	\$136.80
Project Administrator	\$57.00	02/14/2018	2.0	\$114.00
Project Administrator	\$57.00	02/16/2018	0.7	\$39.90
Project Administrator	\$57.00	02/19/2018	1.9	\$108.30
Project Administrator	\$57.00	02/20/2018	0.4	\$22.80
Project Administrator	\$57.00	02/21/2018	0.5	\$28.50

<p>Report - SAP - Schueneman v. Arena Pharmaceuticals, Inc. (by Task, Title, Date)</p>
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Period from 2/1/2018 to 2/28/2018

SAP

SAP - Schueneman v. Arena Pharmaceuticals, Inc.

Title	Rate	Date	Hours	Amount
Project Administrator	\$57.00	02/23/2018	1.5	\$85.50
Project Administrator	\$57.00	02/14/2018	1.4	\$79.80
Project Administrator	\$57.00	02/15/2018	0.9	\$51.30
Project Administrator	\$57.00	02/20/2018	0.2	\$11.40
Project Administrator	\$57.00	02/26/2018	0.2	\$11.40
Sub Total:			78.3	\$4,463.10

Website updates

Web and Graphics Designer	\$125.00	02/09/2018	0.1	\$12.50
Sub Total:			0.1	\$12.50



INVOICE

INVOICE DATE	INVOICE NUMBER
11/14/2018	24922
PERIOD START	THROUGH DATE
3/1/2018	9/30/2018

Jeffrey P. Campisi, Esq.
Kaplan Fox & Kilsheimer LLP
850 Third Avenue
New York, NY 10022

Project Name: Schueneman v. Arena Pharmaceuticals, Inc.

Description	Quantity	Rate	Amount
Fees			
Notice Dissemination			
Remails	192	\$0.65	\$124.80
Imaging, Document Management & Storage			
Scan Mail (per img.)	42,847	\$0.12	\$5,141.64
Document Storage - Paper (per box/per month)	205	\$1.50	\$307.50
Document Storage - Electronic (per img./record per month)	1,120,703	\$0.008	\$8,965.62
Claim Validation			
Individual Paper Claims - up to 5 transactions	2,416	\$3.50	\$8,456.00
Individual Paper Claims - over 5 transactions	994	\$4.00	\$3,976.00
Electronic/Nominee Claims - format and load (per transaction)	3,194,455	\$0.005	\$15,972.28
Electronic/Nominee Claims - Processing	5,847	\$2.25	\$13,155.75
Online Claims	149	\$2.25	\$335.25
Print standard acknowledgement postcards	3,612	\$0.10	\$361.20
Deficiency/rejection Claim notification	7,419	\$1.50	\$11,128.50
Process Deficiency/Denial Responses	418	\$4.00	\$1,672.00
Contact Services			
IVR (per minute)	8,068	\$0.39	\$3,146.52
CSR/Live Operator including transcriptions of recorded messages (per minute)	9,120	\$0.95	\$8,664.00
Handling of class member communications	266.8 Hrs.		\$15,207.60
Website Services			
Monthly maintenance charge	7	\$100.00	\$700.00
Website updates	0.5 Hrs.		\$62.50
Total Fees			\$97,377.16
Total Project Expenses (See Exhibit A)			(\$22,663.33)
Sub Total			\$74,713.83
Less 27.5% Discount on Fees			(\$16,180.28)
Less Fee Discount for Invoice #23911			(\$1,374.07)
Sub Total			\$57,159.48

**INVOICE**

Project Name: Schueneman v. Arena Pharmaceuticals, Inc.			
Description	Quantity	Rate	Amount
Fees Outstanding Balance Prior Invoice #23911			\$136,303.09
Grand Total			\$193,462.57

**EXHIBIT A**

Project Name: Schueneman v. Arena Pharmaceuticals, Inc.	
Description	Amount
<u>Project Expenses</u>	
For the period: Mar 01, 2018 through Sep 30, 2018	
Advanced Address Search	(\$1.43)
Broker Fees	(\$35,578.20)
Media Production	\$9,973.29
Postage	\$2,589.06
Stationery & Supplies	\$177.12
FedEx, Messenger & Shipping	\$174.83
PACER Charges	\$2.00
Total	(\$22,663.33)

Please Remit To :	
Garden City Group, LLC 1985 Marcus Avenue, Suite 200 Lake Success, NY 11042	-Or- Garden City Group, LLC Operating A/C Signature Bank 900 Stewart Ave., 3rd Floor Garden City, NY 11530 ABA # - 026013576 A /C # - 1501168781 Tax ID # - 58-0506554 Swift Code - SIGNUS33

<p align="center">Report - SAP - Schueneman v. Arena Pharmaceuticals, Inc. (by Task, Title, Date)</p>
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Period from 3/1/2018 to 9/30/2018

SAP

SAP - Schueneman v. Arena Pharmaceuticals, Inc.

Title	Rate	Date	Hours	Amount
CSR/Live Operator including transcriptions of recorded messages (per minute)				
Call Center Agent	\$57.00	03/01/2018	0.2	\$11.40
Call Center Agent	\$57.00	03/07/2018	0.2	\$11.40
Call Center Agent	\$57.00	03/19/2018	0.5	\$28.50
Call Center Agent	\$57.00	03/20/2018	0.2	\$11.40
Call Center Agent	\$57.00	03/22/2018	0.1	\$5.70
Call Center Agent	\$57.00	03/23/2018	0.5	\$28.50
Call Center Agent	\$57.00	03/27/2018	0.3	\$17.10
Call Center Agent	\$57.00	03/29/2018	0.3	\$17.10
Call Center Agent	\$57.00	04/02/2018	0.2	\$11.40
Call Center Agent	\$57.00	04/03/2018	0.2	\$11.40
Call Center Agent	\$57.00	04/09/2018	0.2	\$11.40
Call Center Agent	\$57.00	04/10/2018	0.2	\$11.40
Call Center Agent	\$57.00	04/11/2018	0.2	\$11.40
Call Center Agent	\$57.00	04/12/2018	2.4	\$136.80
Call Center Agent	\$57.00	04/13/2018	0.1	\$5.70
Call Center Supervisor	\$57.00	07/30/2018	0.1	\$5.70
Call Center Agent	\$57.00	06/22/2018	0.2	\$11.40
Call Center Agent	\$57.00	06/27/2018	0.5	\$28.50
Call Center Agent	\$57.00	06/28/2018	0.1	\$5.70
Call Center Agent	\$57.00	06/29/2018	0.8	\$45.60
Call Center Agent	\$57.00	07/03/2018	0.3	\$17.10
Call Center Agent	\$57.00	07/05/2018	0.4	\$22.80
Call Center Agent	\$57.00	07/06/2018	0.3	\$17.10
Call Center Agent	\$57.00	08/24/2018	0.2	\$11.40
Claims Control Clerk	\$57.00	03/23/2018	0.2	\$11.40
Call Center Agent	\$57.00	03/13/2018	0.8	\$45.60
Call Center Agent	\$57.00	03/14/2018	0.6	\$34.20
Call Center Agent	\$57.00	03/15/2018	0.5	\$28.50
Call Center Agent	\$57.00	03/26/2018	0.3	\$17.10
Call Center Agent	\$57.00	04/02/2018	0.5	\$28.50
Call Center Agent	\$57.00	04/03/2018	0.5	\$28.50
Call Center Agent	\$57.00	04/04/2018	0.9	\$51.30
Call Center Agent	\$57.00	04/06/2018	0.4	\$22.80
Call Center Agent	\$57.00	08/09/2018	0.1	\$5.70
Call Center Agent	\$57.00	03/06/2018	0.1	\$5.70
Call Center Agent	\$57.00	03/09/2018	0.1	\$5.70
Call Center Agent	\$57.00	03/15/2018	0.3	\$17.10
Call Center Agent	\$57.00	03/20/2018	0.6	\$34.20
Call Center Agent	\$57.00	03/21/2018	0.2	\$11.40
Call Center Agent	\$57.00	03/22/2018	0.2	\$11.40
Call Center Agent	\$57.00	03/28/2018	0.1	\$5.70
Call Center Agent	\$57.00	04/02/2018	0.1	\$5.70
Call Center Agent	\$57.00	04/03/2018	0.2	\$11.40

Report - SAP - Schueneman v. Arena Pharmaceuticals, Inc.
(by Task, Title, Date)

Period from 3/1/2018 to 9/30/2018

SAP

SAP - Schueneman v. Arena Pharmaceuticals, Inc.

Title	Rate	Date	Hours	Amount
Call Center Agent	\$57.00	04/05/2018	0.6	\$34.20
Call Center Agent	\$57.00	04/09/2018	0.4	\$22.80
Call Center Agent	\$57.00	04/11/2018	0.2	\$11.40
Call Center Agent	\$57.00	04/12/2018	0.6	\$34.20
Call Center Agent	\$57.00	05/03/2018	0.1	\$5.70
Call Center Supervisor	\$57.00	07/02/2018	1.0	\$57.00
Call Center Supervisor	\$57.00	07/29/2018	1.0	\$57.00
Call Center Agent	\$57.00	04/30/2018	0.1	\$5.70
Claims Control Clerk	\$57.00	06/12/2018	0.1	\$5.70
Claims Control Clerk	\$57.00	07/03/2018	0.8	\$45.60
Claims Control Clerk	\$57.00	07/06/2018	1.3	\$74.10
Claims Control Clerk	\$57.00	07/13/2018	0.9	\$51.30
Call Center Agent	\$57.00	03/05/2018	1.8	\$102.60
Call Center Agent	\$57.00	03/19/2018	0.2	\$11.40
Call Center Agent	\$57.00	03/20/2018	0.5	\$28.50
Call Center Agent	\$57.00	03/21/2018	0.1	\$5.70
Call Center Agent	\$57.00	03/27/2018	1.0	\$57.00
Call Center Agent	\$57.00	03/30/2018	0.3	\$17.10
Call Center Agent	\$57.00	04/03/2018	0.5	\$28.50
Call Center Agent	\$57.00	04/05/2018	0.4	\$22.80
Call Center Agent	\$57.00	04/13/2018	0.5	\$28.50
Call Center Agent	\$57.00	04/16/2018	0.3	\$17.10
Call Center Agent	\$57.00	04/17/2018	0.5	\$28.50
Call Center Agent	\$57.00	03/02/2018	0.1	\$5.70
Call Center Agent	\$57.00	03/07/2018	0.3	\$17.10
Call Center Agent	\$57.00	03/09/2018	0.3	\$17.10
Call Center Agent	\$57.00	03/16/2018	0.1	\$5.70
Call Center Agent	\$57.00	03/20/2018	0.3	\$17.10
Call Center Agent	\$57.00	03/22/2018	0.1	\$5.70
Call Center Agent	\$57.00	03/23/2018	0.2	\$11.40
Call Center Agent	\$57.00	03/27/2018	0.1	\$5.70
Call Center Agent	\$57.00	03/28/2018	0.1	\$5.70
Call Center Agent	\$57.00	03/29/2018	0.5	\$28.50
Call Center Agent	\$57.00	03/30/2018	0.1	\$5.70
Call Center Agent	\$57.00	04/03/2018	0.3	\$17.10
Call Center Agent	\$57.00	04/04/2018	0.3	\$17.10
Call Center Agent	\$57.00	04/06/2018	0.2	\$11.40
Call Center Agent	\$57.00	04/09/2018	0.1	\$5.70
Call Center Agent	\$57.00	04/11/2018	0.1	\$5.70
Call Center Agent	\$57.00	04/18/2018	0.1	\$5.70
Call Center Agent	\$57.00	04/25/2018	0.1	\$5.70
Call Center Agent	\$57.00	05/04/2018	0.1	\$5.70
Call Center Agent	\$57.00	05/21/2018	0.2	\$11.40
Call Center Agent	\$57.00	06/15/2018	0.1	\$5.70
Call Center Agent	\$57.00	06/25/2018	0.3	\$17.10
Call Center Agent	\$57.00	06/27/2018	0.1	\$5.70

Report - SAP - Schueneman v. Arena Pharmaceuticals, Inc.
(by Task, Title, Date)

Period from 3/1/2018 to 9/30/2018

SAP

SAP - Schueneman v. Arena Pharmaceuticals, Inc.

Title	Rate	Date	Hours	Amount
Call Center Agent	\$57.00	06/29/2018	0.3	\$17.10
Call Center Agent	\$57.00	07/02/2018	0.7	\$39.90
Call Center Agent	\$57.00	07/03/2018	0.2	\$11.40
Call Center Agent	\$57.00	07/10/2018	0.3	\$17.10
Call Center Agent	\$57.00	07/12/2018	0.2	\$11.40
Call Center Agent	\$57.00	07/13/2018	0.1	\$5.70
Call Center Agent	\$57.00	07/24/2018	0.2	\$11.40
Call Center Agent	\$57.00	08/01/2018	0.3	\$17.10
Call Center Agent	\$57.00	08/06/2018	0.2	\$11.40
Call Center Agent	\$57.00	08/22/2018	0.2	\$11.40
Call Center Agent	\$57.00	09/05/2018	0.1	\$5.70
Call Center Agent	\$57.00	09/13/2018	0.1	\$5.70
Call Center Agent	\$57.00	03/02/2018	0.6	\$34.20
Call Center Agent	\$57.00	03/05/2018	0.1	\$5.70
Call Center Agent	\$57.00	03/09/2018	0.2	\$11.40
Call Center Agent	\$57.00	03/16/2018	0.5	\$28.50
Call Center Agent	\$57.00	03/20/2018	0.3	\$17.10
Call Center Agent	\$57.00	03/21/2018	0.5	\$28.50
Call Center Agent	\$57.00	03/22/2018	0.5	\$28.50
Call Center Agent	\$57.00	03/26/2018	0.3	\$17.10
Call Center Agent	\$57.00	03/27/2018	0.3	\$17.10
Call Center Agent	\$57.00	03/28/2018	0.4	\$22.80
Call Center Agent	\$57.00	04/02/2018	0.1	\$5.70
Call Center Agent	\$57.00	04/03/2018	1.0	\$57.00
Call Center Agent	\$57.00	04/04/2018	0.6	\$34.20
Call Center Agent	\$57.00	04/05/2018	0.1	\$5.70
Call Center Agent	\$57.00	04/09/2018	0.2	\$11.40
Call Center Agent	\$57.00	04/10/2018	1.0	\$57.00
Call Center Agent	\$57.00	04/11/2018	0.4	\$22.80
Call Center Agent	\$57.00	04/12/2018	0.6	\$34.20
Call Center Agent	\$57.00	04/13/2018	1.8	\$102.60
Call Center Agent	\$57.00	04/17/2018	0.2	\$11.40
Call Center Agent	\$57.00	04/18/2018	0.2	\$11.40
Call Center Agent	\$57.00	04/25/2018	0.2	\$11.40
Call Center Agent	\$57.00	04/23/2018	0.1	\$5.70
Call Center Agent	\$57.00	04/27/2018	0.1	\$5.70
Call Center Agent	\$57.00	05/08/2018	0.1	\$5.70
Call Center Agent	\$57.00	05/14/2018	0.1	\$5.70
Call Center Agent	\$57.00	05/24/2018	0.1	\$5.70
Call Center Agent	\$57.00	05/30/2018	0.1	\$5.70
Call Center Agent	\$57.00	06/21/2018	0.2	\$11.40
Call Center Agent	\$57.00	06/22/2018	1.7	\$96.90
Call Center Agent	\$57.00	06/25/2018	0.6	\$34.20
Call Center Agent	\$57.00	06/26/2018	0.6	\$34.20
Call Center Agent	\$57.00	06/27/2018	0.3	\$17.10
Call Center Agent	\$57.00	06/29/2018	0.1	\$5.70

Report - SAP - Schueneman v. Arena Pharmaceuticals, Inc.
(by Task, Title, Date)

Period from 3/1/2018 to 9/30/2018

SAP

SAP - Schueneman v. Arena Pharmaceuticals, Inc.

Title	Rate	Date	Hours	Amount
Call Center Agent	\$57.00	07/02/2018	0.9	\$51.30
Call Center Agent	\$57.00	07/03/2018	0.5	\$28.50
Call Center Agent	\$57.00	07/05/2018	0.2	\$11.40
Call Center Agent	\$57.00	07/11/2018	0.2	\$11.40
Call Center Agent	\$57.00	07/12/2018	0.3	\$17.10
Call Center Agent	\$57.00	07/13/2018	0.3	\$17.10
Call Center Agent	\$57.00	07/16/2018	0.3	\$17.10
Call Center Agent	\$57.00	07/17/2018	0.1	\$5.70
Call Center Agent	\$57.00	07/18/2018	0.2	\$11.40
Call Center Agent	\$57.00	07/20/2018	0.1	\$5.70
Call Center Agent	\$57.00	07/25/2018	0.1	\$5.70
Call Center Agent	\$57.00	07/26/2018	0.2	\$11.40
Call Center Agent	\$57.00	07/31/2018	0.2	\$11.40
Call Center Agent	\$57.00	09/04/2018	0.1	\$5.70
Call Center Agent	\$57.00	06/25/2018	0.8	\$45.60
Call Center Agent	\$57.00	06/26/2018	0.3	\$17.10
Call Center Agent	\$57.00	06/27/2018	0.7	\$39.90
Call Center Agent	\$57.00	06/28/2018	0.5	\$28.50
Call Center Agent	\$57.00	07/02/2018	0.5	\$28.50
Call Center Agent	\$57.00	07/03/2018	0.4	\$22.80
Call Center Agent	\$57.00	07/05/2018	0.2	\$11.40
Call Center Agent	\$57.00	07/09/2018	0.3	\$17.10
Call Center Agent	\$57.00	07/12/2018	0.2	\$11.40
Call Center Agent	\$57.00	07/16/2018	0.1	\$5.70
Call Center Agent	\$57.00	03/13/2018	0.2	\$11.40
Call Center Agent	\$57.00	03/15/2018	0.6	\$34.20
Call Center Agent	\$57.00	03/22/2018	0.3	\$17.10
Call Center Agent	\$57.00	03/29/2018	0.2	\$11.40
Call Center Agent	\$57.00	04/06/2018	0.1	\$5.70
Call Center Agent	\$57.00	04/09/2018	1.3	\$74.10
Call Center Agent	\$57.00	04/10/2018	0.1	\$5.70
Call Center Agent	\$57.00	04/12/2018	0.8	\$45.60
Call Center Agent	\$57.00	04/13/2018	0.3	\$17.10
Call Center Agent	\$57.00	04/17/2018	0.1	\$5.70
Call Center Agent	\$57.00	04/18/2018	0.2	\$11.40
Call Center Agent	\$57.00	03/02/2018	0.1	\$5.70
Call Center Agent	\$57.00	03/08/2018	0.6	\$34.20
Call Center Agent	\$57.00	03/15/2018	0.3	\$17.10
Call Center Agent	\$57.00	03/19/2018	0.2	\$11.40
Call Center Agent	\$57.00	03/20/2018	0.2	\$11.40
Call Center Agent	\$57.00	03/21/2018	0.2	\$11.40
Call Center Agent	\$57.00	04/04/2018	0.8	\$45.60
Call Center Agent	\$57.00	04/09/2018	0.3	\$17.10
Call Center Agent	\$57.00	04/10/2018	0.4	\$22.80
Call Center Agent	\$57.00	04/11/2018	0.1	\$5.70
Call Center Agent	\$57.00	04/13/2018	0.5	\$28.50

Report - SAP - Schueneman v. Arena Pharmaceuticals, Inc.
(by Task, Title, Date)

Period from 3/1/2018 to 9/30/2018

SAP

SAP - Schueneman v. Arena Pharmaceuticals, Inc.

Title	Rate	Date	Hours	Amount
Call Center Agent	\$57.00	04/18/2018	0.2	\$11.40
Call Center Agent	\$57.00	04/26/2018	0.1	\$5.70
Call Center Agent	\$57.00	05/02/2018	0.6	\$34.20
Call Center Agent	\$57.00	05/31/2018	0.4	\$22.80
Call Center Agent	\$57.00	06/05/2018	0.1	\$5.70
Call Center Agent	\$57.00	06/07/2018	0.1	\$5.70
Call Center Agent	\$57.00	06/12/2018	0.2	\$11.40
Call Center Agent	\$57.00	06/15/2018	0.9	\$51.30
Call Center Agent	\$57.00	06/21/2018	0.3	\$17.10
Call Center Agent	\$57.00	06/22/2018	0.4	\$22.80
Call Center Agent	\$57.00	06/25/2018	0.1	\$5.70
Call Center Agent	\$57.00	06/26/2018	0.3	\$17.10
Call Center Agent	\$57.00	06/28/2018	0.2	\$11.40
Call Center Agent	\$57.00	06/29/2018	0.2	\$11.40
Call Center Agent	\$57.00	07/03/2018	1.0	\$57.00
Call Center Agent	\$57.00	07/05/2018	0.4	\$22.80
Call Center Agent	\$57.00	07/17/2018	0.2	\$11.40
Project Administrator	\$57.00	08/03/2018	0.2	\$11.40
Call Center Agent	\$57.00	07/27/2018	0.4	\$22.80
Call Center Agent	\$57.00	08/14/2018	0.2	\$11.40
Call Center Agent	\$57.00	08/21/2018	0.1	\$5.70
Call Center Agent	\$57.00	08/22/2018	0.1	\$5.70
Call Center Agent	\$57.00	08/23/2018	0.1	\$5.70
Call Center Agent	\$57.00	08/24/2018	0.1	\$5.70
Call Center Agent	\$57.00	09/12/2018	0.1	\$5.70
Call Center Agent	\$57.00	09/14/2018	0.2	\$11.40
Call Center Agent	\$57.00	03/02/2018	0.6	\$34.20
Call Center Agent	\$57.00	03/05/2018	1.0	\$57.00
Call Center Agent	\$57.00	03/07/2018	0.3	\$17.10
Call Center Agent	\$57.00	03/12/2018	0.7	\$39.90
Call Center Agent	\$57.00	03/13/2018	0.3	\$17.10
Call Center Agent	\$57.00	03/22/2018	0.2	\$11.40
Call Center Agent	\$57.00	03/27/2018	0.5	\$28.50
Call Center Agent	\$57.00	04/03/2018	0.8	\$45.60
Call Center Agent	\$57.00	04/04/2018	0.3	\$17.10
Call Center Agent	\$57.00	04/06/2018	2.4	\$136.80
Call Center Agent	\$57.00	04/09/2018	0.2	\$11.40
Call Center Agent	\$57.00	03/01/2018	0.7	\$39.90
Call Center Agent	\$57.00	03/14/2018	0.2	\$11.40
Call Center Agent	\$57.00	03/21/2018	0.1	\$5.70
Call Center Agent	\$57.00	04/05/2018	0.6	\$34.20
Call Center Agent	\$57.00	04/16/2018	0.8	\$45.60
Call Center Agent	\$57.00	06/14/2018	0.2	\$11.40
Call Center Agent	\$57.00	06/20/2018	0.3	\$17.10
Call Center Agent	\$57.00	06/21/2018	0.7	\$39.90
Call Center Agent	\$57.00	06/26/2018	0.1	\$5.70

Report - SAP - Schueneman v. Arena Pharmaceuticals, Inc.
(by Task, Title, Date)

Period from 3/1/2018 to 9/30/2018

SAP

SAP - Schueneman v. Arena Pharmaceuticals, Inc.

Title	Rate	Date	Hours	Amount
Call Center Agent	\$57.00	06/27/2018	0.9	\$51.30
Call Center Agent	\$57.00	06/29/2018	0.3	\$17.10
Call Center Agent	\$57.00	07/02/2018	0.1	\$5.70
Call Center Agent	\$57.00	07/03/2018	0.3	\$17.10
Call Center Agent	\$57.00	07/06/2018	0.2	\$11.40
Call Center Agent	\$57.00	07/17/2018	0.1	\$5.70
Call Center Agent	\$57.00	07/25/2018	0.3	\$17.10
Call Center Agent	\$57.00	08/06/2018	0.2	\$11.40
Call Center Agent	\$57.00	09/11/2018	0.5	\$28.50
Call Center Agent	\$57.00	04/06/2018	0.4	\$22.80
Call Center Agent	\$57.00	04/09/2018	0.5	\$28.50
Call Center Agent	\$57.00	04/11/2018	0.5	\$28.50
Call Center Agent	\$57.00	04/12/2018	0.2	\$11.40
Call Center Agent	\$57.00	04/13/2018	0.4	\$22.80
Call Center Agent	\$57.00	04/18/2018	0.3	\$17.10
Call Center Agent	\$57.00	04/19/2018	0.3	\$17.10
Call Center Agent	\$57.00	04/20/2018	0.4	\$22.80
Call Center Agent	\$57.00	04/24/2018	0.1	\$5.70
Call Center Agent	\$57.00	04/30/2018	1.4	\$79.80
Call Center Agent	\$57.00	05/01/2018	0.2	\$11.40
Call Center Agent	\$57.00	06/22/2018	1.5	\$85.50
Call Center Agent	\$57.00	06/25/2018	0.8	\$45.60
Call Center Agent	\$57.00	06/26/2018	0.4	\$22.80
Call Center Agent	\$57.00	06/27/2018	0.6	\$34.20
Call Center Agent	\$57.00	06/28/2018	1.1	\$62.70
Call Center Agent	\$57.00	07/02/2018	1.3	\$74.10
Call Center Agent	\$57.00	07/03/2018	0.1	\$5.70
Call Center Agent	\$57.00	07/05/2018	0.6	\$34.20
Call Center Agent	\$57.00	07/06/2018	0.5	\$28.50
Call Center Agent	\$57.00	07/09/2018	0.6	\$34.20
Call Center Agent	\$57.00	07/12/2018	0.2	\$11.40
Call Center Agent	\$57.00	07/13/2018	0.3	\$17.10
Call Center Agent	\$57.00	07/18/2018	0.3	\$17.10
Call Center Agent	\$57.00	07/25/2018	0.1	\$5.70
Call Center Agent	\$57.00	03/02/2018	0.2	\$11.40
Call Center Agent	\$57.00	03/05/2018	0.2	\$11.40
Call Center Agent	\$57.00	03/13/2018	0.3	\$17.10
Call Center Agent	\$57.00	03/15/2018	0.1	\$5.70
Call Center Agent	\$57.00	03/19/2018	0.3	\$17.10
Call Center Agent	\$57.00	03/20/2018	0.1	\$5.70
Call Center Agent	\$57.00	04/09/2018	0.5	\$28.50
Call Center Agent	\$57.00	04/10/2018	0.3	\$17.10
Call Center Agent	\$57.00	04/11/2018	0.2	\$11.40
Call Center Agent	\$57.00	04/12/2018	0.1	\$5.70
Call Center Agent	\$57.00	04/13/2018	1.4	\$79.80
Call Center Agent	\$57.00	04/16/2018	0.1	\$5.70

Report - SAP - Schueneman v. Arena Pharmaceuticals, Inc.
(by Task, Title, Date)

Period from 3/1/2018 to 9/30/2018

SAP

SAP - Schueneman v. Arena Pharmaceuticals, Inc.

Title	Rate	Date	Hours	Amount
Call Center Agent	\$57.00	04/19/2018	0.1	\$5.70
Call Center Agent	\$57.00	04/20/2018	2.2	\$125.40
Call Center Agent	\$57.00	04/23/2018	0.1	\$5.70
Call Center Agent	\$57.00	04/27/2018	0.3	\$17.10
Call Center Agent	\$57.00	05/08/2018	0.3	\$17.10
Call Center Agent	\$57.00	06/04/2018	0.1	\$5.70
Call Center Agent	\$57.00	06/20/2018	0.2	\$11.40
Call Center Agent	\$57.00	03/01/2018	0.4	\$22.80
Call Center Agent	\$57.00	03/02/2018	0.2	\$11.40
Call Center Agent	\$57.00	03/15/2018	0.1	\$5.70
Call Center Agent	\$57.00	03/21/2018	0.3	\$17.10
Call Center Agent	\$57.00	04/03/2018	0.3	\$17.10
Call Center Agent	\$57.00	04/04/2018	0.1	\$5.70
Call Center Agent	\$57.00	04/09/2018	0.3	\$17.10
Call Center Agent	\$57.00	04/10/2018	0.2	\$11.40
Call Center Agent	\$57.00	04/11/2018	0.4	\$22.80
Call Center Agent	\$57.00	04/12/2018	0.3	\$17.10
Call Center Agent	\$57.00	04/13/2018	0.3	\$17.10
Call Center Agent	\$57.00	06/11/2018	0.1	\$5.70
Call Center Agent	\$57.00	06/18/2018	0.2	\$11.40
Call Center Agent	\$57.00	06/21/2018	0.2	\$11.40
Call Center Agent	\$57.00	06/22/2018	0.7	\$39.90
Call Center Agent	\$57.00	06/25/2018	0.1	\$5.70
Call Center Agent	\$57.00	06/26/2018	0.2	\$11.40
Call Center Agent	\$57.00	06/27/2018	1.1	\$62.70
Call Center Agent	\$57.00	06/28/2018	0.5	\$28.50
Call Center Agent	\$57.00	07/02/2018	0.6	\$34.20
Call Center Agent	\$57.00	07/05/2018	0.1	\$5.70
Call Center Agent	\$57.00	07/12/2018	0.5	\$28.50
Call Center Agent	\$57.00	07/17/2018	0.3	\$17.10
Call Center Agent	\$57.00	07/25/2018	0.1	\$5.70
Call Center Agent	\$57.00	07/26/2018	0.3	\$17.10
Call Center Agent	\$57.00	08/16/2018	0.3	\$17.10
Call Center Agent	\$57.00	06/22/2018	0.9	\$51.30
Call Center Agent	\$57.00	06/25/2018	0.2	\$11.40
Call Center Agent	\$57.00	06/26/2018	0.5	\$28.50
Call Center Agent	\$57.00	06/28/2018	0.4	\$22.80
Call Center Agent	\$57.00	07/02/2018	2.5	\$142.50
Call Center Agent	\$57.00	07/05/2018	0.7	\$39.90
Call Center Agent	\$57.00	07/10/2018	0.4	\$22.80
Call Center Agent	\$57.00	07/11/2018	0.3	\$17.10
Call Center Agent	\$57.00	07/16/2018	0.1	\$5.70
Call Center Agent	\$57.00	07/24/2018	0.1	\$5.70
Call Center Agent	\$57.00	03/19/2018	0.3	\$17.10
Call Center Agent	\$57.00	03/20/2018	0.3	\$17.10
Call Center Agent	\$57.00	04/02/2018	0.3	\$17.10

Report - SAP - Schueneman v. Arena Pharmaceuticals, Inc.
(by Task, Title, Date)

Period from 3/1/2018 to 9/30/2018

SAP

chueneman v. Arena Pharmaceuticals, Inc.

Title	Rate	Date	Hours	Amount
Call Center Agent	\$57.00	04/12/2018	0.4	\$22.80
Call Center Agent	\$57.00	04/13/2018	1.3	\$74.10
Call Center Agent	\$57.00	04/18/2018	0.3	\$17.10
Call Center Agent	\$57.00	04/23/2018	0.3	\$17.10
Call Center Agent	\$57.00	05/14/2018	0.1	\$5.70
Call Center Agent	\$57.00	06/20/2018	0.3	\$17.10
Call Center Agent	\$57.00	06/22/2018	1.0	\$57.00
Call Center Agent	\$57.00	06/25/2018	1.3	\$74.10
Call Center Agent	\$57.00	06/26/2018	0.6	\$34.20
Call Center Agent	\$57.00	06/27/2018	0.8	\$45.60
Call Center Agent	\$57.00	03/05/2018	0.4	\$22.80
Call Center Agent	\$57.00	03/06/2018	0.4	\$22.80
Call Center Agent	\$57.00	03/12/2018	0.1	\$5.70
Call Center Agent	\$57.00	03/14/2018	0.3	\$17.10
Call Center Agent	\$57.00	03/19/2018	0.9	\$51.30
Call Center Agent	\$57.00	04/04/2018	0.2	\$11.40
Call Center Agent	\$57.00	04/09/2018	0.1	\$5.70
Call Center Agent	\$57.00	04/12/2018	0.6	\$34.20
Call Center Agent	\$57.00	04/13/2018	0.6	\$34.20
Call Center Agent	\$57.00	05/04/2018	0.2	\$11.40
Call Center Agent	\$57.00	05/16/2018	0.2	\$11.40
Call Center Agent	\$57.00	06/25/2018	0.7	\$39.90
Call Center Agent	\$57.00	06/26/2018	0.6	\$34.20
Call Center Agent	\$57.00	07/03/2018	0.8	\$45.60
Call Center Agent	\$57.00	07/05/2018	0.2	\$11.40
Call Center Agent	\$57.00	07/10/2018	0.1	\$5.70
Call Center Agent	\$57.00	05/14/2018	0.1	\$5.70
Call Center Agent	\$57.00	05/22/2018	1.8	\$102.60
Call Center Agent	\$57.00	05/25/2018	1.1	\$62.70
Call Center Agent	\$57.00	06/06/2018	0.2	\$11.40
Call Center Agent	\$57.00	06/19/2018	1.4	\$79.80
Call Center Agent	\$57.00	09/25/2018	0.1	\$5.70
Call Center Agent	\$57.00	06/25/2018	0.4	\$22.80
Call Center Agent	\$57.00	06/26/2018	0.1	\$5.70
Call Center Agent	\$57.00	06/27/2018	0.2	\$11.40
Call Center Agent	\$57.00	06/29/2018	0.2	\$11.40
Call Center Agent	\$57.00	07/02/2018	0.2	\$11.40
Call Center Agent	\$57.00	07/03/2018	0.3	\$17.10
Call Center Agent	\$57.00	07/05/2018	0.3	\$17.10
Call Center Agent	\$57.00	07/06/2018	0.2	\$11.40
Call Center Agent	\$57.00	07/09/2018	0.2	\$11.40
Call Center Agent	\$57.00	07/11/2018	0.2	\$11.40
Call Center Agent	\$57.00	07/12/2018	0.1	\$5.70
Call Center Agent	\$57.00	07/16/2018	0.2	\$11.40
Call Center Agent	\$57.00	04/19/2018	0.3	\$17.10
Call Center Agent	\$57.00	04/20/2018	0.8	\$45.60

<p align="center">Report - SAP - Schueneman v. Arena Pharmaceuticals, Inc. (by Task, Title, Date)</p>
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Period from 3/1/2018 to 9/30/2018

SAP

SAP - Schueneman v. Arena Pharmaceuticals, Inc.

Title	Rate	Date	Hours	Amount
Call Center Agent	\$57.00	05/01/2018	0.3	\$17.10
Call Center Agent	\$57.00	05/16/2018	0.3	\$17.10
Call Center Agent	\$57.00	05/21/2018	0.2	\$11.40
Call Center Agent	\$57.00	06/21/2018	0.3	\$17.10
Call Center Agent	\$57.00	06/22/2018	0.8	\$45.60
Call Center Agent	\$57.00	06/25/2018	0.8	\$45.60
Call Center Agent	\$57.00	06/27/2018	0.3	\$17.10
Call Center Agent	\$57.00	06/28/2018	0.4	\$22.80
Call Center Agent	\$57.00	06/29/2018	1.1	\$62.70
Call Center Agent	\$57.00	07/02/2018	1.0	\$57.00
Call Center Agent	\$57.00	07/03/2018	1.0	\$57.00
Call Center Agent	\$57.00	07/05/2018	1.2	\$68.40
Call Center Agent	\$57.00	07/17/2018	0.2	\$11.40
Call Center Agent	\$57.00	07/20/2018	0.1	\$5.70
Call Center Agent	\$57.00	07/26/2018	0.1	\$5.70
Call Center Agent	\$57.00	07/30/2018	0.1	\$5.70
Call Center Agent	\$57.00	08/30/2018	0.2	\$11.40
Call Center Agent	\$57.00	09/20/2018	0.2	\$11.40
Call Center Agent	\$57.00	09/25/2018	0.2	\$11.40
Sub Total:			152.0	\$8,664.00

Handling of class member communications

Director, Operations	\$57.00	03/01/2018	0.3	\$17.10
Director, Operations	\$57.00	03/09/2018	0.5	\$28.50
Project Supervisor	\$57.00	05/18/2018	0.3	\$17.10
Project Supervisor	\$57.00	05/21/2018	0.2	\$11.40
Project Supervisor	\$57.00	05/22/2018	0.2	\$11.40
Project Supervisor	\$57.00	05/23/2018	0.2	\$11.40
Project Supervisor	\$57.00	05/24/2018	0.2	\$11.40
Project Supervisor	\$57.00	05/25/2018	0.3	\$17.10
Project Supervisor	\$57.00	05/29/2018	0.2	\$11.40
Project Supervisor	\$57.00	05/30/2018	0.1	\$5.70
Project Supervisor	\$57.00	06/01/2018	0.1	\$5.70
Project Supervisor	\$57.00	06/05/2018	0.5	\$28.50
Project Supervisor	\$57.00	06/06/2018	0.3	\$17.10
Project Supervisor	\$57.00	06/12/2018	0.2	\$11.40
Project Supervisor	\$57.00	06/14/2018	0.6	\$34.20
Project Supervisor	\$57.00	06/21/2018	0.2	\$11.40
Project Supervisor	\$57.00	06/25/2018	0.3	\$17.10
Project Supervisor	\$57.00	06/26/2018	0.4	\$22.80
Project Supervisor	\$57.00	06/28/2018	1.0	\$57.00
Project Supervisor	\$57.00	07/02/2018	0.4	\$22.80
Project Supervisor	\$57.00	07/09/2018	0.4	\$22.80
Project Supervisor	\$57.00	07/12/2018	0.2	\$11.40
Project Supervisor	\$57.00	07/18/2018	1.0	\$57.00
Project Supervisor	\$57.00	07/20/2018	0.2	\$11.40

Report - SAP - Schueneman v. Arena Pharmaceuticals, Inc.
(by Task, Title, Date)

Period from 3/1/2018 to 9/30/2018

SAP

SAP - Schueneman v. Arena Pharmaceuticals, Inc.

Title	Rate	Date	Hours	Amount
Project Supervisor	\$57.00	07/27/2018	0.3	\$17.10
Project Supervisor	\$57.00	07/30/2018	0.7	\$39.90
Project Supervisor	\$57.00	07/31/2018	0.3	\$17.10
Project Supervisor	\$57.00	08/01/2018	0.3	\$17.10
Project Supervisor	\$57.00	08/06/2018	0.2	\$11.40
Project Supervisor	\$57.00	08/14/2018	0.2	\$11.40
Project Supervisor	\$57.00	08/23/2018	0.2	\$11.40
Project Supervisor	\$57.00	09/15/2018	1.3	\$74.10
Project Supervisor	\$57.00	09/17/2018	0.7	\$39.90
Project Supervisor	\$57.00	09/18/2018	1.0	\$57.00
Project Supervisor	\$57.00	09/19/2018	0.6	\$34.20
Project Supervisor	\$57.00	09/25/2018	0.4	\$22.80
Project Administrator	\$57.00	03/02/2018	0.5	\$28.50
Project Administrator	\$57.00	03/03/2018	0.1	\$5.70
Project Administrator	\$57.00	03/08/2018	0.2	\$11.40
Project Administrator	\$57.00	03/09/2018	0.2	\$11.40
Project Administrator	\$57.00	03/12/2018	0.2	\$11.40
Project Administrator	\$57.00	03/13/2018	0.2	\$11.40
Project Administrator	\$57.00	03/14/2018	0.2	\$11.40
Project Administrator	\$57.00	03/21/2018	0.2	\$11.40
Project Administrator	\$57.00	03/23/2018	0.1	\$5.70
Project Administrator	\$57.00	03/26/2018	0.1	\$5.70
Project Administrator	\$57.00	03/27/2018	0.1	\$5.70
Project Administrator	\$57.00	03/28/2018	0.1	\$5.70
Project Administrator	\$57.00	03/29/2018	0.1	\$5.70
Project Administrator	\$57.00	04/02/2018	0.1	\$5.70
Project Administrator	\$57.00	04/04/2018	0.1	\$5.70
Project Administrator	\$57.00	04/10/2018	0.2	\$11.40
Project Administrator	\$57.00	04/11/2018	0.2	\$11.40
Project Administrator	\$57.00	04/12/2018	0.3	\$17.10
Project Administrator	\$57.00	04/13/2018	0.2	\$11.40
Project Administrator	\$57.00	04/16/2018	0.7	\$39.90
Project Administrator	\$57.00	04/17/2018	0.3	\$17.10
Project Administrator	\$57.00	04/18/2018	0.2	\$11.40
Project Administrator	\$57.00	04/19/2018	0.2	\$11.40
Project Administrator	\$57.00	04/20/2018	0.2	\$11.40
Call Center Supervisor	\$57.00	04/23/2018	0.2	\$11.40
Call Center Supervisor	\$57.00	04/26/2018	0.4	\$22.80
Call Center Supervisor	\$57.00	04/27/2018	0.3	\$17.10
Call Center Supervisor	\$57.00	04/30/2018	0.3	\$17.10
Call Center Supervisor	\$57.00	05/01/2018	0.2	\$11.40
Call Center Supervisor	\$57.00	05/02/2018	0.2	\$11.40
Call Center Supervisor	\$57.00	05/04/2018	0.1	\$5.70
Call Center Supervisor	\$57.00	06/21/2018	0.2	\$11.40
Call Center Supervisor	\$57.00	06/23/2018	0.6	\$34.20
Call Center Supervisor	\$57.00	06/24/2018	0.6	\$34.20

Report - SAP - Schueneman v. Arena Pharmaceuticals, Inc.
(by Task, Title, Date)

Period from 3/1/2018 to 9/30/2018

SAP

SAP - Schueneman v. Arena Pharmaceuticals, Inc.

Title	Rate	Date	Hours	Amount
Call Center Supervisor	\$57.00	06/27/2018	0.6	\$34.20
Call Center Supervisor	\$57.00	06/28/2018	0.2	\$11.40
Call Center Supervisor	\$57.00	06/30/2018	0.2	\$11.40
Call Center Supervisor	\$57.00	07/01/2018	0.5	\$28.50
Call Center Supervisor	\$57.00	07/05/2018	0.4	\$22.80
Call Center Supervisor	\$57.00	07/08/2018	0.3	\$17.10
Call Center Supervisor	\$57.00	07/11/2018	0.2	\$11.40
Call Center Supervisor	\$57.00	07/12/2018	0.3	\$17.10
Call Center Supervisor	\$57.00	07/16/2018	0.3	\$17.10
Call Center Supervisor	\$57.00	07/17/2018	0.2	\$11.40
Call Center Supervisor	\$57.00	07/20/2018	0.5	\$28.50
Call Center Supervisor	\$57.00	07/26/2018	0.3	\$17.10
Call Center Supervisor	\$57.00	07/29/2018	3.7	\$210.90
Call Center Supervisor	\$57.00	07/31/2018	0.4	\$22.80
Call Center Supervisor	\$57.00	08/06/2018	0.7	\$39.90
Call Center Supervisor	\$57.00	08/07/2018	0.4	\$22.80
Call Center Supervisor	\$57.00	08/08/2018	2.9	\$165.30
Call Center Supervisor	\$57.00	08/12/2018	0.6	\$34.20
Call Center Supervisor	\$57.00	08/13/2018	1.2	\$68.40
Call Center Supervisor	\$57.00	08/14/2018	0.6	\$34.20
Call Center Supervisor	\$57.00	08/15/2018	0.4	\$22.80
Call Center Supervisor	\$57.00	08/18/2018	0.3	\$17.10
Call Center Supervisor	\$57.00	08/19/2018	0.6	\$34.20
Call Center Supervisor	\$57.00	08/20/2018	1.5	\$85.50
Call Center Supervisor	\$57.00	08/21/2018	0.3	\$17.10
Call Center Supervisor	\$57.00	08/25/2018	0.5	\$28.50
Project Supervisor	\$57.00	08/29/2018	0.5	\$28.50
Project Supervisor	\$57.00	08/31/2018	0.4	\$22.80
Project Manager I	\$57.00	03/08/2018	0.3	\$17.10
Project Manager I	\$57.00	03/20/2018	0.4	\$22.80
Project Manager I	\$57.00	03/22/2018	0.5	\$28.50
Project Manager I	\$57.00	03/26/2018	0.3	\$17.10
Project Manager I	\$57.00	03/27/2018	0.3	\$17.10
Project Manager I	\$57.00	03/28/2018	0.3	\$17.10
Project Manager I	\$57.00	03/30/2018	0.5	\$28.50
Project Manager I	\$57.00	04/03/2018	0.8	\$45.60
Project Manager I	\$57.00	04/17/2018	0.2	\$11.40
Project Manager I	\$57.00	04/25/2018	0.3	\$17.10
Project Manager I	\$57.00	04/26/2018	0.3	\$17.10
Project Manager I	\$57.00	04/27/2018	0.3	\$17.10
Project Manager I	\$57.00	05/08/2018	0.4	\$22.80
Project Manager I	\$57.00	05/14/2018	1.5	\$85.50
Project Manager I	\$57.00	06/26/2018	0.2	\$11.40
Project Administrator	\$57.00	03/19/2018	0.4	\$22.80
Project Administrator	\$57.00	04/06/2018	0.1	\$5.70
Project Administrator	\$57.00	04/11/2018	0.3	\$17.10

Report - SAP - Schueneman v. Arena Pharmaceuticals, Inc.
(by Task, Title, Date)

Period from 3/1/2018 to 9/30/2018

SAP

SAP - Schueneman v. Arena Pharmaceuticals, Inc.

Title	Rate	Date	Hours	Amount
Project Administrator	\$57.00	04/12/2018	1.8	\$102.60
Director, Operations	\$57.00	03/01/2018	0.1	\$5.70
Director, Operations	\$57.00	04/10/2018	0.2	\$11.40
Director, Operations	\$57.00	04/11/2018	0.3	\$17.10
Director, Operations	\$57.00	04/12/2018	0.3	\$17.10
Director, Operations	\$57.00	04/17/2018	0.4	\$22.80
Director, Operations	\$57.00	04/18/2018	0.1	\$5.70
Director, Operations	\$57.00	05/03/2018	0.8	\$45.60
Director, Operations	\$57.00	05/11/2018	0.8	\$45.60
Director, Operations	\$57.00	05/17/2018	0.2	\$11.40
Director, Operations	\$57.00	05/22/2018	0.2	\$11.40
Director, Operations	\$57.00	05/29/2018	0.4	\$22.80
Director, Operations	\$57.00	05/30/2018	0.2	\$11.40
Director, Operations	\$57.00	06/20/2018	0.3	\$17.10
Director, Operations	\$57.00	06/22/2018	0.7	\$39.90
Director, Operations	\$57.00	07/03/2018	0.3	\$17.10
Director, Operations	\$57.00	07/31/2018	0.1	\$5.70
Director, Operations	\$57.00	08/07/2018	0.8	\$45.60
Director, Operations	\$57.00	08/09/2018	0.6	\$34.20
Director, Operations	\$57.00	09/04/2018	0.3	\$17.10
Call Center Agent	\$57.00	06/06/2018	0.1	\$5.70
Call Center Agent	\$57.00	06/12/2018	0.1	\$5.70
Call Center Agent	\$57.00	06/20/2018	0.5	\$28.50
Call Center Agent	\$57.00	06/27/2018	0.2	\$11.40
Call Center Agent	\$57.00	07/07/2018	0.8	\$45.60
Call Center Agent	\$57.00	07/08/2018	0.4	\$22.80
Call Center Agent	\$57.00	07/10/2018	0.2	\$11.40
Call Center Agent	\$57.00	07/17/2018	0.1	\$5.70
Project Supervisor	\$57.00	03/07/2018	0.2	\$11.40
Project Supervisor	\$57.00	03/09/2018	0.4	\$22.80
Project Supervisor	\$57.00	03/13/2018	0.8	\$45.60
Project Supervisor	\$57.00	03/16/2018	0.8	\$45.60
Project Supervisor	\$57.00	03/22/2018	0.5	\$28.50
Project Supervisor	\$57.00	03/23/2018	0.9	\$51.30
Project Supervisor	\$57.00	03/27/2018	0.6	\$34.20
Project Supervisor	\$57.00	03/30/2018	0.5	\$28.50
Project Supervisor	\$57.00	04/02/2018	0.8	\$45.60
Project Supervisor	\$57.00	04/05/2018	2.8	\$159.60
Project Supervisor	\$57.00	04/06/2018	1.0	\$57.00
Project Supervisor	\$57.00	04/09/2018	0.2	\$11.40
Project Supervisor	\$57.00	04/10/2018	1.5	\$85.50
Project Supervisor	\$57.00	04/16/2018	0.5	\$28.50
Project Supervisor	\$57.00	04/17/2018	0.3	\$17.10
Project Supervisor	\$57.00	04/19/2018	0.2	\$11.40
Project Supervisor	\$57.00	04/23/2018	1.2	\$68.40
Project Supervisor	\$57.00	04/24/2018	1.1	\$62.70

<p align="center">Report - SAP - Schueneman v. Arena Pharmaceuticals, Inc.</p> <p align="center">(by Task, Title, Date)</p>

Period from 3/1/2018 to 9/30/2018

SAP

SAP - Schueneman v. Arena Pharmaceuticals, Inc.

Title	Rate	Date	Hours	Amount
Project Supervisor	\$57.00	04/25/2018	0.7	\$39.90
Project Supervisor	\$57.00	04/26/2018	0.4	\$22.80
Project Supervisor	\$57.00	05/03/2018	0.5	\$28.50
Project Supervisor	\$57.00	05/04/2018	0.6	\$34.20
Project Supervisor	\$57.00	05/08/2018	0.4	\$22.80
Project Supervisor	\$57.00	05/14/2018	0.3	\$17.10
Project Supervisor	\$57.00	05/15/2018	0.5	\$28.50
Project Supervisor	\$57.00	05/21/2018	0.4	\$22.80
Project Supervisor	\$57.00	05/24/2018	0.6	\$34.20
Project Supervisor	\$57.00	06/04/2018	0.8	\$45.60
Project Supervisor	\$57.00	06/12/2018	2.8	\$159.60
Project Supervisor	\$57.00	06/20/2018	1.1	\$62.70
Project Supervisor	\$57.00	06/21/2018	0.8	\$45.60
Project Supervisor	\$57.00	06/22/2018	2.5	\$142.50
Project Supervisor	\$57.00	06/25/2018	4.5	\$256.50
Project Supervisor	\$57.00	06/26/2018	2.7	\$153.90
Project Supervisor	\$57.00	06/28/2018	1.9	\$108.30
Project Supervisor	\$57.00	06/29/2018	1.0	\$57.00
Project Supervisor	\$57.00	07/16/2018	0.4	\$22.80
Project Supervisor	\$57.00	07/17/2018	0.5	\$28.50
Project Supervisor	\$57.00	07/18/2018	1.5	\$85.50
Project Supervisor	\$57.00	07/27/2018	0.7	\$39.90
Project Supervisor	\$57.00	08/10/2018	0.8	\$45.60
Project Supervisor	\$57.00	08/13/2018	0.6	\$34.20
Project Supervisor	\$57.00	08/14/2018	0.7	\$39.90
Project Supervisor	\$57.00	08/20/2018	0.5	\$28.50
Project Supervisor	\$57.00	08/21/2018	0.2	\$11.40
Project Supervisor	\$57.00	09/04/2018	0.2	\$11.40
Project Supervisor	\$57.00	09/05/2018	0.7	\$39.90
Project Supervisor	\$57.00	09/06/2018	0.9	\$51.30
Project Supervisor	\$57.00	09/13/2018	0.5	\$28.50
Project Administrator	\$57.00	03/27/2018	0.1	\$5.70
Project Administrator	\$57.00	03/28/2018	0.3	\$17.10
Project Administrator	\$57.00	03/29/2018	1.2	\$68.40
Project Administrator	\$57.00	04/03/2018	1.2	\$68.40
Project Administrator	\$57.00	04/04/2018	2.4	\$136.80
Project Administrator	\$57.00	04/05/2018	2.4	\$136.80
Project Administrator	\$57.00	04/06/2018	1.0	\$57.00
Project Administrator	\$57.00	04/09/2018	0.3	\$17.10
Project Administrator	\$57.00	04/10/2018	2.0	\$114.00
Project Administrator	\$57.00	04/11/2018	0.1	\$5.70
Project Administrator	\$57.00	04/12/2018	0.4	\$22.80
Project Administrator	\$57.00	04/19/2018	0.1	\$5.70
Project Administrator	\$57.00	04/20/2018	0.3	\$17.10
Project Administrator	\$57.00	04/23/2018	0.1	\$5.70
Project Administrator	\$57.00	04/24/2018	0.4	\$22.80

Report - SAP - Schueneman v. Arena Pharmaceuticals, Inc.
(by Task, Title, Date)

Period from 3/1/2018 to 9/30/2018

SAP

SAP - Schueneman v. Arena Pharmaceuticals, Inc.

Title	Rate	Date	Hours	Amount
Project Administrator	\$57.00	04/25/2018	1.2	\$68.40
Project Administrator	\$57.00	04/26/2018	0.5	\$28.50
Project Administrator	\$57.00	04/27/2018	0.5	\$28.50
Project Administrator	\$57.00	05/03/2018	0.4	\$22.80
Project Administrator	\$57.00	05/08/2018	0.1	\$5.70
Project Administrator	\$57.00	05/09/2018	0.2	\$11.40
Project Administrator	\$57.00	05/10/2018	0.3	\$17.10
Project Administrator	\$57.00	05/11/2018	0.5	\$28.50
Project Administrator	\$57.00	05/15/2018	0.3	\$17.10
Project Administrator	\$57.00	05/17/2018	0.2	\$11.40
Project Administrator	\$57.00	05/18/2018	0.3	\$17.10
Project Administrator	\$57.00	05/21/2018	0.6	\$34.20
Project Administrator	\$57.00	05/23/2018	2.5	\$142.50
Project Administrator	\$57.00	06/04/2018	0.3	\$17.10
Project Administrator	\$57.00	06/07/2018	0.5	\$28.50
Project Administrator	\$57.00	06/13/2018	0.7	\$39.90
Project Administrator	\$57.00	06/15/2018	0.4	\$22.80
Project Administrator	\$57.00	06/25/2018	0.5	\$28.50
Project Administrator	\$57.00	06/26/2018	0.4	\$22.80
Project Administrator	\$57.00	06/27/2018	0.3	\$17.10
Project Administrator	\$57.00	07/09/2018	0.3	\$17.10
Project Administrator	\$57.00	07/10/2018	0.3	\$17.10
Project Administrator	\$57.00	07/11/2018	0.2	\$11.40
Project Administrator	\$57.00	07/12/2018	0.2	\$11.40
Project Administrator	\$57.00	07/16/2018	0.5	\$28.50
Project Administrator	\$57.00	07/26/2018	0.7	\$39.90
Project Administrator	\$57.00	08/01/2018	0.3	\$17.10
Project Administrator	\$57.00	08/02/2018	0.7	\$39.90
Project Administrator	\$57.00	08/08/2018	0.2	\$11.40
Project Administrator	\$57.00	08/17/2018	0.5	\$28.50
Project Administrator	\$57.00	08/23/2018	0.2	\$11.40
Project Administrator	\$57.00	09/07/2018	0.6	\$34.20
Project Administrator	\$57.00	09/19/2018	0.1	\$5.70
Project Administrator	\$57.00	09/20/2018	0.5	\$28.50
Project Administrator	\$57.00	09/21/2018	0.1	\$5.70
Project Administrator	\$57.00	09/24/2018	0.6	\$34.20
Project Administrator	\$57.00	04/26/2018	1.7	\$96.90
Project Administrator	\$57.00	04/27/2018	3.8	\$216.60
Project Administrator	\$57.00	04/30/2018	1.6	\$91.20
Project Administrator	\$57.00	05/01/2018	1.9	\$108.30
Project Administrator	\$57.00	06/01/2018	0.4	\$22.80
Project Administrator	\$57.00	06/18/2018	1.4	\$79.80
Project Administrator	\$57.00	06/26/2018	0.7	\$39.90
Project Administrator	\$57.00	06/27/2018	0.3	\$17.10
Project Administrator	\$57.00	07/23/2018	0.3	\$17.10
Project Administrator	\$57.00	07/30/2018	2.5	\$142.50

Report - SAP - Schueneman v. Arena Pharmaceuticals, Inc.
(by Task, Title, Date)

Period from 3/1/2018 to 9/30/2018

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SAP - Schueneman v. Arena Pharmaceuticals, Inc.

Title	Rate	Date	Hours	Amount
Project Administrator	\$57.00	07/31/2018	0.3	\$17.10
Project Administrator	\$57.00	08/01/2018	1.6	\$91.20
Project Administrator	\$57.00	08/02/2018	0.4	\$22.80
Project Administrator	\$57.00	09/25/2018	0.1	\$5.70
Data Analyst III	\$57.00	03/16/2018	0.7	\$39.90
Data Analyst III	\$57.00	04/17/2018	1.6	\$91.20
Project Administrator	\$57.00	03/01/2018	0.5	\$28.50
Project Administrator	\$57.00	03/05/2018	0.1	\$5.70
Project Administrator	\$57.00	07/18/2018	1.8	\$102.60
Project Administrator	\$57.00	04/13/2018	0.3	\$17.10
Project Administrator	\$57.00	06/22/2018	1.4	\$79.80
Project Administrator	\$57.00	06/26/2018	0.4	\$22.80
Project Supervisor	\$57.00	03/01/2018	0.7	\$39.90
Project Supervisor	\$57.00	03/08/2018	0.2	\$11.40
Project Supervisor	\$57.00	03/09/2018	0.2	\$11.40
Project Supervisor	\$57.00	03/19/2018	0.3	\$17.10
Project Manager I	\$57.00	04/20/2018	0.3	\$17.10
Project Manager I	\$57.00	04/23/2018	0.1	\$5.70
Project Manager I	\$57.00	05/29/2018	0.2	\$11.40
Sr. Project Manager	\$57.00	03/01/2018	0.1	\$5.70
Sr. Project Manager	\$57.00	04/03/2018	0.2	\$11.40
Sr. Project Manager	\$57.00	04/05/2018	0.1	\$5.70
Sr. Project Manager	\$57.00	04/09/2018	0.3	\$17.10
Sr. Project Manager	\$57.00	04/10/2018	0.5	\$28.50
Sr. Project Manager	\$57.00	04/11/2018	0.5	\$28.50
Sr. Project Manager	\$57.00	04/12/2018	0.7	\$39.90
Sr. Project Manager	\$57.00	04/13/2018	0.4	\$22.80
Sr. Project Manager	\$57.00	04/18/2018	0.2	\$11.40
Sr. Project Manager	\$57.00	04/20/2018	0.1	\$5.70
Sr. Project Manager	\$57.00	05/15/2018	0.2	\$11.40
Sr. Project Manager	\$57.00	06/19/2018	0.2	\$11.40
Sr. Project Manager	\$57.00	06/25/2018	0.3	\$17.10
Sr. Project Manager	\$57.00	06/26/2018	0.5	\$28.50
Sr. Project Manager	\$57.00	06/27/2018	0.5	\$28.50
Sr. Project Manager	\$57.00	06/28/2018	0.3	\$17.10
Sr. Project Manager	\$57.00	06/29/2018	0.2	\$11.40
Sr. Project Manager	\$57.00	07/02/2018	0.1	\$5.70
Sr. Project Manager	\$57.00	07/05/2018	2.3	\$131.10
Sr. Project Manager	\$57.00	07/06/2018	3.6	\$205.20
Sr. Project Manager	\$57.00	07/09/2018	0.5	\$28.50
Sr. Project Manager	\$57.00	07/10/2018	0.7	\$39.90
Sr. Project Manager	\$57.00	07/11/2018	0.2	\$11.40
Sr. Project Manager	\$57.00	07/12/2018	0.2	\$11.40
Sr. Project Manager	\$57.00	07/13/2018	0.9	\$51.30
Sr. Project Manager	\$57.00	07/20/2018	0.2	\$11.40
Sr. Project Manager	\$57.00	07/30/2018	0.4	\$22.80

Report - SAP - Schueneman v. Arena Pharmaceuticals, Inc.
(by Task, Title, Date)

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SAP

SAP - Schueneman v. Arena Pharmaceuticals, Inc.

Title	Rate	Date	Hours	Amount
Sr. Project Manager	\$57.00	08/07/2018	0.3	\$17.10
Sr. Project Manager	\$57.00	08/24/2018	0.3	\$17.10
Sr. Project Manager	\$57.00	09/04/2018	0.2	\$11.40
Sr. Project Manager	\$57.00	09/05/2018	0.2	\$11.40
Sr. Project Manager	\$57.00	09/12/2018	0.2	\$11.40
Project Supervisor	\$57.00	03/15/2018	0.8	\$45.60
Project Supervisor	\$57.00	03/23/2018	0.2	\$11.40
Project Supervisor	\$57.00	03/27/2018	0.5	\$28.50
Project Supervisor	\$57.00	04/05/2018	0.3	\$17.10
Project Supervisor	\$57.00	05/08/2018	0.5	\$28.50
Project Supervisor	\$57.00	05/09/2018	0.5	\$28.50
Project Supervisor	\$57.00	05/18/2018	0.4	\$22.80
Project Supervisor	\$57.00	05/23/2018	0.7	\$39.90
Project Supervisor	\$57.00	05/24/2018	0.8	\$45.60
Project Supervisor	\$57.00	05/30/2018	0.3	\$17.10
Project Supervisor	\$57.00	06/22/2018	0.4	\$22.80
Project Supervisor	\$57.00	06/26/2018	0.4	\$22.80
Project Supervisor	\$57.00	07/12/2018	1.7	\$96.90
Sr. Project Administrator	\$57.00	03/01/2018	1.0	\$57.00
Sr. Project Administrator	\$57.00	03/05/2018	0.2	\$11.40
Sr. Project Administrator	\$57.00	03/06/2018	0.4	\$22.80
Sr. Project Administrator	\$57.00	03/07/2018	0.2	\$11.40
Sr. Project Administrator	\$57.00	03/08/2018	1.2	\$68.40
Sr. Project Administrator	\$57.00	03/12/2018	0.3	\$17.10
Sr. Project Administrator	\$57.00	03/19/2018	0.3	\$17.10
Sr. Project Administrator	\$57.00	03/20/2018	0.3	\$17.10
Sr. Project Administrator	\$57.00	03/26/2018	0.1	\$5.70
Sr. Project Administrator	\$57.00	04/03/2018	0.1	\$5.70
Sr. Project Administrator	\$57.00	04/09/2018	0.1	\$5.70
Sr. Project Administrator	\$57.00	04/11/2018	0.1	\$5.70
Sr. Project Administrator	\$57.00	04/17/2018	0.2	\$11.40
Sr. Project Administrator	\$57.00	04/23/2018	0.1	\$5.70
Sr. Project Administrator	\$57.00	04/30/2018	0.1	\$5.70
Sr. Project Administrator	\$57.00	05/01/2018	0.4	\$22.80
Sr. Project Administrator	\$57.00	05/02/2018	0.1	\$5.70
Sr. Project Administrator	\$57.00	05/07/2018	0.1	\$5.70
Sr. Project Administrator	\$57.00	05/09/2018	0.2	\$11.40
Sr. Project Administrator	\$57.00	06/08/2018	0.1	\$5.70
Sr. Project Administrator	\$57.00	07/10/2018	0.8	\$45.60
Sr. Project Administrator	\$57.00	07/31/2018	0.3	\$17.10
Sr. Project Administrator	\$57.00	08/17/2018	0.4	\$22.80
Sr. Project Administrator	\$57.00	08/20/2018	0.7	\$39.90
Sr. Project Administrator	\$57.00	09/18/2018	0.3	\$17.10
Project Administrator	\$57.00	04/12/2018	0.1	\$5.70
Project Administrator	\$57.00	04/13/2018	0.1	\$5.70
Project Administrator	\$57.00	04/20/2018	0.1	\$5.70

Report - SAP - Schueneman v. Arena Pharmaceuticals, Inc.
(by Task, Title, Date)

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SAP

SAP - Schueneman v. Arena Pharmaceuticals, Inc.

Title	Rate	Date	Hours	Amount
Security Guard	\$57.00	05/09/2018	0.1	\$5.70
Project Administrator	\$57.00	03/06/2018	0.1	\$5.70
Project Administrator	\$57.00	03/08/2018	2.5	\$142.50
Project Administrator	\$57.00	03/14/2018	0.1	\$5.70
Project Administrator	\$57.00	03/15/2018	0.5	\$28.50
Project Administrator	\$57.00	03/16/2018	1.7	\$96.90
Project Administrator	\$57.00	03/20/2018	0.6	\$34.20
Project Administrator	\$57.00	03/21/2018	0.4	\$22.80
Project Administrator	\$57.00	03/22/2018	0.9	\$51.30
Project Administrator	\$57.00	03/23/2018	0.4	\$22.80
Project Administrator	\$57.00	03/26/2018	0.2	\$11.40
Project Administrator	\$57.00	03/28/2018	1.4	\$79.80
Project Supervisor	\$57.00	04/04/2018	0.4	\$22.80
Project Supervisor	\$57.00	04/05/2018	0.3	\$17.10
Project Supervisor	\$57.00	04/06/2018	0.9	\$51.30
Project Supervisor	\$57.00	04/09/2018	0.4	\$22.80
Project Supervisor	\$57.00	04/10/2018	0.4	\$22.80
Project Supervisor	\$57.00	04/12/2018	0.4	\$22.80
Project Supervisor	\$57.00	04/13/2018	1.0	\$57.00
Project Supervisor	\$57.00	04/16/2018	1.9	\$108.30
Project Supervisor	\$57.00	04/17/2018	2.5	\$142.50
Project Supervisor	\$57.00	04/18/2018	0.4	\$22.80
Project Supervisor	\$57.00	04/19/2018	0.6	\$34.20
Project Supervisor	\$57.00	04/20/2018	0.1	\$5.70
Project Supervisor	\$57.00	04/23/2018	0.6	\$34.20
Project Supervisor	\$57.00	04/24/2018	1.3	\$74.10
Project Supervisor	\$57.00	04/26/2018	1.6	\$91.20
Project Supervisor	\$57.00	04/27/2018	1.2	\$68.40
Project Supervisor	\$57.00	04/30/2018	0.4	\$22.80
Project Supervisor	\$57.00	05/04/2018	0.3	\$17.10
Project Supervisor	\$57.00	05/07/2018	0.2	\$11.40
Project Supervisor	\$57.00	05/09/2018	1.7	\$96.90
Project Supervisor	\$57.00	05/11/2018	0.6	\$34.20
Project Supervisor	\$57.00	05/15/2018	0.1	\$5.70
Project Supervisor	\$57.00	05/22/2018	1.3	\$74.10
Project Supervisor	\$57.00	05/23/2018	1.4	\$79.80
Project Supervisor	\$57.00	05/24/2018	0.3	\$17.10
Project Supervisor	\$57.00	05/29/2018	0.1	\$5.70
Project Supervisor	\$57.00	06/01/2018	0.2	\$11.40
Project Supervisor	\$57.00	06/04/2018	0.3	\$17.10
Project Supervisor	\$57.00	06/05/2018	0.7	\$39.90
Project Supervisor	\$57.00	06/06/2018	0.3	\$17.10
Project Supervisor	\$57.00	06/07/2018	0.8	\$45.60
Project Supervisor	\$57.00	06/08/2018	0.5	\$28.50
Project Supervisor	\$57.00	06/11/2018	1.2	\$68.40
Project Supervisor	\$57.00	06/13/2018	1.6	\$91.20

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(by Task, Title, Date)

Period from 3/1/2018 to 9/30/2018

SAP

SAP - Schueneman v. Arena Pharmaceuticals, Inc.

Title	Rate	Date	Hours	Amount
Project Supervisor	\$57.00	06/14/2018	0.7	\$39.90
Project Supervisor	\$57.00	06/15/2018	0.2	\$11.40
Project Supervisor	\$57.00	06/18/2018	1.1	\$62.70
Project Supervisor	\$57.00	06/20/2018	0.2	\$11.40
Project Supervisor	\$57.00	06/21/2018	0.4	\$22.80
Project Supervisor	\$57.00	06/25/2018	0.7	\$39.90
Project Supervisor	\$57.00	06/26/2018	0.1	\$5.70
Project Supervisor	\$57.00	06/29/2018	0.5	\$28.50
Project Supervisor	\$57.00	07/06/2018	0.1	\$5.70
Project Supervisor	\$57.00	07/16/2018	0.1	\$5.70
Project Supervisor	\$57.00	07/17/2018	0.2	\$11.40
Project Supervisor	\$57.00	07/18/2018	0.2	\$11.40
Project Supervisor	\$57.00	07/19/2018	0.5	\$28.50
Project Supervisor	\$57.00	07/20/2018	0.3	\$17.10
Project Supervisor	\$57.00	07/23/2018	1.5	\$85.50
Project Supervisor	\$57.00	07/24/2018	0.1	\$5.70
Project Supervisor	\$57.00	07/25/2018	0.2	\$11.40
Project Supervisor	\$57.00	08/07/2018	0.5	\$28.50
Project Supervisor	\$57.00	08/10/2018	0.6	\$34.20
Project Supervisor	\$57.00	08/15/2018	0.6	\$34.20
Project Supervisor	\$57.00	08/21/2018	0.1	\$5.70
Project Supervisor	\$57.00	08/22/2018	0.5	\$28.50
Project Supervisor	\$57.00	08/27/2018	0.1	\$5.70
Project Supervisor	\$57.00	08/30/2018	0.4	\$22.80
Project Supervisor	\$57.00	08/31/2018	0.1	\$5.70
Project Supervisor	\$57.00	09/12/2018	0.2	\$11.40
Project Supervisor	\$57.00	09/13/2018	0.1	\$5.70
Project Supervisor	\$57.00	09/14/2018	0.4	\$22.80
Call Center Agent	\$57.00	08/08/2018	2.5	\$142.50
Call Center Agent	\$57.00	08/11/2018	2.5	\$142.50
Call Center Agent	\$57.00	07/12/2018	0.5	\$28.50
Project Administrator	\$57.00	03/02/2018	0.1	\$5.70
Project Administrator	\$57.00	03/05/2018	1.1	\$62.70
Project Administrator	\$57.00	03/06/2018	1.3	\$74.10
Project Administrator	\$57.00	03/07/2018	1.3	\$74.10
Project Administrator	\$57.00	03/08/2018	1.2	\$68.40
Project Administrator	\$57.00	03/12/2018	0.9	\$51.30
Project Administrator	\$57.00	03/13/2018	0.7	\$39.90
Project Administrator	\$57.00	03/15/2018	0.2	\$11.40
Project Administrator	\$57.00	03/30/2018	0.2	\$11.40
Project Administrator	\$57.00	03/14/2018	0.1	\$5.70
Project Administrator	\$57.00	03/16/2018	0.3	\$17.10
Project Administrator	\$57.00	03/19/2018	0.2	\$11.40
Project Administrator	\$57.00	03/21/2018	0.1	\$5.70
Project Administrator	\$57.00	03/22/2018	0.3	\$17.10
Project Administrator	\$57.00	03/23/2018	0.1	\$5.70

Report - SAP - Schueneman v. Arena Pharmaceuticals, Inc.
(by Task, Title, Date)

Period from 3/1/2018 to 9/30/2018

SAP

SAP - Schueneman v. Arena Pharmaceuticals, Inc.

Title	Rate	Date	Hours	Amount
Project Administrator	\$57.00	03/27/2018	0.3	\$17.10
Project Administrator	\$57.00	03/30/2018	0.1	\$5.70
Project Administrator	\$57.00	04/18/2018	0.6	\$34.20
Project Administrator	\$57.00	04/19/2018	0.1	\$5.70
Project Administrator	\$57.00	04/26/2018	0.1	\$5.70
Project Administrator	\$57.00	05/14/2018	0.9	\$51.30
Project Administrator	\$57.00	05/15/2018	0.5	\$28.50
Project Administrator	\$57.00	05/17/2018	0.1	\$5.70
Project Administrator	\$57.00	05/22/2018	0.2	\$11.40
Project Administrator	\$57.00	05/29/2018	0.6	\$34.20
Project Administrator	\$57.00	06/12/2018	0.2	\$11.40
Project Administrator	\$57.00	06/15/2018	0.1	\$5.70
Project Administrator	\$57.00	06/18/2018	0.1	\$5.70
Project Administrator	\$57.00	06/22/2018	0.1	\$5.70
Project Administrator	\$57.00	07/03/2018	0.1	\$5.70
Project Administrator	\$57.00	07/05/2018	0.3	\$17.10
Project Administrator	\$57.00	07/06/2018	0.2	\$11.40
Project Administrator	\$57.00	07/19/2018	0.3	\$17.10
Project Administrator	\$57.00	07/20/2018	0.8	\$45.60
Project Administrator	\$57.00	08/24/2018	0.6	\$34.20
Project Administrator	\$57.00	09/24/2018	0.3	\$17.10
Project Administrator	\$57.00	09/26/2018	0.1	\$5.70
Call Center Agent	\$57.00	06/07/2018	1.8	\$102.60
Call Center Agent	\$57.00	06/18/2018	0.2	\$11.40
Call Center Agent	\$57.00	06/21/2018	0.1	\$5.70
Call Center Agent	\$57.00	06/25/2018	0.4	\$22.80
Call Center Agent	\$57.00	06/28/2018	0.4	\$22.80
Call Center Agent	\$57.00	07/05/2018	1.2	\$68.40
Call Center Agent	\$57.00	07/06/2018	0.4	\$22.80
Call Center Agent	\$57.00	07/07/2018	0.1	\$5.70
Call Center Agent	\$57.00	07/16/2018	2.1	\$119.70
Call Center Agent	\$57.00	07/29/2018	0.2	\$11.40
Call Center Agent	\$57.00	08/01/2018	0.3	\$17.10
Call Center Agent	\$57.00	08/05/2018	1.0	\$57.00
Call Center Agent	\$57.00	08/08/2018	0.7	\$39.90
Project Administrator	\$57.00	04/09/2018	0.1	\$5.70
Project Administrator	\$57.00	04/10/2018	0.1	\$5.70
Project Administrator	\$57.00	04/11/2018	0.1	\$5.70
Project Administrator	\$57.00	08/10/2018	0.1	\$5.70
Sub Total:			266.8	\$15,207.60

Website updates

Sr. Web and Graphics Manager	\$125.00	04/13/2018	0.5	\$62.50
Sub Total:			0.5	\$62.50

INVOICE



Jeffrey P. Campisi, Esq.
Kaplan Fox & Kilsheimer LLP
850 Third Avenue
New York, NY 10022

INVOICE DATE	INVOICE NUMBER
4/8/2019	25719
PERIOD START	THROUGH DATE
10/1/2018	1/31/2019

Project Name: Schueneman v. Arena Pharmaceuticals, Inc.

Description	Quantity	Rate	Amount
Fees			
Imaging, Document Management & Storage			
Scan Mail (per img.)	403	\$0.12	\$48.36
Document Storage - Paper (per box/per month)	124	\$1.50	\$186.00
Document Storage - Electronic (per img./record per month)	682,688	\$0.008	\$5,461.50
Claim Validation			
Individual Paper Claims - up to 5 transactions	2	\$3.50	\$7.00
Electronic/Nominee Claims - format and load (per transaction)	917,629	\$0.005	\$4,588.15
Electronic/Nominee Claims - Processing	1	\$2.25	\$2.25
Handle & process exclusions	0.8 Hrs.		\$100.00
Contact Services			
IVR (per minute)	526	\$0.39	\$205.14
CSR/Live Operator including transcriptions of recorded messages (per minute)	606	\$0.95	\$575.70
Handling of class member communications	153.8 Hrs.		\$8,766.60
Website Services			
Monthly maintenance charge	4	\$100.00	\$400.00
Total Fees			\$20,340.70
Total Project Expenses (See Exhibit A)			\$1,777.03
Sub Total			\$22,117.73
Less 27.5% Discount on Fees			(\$3,675.10)
Sales Tax			\$447.81
Sub Total			\$18,890.44
Outstanding Balance Prior Invoice #23911			\$136,303.09
Outstanding Balance Prior Invoice #24922			\$57,159.48
Grand Total			\$212,353.01

EXHIBIT A

Project Name: Schueneman v. Arena Pharmaceuticals, Inc.	
Description	Amount
<u>Project Expenses</u>	
For the period: Oct 01, 2018 through Jan 31, 2019	
Broker Fees	\$1,117.03
P. O. Box Rental/Renewal	\$660.00
Total	\$1,777.03

Please Remit To :

Epiq Class Action & Claims Solutions, Inc.
 1985 Marcus Avenue, Suite 200
 Lake Success, NY 11042

-Or-

Epiq Class Action & Claims Solutions, Inc.
 Operating A/C
 Signature Bank
 900 Stewart Ave., 3rd Floor
 Garden City, NY 11530

ABA # - 026013576
 A /C # - 1501168781
 Tax ID # - 93-1210932
 Swift Code - SIGNUS33

<p align="center">Report - SAP - Schueneman v. Arena Pharmaceuticals, Inc.</p> <p align="center">(by Task, Title, Date)</p>

Period from 10/1/2018 to 1/31/2019

SAP

SAP - Schueneman v. Arena Pharmaceuticals, Inc.

Title	Rate	Date	Hours	Amount
Handle & process exclusions				
Project Supervisor	\$125.00	11/30/2018	0.8	\$100.00
		Sub Total:	0.8	\$100.00
CSR/Live Operator including transcriptions of recorded messages (per minute)				
Call Center Agent	\$57.00	10/18/2018	0.1	\$5.70
Call Center Agent	\$57.00	01/08/2019	0.1	\$5.70
Call Center Agent	\$57.00	01/10/2019	0.1	\$5.70
Call Center Agent	\$57.00	01/21/2019	0.1	\$5.70
Call Center Agent	\$57.00	10/02/2018	0.2	\$11.40
Call Center Agent	\$57.00	10/11/2018	0.2	\$11.40
Call Center Agent	\$57.00	11/05/2018	0.2	\$11.40
Call Center Agent	\$57.00	11/29/2018	0.1	\$5.70
Call Center Agent	\$57.00	12/05/2018	0.4	\$22.80
Call Center Agent	\$57.00	01/14/2019	0.1	\$5.70
Call Center Agent	\$57.00	01/24/2019	0.1	\$5.70
Call Center Agent	\$57.00	01/28/2019	0.2	\$11.40
Call Center Agent	\$57.00	01/29/2019	0.1	\$5.70
Call Center Agent	\$57.00	01/24/2019	0.1	\$5.70
Call Center Agent	\$57.00	11/29/2018	0.1	\$5.70
Call Center Agent	\$57.00	12/07/2018	0.1	\$5.70
Call Center Agent	\$57.00	01/04/2019	0.1	\$5.70
Call Center Agent	\$57.00	01/11/2019	0.1	\$5.70
Call Center Agent	\$57.00	01/14/2019	0.3	\$17.10
Call Center Agent	\$57.00	10/01/2018	0.1	\$5.70
Call Center Agent	\$57.00	10/02/2018	0.1	\$5.70
Call Center Agent	\$57.00	11/20/2018	0.3	\$17.10
Call Center Agent	\$57.00	12/05/2018	0.1	\$5.70
Call Center Agent	\$57.00	12/27/2018	0.2	\$11.40
Call Center Agent	\$57.00	01/14/2019	0.1	\$5.70
Call Center Agent	\$57.00	01/28/2019	0.1	\$5.70
Call Center Agent	\$57.00	01/30/2019	0.1	\$5.70
Call Center Agent	\$57.00	01/23/2019	0.1	\$5.70
Call Center Agent	\$57.00	01/29/2019	0.1	\$5.70
Call Center Agent	\$57.00	10/10/2018	0.1	\$5.70
Call Center Agent	\$57.00	11/05/2018	0.3	\$17.10
Call Center Agent	\$57.00	12/07/2018	1.9	\$108.30
Call Center Agent	\$57.00	12/28/2018	0.5	\$28.50
Call Center Agent	\$57.00	01/28/2019	0.1	\$5.70
Call Center Agent	\$57.00	01/29/2019	0.1	\$5.70
Call Center Agent	\$57.00	01/28/2019	0.2	\$11.40
Call Center Agent	\$57.00	10/03/2018	0.1	\$5.70
Call Center Agent	\$57.00	10/19/2018	0.1	\$5.70
Call Center Agent	\$57.00	01/14/2019	0.3	\$17.10
Call Center Agent	\$57.00	11/02/2018	0.1	\$5.70

<p align="center">Report - SAP - Schueneman v. Arena Pharmaceuticals, Inc. (by Task, Title, Date)</p>
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Period from 10/1/2018 to 1/31/2019

SAP

SAP - Schueneman v. Arena Pharmaceuticals, Inc.

Title	Rate	Date	Hours	Amount
Call Center Agent	\$57.00	11/15/2018	0.2	\$11.40
Call Center Agent	\$57.00	12/05/2018	0.1	\$5.70
Call Center Agent	\$57.00	12/20/2018	0.1	\$5.70
Call Center Agent	\$57.00	01/10/2019	0.1	\$5.70
Call Center Agent	\$57.00	10/05/2018	0.2	\$11.40
Call Center Agent	\$57.00	11/15/2018	0.3	\$17.10
Call Center Agent	\$57.00	11/16/2018	0.2	\$11.40
Call Center Agent	\$57.00	11/26/2018	0.1	\$5.70
Call Center Agent	\$57.00	12/12/2018	0.1	\$5.70
Call Center Agent	\$57.00	12/18/2018	0.1	\$5.70
Call Center Agent	\$57.00	01/16/2019	0.1	\$5.70
Claims Control Clerk	\$57.00	10/09/2018	0.4	\$22.80
Call Center Agent	\$57.00	10/01/2018	0.1	\$5.70
Call Center Agent	\$57.00	10/08/2018	0.1	\$5.70
Sub Total:			10.1	\$575.70

Handling of class member communications

Project Administrator	\$57.00	01/10/2019	0.5	\$28.50
Project Administrator	\$57.00	11/09/2018	1.6	\$91.20
Project Administrator	\$57.00	11/10/2018	2.0	\$114.00
Project Administrator	\$57.00	11/13/2018	1.9	\$108.30
Project Administrator	\$57.00	11/14/2018	1.4	\$79.80
Project Administrator	\$57.00	11/15/2018	3.0	\$171.00
Project Administrator	\$57.00	11/16/2018	0.8	\$45.60
Project Administrator	\$57.00	11/19/2018	1.2	\$68.40
Project Administrator	\$57.00	11/28/2018	0.2	\$11.40
Project Administrator	\$57.00	11/01/2018	0.8	\$45.60
Project Administrator	\$57.00	11/05/2018	0.5	\$28.50
Project Supervisor	\$57.00	10/17/2018	0.6	\$34.20
Project Supervisor	\$57.00	10/18/2018	0.5	\$28.50
Project Supervisor	\$57.00	10/19/2018	0.4	\$22.80
Project Supervisor	\$57.00	10/25/2018	0.3	\$17.10
Project Supervisor	\$57.00	11/09/2018	0.5	\$28.50
Project Supervisor	\$57.00	11/12/2018	0.5	\$28.50
Project Supervisor	\$57.00	11/15/2018	0.2	\$11.40
Project Supervisor	\$57.00	11/20/2018	0.3	\$17.10
Project Supervisor	\$57.00	11/21/2018	0.5	\$28.50
Project Supervisor	\$57.00	11/29/2018	0.3	\$17.10
Project Administrator	\$57.00	10/09/2018	0.5	\$28.50
Project Administrator	\$57.00	10/10/2018	1.6	\$91.20
Project Administrator	\$57.00	11/12/2018	0.7	\$39.90
Project Administrator	\$57.00	11/20/2018	5.0	\$285.00
Director, Operations	\$57.00	10/07/2018	2.4	\$136.80
Director, Operations	\$57.00	10/08/2018	0.2	\$11.40
Director, Operations	\$57.00	10/11/2018	0.4	\$22.80
Director, Operations	\$57.00	10/15/2018	0.2	\$11.40

Report - SAP - Schueneman v. Arena Pharmaceuticals, Inc.
(by Task, Title, Date)

Period from 10/1/2018 to 1/31/2019

SAP

SAP - Schueneman v. Arena Pharmaceuticals, Inc.

Title	Rate	Date	Hours	Amount
Director, Operations	\$57.00	10/17/2018	0.6	\$34.20
Director, Operations	\$57.00	10/26/2018	0.2	\$11.40
Director, Operations	\$57.00	11/30/2018	0.1	\$5.70
Project Administrator	\$57.00	12/10/2018	3.0	\$171.00
Project Administrator	\$57.00	12/11/2018	5.5	\$313.50
Project Administrator	\$57.00	12/12/2018	5.5	\$313.50
Project Administrator	\$57.00	12/13/2018	3.5	\$199.50
Project Administrator	\$57.00	12/14/2018	6.5	\$370.50
Project Administrator	\$57.00	12/17/2018	4.0	\$228.00
Project Administrator	\$57.00	12/18/2018	2.0	\$114.00
Project Administrator	\$57.00	12/19/2018	1.0	\$57.00
Project Administrator	\$57.00	12/21/2018	2.0	\$114.00
Project Administrator	\$57.00	01/07/2019	3.5	\$199.50
Project Administrator	\$57.00	01/15/2019	2.0	\$114.00
Project Administrator	\$57.00	01/17/2019	0.5	\$28.50
Project Administrator	\$57.00	01/29/2019	1.0	\$57.00
Project Supervisor	\$57.00	10/01/2018	0.4	\$22.80
Project Supervisor	\$57.00	10/09/2018	0.5	\$28.50
Project Supervisor	\$57.00	11/06/2018	0.5	\$28.50
Project Supervisor	\$57.00	11/07/2018	0.8	\$45.60
Project Supervisor	\$57.00	11/20/2018	1.0	\$57.00
Project Supervisor	\$57.00	11/21/2018	1.7	\$96.90
Project Supervisor	\$57.00	11/26/2018	1.0	\$57.00
Project Supervisor	\$57.00	12/05/2018	1.6	\$91.20
Project Supervisor	\$57.00	12/17/2018	0.6	\$34.20
Project Supervisor	\$57.00	12/20/2018	0.9	\$51.30
Project Supervisor	\$57.00	12/28/2018	0.8	\$45.60
Project Supervisor	\$57.00	01/07/2019	0.7	\$39.90
Project Supervisor	\$57.00	01/10/2019	1.6	\$91.20
Project Supervisor	\$57.00	01/14/2019	0.4	\$22.80
Project Supervisor	\$57.00	01/15/2019	0.9	\$51.30
Project Supervisor	\$57.00	01/25/2019	0.8	\$45.60
Project Supervisor	\$57.00	01/28/2019	1.0	\$57.00
Project Supervisor	\$57.00	01/29/2019	0.7	\$39.90
Project Administrator	\$57.00	10/01/2018	0.1	\$5.70
Project Administrator	\$57.00	10/05/2018	0.3	\$17.10
Project Administrator	\$57.00	10/08/2018	0.1	\$5.70
Project Administrator	\$57.00	10/11/2018	0.1	\$5.70
Project Administrator	\$57.00	10/12/2018	1.0	\$57.00
Project Administrator	\$57.00	10/18/2018	3.0	\$171.00
Project Administrator	\$57.00	10/20/2018	0.2	\$11.40
Project Administrator	\$57.00	10/22/2018	0.7	\$39.90
Project Administrator	\$57.00	10/26/2018	0.2	\$11.40
Project Administrator	\$57.00	11/02/2018	0.3	\$17.10
Project Administrator	\$57.00	11/15/2018	0.1	\$5.70
Project Administrator	\$57.00	11/21/2018	0.3	\$17.10

Report - SAP - Schueneman v. Arena Pharmaceuticals, Inc.
(by Task, Title, Date)

Period from 10/1/2018 to 1/31/2019

SAP

SAP - Schueneman v. Arena Pharmaceuticals, Inc.

<u>Title</u>	<u>Rate</u>	<u>Date</u>	<u>Hours</u>	<u>Amount</u>
Project Administrator	\$57.00	11/26/2018	0.3	\$17.10
Project Administrator	\$57.00	12/08/2018	0.2	\$11.40
Project Administrator	\$57.00	12/10/2018	0.3	\$17.10
Project Administrator	\$57.00	12/14/2018	0.3	\$17.10
Project Administrator	\$57.00	12/21/2018	0.1	\$5.70
Project Administrator	\$57.00	11/19/2018	0.2	\$11.40
Project Administrator	\$57.00	12/17/2018	1.3	\$74.10
Project Administrator	\$57.00	12/19/2018	0.6	\$34.20
Project Administrator	\$57.00	12/31/2018	0.7	\$39.90
Project Administrator	\$57.00	01/03/2019	1.7	\$96.90
Project Administrator	\$57.00	11/26/2018	0.3	\$17.10
Project Administrator	\$57.00	11/27/2018	1.0	\$57.00
Project Administrator	\$57.00	11/29/2018	0.1	\$5.70
Ass't Project Supervisor	\$57.00	11/12/2018	0.1	\$5.70
Project Administrator	\$57.00	11/02/2018	0.4	\$22.80
Project Administrator	\$57.00	11/13/2018	0.3	\$17.10
Project Administrator	\$57.00	11/14/2018	3.7	\$210.90
Project Administrator	\$57.00	11/16/2018	1.2	\$68.40
Project Administrator	\$57.00	11/26/2018	0.7	\$39.90
Project Administrator	\$57.00	11/27/2018	0.4	\$22.80
Project Administrator	\$57.00	12/03/2018	0.9	\$51.30
Sr. Project Manager	\$57.00	10/02/2018	0.2	\$11.40
Sr. Project Manager	\$57.00	11/05/2018	0.3	\$17.10
Sr. Project Manager	\$57.00	11/06/2018	0.2	\$11.40
Sr. Project Manager	\$57.00	12/04/2018	0.2	\$11.40
Sr. Project Manager	\$57.00	12/05/2018	0.2	\$11.40
Sr. Project Manager	\$57.00	12/06/2018	0.3	\$17.10
Sr. Project Manager	\$57.00	12/17/2018	0.2	\$11.40
Sr. Project Manager	\$57.00	12/28/2018	0.2	\$11.40
Sr. Project Manager	\$57.00	01/10/2019	0.1	\$5.70
Sr. Project Manager	\$57.00	01/11/2019	0.1	\$5.70
Sr. Project Manager	\$57.00	01/14/2019	0.1	\$5.70
Sr. Project Administrator	\$57.00	10/19/2018	0.5	\$28.50
Sr. Project Administrator	\$57.00	10/24/2018	0.1	\$5.70
Sr. Project Administrator	\$57.00	10/25/2018	0.1	\$5.70
Sr. Project Administrator	\$57.00	11/07/2018	1.6	\$91.20
Sr. Project Administrator	\$57.00	11/12/2018	0.5	\$28.50
Sr. Project Administrator	\$57.00	11/13/2018	0.2	\$11.40
Sr. Project Administrator	\$57.00	11/15/2018	0.1	\$5.70
Sr. Project Administrator	\$57.00	11/19/2018	0.1	\$5.70
Sr. Project Administrator	\$57.00	11/21/2018	1.4	\$79.80
Sr. Project Administrator	\$57.00	11/26/2018	0.6	\$34.20
Sr. Project Administrator	\$57.00	12/03/2018	0.2	\$11.40
Sr. Project Administrator	\$57.00	12/04/2018	0.3	\$17.10
Sr. Project Administrator	\$57.00	12/05/2018	0.4	\$22.80
Sr. Project Administrator	\$57.00	12/10/2018	0.2	\$11.40

Report - SAP - Schueneman v. Arena Pharmaceuticals, Inc.
(by Task, Title, Date)

Period from 10/1/2018 to 1/31/2019

SAP

SAP - Schueneman v. Arena Pharmaceuticals, Inc.

Title	Rate	Date	Hours	Amount
Sr. Project Administrator	\$57.00	12/27/2018	0.2	\$11.40
Sr. Project Administrator	\$57.00	01/28/2019	0.1	\$5.70
Project Supervisor	\$57.00	10/08/2018	0.4	\$22.80
Project Supervisor	\$57.00	10/15/2018	0.4	\$22.80
Project Supervisor	\$57.00	10/16/2018	0.2	\$11.40
Project Supervisor	\$57.00	10/22/2018	0.1	\$5.70
Project Supervisor	\$57.00	10/24/2018	0.2	\$11.40
Project Supervisor	\$57.00	12/14/2018	0.5	\$28.50
Project Supervisor	\$57.00	12/18/2018	0.2	\$11.40
Project Supervisor	\$57.00	01/07/2019	0.3	\$17.10
Project Supervisor	\$57.00	01/29/2019	0.1	\$5.70
Project Administrator	\$57.00	01/17/2019	2.5	\$142.50
Project Administrator	\$57.00	12/18/2018	0.8	\$45.60
Project Administrator	\$57.00	12/19/2018	0.4	\$22.80
Project Administrator	\$57.00	12/20/2018	0.9	\$51.30
Project Administrator	\$57.00	12/21/2018	0.5	\$28.50
Project Administrator	\$57.00	10/10/2018	0.8	\$45.60
Project Administrator	\$57.00	10/15/2018	0.7	\$39.90
Project Administrator	\$57.00	10/30/2018	2.0	\$114.00
Project Administrator	\$57.00	10/31/2018	0.4	\$22.80
Project Administrator	\$57.00	11/19/2018	0.7	\$39.90
Project Administrator	\$57.00	11/27/2018	3.0	\$171.00
Project Administrator	\$57.00	12/03/2018	1.7	\$96.90
Project Administrator	\$57.00	12/06/2018	0.6	\$34.20
Project Administrator	\$57.00	12/10/2018	1.3	\$74.10
Project Administrator	\$57.00	12/11/2018	0.5	\$28.50
Project Administrator	\$57.00	12/12/2018	0.8	\$45.60
Project Administrator	\$57.00	12/13/2018	0.3	\$17.10
Project Administrator	\$57.00	12/18/2018	1.3	\$74.10
Project Administrator	\$57.00	12/19/2018	0.8	\$45.60
Project Administrator	\$57.00	01/07/2019	1.6	\$91.20
Project Administrator	\$57.00	01/14/2019	0.7	\$39.90
Project Administrator	\$57.00	01/15/2019	0.9	\$51.30
Project Administrator	\$57.00	01/17/2019	0.2	\$11.40
Project Administrator	\$57.00	01/18/2019	0.3	\$17.10
Project Administrator	\$57.00	12/13/2018	2.4	\$136.80
Project Administrator	\$57.00	11/14/2018	1.3	\$74.10
Project Administrator	\$57.00	11/20/2018	0.8	\$45.60
Project Administrator	\$57.00	11/21/2018	0.2	\$11.40
Project Administrator	\$57.00	11/26/2018	0.2	\$11.40
Project Administrator	\$57.00	12/06/2018	0.2	\$11.40
Project Administrator	\$57.00	12/07/2018	0.3	\$17.10
Project Administrator	\$57.00	12/10/2018	0.2	\$11.40
Project Administrator	\$57.00	12/17/2018	0.3	\$17.10
Project Administrator	\$57.00	12/21/2018	0.3	\$17.10
Project Administrator	\$57.00	01/15/2019	2.5	\$142.50

<p>Report - SAP - Schueneman v. Arena Pharmaceuticals, Inc. (by Task, Title, Date)</p>
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Period from 10/1/2018 to 1/31/2019

SAP

SAP - Schueneman v. Arena Pharmaceuticals, Inc.

Title	Rate	Date	Hours	Amount
Project Administrator	\$57.00	01/16/2019	0.3	\$17.10
Project Administrator	\$57.00	10/10/2018	0.2	\$11.40
Project Administrator	\$57.00	10/11/2018	0.1	\$5.70
Project Administrator	\$57.00	10/18/2018	0.1	\$5.70
Project Administrator	\$57.00	10/29/2018	0.2	\$11.40
Project Administrator	\$57.00	11/06/2018	0.2	\$11.40
Project Administrator	\$57.00	11/08/2018	0.2	\$11.40
Project Administrator	\$57.00	12/05/2018	0.1	\$5.70
Project Administrator	\$57.00	12/18/2018	0.1	\$5.70
Project Administrator	\$57.00	11/12/2018	0.4	\$22.80
Project Administrator	\$57.00	11/15/2018	1.4	\$79.80
Sub Total:			153.8	\$8,766.60

INVOICE



Jeffrey P. Campisi, Esq.
Kaplan Fox & Kilsheimer LLP
850 Third Avenue
New York, NY 10022

INVOICE DATE	INVOICE NUMBER
7/9/2019	26212
PERIOD START	THROUGH DATE
2/1/2019	5/31/2019

Project Name: Schueneman v. Arena Pharmaceuticals, Inc.

Description	Quantity	Rate	Amount
Fees			
Imaging, Document Management & Storage			
Scan Mail (per img.)	352	\$0.12	\$42.24
Document Storage - Paper (per box/per month)	125	\$1.50	\$187.50
Document Storage - Electronic (per img./record per month)	684,514	\$0.008	\$5,476.11
Claim Validation			
Individual Paper Claims - up to 5 transactions	5	\$3.50	\$17.50
Individual Paper Claims - over 5 transactions	1	\$4.00	\$4.00
Electronic/Nominee Claims - format and load (per transaction)	206,208	\$0.005	\$1,031.04
Contact Services			
IVR (per minute)	595	\$0.39	\$232.05
CSR/Live Operator including transcriptions of recorded messages (per minute)	516	\$0.95	\$490.20
Handling of class member communications	73.6 Hrs.		\$4,195.20
Website Services			
Monthly maintenance charge	4	\$100.00	\$400.00
Total Fees			\$12,075.84
Less 27.5% Discount on Fees			(\$1,443.13)
Sales Tax			\$1.67
Grand Total			\$10,634.38



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Epiq Class Action & Claims Solutions, Inc.
1985 Marcus Avenue, Suite 200
Lake Success, NY 11042

-Or-

Epiq Class Action & Claims Solutions, Inc.
Operating A/C
Signature Bank
900 Stewart Ave., 3rd Floor
Garden City, NY 11530

ABA # - 026013576
A /C # - 1501168781
Tax ID # - 93-1210932
Swift Code - SIGNUS33

<p align="center">Report - SAP - Schueneman v. Arena Pharmaceuticals, Inc. (by Task, Title, Date)</p>
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Period from 2/1/2019 to 5/31/2019

SAP

SAP - Schueneman v. Arena Pharmaceuticals, Inc.

Title	Rate	Date	Hours	Amount
CSR/Live Operator including transcriptions of recorded messages (per minute)				
Call Center Agent	\$57.00	02/01/2019	0.1	\$5.70
Call Center Agent	\$57.00	02/11/2019	0.8	\$45.60
Call Center Agent	\$57.00	02/14/2019	0.1	\$5.70
Call Center Agent	\$57.00	02/19/2019	0.1	\$5.70
Call Center Agent	\$57.00	02/22/2019	0.1	\$5.70
Call Center Agent	\$57.00	03/11/2019	0.1	\$5.70
Call Center Agent	\$57.00	03/19/2019	0.1	\$5.70
Call Center Agent	\$57.00	03/28/2019	0.1	\$5.70
Call Center Agent	\$57.00	04/05/2019	0.1	\$5.70
Call Center Agent	\$57.00	04/23/2019	0.2	\$11.40
Call Center Agent	\$57.00	03/28/2019	0.2	\$11.40
Call Center Agent	\$57.00	04/15/2019	0.2	\$11.40
Call Center Agent	\$57.00	05/14/2019	0.1	\$5.70
Call Center Agent	\$57.00	03/04/2019	1.0	\$57.00
Call Center Agent	\$57.00	03/06/2019	0.3	\$17.10
Call Center Agent	\$57.00	03/14/2019	0.2	\$11.40
Call Center Agent	\$57.00	03/19/2019	0.1	\$5.70
Call Center Agent	\$57.00	04/01/2019	0.1	\$5.70
Call Center Agent	\$57.00	04/17/2019	0.1	\$5.70
Call Center Agent	\$57.00	02/01/2019	0.1	\$5.70
Call Center Agent	\$57.00	02/06/2019	0.1	\$5.70
Call Center Agent	\$57.00	02/22/2019	0.1	\$5.70
Call Center Agent	\$57.00	02/07/2019	0.1	\$5.70
Call Center Agent	\$57.00	02/08/2019	0.1	\$5.70
Call Center Agent	\$57.00	03/06/2019	0.3	\$17.10
Call Center Agent	\$57.00	03/18/2019	0.2	\$11.40
Call Center Agent	\$57.00	02/07/2019	0.1	\$5.70
Call Center Agent	\$57.00	02/18/2019	0.3	\$17.10
Call Center Agent	\$57.00	03/12/2019	0.2	\$11.40
Call Center Agent	\$57.00	04/04/2019	0.1	\$5.70
Call Center Agent	\$57.00	05/17/2019	0.1	\$5.70
Call Center Agent	\$57.00	05/23/2019	0.3	\$17.10
Call Center Agent	\$57.00	05/30/2019	0.1	\$5.70
Call Center Agent	\$57.00	02/11/2019	0.1	\$5.70
Call Center Agent	\$57.00	02/25/2019	0.1	\$5.70
Call Center Agent	\$57.00	03/05/2019	0.1	\$5.70
Call Center Agent	\$57.00	04/03/2019	0.2	\$11.40
Call Center Agent	\$57.00	04/04/2019	0.1	\$5.70
Call Center Agent	\$57.00	05/02/2019	0.1	\$5.70
Call Center Agent	\$57.00	05/06/2019	0.2	\$11.40
Call Center Agent	\$57.00	03/01/2019	0.3	\$17.10
Call Center Agent	\$57.00	03/06/2019	0.2	\$11.40
Call Center Agent	\$57.00	04/01/2019	0.1	\$5.70

<p align="center">Report - SAP - Schueneman v. Arena Pharmaceuticals, Inc.</p> <p align="center">(by Task, Title, Date)</p>

Period from 2/1/2019 to 5/31/2019

SAP

SAP - Schueneman v. Arena Pharmaceuticals, Inc.

Title	Rate	Date	Hours	Amount
Call Center Agent	\$57.00	05/23/2019	0.1	\$5.70
Call Center Agent	\$57.00	05/31/2019	0.1	\$5.70
Call Center Agent	\$57.00	04/01/2019	0.2	\$11.40
Call Center Agent	\$57.00	02/01/2019	0.1	\$5.70
Call Center Agent	\$57.00	04/22/2019	0.1	\$5.70
Call Center Agent	\$57.00	05/14/2019	0.2	\$11.40
Sub Total:			8.6	\$490.20

Handling of class member communications

Project Supervisor	\$57.00	02/26/2019	0.6	\$34.20
Project Supervisor	\$57.00	02/27/2019	0.5	\$28.50
Project Administrator	\$57.00	03/19/2019	2.0	\$114.00
Project Administrator	\$57.00	04/30/2019	2.0	\$114.00
Project Administrator	\$57.00	02/13/2019	5.0	\$285.00
Project Administrator	\$57.00	02/15/2019	2.0	\$114.00
Project Administrator	\$57.00	02/18/2019	2.5	\$142.50
Project Administrator	\$57.00	03/15/2019	2.0	\$114.00
Project Administrator	\$57.00	05/03/2019	4.0	\$228.00
Project Administrator	\$57.00	05/14/2019	5.5	\$313.50
Project Administrator	\$57.00	05/21/2019	1.0	\$57.00
Project Supervisor	\$57.00	02/04/2019	0.5	\$28.50
Project Supervisor	\$57.00	02/07/2019	0.6	\$34.20
Project Supervisor	\$57.00	02/08/2019	0.4	\$22.80
Project Supervisor	\$57.00	02/11/2019	1.2	\$68.40
Project Supervisor	\$57.00	02/12/2019	0.8	\$45.60
Project Supervisor	\$57.00	02/14/2019	1.2	\$68.40
Project Supervisor	\$57.00	02/19/2019	0.6	\$34.20
Project Supervisor	\$57.00	02/20/2019	0.7	\$39.90
Project Supervisor	\$57.00	02/22/2019	2.2	\$125.40
Project Supervisor	\$57.00	02/28/2019	0.8	\$45.60
Project Supervisor	\$57.00	03/06/2019	0.9	\$51.30
Project Supervisor	\$57.00	03/08/2019	0.5	\$28.50
Project Supervisor	\$57.00	03/11/2019	0.5	\$28.50
Project Supervisor	\$57.00	03/12/2019	0.5	\$28.50
Project Supervisor	\$57.00	03/14/2019	0.4	\$22.80
Project Supervisor	\$57.00	03/15/2019	0.3	\$17.10
Project Administrator	\$57.00	02/11/2019	0.1	\$5.70
Project Administrator	\$57.00	03/11/2019	0.3	\$17.10
Project Administrator	\$57.00	03/27/2019	0.1	\$5.70
Project Administrator	\$57.00	05/09/2019	0.1	\$5.70
Project Administrator	\$57.00	05/10/2019	0.1	\$5.70
Project Administrator	\$57.00	05/11/2019	0.2	\$11.40
Project Administrator	\$57.00	05/29/2019	0.1	\$5.70
Project Administrator	\$57.00	02/05/2019	1.0	\$57.00
Project Administrator	\$57.00	02/28/2019	2.0	\$114.00
Project Administrator	\$57.00	03/01/2019	0.3	\$17.10

Report - SAP - Schueneman v. Arena Pharmaceuticals, Inc.
(by Task, Title, Date)

Period from 2/1/2019 to 5/31/2019

SAP

SAP - Schueneman v. Arena Pharmaceuticals, Inc.

Title	Rate	Date	Hours	Amount
Project Administrator	\$57.00	03/12/2019	0.2	\$11.40
Project Administrator	\$57.00	02/04/2019	0.2	\$11.40
Project Administrator	\$57.00	02/07/2019	0.6	\$34.20
Project Administrator	\$57.00	02/06/2019	1.5	\$85.50
Sr. Project Manager	\$57.00	02/22/2019	0.2	\$11.40
Sr. Project Administrator	\$57.00	04/17/2019	0.1	\$5.70
Sr. Project Administrator	\$57.00	05/02/2019	0.9	\$51.30
Sr. Project Administrator	\$57.00	05/16/2019	0.3	\$17.10
Sr. Project Administrator	\$57.00	05/21/2019	0.3	\$17.10
Project Administrator	\$57.00	05/20/2019	3.0	\$171.00
Project Administrator	\$57.00	05/23/2019	0.5	\$28.50
Project Supervisor	\$57.00	02/21/2019	0.1	\$5.70
Project Supervisor	\$57.00	02/27/2019	0.1	\$5.70
Project Supervisor	\$57.00	03/15/2019	0.1	\$5.70
Project Supervisor	\$57.00	03/22/2019	0.2	\$11.40
Project Administrator	\$57.00	03/12/2019	1.0	\$57.00
Project Administrator	\$57.00	02/03/2019	1.0	\$57.00
Project Administrator	\$57.00	02/05/2019	0.3	\$17.10
Project Administrator	\$57.00	02/06/2019	0.1	\$5.70
Project Administrator	\$57.00	02/07/2019	2.1	\$119.70
Project Administrator	\$57.00	02/08/2019	0.3	\$17.10
Project Administrator	\$57.00	02/15/2019	0.2	\$11.40
Project Administrator	\$57.00	02/18/2019	0.3	\$17.10
Project Administrator	\$57.00	02/19/2019	0.1	\$5.70
Project Administrator	\$57.00	03/07/2019	0.1	\$5.70
Project Administrator	\$57.00	03/13/2019	1.1	\$62.70
Project Administrator	\$57.00	03/14/2019	0.4	\$22.80
Project Administrator	\$57.00	03/18/2019	0.2	\$11.40
Project Administrator	\$57.00	03/19/2019	0.8	\$45.60
Sr. Project Administrator	\$57.00	04/23/2019	1.1	\$62.70
Sr. Project Administrator	\$57.00	04/24/2019	0.3	\$17.10
Sr. Project Administrator	\$57.00	04/25/2019	0.2	\$11.40
Sr. Project Administrator	\$57.00	05/07/2019	2.5	\$142.50
Sr. Project Administrator	\$57.00	05/17/2019	0.6	\$34.20
Sr. Project Administrator	\$57.00	05/21/2019	0.1	\$5.70
Project Administrator	\$57.00	02/26/2019	0.5	\$28.50
Project Administrator	\$57.00	02/15/2019	1.0	\$57.00
Project Administrator	\$57.00	03/05/2019	1.0	\$57.00
Project Administrator	\$57.00	04/04/2019	2.0	\$114.00
Project Administrator	\$57.00	05/08/2019	0.4	\$22.80
Project Administrator	\$57.00	04/02/2019	0.2	\$11.40
Project Administrator	\$57.00	04/03/2019	0.3	\$17.10
Project Administrator	\$57.00	04/15/2019	0.9	\$51.30
Project Administrator	\$57.00	04/16/2019	0.9	\$51.30
Project Administrator	\$57.00	04/24/2019	0.9	\$51.30
Project Administrator	\$57.00	04/25/2019	0.2	\$11.40

<p>Report - SAP - Schueneman v. Arena Pharmaceuticals, Inc. (by Task, Title, Date)</p>
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Period from 2/1/2019 to 5/31/2019

SAP

SAP - Schueneman v. Arena Pharmaceuticals, Inc.

Title	Rate	Date	Hours	Amount
Project Administrator	\$57.00	05/10/2019	0.2	\$11.40
Project Administrator	\$57.00	05/20/2019	0.5	\$28.50
Sub Total:			73.6	\$4,195.20

INVOICE



Jeffrey P. Campisi, Esq.
Kaplan Fox & Kilsheimer LLP
850 Third Avenue
New York, NY 10022

INVOICE DATE	INVOICE NUMBER
8/16/2019	26405
PERIOD START	THROUGH DATE
6/1/2019	6/30/2019

Project Name: Schueneman v. Arena Pharmaceuticals, Inc.

Description	Quantity	Rate	Amount
Fees			
Imaging, Document Management & Storage			
Scan Mail (per img.)	23	\$0.12	\$2.76
Document Storage - Paper (per box/per month)	32	\$1.50	\$48.00
Document Storage - Electronic (per img./record per month)	171,348	\$0.008	\$1,370.78
Claim Validation			
Electronic/Nominee Claims - format and load (per transaction)	578	\$0.005	\$2.89
Contact Services			
IVR (per minute)	3	\$0.39	\$1.17
CSR/Live Operator including transcriptions of recorded messages (per minute)	108	\$0.95	\$102.60
Handling of class member communications	2.8 Hrs.		\$159.60
Website Services			
Monthly maintenance charge	1	\$100.00	\$100.00
Total Fees			\$1,787.80
Less 27.5% Discount on Fees			(\$44.68)
Grand Total			\$1,743.12



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1985 Marcus Avenue, Suite 200
Lake Success, NY 11042

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Epiq Class Action & Claims Solutions, Inc.
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Signature Bank
900 Stewart Ave., 3rd Floor
Garden City, NY 11530

ABA # - 026013576
A /C # - 1501168781
Tax ID # - 93-1210932
Swift Code - SIGNUS33

<p align="center">Report - SAP - Schueneman v. Arena Pharmaceuticals, Inc. (by Task, Title, Date)</p>
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Period from 6/1/2019 to 6/30/2019

SAP

SAP - Schueneman v. Arena Pharmaceuticals, Inc.

Title	Rate	Date	Hours	Amount
CSR/Live Operator including transcriptions of recorded messages (per minute)				
Call Center Agent	\$57.00	06/13/2019	1.0	\$57.00
Call Center Agent	\$57.00	06/17/2019	0.3	\$17.10
Call Center Agent	\$57.00	06/25/2019	0.1	\$5.70
Call Center Agent	\$57.00	06/14/2019	0.1	\$5.70
Call Center Agent	\$57.00	06/19/2019	0.2	\$11.40
Call Center Agent	\$57.00	06/20/2019	0.1	\$5.70
Sub Total:			1.8	\$102.60
Handling of class member communications				
Project Administrator	\$57.00	06/03/2019	0.1	\$5.70
Project Administrator	\$57.00	06/24/2019	0.1	\$5.70
Sr. Project Administrator	\$57.00	06/04/2019	0.2	\$11.40
Sr. Project Administrator	\$57.00	06/10/2019	0.7	\$39.90
Project Administrator	\$57.00	06/27/2019	1.0	\$57.00
Project Administrator	\$57.00	06/19/2019	0.4	\$22.80
Project Administrator	\$57.00	06/24/2019	0.3	\$17.10
Sub Total:			2.8	\$159.60

INVOICE



Jeffrey P. Campisi, Esq.
Kaplan Fox & Kilsheimer LLP
850 Third Avenue
New York, NY 10022

INVOICE DATE	INVOICE NUMBER
9/13/2019	26531
PERIOD START	THROUGH DATE
7/1/2019	7/31/2019

Project Name: Schueneman v. Arena Pharmaceuticals, Inc.

Description	Quantity	Rate	Amount
Fees			
Imaging, Document Management & Storage			
Scan Mail (per img.)	68	\$0.12	\$8.16
Document Storage - Paper (per box/per month)	33	\$1.50	\$49.50
Document Storage - Electronic (per img./record per month)	171,417	\$0.008	\$1,371.34
Claim Validation			
Individual Paper Claims - up to 5 transactions	1	\$3.50	\$3.50
Electronic/Nominee Claims - format and load (per transaction)	7	\$0.005	\$0.04
Process Deficiency/Denial Responses	1	\$4.00	\$4.00
Contact Services			
CSR/Live Operator including transcriptions of recorded messages (per minute)	72	\$0.95	\$68.40
Handling of class member communications	25.4 Hrs.		\$1,447.80
Website Services			
Monthly maintenance charge	1	\$100.00	\$100.00
Total Fees			\$3,052.73
Total Project Expenses (See Exhibit A)			\$695.00
Sub Total			\$3,747.73
Less 27.5% Discount on Fees			(\$400.22)
Sales Tax			\$0.58
Grand Total			\$3,348.09

EXHIBIT A

Project Name: Schueneman v. Arena Pharmaceuticals, Inc.	
Description	Amount
<u>Project Expenses</u>	
For the period: Jul 01, 2019 through Jul 31, 2019	
P. O. Box Rental/Renewal	\$695.00
Total	\$695.00

Please Remit To :

Epiq Class Action & Claims Solutions, Inc.
 1985 Marcus Avenue, Suite 200
 Lake Success, NY 11042

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Epiq Class Action & Claims Solutions, Inc.
 Operating A/C
 Signature Bank
 900 Stewart Ave., 3rd Floor
 Garden City, NY 11530

ABA # - 026013576
 A /C # - 1501168781
 Tax ID # - 93-1210932
 Swift Code - SIGNUS33

<p align="center">Report - SAP - Schueneman v. Arena Pharmaceuticals, Inc. (by Task, Title, Date)</p>
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Period from 7/1/2019 to 7/31/2019

SAP

SAP - Schueneman v. Arena Pharmaceuticals, Inc.

Title	Rate	Date	Hours	Amount
CSR/Live Operator including transcriptions of recorded messages (per minute)				
Call Center Agent	\$57.00	07/16/2019	0.1	\$5.70
Call Center Agent	\$57.00	07/17/2019	0.2	\$11.40
Call Center Agent	\$57.00	07/30/2019	0.1	\$5.70
Call Center Agent	\$57.00	07/02/2019	0.1	\$5.70
Call Center Agent	\$57.00	07/08/2019	0.1	\$5.70
Call Center Agent	\$57.00	07/10/2019	0.1	\$5.70
Call Center Agent	\$57.00	07/11/2019	0.1	\$5.70
Call Center Agent	\$57.00	07/31/2019	0.1	\$5.70
Call Center Agent	\$57.00	07/01/2019	0.2	\$11.40
Call Center Agent	\$57.00	07/29/2019	0.1	\$5.70
Sub Total:			1.2	\$68.40

Handling of class member communications

Project Administrator	\$57.00	07/23/2019	0.6	\$34.20
Project Administrator	\$57.00	07/29/2019	5.5	\$313.50
Project Administrator	\$57.00	07/30/2019	7.2	\$410.40
Project Administrator	\$57.00	07/31/2019	2.0	\$114.00
Project Administrator	\$57.00	07/08/2019	0.2	\$11.40
Project Administrator	\$57.00	07/09/2019	0.4	\$22.80
Project Administrator	\$57.00	07/10/2019	0.2	\$11.40
Project Administrator	\$57.00	07/15/2019	0.4	\$22.80
Project Administrator	\$57.00	07/23/2019	0.2	\$11.40
Project Administrator	\$57.00	07/29/2019	0.1	\$5.70
Sr. Project Manager	\$57.00	07/23/2019	2.1	\$119.70
Sr. Project Manager	\$57.00	07/29/2019	0.1	\$5.70
Project Administrator	\$57.00	07/26/2019	0.1	\$5.70
Project Administrator	\$57.00	07/31/2019	0.3	\$17.10
Sr. Project Administrator	\$57.00	07/10/2019	0.1	\$5.70
Project Administrator	\$57.00	07/05/2019	0.4	\$22.80
Project Administrator	\$57.00	07/10/2019	0.5	\$28.50
Project Administrator	\$57.00	07/11/2019	0.3	\$17.10
Project Administrator	\$57.00	07/29/2019	1.8	\$102.60
Project Administrator	\$57.00	07/30/2019	2.9	\$165.30
Sub Total:			25.4	\$1,447.80

INVOICE



Jeffrey P. Campisi, Esq.
Kaplan Fox & Kilsheimer LLP
850 Third Avenue
New York, NY 10022

INVOICE DATE	INVOICE NUMBER
9/27/2019	26631
PERIOD START	THROUGH DATE
8/1/2019	8/31/2019

Project Name: Schueneman v. Arena Pharmaceuticals, Inc.

Description	Quantity	Rate	Amount
Fees			
Imaging, Document Management & Storage			
Scan Mail (per img.)	33	\$0.12	\$3.96
Document Storage - Paper (per box/per month)	33	\$1.50	\$49.50
Document Storage - Electronic (per img./record per month)	171,484	\$0.008	\$1,371.87
Claim Validation			
Electronic/Nominee Claims - format and load (per transaction)	2,975	\$0.005	\$14.88
Handle & process exclusions	0.3 Hrs.		\$37.50
Contact Services			
CSR/Live Operator including transcriptions of recorded messages (per minute)	48	\$0.95	\$45.60
Handling of class member communications	7.7 Hrs.		\$438.90
Website Services			
Monthly maintenance charge	1	\$100.00	\$100.00
Total Fees			\$2,062.21
Less 27.5% Discount on Fees			(\$135.10)
Grand Total			\$1,927.11



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1985 Marcus Avenue, Suite 200
Lake Success, NY 11042

-Or-

Epiq Class Action & Claims Solutions, Inc.
Operating A/C
Signature Bank
900 Stewart Ave., 3rd Floor
Garden City, NY 11530

ABA # - 026013576
A /C # - 1501168781
Tax ID # - 93-1210932
Swift Code - SIGNUS33

<p align="center">Report - SAP - Schueneman v. Arena Pharmaceuticals, Inc. (by Task, Title, Date)</p>
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Period from 8/1/2019 to 8/31/2019

SAP

SAP - Schueneman v. Arena Pharmaceuticals, Inc.

Title	Rate	Date	Hours	Amount
Handle & process exclusions				
Project Administrator	\$125.00	08/07/2019	0.3	\$37.50
		Sub Total:	0.3	\$37.50
CSR/Live Operator including transcriptions of recorded messages (per minute)				
Call Center Agent	\$57.00	08/23/2019	0.1	\$5.70
Call Center Agent	\$57.00	08/01/2019	0.1	\$5.70
Call Center Agent	\$57.00	08/08/2019	0.1	\$5.70
Call Center Agent	\$57.00	08/02/2019	0.1	\$5.70
Call Center Agent	\$57.00	08/07/2019	0.1	\$5.70
Call Center Agent	\$57.00	08/01/2019	0.1	\$5.70
Call Center Agent	\$57.00	08/08/2019	0.1	\$5.70
Call Center Agent	\$57.00	08/23/2019	0.1	\$5.70
		Sub Total:	0.8	\$45.60
Handling of class member communications				
Project Administrator	\$57.00	08/26/2019	0.5	\$28.50
Project Administrator	\$57.00	08/16/2019	1.0	\$57.00
Project Administrator	\$57.00	08/20/2019	1.0	\$57.00
Project Administrator	\$57.00	08/23/2019	2.0	\$114.00
Project Administrator	\$57.00	08/08/2019	1.3	\$74.10
Sr. Project Administrator	\$57.00	08/09/2019	0.2	\$11.40
Sr. Project Administrator	\$57.00	08/06/2019	0.4	\$22.80
Project Administrator	\$57.00	08/06/2019	0.5	\$28.50
Project Administrator	\$57.00	08/26/2019	0.8	\$45.60
		Sub Total:	7.7	\$438.90

INVOICE



Jeffrey P. Campisi, Esq.
Kaplan Fox & Kilsheimer LLP
850 Third Avenue
New York, NY 10022

INVOICE DATE	INVOICE NUMBER
10/21/2019	26758
PERIOD START	THROUGH DATE
9/1/2019	9/30/2019

Project Name: Schueneman v. Arena Pharmaceuticals, Inc.

Description	Quantity	Rate	Amount
Fees			
Imaging, Document Management & Storage			
Scan Mail (per img.)	69	\$0.12	\$8.28
Document Storage - Paper (per box/per month)	33	\$1.50	\$49.50
Document Storage - Electronic (per img./record per month)	171,553	\$0.008	\$1,372.42
Contact Services			
CSR/Live Operator including transcriptions of recorded messages (per minute)	138	\$0.95	\$131.10
Handling of class member communications	4.8 Hrs.		\$273.60
Website Services			
Monthly maintenance charge	1	\$100.00	\$100.00
Total Fees			\$1,934.90
Less 27.5% Discount on Fees			(\$75.24)
Grand Total			\$1,859.66



Please Remit To :

Epiq Class Action & Claims Solutions, Inc.
1985 Marcus Avenue, Suite 200
Lake Success, NY 11042

-Or-

Epiq Class Action & Claims Solutions, Inc.
Operating A/C
Signature Bank
900 Stewart Ave., 3rd Floor
Garden City, NY 11530

ABA # - 026013576
A /C # - 1501168781
Tax ID # - 93-1210932
Swift Code - SIGNUS33

<p align="center">Report - SAP - Schueneman v. Arena Pharmaceuticals, Inc. (by Task, Title, Date)</p>
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Period from 9/1/2019 to 9/30/2019

SAP

SAP - Schueneman v. Arena Pharmaceuticals, Inc.

Title		Rate	Date	Hours	Amount
CSR/Live Operator including transcriptions of recorded messages (per minute)					
Call Center Agent		\$57.00	09/09/2019	0.1	\$5.70
Call Center Agent		\$57.00	09/04/2019	0.1	\$5.70
Call Center Agent		\$57.00	09/10/2019	0.1	\$5.70
Call Center Agent		\$57.00	09/18/2019	0.2	\$11.40
Call Center Agent		\$57.00	09/23/2019	0.3	\$17.10
Call Center Agent		\$57.00	09/04/2019	0.1	\$5.70
Call Center Agent		\$57.00	09/09/2019	0.1	\$5.70
Call Center Agent		\$57.00	09/10/2019	0.1	\$5.70
Call Center Agent		\$57.00	09/11/2019	0.1	\$5.70
Call Center Agent		\$57.00	09/17/2019	0.1	\$5.70
Call Center Agent		\$57.00	09/19/2019	0.3	\$17.10
Call Center Agent		\$57.00	09/03/2019	0.1	\$5.70
Call Center Agent		\$57.00	09/16/2019	0.6	\$34.20
Sub Total:				2.3	\$131.10
Handling of class member communications					
Project Administrator		\$57.00	09/24/2019	0.7	\$39.90
Project Administrator		\$57.00	09/12/2019	0.5	\$28.50
Project Administrator		\$57.00	09/30/2019	2.0	\$114.00
Project Administrator		\$57.00	09/03/2019	0.4	\$22.80
Project Administrator		\$57.00	09/10/2019	1.2	\$68.40
Sub Total:				4.8	\$273.60

INVOICE

Jeffrey P. Campisi, Esq.
Kaplan Fox & Kilsheimer LLP
850 Third Avenue
New York, NY 10022

INVOICE DATE	INVOICE NUMBER
11/29/2019	26901
PERIOD START	THROUGH DATE
10/1/2019	10/31/2019

Project Name: Schueneman v. Arena Pharmaceuticals, Inc.

Description	Quantity	Rate	Amount
Fees			
Imaging, Document Management & Storage			
Scan Mail (per img.)	49	\$0.12	\$5.88
Document Storage - Paper (per box/per month)	33	\$1.50	\$49.50
Document Storage - Electronic (per img./record per month)	171,604	\$0.008	\$1,372.83
Claim Validation			
Electronic/Nominee Claims - format and load (per transaction)	66	\$0.005	\$0.33
Deficiency/rejection Claim notification	220	\$1.50	\$330.00
Contact Services			
IVR (per minute)	31.3	\$0.39	\$12.21
CSR/Live Operator including transcriptions of recorded messages (per minute)	258	\$0.95	\$245.10
Handling of class member communications	15.6 Hrs.		\$889.20
Website Services			
Monthly maintenance charge	1	\$100.00	\$100.00
Total Fees			\$3,005.05
Total Project Expenses (See Exhibit A)			\$698.13
Sub Total			\$3,703.18
Less 27.5% Discount on Fees			(\$244.62)
Sales Tax			\$26.04
Grand Total			\$3,484.60

EXHIBIT A

Project Name: Schueneman v. Arena Pharmaceuticals, Inc.	
Description	Amount
<u>Project Expenses</u>	
For the period: Oct 01, 2019 through Oct 31, 2019	
Postage	\$3.00
Stationery & Supplies	\$0.13
P. O. Box Rental/Renewal	\$695.00
Total	\$698.13

Please Remit To :	
Epiq Class Action & Claims Solutions Dept 0286 PO Box 120286 Dallas, TX 75312-0286 Billing questions: call 503-350-5800 or ecabilling@epiqglobal.com	-Or- Payment by Wire: Bank: Silicon Valley Bank (Santa Clara, CA) ABA Routing: 121140399 Acct No: 3300483242 Tax ID: 93-1210932 SWIFT: SVBKUS65

<p align="center">Report - SAP - Schueneman v. Arena Pharmaceuticals, Inc. (by Task, Title, Date)</p>
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Period from 10/1/2019 to 10/31/2019

SAP

SAP - Schueneman v. Arena Pharmaceuticals, Inc.

Title	Rate	Date	Hours	Amount
CSR/Live Operator including transcriptions of recorded messages (per minute)				
Call Center Agent	\$57.00	10/22/2019	0.6	\$34.20
Call Center Agent	\$57.00	10/17/2019	0.2	\$11.40
Call Center Agent	\$57.00	10/18/2019	2.0	\$114.00
Call Center Agent	\$57.00	10/29/2019	0.2	\$11.40
Call Center Agent	\$57.00	10/30/2019	0.2	\$11.40
Call Center Agent	\$57.00	10/09/2019	0.1	\$5.70
Call Center Agent	\$57.00	10/29/2019	0.1	\$5.70
Call Center Agent	\$57.00	10/15/2019	0.1	\$5.70
Call Center Agent	\$57.00	10/02/2019	0.8	\$45.60
Sub Total:			4.3	\$245.10
er communications				
Project Administrator	\$57.00	10/02/2019	5.4	\$307.80
Project Administrator	\$57.00	10/14/2019	1.0	\$57.00
Project Administrator	\$57.00	10/31/2019	0.5	\$28.50
Project Administrator	\$57.00	10/25/2019	1.0	\$57.00
Project Administrator	\$57.00	10/29/2019	2.0	\$114.00
Project Administrator	\$57.00	10/15/2019	0.2	\$11.40
Project Administrator	\$57.00	10/24/2019	1.0	\$57.00
Sr. Project Administrator	\$57.00	10/17/2019	0.1	\$5.70
Project Administrator	\$57.00	10/08/2019	0.1	\$5.70
Sr. Project Administrator	\$57.00	10/14/2019	0.3	\$17.10
Sr. Project Administrator	\$57.00	10/20/2019	0.1	\$5.70
Sr. Project Administrator	\$57.00	10/22/2019	0.1	\$5.70
Sr. Project Administrator	\$57.00	10/23/2019	0.6	\$34.20
Sr. Project Administrator	\$57.00	10/24/2019	1.7	\$96.90
Sr. Project Administrator	\$57.00	10/30/2019	0.2	\$11.40
Sr. Project Administrator	\$57.00	10/08/2019	0.3	\$17.10
Project Administrator	\$57.00	10/17/2019	1.0	\$57.00
Sub Total:			15.6	\$889.20

INVOICE

Jeffrey P. Campisi, Esq.
Kaplan Fox & Kilsheimer LLP
850 Third Avenue
New York, NY 10022

INVOICE DATE	INVOICE NUMBER
12/31/2019	27009
PERIOD START	THROUGH DATE
11/1/2019	11/30/2019

Project Name: Schueneman v. Arena Pharmaceuticals, Inc.

Description	Quantity	Rate	Amount
Fees			
Imaging, Document Management & Storage			
Scan Mail (per img.)	97	\$0.12	\$11.64
Document Storage - Paper (per box/per month)	33	\$1.50	\$49.50
Document Storage - Electronic (per img./record per month)	171,712	\$0.008	\$1,373.70
Claim Validation			
Individual Paper Claims - over 5 transactions	-1	\$4.00	(\$4.00)
Electronic/Nominee Claims - format and load (per transaction)	32,619	\$0.005	\$163.10
Deficiency/rejection Claim notification	6	\$1.50	\$9.00
Process Deficiency/Denial Responses	2	\$4.00	\$8.00
Contact Services			
IVR (per minute)	55.2	\$0.39	\$21.53
CSR/Live Operator including transcriptions of recorded messages (per minute)	96	\$0.95	\$91.20
Handling of class member communications	20.7 Hrs.		\$1,179.90
Website Services			
Monthly maintenance charge	1	\$100.00	\$100.00
Total Fees			\$3,003.56
Less 27.5% Discount on Fees			(\$371.53)
Sales Tax			\$1.29
Grand Total			\$2,633.32



Please Remit To :

Epiq Class Action & Claims Solutions
Dept 0286
PO Box 120286
Dallas, TX 75312-0286

-Or-

Payment by Wire:
Bank: Silicon Valley Bank (Santa Clara, CA)
ABA Routing: 121140399
Acct No: 3300483242
Tax ID: 93-1210932
SWIFT: SVBKUS65

Billing questions: call 503-350-5800
or ecabilling@epiqglobal.com

<p align="center">Report - SAP - Schueneman v. Arena Pharmaceuticals, Inc. (by Task, Title, Date)</p>
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Period from 11/1/2019 to 11/30/2019

SAP

SAP - Schueneman v. Arena Pharmaceuticals, Inc.

Title	Location	Rate	Date	Hours	Amount
CSR/Live Operator including transcriptions of recorded messages (per minute)					
Call Center Agent	Columbus	\$57.00	11/11/2019	0.3	\$17.10
Call Center Agent	Columbus	\$57.00	11/14/2019	0.1	\$5.70
Call Center Agent	Columbus	\$57.00	11/14/2019	0.3	\$17.10
Call Center Agent	Columbus	\$57.00	11/15/2019	0.1	\$5.70
Call Center Agent	Columbus	\$57.00	11/22/2019	0.2	\$11.40
Call Center Agent	Columbus	\$57.00	11/29/2019	0.1	\$5.70
Call Center Agent	Columbus	\$57.00	11/08/2019	0.1	\$5.70
Call Center Agent	Columbus	\$57.00	11/12/2019	0.1	\$5.70
Call Center Agent	Columbus	\$57.00	11/19/2019	0.1	\$5.70
Call Center Agent	Columbus	\$57.00	11/15/2019	0.2	\$11.40
Sub Total:				1.6	\$91.20

Handling of class member communications

Project Administrator	Columbus	\$57.00	11/05/2019	0.5	\$28.50
Project Administrator	Columbus	\$57.00	11/11/2019	1.5	\$85.50
Project Administrator	Columbus	\$57.00	11/12/2019	1.0	\$57.00
Project Administrator	Columbus	\$57.00	11/13/2019	1.0	\$57.00
Project Administrator	Columbus	\$57.00	11/15/2019	1.0	\$57.00
Project Administrator	Columbus	\$57.00	11/18/2019	0.5	\$28.50
Project Administrator	Columbus	\$57.00	11/02/2019	1.4	\$79.80
Project Administrator	Columbus	\$57.00	11/04/2019	1.9	\$108.30
Project Administrator	Columbus	\$57.00	11/06/2019	1.1	\$62.70
Project Administrator	Columbus	\$57.00	11/19/2019	1.4	\$79.80
Project Administrator	Columbus	\$57.00	11/05/2019	0.1	\$5.70
Sr. Project Administrator	Columbus	\$57.00	11/12/2019	0.1	\$5.70
Sr. Project Administrator	Columbus	\$57.00	11/13/2019	0.2	\$11.40
Sr. Project Administrator	Columbus	\$57.00	11/27/2019	0.1	\$5.70
Project Administrator	Columbus	\$57.00	11/19/2019	0.7	\$39.90
Sr. Project Administrator	Columbus	\$57.00	11/02/2019	0.1	\$5.70
Sr. Project Administrator	Columbus	\$57.00	11/05/2019	0.9	\$51.30
Sr. Project Administrator	Columbus	\$57.00	11/19/2019	4.2	\$239.40
Sr. Project Administrator	Columbus	\$57.00	11/21/2019	0.1	\$5.70
Sr. Project Administrator	Columbus	\$57.00	11/22/2019	0.1	\$5.70
Project Administrator	Columbus	\$57.00	11/26/2019	0.2	\$11.40
Sr. Project Administrator	Columbus	\$57.00	11/18/2019	0.4	\$22.80
Project Administrator	Seattle	\$57.00	11/14/2019	0.5	\$28.50
Project Administrator	Seattle	\$57.00	11/27/2019	1.0	\$57.00
Project Administrator	Seattle	\$57.00	11/29/2019	0.7	\$39.90
Sub Total:				20.7	\$1,179.90

INVOICE



Jeffrey P. Campisi, Esq.
Kaplan Fox & Kilsheimer LLP
850 Third Avenue
New York, NY 10022

INVOICE DATE	INVOICE NUMBER
5/14/2020	27078
PERIOD START	THROUGH DATE
12/1/2019	12/31/2019

Project Name: Schueneman v. Arena Pharmaceuticals, Inc.

Description	Quantity	Rate	Amount
Fees			
Imaging, Document Management & Storage			
Scan Mail (per img.)	107	\$0.12	\$12.84
Document Storage - Paper (per box/per month)	33	\$1.50	\$49.50
Document Storage - Electronic (per img./record per month)	171,821	\$0.008	\$1,374.57
Claim Validation			
Electronic/Nominee Claims - format and load (per transaction)	6	\$0.005	\$0.03
Online Claims	-5	\$2.25	(\$11.25)
Deficiency/rejection Claim notification	20	\$1.50	\$30.00
Contact Services			
IVR (per minute)	67.5	\$0.39	\$26.33
CSR/Live Operator including transcriptions of recorded messages (per minute)	54	\$0.95	\$51.30
Handling of class member communications	47.3 Hrs.		\$2,696.10
Website Services			
Monthly maintenance charge	1	\$100.00	\$100.00
Total Fees			\$4,329.41
Total Project Expenses (See Exhibit A)			\$2.94
Sub Total			\$4,332.35
Less 27.5% Discount on Fees			(\$1,190.59)
Sales Tax			\$3.33
Sub Total			\$3,145.09
Estimate for Initial Distribution (See Exhibit B)			\$40,463.07
Less Credit for Negotiated Discount			(\$40,000.00)
Grand Total			\$3,608.16

EXHIBIT A

Project Name: Schueneman v. Arena Pharmaceuticals, Inc.	
Description	Amount
<u>Project Expenses</u>	
For the period: Dec 01, 2019 through Dec 31, 2019	
Postage	\$2.80
Stationery & Supplies	\$0.14
Total	\$2.94

Please Remit To :	
Epiq Class Action & Claims Solutions Dept 0286 PO Box 120286 Dallas, TX 75312-0286 Billing questions: call 503-350-5800 or ecabilling@epiqglobal.com	-Or- Payment by Wire: Bank: Silicon Valley Bank (Santa Clara, CA) ABA Routing: 121140399 Acct No: 3300483242 Tax ID: 93-1210932 SWIFT: SVBKUS65

<p align="center">Report - SAP - Schueneman v. Arena Pharmaceuticals, Inc. (by Task, Title, Date)</p>
--

Period from 12/1/2019 to 12/31/2019

SAP

SAP - Schueneman v. Arena Pharmaceuticals, Inc.

Title	Rate	Date	Hours	Amount
CSR/Live Operator including transcriptions of recorded messages (per minute)				
Call Center Agent	\$57.00	12/23/2019	0.2	\$11.40
Call Center Agent	\$57.00	12/02/2019	0.1	\$5.70
Call Center Agent	\$57.00	12/13/2019	0.1	\$5.70
Call Center Agent	\$57.00	12/16/2019	0.1	\$5.70
Call Center Agent	\$57.00	12/09/2019	0.1	\$5.70
Call Center Agent	\$57.00	12/10/2019	0.1	\$5.70
Call Center Agent	\$57.00	12/27/2019	0.1	\$5.70
Call Center Agent	\$57.00	12/20/2019	0.2	\$11.40
Sub Total:			1.0	\$57.00
Handling of class member communications				
Project Administrator	\$57.00	12/12/2019	0.1	\$5.70
Project Administrator	\$57.00	12/19/2019	0.5	\$28.50
Project Administrator	\$57.00	12/20/2019	1.9	\$108.30
Project Administrator	\$57.00	12/26/2019	0.3	\$17.10
Project Supervisor	\$57.00	12/02/2019	0.6	\$34.20
Project Supervisor	\$57.00	12/04/2019	1.0	\$57.00
Project Supervisor	\$57.00	12/09/2019	1.0	\$57.00
Project Supervisor	\$57.00	12/10/2019	1.1	\$62.70
Project Supervisor	\$57.00	12/20/2019	0.5	\$28.50
Project Supervisor	\$57.00	12/23/2019	0.2	\$11.40
Project Administrator	\$57.00	12/20/2019	1.0	\$57.00
Project Administrator	\$57.00	12/02/2019	0.8	\$45.60
Project Administrator	\$57.00	12/09/2019	1.3	\$74.10
Project Administrator	\$57.00	12/10/2019	1.9	\$108.30
Project Administrator	\$57.00	12/11/2019	1.9	\$108.30
Project Administrator	\$57.00	12/12/2019	1.9	\$108.30
Project Administrator	\$57.00	12/13/2019	1.5	\$85.50
Project Administrator	\$57.00	12/19/2019	1.3	\$74.10
Sr. Project Administrator	\$57.00	12/20/2019	0.1	\$5.70
Project Administrator	\$57.00	12/03/2019	3.2	\$182.40
Project Administrator	\$57.00	12/04/2019	4.2	\$239.40
Project Administrator	\$57.00	12/05/2019	1.0	\$57.00
Project Administrator	\$57.00	12/09/2019	1.2	\$68.40
Project Administrator	\$57.00	12/18/2019	4.9	\$279.30
Project Administrator	\$57.00	12/19/2019	6.1	\$347.70
Project Administrator	\$57.00	12/20/2019	1.7	\$96.90
Project Administrator	\$57.00	12/31/2019	0.4	\$22.80
Sr. Project Manager	\$57.00	12/19/2019	0.3	\$17.10
Sr. Project Administrator	\$57.00	12/09/2019	0.1	\$5.70
Project Administrator	\$57.00	12/09/2019	0.3	\$17.10
Project Administrator	\$57.00	12/10/2019	0.5	\$28.50
Project Administrator	\$57.00	12/11/2019	0.1	\$5.70
Sr. Project Administrator	\$57.00	12/12/2019	1.0	\$57.00

<p>Report - SAP - Schueneman v. Arena Pharmaceuticals, Inc. (by Task, Title, Date)</p>
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Period from 12/1/2019 to 12/31/2019

SAP

SAP - Schueneman v. Arena Pharmaceuticals, Inc.

Title	Rate	Date	Hours	Amount
Sr. Project Administrator	\$57.00	12/23/2019	0.1	\$5.70
Project Administrator	\$57.00	12/09/2019	0.1	\$5.70
Sr. Project Administrator	\$57.00	12/03/2019	0.5	\$28.50
Sr. Project Administrator	\$57.00	12/04/2019	0.9	\$51.30
Sr. Project Administrator	\$57.00	12/09/2019	0.5	\$28.50
Sr. Project Administrator	\$57.00	12/19/2019	0.2	\$11.40
Sr. Project Administrator	\$57.00	12/20/2019	0.3	\$17.10
Sr. Project Administrator	\$57.00	12/31/2019	0.3	\$17.10
Project Administrator	\$57.00	12/23/2019	0.5	\$28.50
Sub Total:			47.3	\$2,696.10

EXHIBIT B

SCHUENEMAN V. ARENA PHARMACEUTICALS, INC.
ESTIMATE OF FEES AND EXPENSES FOR INITIAL DISTRIBUTION

I. Distribution- Fees

Print Checks- 2,400 @ \$1.25 each	\$3,000.00
<i>Subtotal of Distribution Fees</i>	\$3,000.00

Distribution- Expenses

Postage	\$1,200.00
<i>Subtotal of Distribution Expenses</i>	\$1,200.00

Subtotal of Distribution Fees & Expenses **\$4,200.00**

II. Post-Distribution- Fees (Assumes 12 months)

Reissue Checks- 240 @ \$1.25 each	\$300.00
Scan Mail- 2,500 @ \$0.12 per image	\$300.00

In-bound Claimant Correspondence

~IVR Minutes- 3,500 minutes @ \$0.39 per minute	\$1,365.00
~CSR/Live Operator Minutes- 3,650 Minutes @ \$0.95 per minute	\$3,467.50
~Handling of class member communications	\$5,700.00

Website Monthly Maintenance Charge (\$100 per month)	\$1,200.00
Website Updates	\$125.00

Subtotal of Post-Distribution Fees **\$12,457.50**

Post-Distribution- Expenses

Postage	\$120.00
FedEx, Copies, Line Charges	\$300.00

Subtotal of Post-Distribution Expenses **\$420.00**

Subtotal of Post-Distribution Fees & Expenses **\$12,877.50**

III. Ancillary Services- Fees

Prepare and file tax return [2019 & 2020]	\$5,000.00
Paper Storage- 33 boxes @ \$1.50 per box per month for 12 months	\$594.00
Electronic Storage- 171,821 @ \$0.008 per image/record per month for 12 months	\$16,494.82
<i>Subtotal of Ancillary Fees</i>	\$22,088.82

Ancillary Services- Expenses

P.O. Box Renewal	\$1,390.00
Disposal of files	\$198.00

Subtotal of Ancillary Expenses **\$1,588.00**

Subtotal of Ancillary Fees & Expenses **\$23,676.82**

SUBTOTAL FEES AND EXPENSES: \$40,754.32

LESS 27.5% DISCOUNT ON FEES (\$291.25)

GRAND TOTAL FEES AND EXPENSES: \$40,463.07

<p align="center">Report - SAP - Schueneman v. Arena Pharmaceuticals, Inc. (by Task, Title, Date)</p>
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Partial ETC Period: 1/1/2020 to 4/30/2020

SAP

SAP - Schueneman v. Arena Pharmaceuticals, Inc.

Title	Rate	Date	Hours	Amount
CSR/Live Operator including transcriptions of recorded messages (per minute)				
Call Center Agent	\$57.00	01/10/2020	0.4	\$22.80
Call Center Agent	\$57.00	01/15/2020	0.1	\$5.70
Call Center Agent	\$57.00	01/28/2020	0.1	\$5.70
Call Center Agent	\$57.00	03/23/2020	0.4	\$22.80
Call Center Agent	\$57.00	01/20/2020	0.1	\$5.70
Call Center Agent	\$57.00	01/21/2020	0.2	\$11.40
Call Center Agent	\$57.00	01/24/2020	0.2	\$11.40
Call Center Agent	\$57.00	01/30/2020	0.2	\$11.40
Call Center Agent	\$57.00	02/03/2020	0.3	\$17.10
Call Center Agent	\$57.00	02/06/2020	0.1	\$5.70
Call Center Agent	\$57.00	01/24/2020	0.1	\$5.70
Call Center Agent	\$57.00	02/17/2020	0.1	\$5.70
Call Center Agent	\$57.00	02/18/2020	0.1	\$5.70
Call Center Agent	\$57.00	02/20/2020	0.1	\$5.70
Call Center Agent	\$57.00	02/27/2020	0.1	\$5.70
Call Center Agent	\$57.00	03/17/2020	0.1	\$5.70
Call Center Agent	\$57.00	03/19/2020	0.1	\$5.70
Call Center Agent	\$57.00	03/24/2020	0.1	\$5.70
Call Center Agent	\$57.00	01/03/2020	0.1	\$5.70
Call Center Agent	\$57.00	01/10/2020	0.1	\$5.70
Call Center Agent	\$57.00	01/13/2020	0.1	\$5.70
Call Center Agent	\$57.00	01/16/2020	0.1	\$5.70
Call Center Agent	\$57.00	01/24/2020	0.1	\$5.70
Call Center Agent	\$57.00	02/03/2020	0.2	\$11.40
Call Center Agent	\$57.00	02/07/2020	0.2	\$11.40
Call Center Agent	\$57.00	02/10/2020	0.3	\$17.10
Call Center Agent	\$57.00	04/10/2020	0.1	\$5.70
Call Center Agent	\$57.00	04/14/2020	0.1	\$5.70
Call Center Agent	\$57.00	01/02/2020	0.1	\$5.70
Call Center Agent	\$57.00	01/13/2020	0.2	\$11.40
Call Center Agent	\$57.00	01/20/2020	0.3	\$17.10
Call Center Agent	\$57.00	01/21/2020	0.2	\$11.40
Call Center Agent	\$57.00	01/22/2020	0.3	\$17.10
Call Center Agent	\$57.00	01/24/2020	0.1	\$5.70
Call Center Agent	\$57.00	01/27/2020	0.1	\$5.70
Call Center Agent	\$57.00	02/07/2020	0.3	\$17.10
Sub Total:			5.9	\$336.30
Handling of class member communications				
Project Administrator	\$57.00	01/09/2020	0.3	\$17.10
Project Administrator	\$57.00	04/14/2020	0.1	\$5.70
Project Supervisor	\$57.00	01/08/2020	0.8	\$45.60
Project Supervisor	\$57.00	01/10/2020	1.0	\$57.00
Project Supervisor	\$57.00	01/13/2020	0.3	\$17.10

<p align="center">Report - SAP - Schueneman v. Arena Pharmaceuticals, Inc. (by Task, Title, Date)</p>
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Partial ETC Period: 1/1/2020 to 4/30/2020

SAP

SAP - Schueneman v. Arena Pharmaceuticals, Inc.

Title	Rate	Date	Hours	Amount
Project Supervisor	\$57.00	01/15/2020	1.0	\$57.00
Project Supervisor	\$57.00	01/23/2020	1.5	\$85.50
Project Supervisor	\$57.00	01/29/2020	0.5	\$28.50
Project Supervisor	\$57.00	02/06/2020	1.0	\$57.00
Project Supervisor	\$57.00	02/07/2020	1.0	\$57.00
Project Supervisor	\$57.00	04/02/2020	0.5	\$28.50
Project Supervisor	\$57.00	04/03/2020	0.5	\$28.50
Project Administrator	\$57.00	02/14/2020	0.5	\$28.50
Project Administrator	\$57.00	04/03/2020	2.5	\$142.50
Project Administrator	\$57.00	04/15/2020	0.7	\$39.90
Project Administrator	\$57.00	01/31/2020	2.4	\$136.80
Project Administrator	\$57.00	01/20/2020	0.6	\$34.20
Project Administrator	\$57.00	01/21/2020	2.3	\$131.10
Project Administrator	\$57.00	03/31/2020	0.3	\$17.10
Sr. Project Administrator	\$57.00	01/06/2020	0.1	\$5.70
Sr. Project Administrator	\$57.00	01/07/2020	0.9	\$51.30
Sr. Project Administrator	\$57.00	01/09/2020	1.2	\$68.40
Sr. Project Administrator	\$57.00	01/28/2020	1.3	\$74.10
Sr. Project Administrator	\$57.00	02/18/2020	0.7	\$39.90
Sr. Project Administrator	\$57.00	02/25/2020	0.6	\$34.20
Sr. Project Administrator	\$57.00	03/26/2020	0.2	\$11.40
Sr. Project Administrator	\$57.00	04/03/2020	0.1	\$5.70
Sr. Project Administrator	\$57.00	01/13/2020	0.2	\$11.40
Sr. Project Administrator	\$57.00	04/21/2020	0.2	\$11.40
Project Administrator	\$57.00	01/21/2020	1.0	\$57.00
Project Administrator	\$57.00	01/22/2020	0.3	\$17.10
Project Administrator	\$57.00	01/23/2020	1.5	\$85.50
Project Administrator	\$57.00	02/13/2020	1.0	\$57.00
Project Administrator	\$57.00	04/20/2020	0.5	\$28.50
Sub Total:			27.6	\$1,573.20